



NATIONAL CENTER ON Early Childhood Quality Assurance

Supporting Providers' Compliance with Regulations

2017 National Association for Regulatory
Administration Licensing Seminar
September 12, 2017

A close-up photograph of a young child with dark hair, smiling broadly with arms raised. The child is wearing a red dress with a white floral pattern and a white polka-dot waistband. The background is a soft-focus green field.

WELCOME!



NATIONAL CENTER ON Early Childhood Quality Assurance



Introductions



Nancy vonBargen, Project Director, National Center on Early Childhood Quality Assurance (ECQA Center)



Nina Johnson, Senior Technical Assistance Specialist, ECQA Center



Kathie Boe, Quality Liaison, KinderCare Education



Mary Beth Salomone Testa, Policy Consultant, National Association for Family Child Care

Objectives



Explore

approaches to build relationships of trust and transparency with providers.

Identify

strategies that support providers' ability to meet requirements.

Learn

about resources to support your work.

Setting the Stage

Providers may experience this



Leading to
feelings
like this





And low
quality child
care



So let's lessen the burden

SCHOOL

To support interactions like this



Presentation Overview



Topic 1

Building relationships of trust and transparency



Topic 2

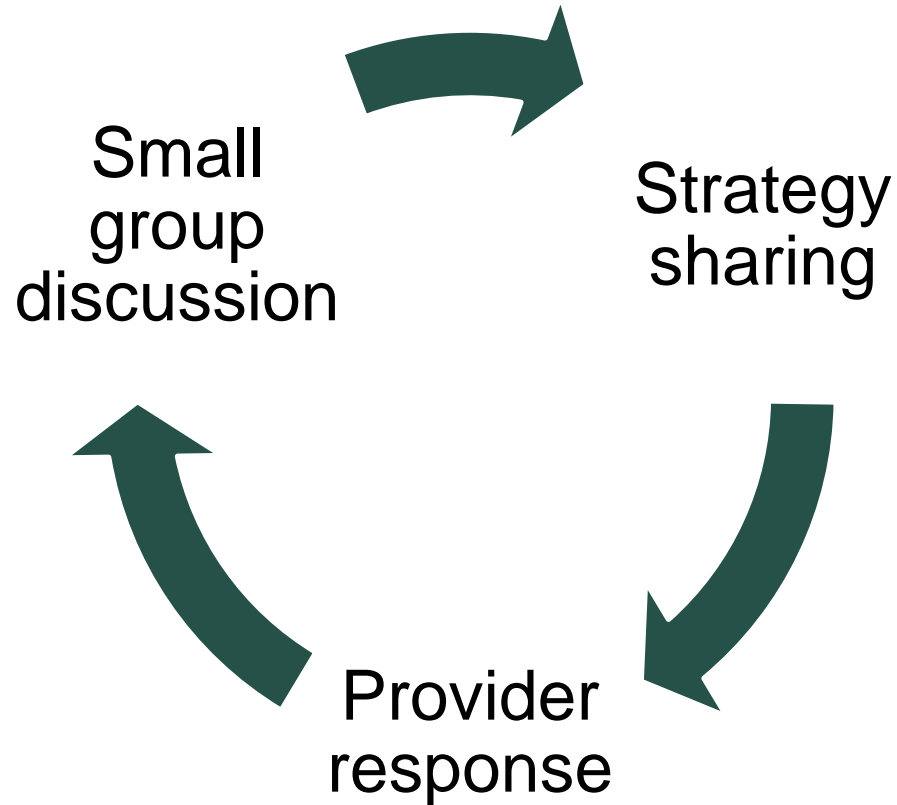
Supporting providers' ability to meet requirements



Topic 3

Resources

Presentation Format



Topic 1: Building Relationships of Trust and Transparency with Providers

- Inclusive regulation revision process
- Transparent monitoring approaches
- Fair and transparent enforcement procedures
- Licensor skill-building



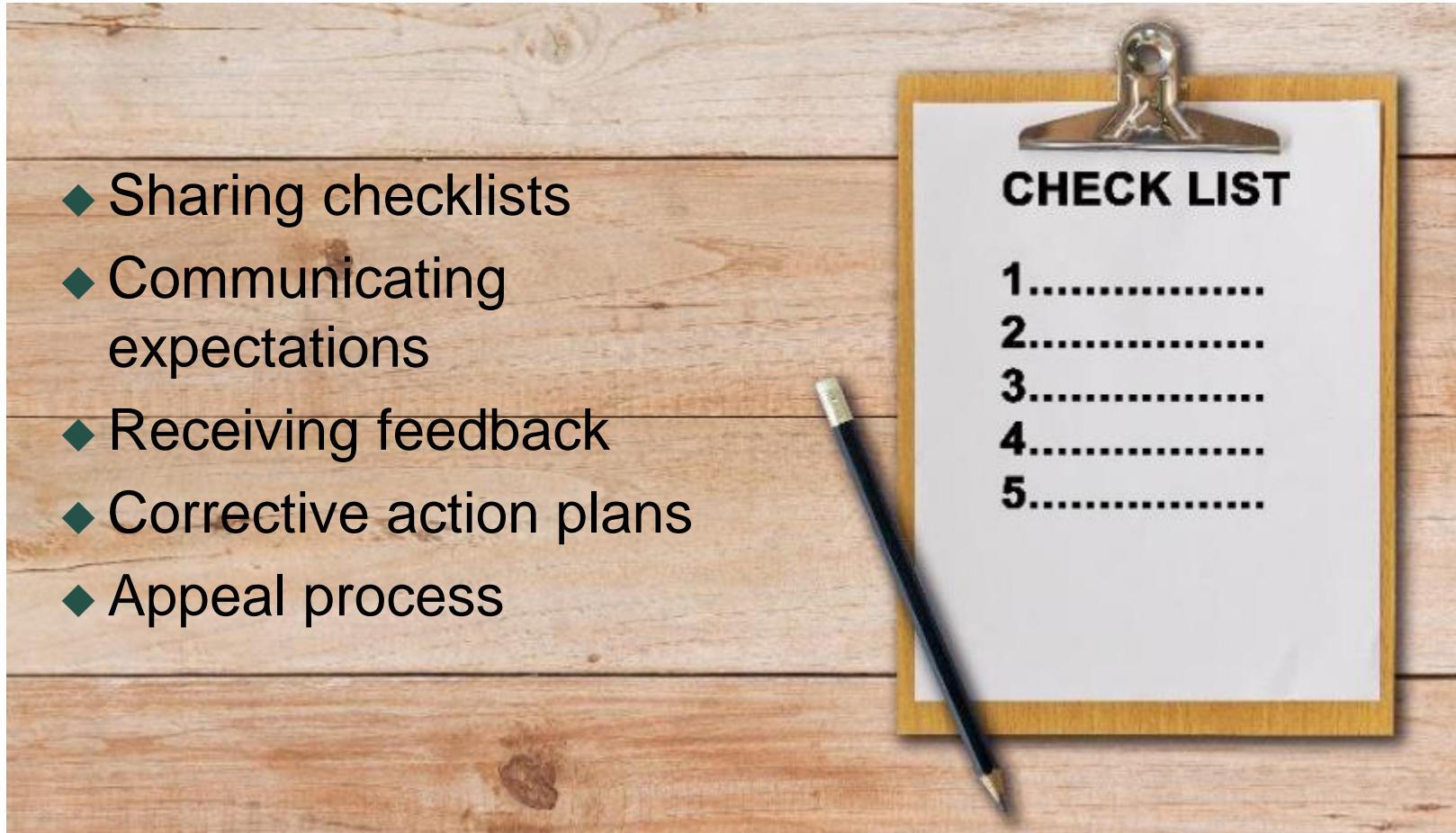
Inclusive Regulation Revision

- ◆ Diverse work group
- ◆ Communication
- ◆ Cultivation of allies
- ◆ Fiscal impact statements
- ◆ Public hearings
- ◆ Ongoing feedback



Transparent Monitoring

- ◆ Sharing checklists
- ◆ Communicating expectations
- ◆ Receiving feedback
- ◆ Corrective action plans
- ◆ Appeal process



Fair and Transparent Enforcement

- ◆ Standardized enforcement procedures
- ◆ Progressive enforcement
- ◆ Quality assurance
- ◆ Provider training
- ◆ Easily accessible resources



Licensor Skill-Building

- ◆ Relationship-building with homes and centers
- ◆ Understanding providers' unique needs
- ◆ Ethical conduct



Small Groups: Building Relationships of Trust and Transparency

- ◆ What's working well?
 - What are some practices you have put in place?
- ◆ What's on your wish list?
 - What are some practices you might like to put in place?



Topic 2: Supporting Providers' Understanding of and Ability to Meet Requirements

- Clear and aligned standards
- Easily accessible resources
- Provider training and support
- Incident reporting



Clear and Aligned Standards

- ◆ Plain language
- ◆ Appropriate for ages served
- ◆ Balanced
- ◆ Unbiased
- ◆ Observable, measurable, and enforceable
- ◆ Fiscal impact assessed
- ◆ Based on data
- ◆ Aligned with other standards



Easily Accessible Resources

- ◆ Interpretive Guides
- ◆ Protocols and Procedures
- ◆ Provider-friendly websites



Provider Dashboard

South Carolina wants the best for its children – and that means ensuring a safe, nurturing environment in which they can thrive. The Division of Early Care and Education is committed to ensuring that our state will succeed in protecting our children and the bright futures that lie ahead of them. Please click on any of the links below for helpful information or click [here](#) to find out how to contact us.



Provider Payment Systems

- › [Online SVL \(Service Voucher Log\)](#)
- › [Central Registry Payments](#)
- › [Licensing Fees](#)

Child Care Licensing

- › [I Want to be Licensed](#)
- › [Licensing Requirements](#)
- › [Fingerprint Information](#)
- › [Orientation Dates and Locations](#)
- › [Types of Providers](#)

I Want to be an ABC Quality Provider

- › [ABC Quality](#)
- › [Enrollment Requirements](#)
- › [ABC Maximum Payment Rates](#)
- › [Enrollment Benefits](#)
- › [Application, Standards, Documents](#)

I Want to Find Training

- › [Center for Child Care Career Development](#)
- › [Training and Conferences](#)
- › [Family Child Care Home Training](#)
- › [Training Transcripts](#)

Source: Retrieved from <http://www.scchildcare.org/providers/provider-dashboard.aspx> on August 24, 2017.

Provider Training and Support

- ◆ Technical assistance for providers
- ◆ Training on regulations, monitoring, and procedures
- ◆ Ongoing use of safety checklists
- ◆ Use of data to understand violation trends
- ◆ Involving families and staff in monitoring



Incident Reporting

- ◆ Self-reported incidents factor into level of enforcement action
- ◆ Self-reported incidents result in training



Small Groups: Supporting Providers' Ability to Meet Requirements

- ◆ What's working well?
 - What are some practices you have put in place?
- ◆ What's on your wish list?
 - What are some practices you might like to put in place?



Topic 3: Resources



ECQA Center Online Tools

- ◆ **National Database of Child Care Licensing Regulations** <https://childcareta.acf.hhs.gov/licensing>
- ◆ **Early Childhood Training and Technical Assistance System Data Explorer and State Profiles**
<https://childcareta.acf.hhs.gov/data>
- ◆ **National Program Standards Crosswalk Tool**
<https://occqrisguide.icfwebservices.com/index.cfm?do=crosswalk>

ECQA Center Issue Briefs

- ◆ ***Key Competencies for Licensors of Child Care Programs*** (2017)
<https://childcareta.acf.hhs.gov/resource/key-competencies-licensors-child-care-programs>
- ◆ ***Developing and Revising Child Care Licensing Requirements*** (2017)
<https://childcareta.acf.hhs.gov/resource/developing-and-revising-child-care-licensing-requirements>
- ◆ ***Interpretive Guides for Child Care Licensing Regulations*** (2017)
<https://childcareta.acf.hhs.gov/resource/interpretive-guides-child-care-licensing-regulations>
- ◆ **Child Care and Development Fund Health and Safety Briefs** (2016) <https://childcareta.acf.hhs.gov/resource/briefs-about-health-and-safety-topics>
- ◆ **Contemporary Issues in Licensing Report Series** (2014)
<https://childcareta.acf.hhs.gov/resource/contemporary-issues-licensing>

Planning Tools

- ◆ ***Caring for Our Children Basics Health and Safety Standards Alignment Tool for Child Care Centers and Family Child Care Homes*** (2017), by the National Center on Early Childhood Quality Assurance.
<https://childcareta.acf.hhs.gov/resource/caring-our-children-basics-health-and-safety-standards-alignment-tool-child-care-centers>
- ◆ ***Best Practices for Human Care Regulation*** (2015), by the National Association for Regulatory Administration and the National Center on Child Care Quality Improvement.
<http://www.naralicensing.org/best-practices>

ECQA Center Resources on License-Exempt Care

- ◆ ***Monitoring License-Exempt CCDF Homes*** (2015)
<https://childcareta.acf.hhs.gov/resource/monitoring-license-exempt-ccdf-homes>
- ◆ ***Monitoring and Supporting License-exempt Care: Case Studies*** (2015)
<https://childcareta.acf.hhs.gov/resource/monitoring-and-supporting-license-exempt-care-case-studies>
- ◆ ***Supporting License-Exempt Family Child Care*** (2015)
<https://childcareta.acf.hhs.gov/resource/supporting-license-exempt-family-child-care>
- ◆ ***Monitoring and Supporting License-Exempt Child Care Webinar*** (2015)
<https://childcareta.acf.hhs.gov/resource/monitoring-and-supporting-license-exempt-child-care-webinar>

Upcoming Resources from ECQA

◆ Topic areas

- Licensor professional development
- Family child care
- Provider training on business practices
- Provider training on strengthening compliance

Small Groups: Resources

- ◆ Resources or Supports Used
 - Resources and supports you *have used* in supporting providers' compliance with regulations
- ◆ Resources or Supports Needed
 - Resources and supports you *may need* in supporting providers' compliance with regulations



Contact Information

- ◆ Nancy vonBargen - nancy.vonbargen@icf.com
- ◆ Nina Johnson - nina.johnson@icf.com
- ◆ Kathie Boe - KBoe@kc-education.com
- ◆ Mary Beth Salomone Testa - marybeth@mbstolutions.com

A person with long brown hair, wearing a red top, is holding a white rectangular sign in front of their face. The sign has the words "Thank you!" written in black, cursive handwriting. The background is dark and out of focus.

Thank
you!

**National Center on
Early Childhood Quality Assurance**

9300 Lee Highway

Fairfax, VA 22031

Phone: 877-296-2250

Email: QualityAssuranceCenter@ecetta.info

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