

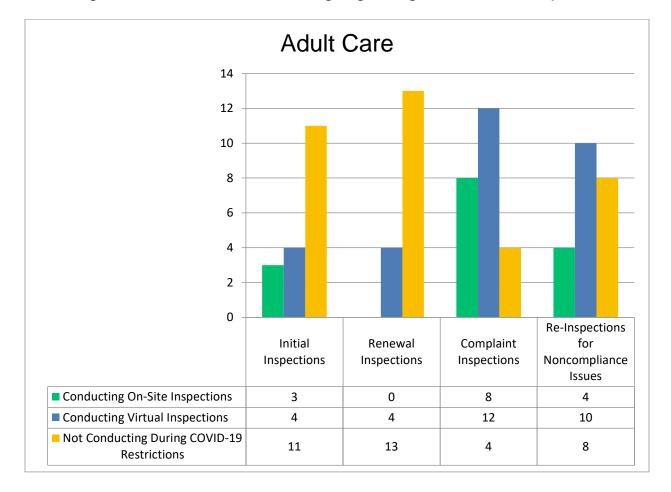
The following are the results of a brief survey administered in April 2020 to the Human Care Regulatory field regarding responses to the COVID-19 pandemic.

#### Contents

Adult Care	
Conducting On-Site Inspectons	3
Conducting Virtual Inspectons	3
Not Conducting During COVID-19 Restrictions	3
Adult Care Comments	4
Child Care	6
Conducting On-Site Inspectons	6
Conducting Virtual Inspectons	6
Not Conducting During COVID-19 Restrictions	7
Child Care Comments	9
Child Welfare	12
Conducting On-Site Inspectons	12
Conducting Virtual Inspectons	12
Not Conducting During COVID-19 Restrictions	12
Child Welfare Comments	13

April 2020 1 | Page





April 2020 2 | Page



### **Adult Care**

**Conducting On-Site Inspectons** 

Initial Inspections	Renewal Inspections	Compliant Inspections	Re-Inspections for Noncompliance Issues
1. Arizona		1. Arizona	1. Arizona
<ol><li>Michigan</li></ol>		<ol><li>California</li></ol>	<ol><li>California</li></ol>
3. Tennessee		<ol><li>Idaho</li></ol>	<ol><li>Michigan</li></ol>
		<ol><li>Michigan</li></ol>	4. Oregon
		<ol><li>Minnesota</li></ol>	
		6. Oregon	
		<ol><li>South Carolina</li></ol>	
		8. Tennessee	

**Conducting Virtual Inspectons** 

Initial Inspections	Renewal Inspections	Compliant Inspections	Re-Inspections for Noncompliance Issues
<ol> <li>Arizona</li> <li>California</li> <li>Michigan</li> <li>Wisconsin</li> </ol>	1. Arizona 2. California 3. Michigan 4. Nova Scotia	<ol> <li>Arizona</li> <li>California</li> <li>Idaho</li> <li>Louisiana</li> <li>Michigan</li> <li>Minnesota</li> <li>Ohio</li> <li>Oregon</li> <li>Pennsylvania</li> <li>Tennessee</li> <li>Wisconsin</li> </ol>	<ol> <li>Arizona</li> <li>California</li> <li>Louisiana</li> <li>Michigan</li> <li>Ohio</li> <li>Oregon</li> <li>Pennsylvania</li> <li>Tennessee</li> <li>Wisconsin</li> <li>Nova Scotia</li> </ol>

**Not Conducting During COVID-19 Restrictions** 

Initial Inspections	Renewal Inspections	Compliant Inspections	Re-Inspections for Noncompliance Issues
1. California	1. California	1. Arizona	1. Arizona
2. Idaho	<ol><li>Idaho</li></ol>	<ol><li>Louisiana</li></ol>	2. Idaho
<ol><li>Louisiana</li></ol>	<ol><li>Louisiana</li></ol>	<ol><li>New Jersey</li></ol>	<ol><li>Louisiana</li></ol>
4. Minnesota	4. Michigan	<ol><li>British</li></ol>	4. Minnesota
<ol><li>New Jersey</li></ol>	<ol><li>Minnesota</li></ol>	Columbia	<ol><li>New Jersey</li></ol>
6. Ohio	<ol><li>New Jersey</li></ol>		<ol><li>Pennsylvania</li></ol>
7. Oregon	7. Ohio		<ol><li>South Carolina</li></ol>
8. Pennsylvania	8. Oregon		

April 2020 3 | Page



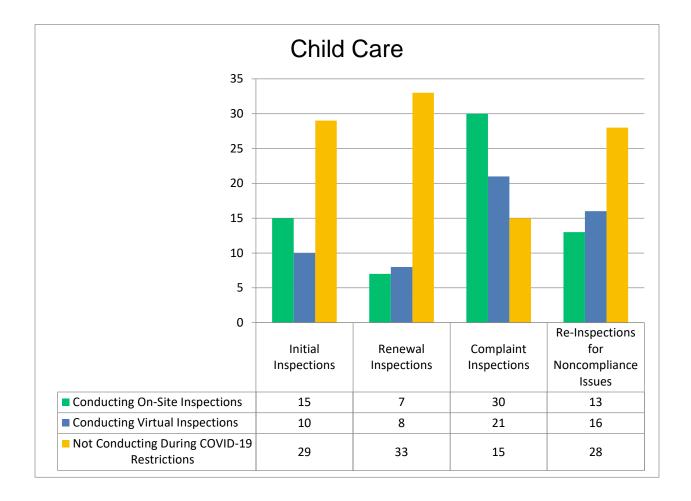
<ol><li>South Carolina</li></ol>	<ol><li>Pennsylvania</li></ol>	8.	British
10. British	<ol><li>South Carolina</li></ol>		Columbia
Columbia	11. Tennessee		
11. Nova Scotia	12. Wisconsin		
	13. British		
	Columbia		

#### **Adult Care Comments**

- **Idaho**: For an allegation of immediate danger, we would begin with a phone/electronic survey and staff would go on site only as necessary. All other survey work is suspended.
- Louisiana: Conducting Phone survey interviews on COVID-19 policy/process being taken and staffing needs
- Michigan: Initial only conducting onsite if no residents are present and staff are comfortable going out. Renewals are postponed but staff can conduct virtual inspection to renew if able.
   Complaints going onsite only if a virtual inspection cannot be made.
- Michigan (CMS State Agency): CMS priority guidance is followed. For other state licensed-only
  areas, oversight using virtual and other methods is primarily used, except for immediate jeopardy
  situations, Note, most child care settings are closed or limited to essential infrastructure workers.
  So regulatory activity is low.
- Oregon: We are doing visits only for serious health/safety issues. We are considering doing initial
  inspections for new homes if they are willing to serve people with confirmed or suspected COVID
  19
- **South Carolina**: This information is for State Licensure only; does not apply to CMS/Certification inspections
- Tennessee: Included in the virtual inspection are desk reviews of documentation and the use of telephonic means.
- British Columbia: On-site inspections are not occurring unless absolutely necessary, and only
  for high risk situations. Licensing Officers are doing majority of complaint follow up via
  phone/email.

April 2020 4 | P a g e





April 2020 5 | Page



### Child Care

**Conducting On-Site Inspectons** 

Initial Inspections	Renewal Inspections	Compliant Inspections	Re-Inspections for Noncompliance Issues
1. Alabama 2. Arkansas 3. Arizona 4. Colorado     Connecticut 5. Florida 6. Georgia 7. Idaho 8. Iowa 9. Kansas 10. Michigan 11. Missouri 12. Oklahoma 13. Washington 14. West Virginia 15. Wisconsin	1. Arizona 2. Connecticut 3. Colorado 4. Florida 5. Idaho 6. Iowa 7. Oklahoma	1. Alabama 2. Arkansas 3. Arizona 4. Colorado 5. Connecticut 6. Delaware 7. Florida 8. Georgia 9. Idaho 10. Illinois 11. Iowa 12. Kansas 13. Massachusetts 14. Michigan 15. Missouri 16. New York 17. North Carolina 18. Oklahoma 19. Oregon 20. North Carolina 19. Oregon 20. North Carolina 21. Pennsylvania 22. Texas 23. Utah 24. Virginia 25. Washington 26. Washington 26. Washington DC 27. West Virginia 28. Wisconsin 29. Alberta 30. British Columbia	1. Arizona 2. Colorado 3. Connecticut 4. Florida 5. Georgia 6. Kansas 7. Idaho 8. Illinois 9. Iowa 10. Michigan 11. West Virginia 12. Wisconsin 13. British Columbia

**Conducting Virtual Inspectons** 

Initial Inspections	Renewal Inspections	Compliant Inspections	Re-Inspections for Noncompliance Issues
Arizona     California	Arizona     California	<ol> <li>Arizona</li> <li>California</li> </ol>	Arizona     California

April 2020 6 | Page



3. Maryland	3. Connecticut	3. Colorado	3. Connecticut
<ol><li>Massachusetts</li></ol>	<ol><li>Florida –</li></ol>	<ol><li>Delaware</li></ol>	<ol><li>Florida –</li></ol>
5. Oregon	Broward	5. Florida –	Broward
<ol><li>Pennsylvania</li></ol>	County	Broward	County
7. Utah	<ol><li>Michigan</li></ol>	County	<ol><li>Maryland</li></ol>
8. Vermont	6. Oregon	<ol><li>Massachusetts</li></ol>	<ol><li>Michigan</li></ol>
<ol><li>Washington</li></ol>	7. Utah	<ol><li>7. Maryland</li></ol>	<ol><li>New York</li></ol>
<ol><li>West Virginia</li></ol>	<ol><li>Washington</li></ol>	8. Michigan	<ol><li>North Carolina</li></ol>
		<ol><li>Mississippi</li></ol>	9. Oregon
		<ol><li>North Carolina</li></ol>	<ol><li>Pennsylvania</li></ol>
		<ol><li>11. Oklahoma</li></ol>	11. Utah
		12. Oregon	12. Vermont
		<ol><li>13. Pennsylvania</li></ol>	<ol><li>Washington</li></ol>
		14. Texas	<ol><li>14. West Virginia</li></ol>
		15. Utah	15. Alberta
		16. Vermont	16. British
		17. Virginia	Columbia
		18. Washington	
		19. Washington DC	
		20. West Virginia	
		21. British	
		Columbia	

**Not Conducting During COVID-19 Restrictions** 

Not conducting built		Compliant	Re-Inspections for Noncompliance
Initial Inspections	Renewal Inspections	Inspections	Issues
1. Arizona	<ol> <li>Alabama</li> </ol>	<ol> <li>Florida</li> </ol>	<ol> <li>Alabama</li> </ol>
<ol><li>Colorado</li></ol>	<ol><li>Arkansas</li></ol>	<ol><li>Kansas</li></ol>	<ol><li>Arizona</li></ol>
<ol><li>Connecticut</li></ol>	<ol><li>Arizona</li></ol>	<ol><li>Massachusetts</li></ol>	<ol><li>Colorado</li></ol>
4. Delaware	<ol><li>Colorado</li></ol>	4. Missouri	<ol><li>Delaware</li></ol>
<ol><li>Florida</li></ol>	<ol><li>Connecticut</li></ol>	<ol><li>New Jersey</li></ol>	<ol><li>Florida</li></ol>
6. Georgia	<ol><li>Delaware</li></ol>	<ol><li>Pennsylvania</li></ol>	6. Georgia
7. Illinois	<ol><li>Florida</li></ol>	<ol><li>7. Vermont</li></ol>	7. Kansas
8. Maryland	<ol><li>Georgia</li></ol>	8. Virginia	8. Maryland
9. Michigan	9. Illinois	<ol><li>Washington</li></ol>	<ol><li>Massachusetts</li></ol>
10. Missouri	10. Kansas	<ol><li>Washington DC</li></ol>	10. Michigan
11. New Jersey	<ol><li>11. Maryland</li></ol>	11. Guam	<ol><li>11. Mississippi</li></ol>
<ol><li>Massachusetts</li></ol>	<ol><li>Massachusetts</li></ol>	12. Northern	12. Missouri
<ol><li>13. Mississippi</li></ol>	13. Michigan	Mariana	13. New Jersey
14. Missouri	<ol><li>14. Mississippi</li></ol>	Islands	<ol><li>14. North Carolina</li></ol>
15. New York	15. Missouri	13. Alberta	15. Oregon
<ol><li>North Carolina</li></ol>	16. New Jersey	14. British	16. Pennsylvania
17. Oklahoma	17. New York	Columbia	17. Texas
18. Oregon	<ol><li>North Carolina</li></ol>	<ol><li>Nova Scotia</li></ol>	18. Utah
<ol><li>19. Pennsylvania</li></ol>	19. Oregon		19. Vermont

April 2020 7 | Page



20. Texas	20. Pennsylvania	20. Virginia
21. Virginia	21. Texas	21. Washington
22. Washington	22. Utah	22. Washington DC
23. Washington DC	23. Vermont	23. West Virginia
24. West Virginia	24. Virginia	24. Guam
25. Guam	25. Washington	25. Northern
26. Northern	26. Washington DC	Mariana
Mariana	27. West Virginia	Islands
Islands	28. Wisconsin	26. Alberta
27. Alberta	29. Guam	27. British
28. British	30. Northern	Columbia
Columbia	Mariana	28. Nova Scotia
<ol><li>Nova Scotia</li></ol>	Islands	
	31. Alberta	
	32. British	
	Columbia	
	33. Nova Scotia	

April 2020 8 | Page



#### **Child Care Comments**

- 1. **Alabama**: Re inspections may be allowed depending on the nature of the issue of non compliance. Most daycares are not open
- 2. **Arkansas**: Arkansas does not do renewal inspections. Routine monitor visits are not being done at this time.
- 3. **Colorado**: Complaint depends on severity; We are only doing critical inspections at this time. If they are done on-site they are limiting the time wearing masks and typing reports off-site. If they are able visits are done virtually.
- 4. **Connecticut**: Initial inspections for immediate opening are being conducted. Inspections are not tied to renewals. Inspections for complaints that present an immediate concern for health & safety (e.g. abuse/neglect) are being conducted. Whenever possible, activities associated with complaint investigations or other necessary visits are done remotely.
- 5. **Florida**: Here in Broward County, Florida, we are only conducting on-site inspections for serious safety related complaints. All other inspections are being conducted remotely.
- 6. Georgia: Regarding inspections in our state, our contingency plan includes making essential visits to childcare programs and completing essential tasks that are pertinent to our work force including: Conducting complaint investigations that involve serious injury to children or that have high or extreme impact and risk to children's health and safety. Initial Licensing Inspections Processing and conducting follow-up Inspections related to Criminal Records Check determinations
- 7. **Idaho**: The health districts completed the inspections for child care in Idaho and are continuing to do inspections at this time.
- 8. **Illinois**: All centers and home day care have been closed due to Governor's order. However, those centers who wanted to re-open were issued a temporary license to watch children of "emergency-care workers" with a smaller ratio in each room.
- 9. Kansas: High risk complaints only; Conducting serious complaint investigations; Complaint inspections will be conducted depending on severity and in consultation with State; We are only investigating high level complaints at this time, and that is with screening questions at the door before we go in. Initial inspections are done the same way, with screening questions asked before we go out.
- 10. Maryland: Maryland has shut down most child care except for a new category of sites: EPSA and EPCC programs Emergency Personnel School Age and Essential Personnel Child Care, respectively. Our staff are only going to do in-person complaint inspections if the complaint indicates imminent danger to children. They're doing other complaint inspections by virtual visits through facetime/duo/skype.; We triage complaints. If there is an imminent risk to children, we will inspect.
- 11. **Massachusetts**: There are only exempt emergency care providers opened, the the rones are closed until May 4th approx. As far as I know, we have not received any complaints investigations from the emergency care providers in my area in family child care.
- 12. **Michigan**: We are doing as much of the Interim and Renewal as possible digitally and via Skye. We will then follow up with an on-site once the Stay at Home order is lifted. Licensing agency is running with reduced staff. All child care facilities were closed with a limited provision for 'emergency care'.; CMS priority guidance is followed. For other state licensed-only areas, oversight using virtual and other methods is primarily used, except for immediate jeopardy

April 2020 9 | Page

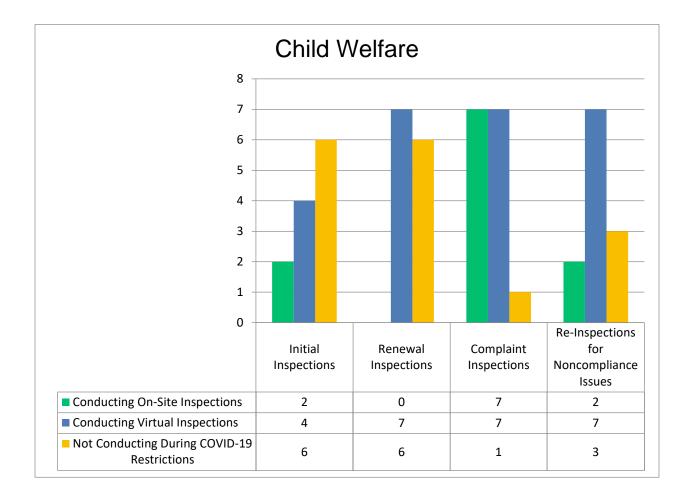


situations, Note, most child care settings are closed or limited to essential infrastructure workers. So regulatory activity is low.

- 13. Mississippi: Only conducting on-site inspections that involve serious injury, death, or abuse.
- 14. Missouri: only conducting initial inspections for emergency licenses and complaint investigations for allegations involving imminent danger; We are only conducting initial inspections for emergency licenses at this time. If a facility wants to open to care for emergency responders, healthcare workers or other essential workers children, we are issuing temporary emergency licenses. We are conducting complaint investigations that may rise to the level of imminent danger. All other complaint investigations are being held until we are back in the field on a regular basis.
- 15. **North Carolina**: Our law requires an initial visit within 7 days for reports of child maltreatment. At this time, those have been very brief.
- Oklahoma: We are staffing all application requests and Risk Level 1 complaints to determine need for an onsite visit.
- 17. **Oregon**: Initial inspections done virtually are conditional on if we have been to the facility prior or not. also, virtual depends on the provider's and/or facility's ability to complete a virtual visit.; We can and will go on-site to inspect if the situation warrants it.
- 18. Pennsylvania: we are discussing the possibility to begin to conduct renewal inspections virtually
- Utah: Most inspections are being rescheduled. Only high risk related onsite inspections are being conducted.
- 20. Vermont: Certain complaints will be addressed in person if needed. They would need to be of a significant risk and scope to child safety for the in person visit to occur.; If a complaint requires an on-site inspection, we may need to do this. As such, the answers I have provided are general decisions not absolutes.
- 21. **Virginia**: Depends on the nature and seriousness of the complaint; We will go out on-site complaints only if it is involved with the CPS or Police.
- 22. **Washington**: We are not conducting inspections right now. We are trying to work out a plan on how to.; Some visits move apps are being conducted via virtual inspection and the other visits are being discussed by leadership and upper management.
- 23. **West Virginia**: Only doing site visits for complaints and serious occurrences. We are teleworking and doing everything else via email and phone contact.; Re-inspections for noncompliance issues are related to health and safety noncompliance issues only.
- 24. **Wisconsin**: Complaint inspections are being addressed case-by-case. If the complaint is something that can't wait to be investigated or can't be investigated by a phone call, we will go out. If we issued an enforcement action and it is pertinent that we verify compliance, we will do an on-site visit.; The above inspections are being done, only upon the approval of management and there has been discussion about making the initial inspections virtual, however, not all staff are on board with this.
- 25. **Guam**: All child care facility operations have been mandated to close effective March 20, 2020 by Governor's Executive Order.
- 26. Northern Mariana Islands: All child care services in the CNMI are currently not operational.
- 27. **Alberta**: Special consultative inspections are being completed at select programs that have been identified to re-open for essential services care
- 28. **British Columbia**: Complaint inspections only high risk Digital evidence accepted for correction of contraventions; British Columbia; Complaints and High Risk facilities management only

April 2020 10 | P a g e





April 2020 11 | P a g e



### Child Welfare

**Conducting On-Site Inspectons** 

Initial Inspections	Renewal Inspections	Compliant Inspections	Re-Inspections for Noncompliance Issues
1. Oklahoma 2. Utah		<ol> <li>Colorado</li> <li>Connecticut</li> <li>Idaho</li> <li>Maryland</li> <li>Oklahoma</li> <li>Utah</li> <li>Washington</li> </ol>	Colorado     Maryland

**Conducting Virtual Inspectons** 

Initial Inspections	Renewal Inspections	Compliant Inspections	Re-Inspections for Noncompliance Issues
<ol> <li>California</li> </ol>	<ol> <li>California</li> </ol>	<ol> <li>California</li> </ol>	<ol> <li>California</li> </ol>
<ol><li>Kansas</li></ol>	2. Idaho	<ol><li>Idaho</li></ol>	2. Idaho
<ol><li>Washington</li></ol>	3. Kansas	<ol><li>Kansas</li></ol>	3. Kansas
4. Washington,	4. Utah	4. Utah	4. Utah
DC	<ol><li>Washington</li></ol>	<ol><li>Washington</li></ol>	<ol><li>Washington</li></ol>
	6. Washington,	<ol><li>Washington,</li></ol>	6. Washington,
	DC	DC	DC
	7. Wisconsin	7. Wisconsin	7. Wisconsin

**Not Conducting During COVID-19 Restrictions** 

Initial Inspections	Renewal Inspections	Compliant Inspections	Re-Inspections for Noncompliance Issues
<ol> <li>Colorado</li> </ol>	1. Colorado	<ol> <li>New Jersey</li> </ol>	1. Idaho
<ol><li>Connecticut</li></ol>	<ol><li>Connecticut</li></ol>		<ol><li>New Jersey</li></ol>
3. Idaho	3. Idaho		3. Utah
<ol><li>Maryland</li></ol>	4. Maryland		
<ol><li>New Jersey</li></ol>	5. New Jersey		
6. Wisconsin	6. Utah		

April 2020 12 | P a g e



#### **Child Welfare Comments**

- 1. **Connecticut**: We have extended the expiration date of all current licenses for as long as our Governor has a state of emergency declared. We are reviewing all paperwork remotely with the goal of only have site visits as the remaining task once the state of emergency is lifted.
- 2. **New Jersey**: Initial and renewal Inspections are only done on site if we don't feel that client health and safety can be determined through a virtual inspection. In an on-site inspection is necessary we do a health screening of the employee and the people at the facility prior to going into the home/facility.
- 3. **Oklahoma**: We are staffing all application requests and Risk Level 1 complaints to determine need for an onsite visit.
- 4. **Vermont**: We are conducting offsite surveys and may go onsite if necessary.

April 2020 13 | P a g e