

NARA Survey #2 COVID Impact on Licensing

Table of Contents

During COVID-19, what is occurring regarding human care inspections?.....	3
Adult Care.....	3
Conducting On-Site Inspectons.....	4
Conducting Virtual Inspectons	4
Not Conducting During COVID-19 Restrictions.....	4
Child Care.....	5
Conducting On-Site Inspectons.....	6
Conducting Virtual Inspectons	6
Not Conducting During COVID-19 Restrictions.....	6
Child Welfare.....	8
Conducting On-Site Inspectons.....	8
Conducting Virtual Inspectons	8
Not Conducting During COVID-19 Restrictions.....	8
What % of child care programs remained opened?	9
If programs closed voluntarily, what status did you put them in?	10
Current Trend for Opening Programs.....	10
Child Care Summer Camps	11
Child Care Group Size	12
Additional Information about Group Size.....	14
How Do You Define a Room for Child Care?	15
Changes to Rules and Regulations.....	16
Were there any changes to the rules and regulations related to <i>application</i> ?.....	16
Were there any changes to the rules and regulations related to <i>background screening</i> ?	16
Were there any changes to the rules and regulations related to <i>behavior and guidance</i> ?	17
Were there any changes to the rules and regulations related to the <i>environment</i> ? ...	17
Were there any changes to the rules and regulations related to <i>family engagement</i> ?	17
Were there any changes to the rules and regulations related to <i>food preparation and service</i> ?	18
Were there any changes to the rules and regulations related to <i>health</i> ?	18
Were there any changes to the rules and regulations related to <i>personnel training</i> ?	19

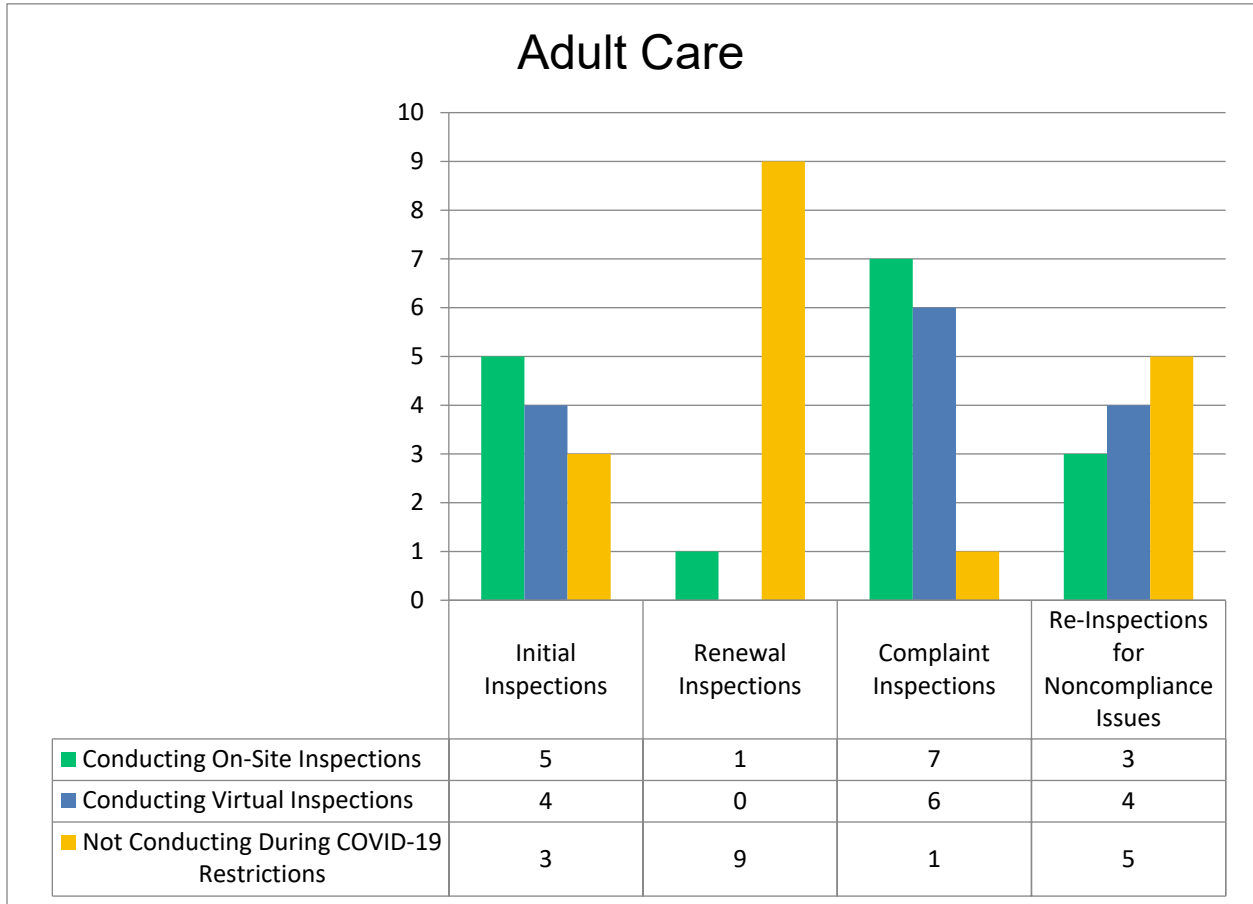
NARA Survey #2 COVID Impact on Licensing

Were there any changes to the rules and regulations related to <i>ratio and group size</i> ?	20
Were there any changes to the rules and regulations related to <i>safety requirements</i> ?	20
Were there any changes to the rules and regulations related to <i>supervision</i> ?	21
Were there any changes to the rules and regulations related to <i>transportation</i> ?	21
Is there any other information you would like to share with NARA that would be helpful to other agencies?	21

NARA Survey #2 COVID Impact on Licensing

During COVID-19, what is occurring regarding human care inspections?

Adult Care



NARA Survey #2 COVID Impact on Licensing

Conducting On-Site Inspections

Initial Inspections	Renewal Inspections	Compliant Inspections	Re-Inspections for Noncompliance Issues
<ol style="list-style-type: none"> Louisiana Nevada North Carolina South Carolina Tennessee 	<ol style="list-style-type: none"> North Carolina 	<ol style="list-style-type: none"> Nevada North Carolina South Carolina Tennessee Vermont Wisconsin 	<ol style="list-style-type: none"> North Carolina Vermont Wisconsin

Conducting Virtual Inspections

Initial Inspections	Renewal Inspections	Compliant Inspections	Re-Inspections for Noncompliance Issues
<ol style="list-style-type: none"> Massachusetts Nevada Wisconsin 		<ol style="list-style-type: none"> Louisiana Minnesota Nevada Vermont Wisconsin 	<ol style="list-style-type: none"> Louisiana Vermont Wisconsin

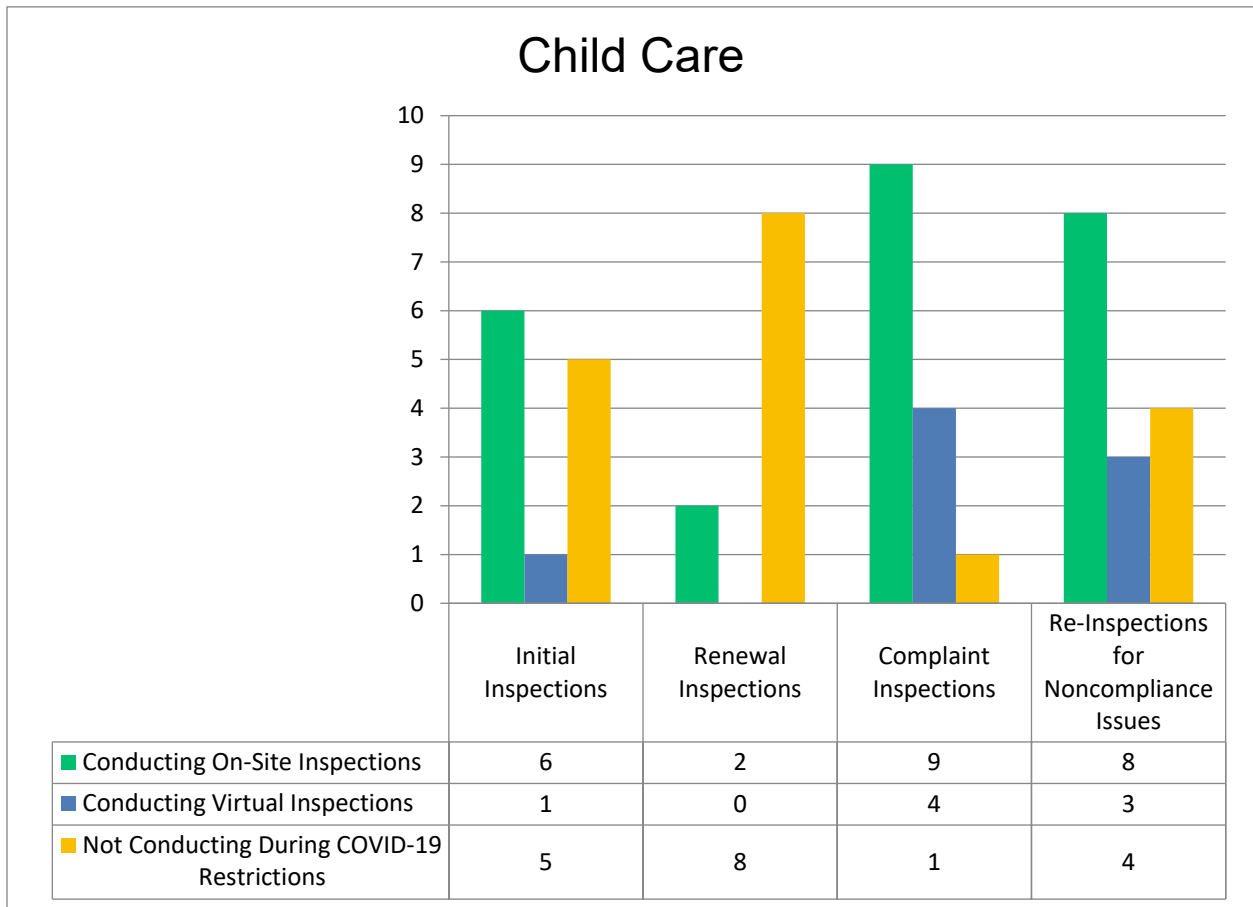
Not Conducting During COVID-19 Restrictions

Initial Inspections	Renewal Inspections	Compliant Inspections	Re-Inspections for Noncompliance Issues
<ol style="list-style-type: none"> Minnesota Nevada Vermont 	<ol style="list-style-type: none"> Louisiana Massachusetts Minnesota Nevada South Carolina Tennessee Vermont Wisconsin 	<ol style="list-style-type: none"> Massachusetts 	<ol style="list-style-type: none"> Massachusetts Minnesota Nevada South Carolina Tennessee

- Minnesota:** We are completing non-regulatory technical assistance, guidance, and educational onsite visits in our home care providers at this time, with the option to bring enforcement action through a complaint and different onsite visit if the facility continues to not follow CDC and state guidance.
- Nevada:** Our agency is only investigating IJ complaints. And initial inspections are conducted, as there should not be residents/clients; and social distancing is practiced with the applicant during any onsite initial inspection.
- Tennessee:** Initial inspections conducted for those facility types that add to the COVID-19 response.
- Wisconsin:** We have conducted onsite inspections for some urgent infection control complaints

NARA Survey #2 COVID Impact on Licensing

Child Care



NARA Survey #2 COVID Impact on Licensing

Conducting On-Site Inspections

Initial Inspections	Renewal Inspections	Compliant Inspections	Re-Inspections for Noncompliance Issues
<ol style="list-style-type: none"> Florida Maine Nevada Oklahoma Utah 	<ol style="list-style-type: none"> Florida Oklahoma Utah 	<ol style="list-style-type: none"> Colorado Delaware Florida New Mexico New York Oklahoma North Carolina Utah 	<ol style="list-style-type: none"> Colorado Florida Maine New Mexico New York Utah

Conducting Virtual Inspections

Initial Inspections	Renewal Inspections	Compliant Inspections	Re-Inspections for Noncompliance Issues
<ol style="list-style-type: none"> West Virginia 	<ol style="list-style-type: none"> Oklahoma 	<ol style="list-style-type: none"> Maine New Mexico Oklahoma West Virginia 	<ol style="list-style-type: none"> New Mexico New York

Not Conducting During COVID-19 Restrictions

Initial Inspections	Renewal Inspections	Compliant Inspections	Re-Inspections for Noncompliance Issues
<ol style="list-style-type: none"> Colorado Delaware New Mexico New York North Carolina 	<ol style="list-style-type: none"> Colorado Delaware Maine Nevada New Mexico New York North Carolina West Virginia 	<ol style="list-style-type: none"> Nevada 	<ol style="list-style-type: none"> Delaware Nevada North Carolina West Virginia

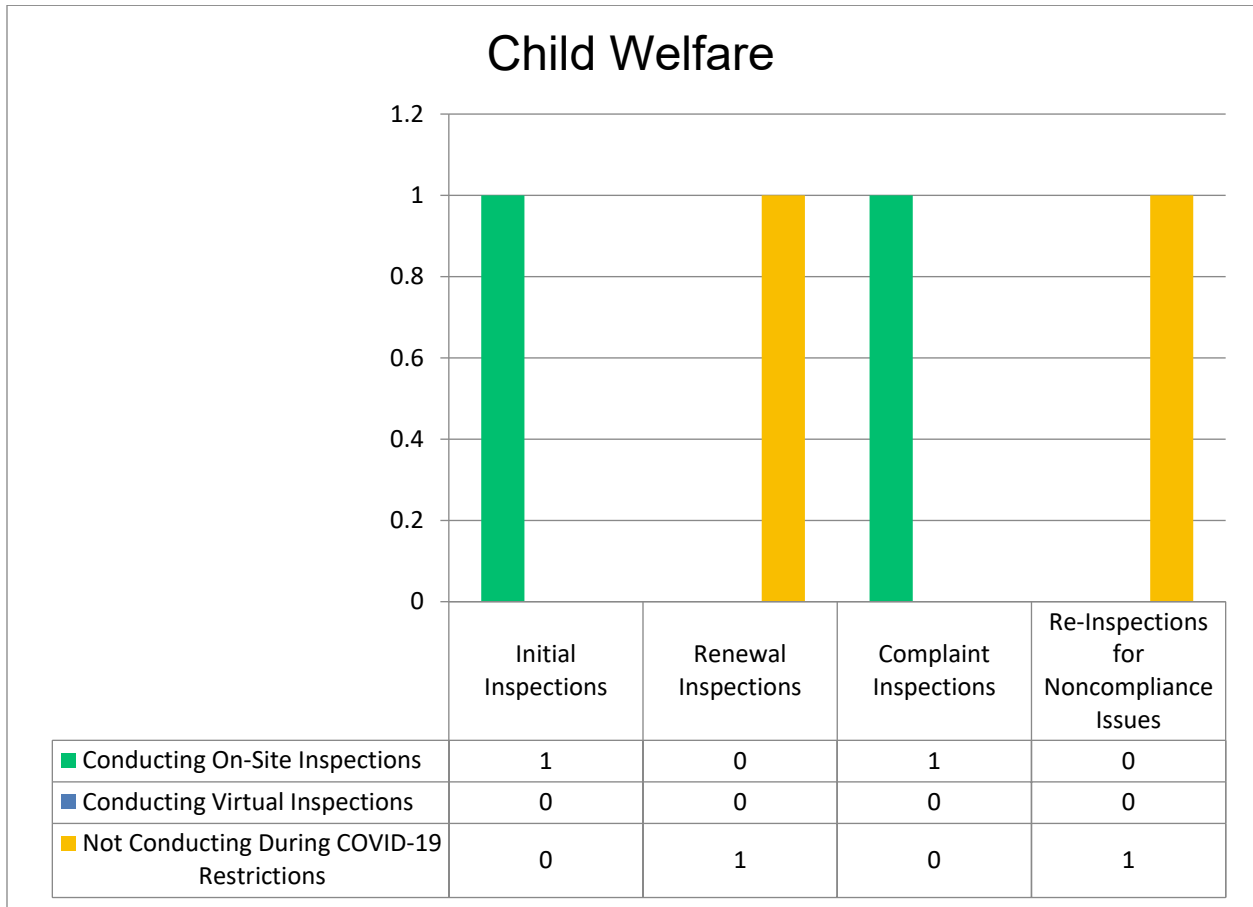
- Colorado:** We are conducting on-site inspections for high severity complaints and injuries. We are also conducting on-site original inspections for emergency child care facilities (where we need supply to meet the demand for essential workers), visits to programs with adverse licensing actions, visits after abuse and neglect investigations.
- Delaware:** Only complaint investigations involving the health and safety of children are being investigated with an on site visit.
- Maine:** We are doing renewal inspections on a case by case basis. If the complaint alleges abuse or neglect we are doing those onsite. Initial inspections at this time are generally for Emergency Temporary Licenses.
- Nevada:** Complaint investigations along with non compliance were vetted and filtered for severity and risk to determine if inspections could be postponed

NARA Survey #2 COVID Impact on Licensing

- **New York:** If a serious safety issue onsite is performed. If can be verified virtually being completed in this manner.
- **North Carolina:** Conducting inspections for complaints received regarding licensing concerns and child maltreatment
- **Oklahoma:** Only visiting Risk Level 1 complaints. We do not do renewals.
- **Utah:** information.Only virtual follow-up inspections for issues that can be completed electronically.

NARA Survey #2 COVID Impact on Licensing

Child Welfare



Conducting On-Site Inspections

Initial Inspections	Renewal Inspections	Compliant Inspections	Re-Inspections for Noncompliance Issues
1. Ohio		1. Ohio	

Conducting Virtual Inspections

Initial Inspections	Renewal Inspections	Compliant Inspections	Re-Inspections for Noncompliance Issues

Not Conducting During COVID-19 Restrictions

Initial Inspections	Renewal Inspections	Compliant Inspections	Re-Inspections for Noncompliance Issues
	1. Ohio		1. Ohio

NARA Survey #2 COVID Impact on Licensing

What % of child care programs remained opened?

% of Child Care Programs Remaining Opened	Family Child Care Home <i>(1 adult caring for children in their own home)</i>		Large Family Child Care Home <i>(2+ adults caring for children in the operator's private residence)</i>		Child Care Facility <i>(caring for children for fewer than 24 hours per day per child in a nonresidential setting)</i>	
	Mar-20	Apr-20	Mar-20	Apr-20	Mar-20	Apr-20
100%	0.00% 0	0.00% 0	0.00% 0	0.00% 0	0.00% 0	0.00% 0
95%	11.11% 1	10.00% 1	28.57% 2	12.50% 1	0.00% 0	0.00% 0
90%	22.22% 2	10.00% 1	14.29% 1	25.00% 2	0.00% 0	0.00% 0
85%	11.11% 1	20.00% 2	14.29% 1	0.00% 0	0.00% 0	0.00% 0
80%	11.11% 1	0.00% 0	0.00% 0	0.00% 0	0.00% 0	0.00% 0
75%	0.00% 0	20.00% 2	0.00% 0	25.00% 2	11.11% 1	20.00% 2
70%	22.22% 2	10.00% 1	28.57% 2	12.50% 1	33.33% 3	20.00% 2
65%	0.00% 0	10.00% 1	0.00% 0	12.50% 1	11.11% 1	0.00% 0
60%	0.00% 0	0.00% 0	0.00% 0	0.00% 0	0.00% 0	10.00% 1
55%	11.11% 1	0.00% 0	0.00% 0	0.00% 0	0.00% 0	0.00% 0
50%	0.00% 0	0.00% 0	0.00% 0	0.00% 0	33.33% 3	10.00% 1
45%	0.00% 0	10.00% 1	0.00% 0	0.00% 0	0.00% 0	20.00% 2
40%	0.00% 0	0.00% 0	0.00% 0	0.00% 0	0.00% 0	10.00% 1

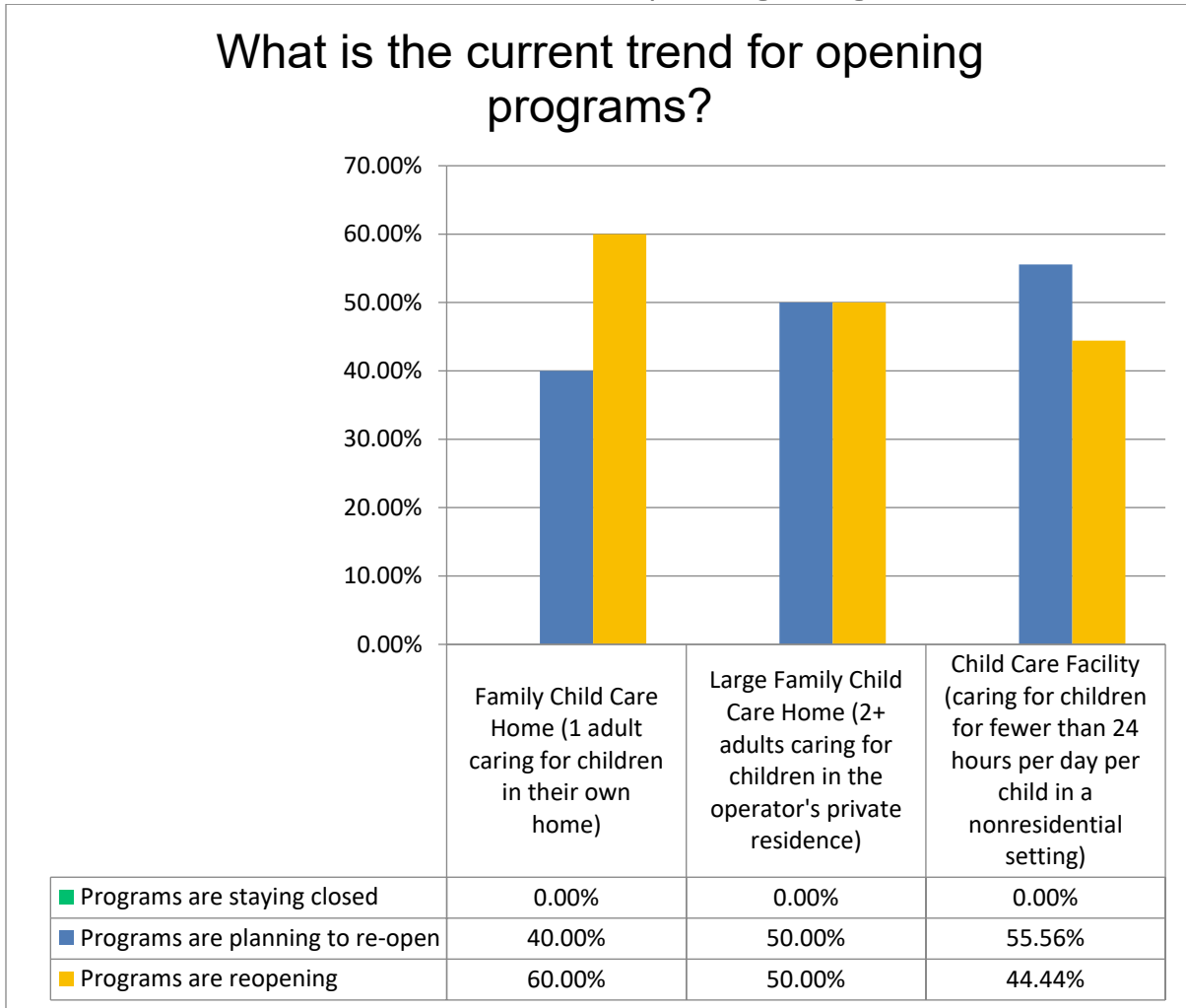
NARA Survey #2 COVID Impact on Licensing

If programs closed voluntarily, what status did you put them in?

1	Closed
1	COVID19 Temporary/Voluntary closure
2	Inactive
4	Temporary Closure
1	Temporary COVID-19 related
1	We didn't change their status
1	We made no change to our website or to our documentation. We have a listing of those who have remained open as emergency child care sites.

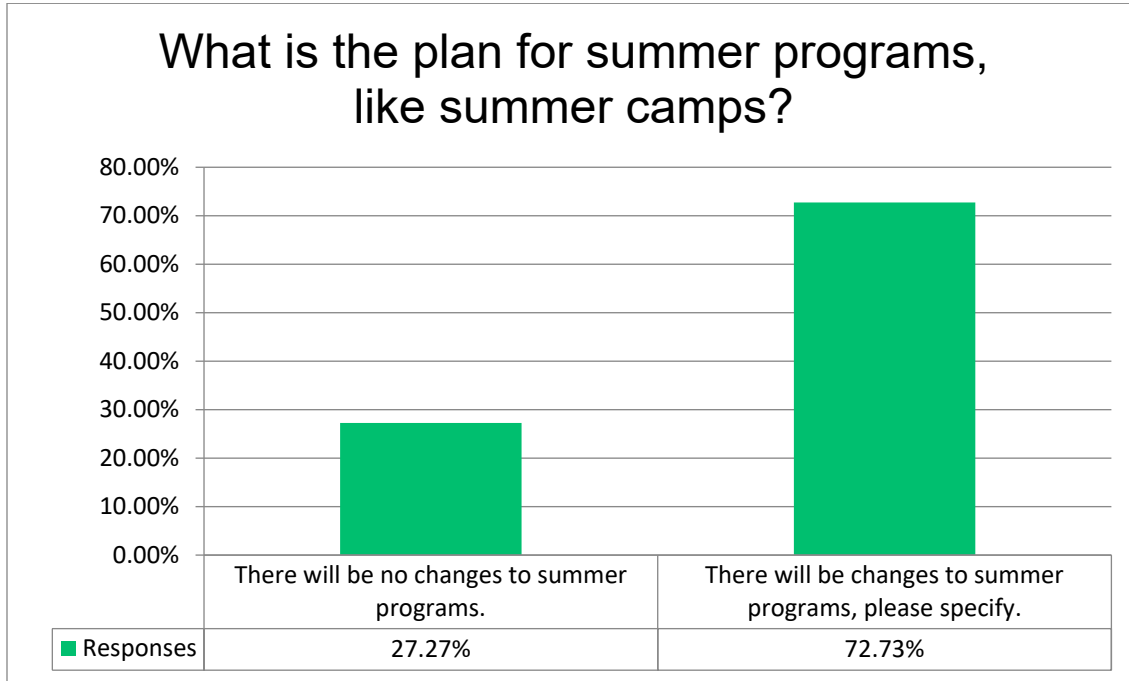
Current Trend for Opening Programs

What is the current trend for opening programs?



NARA Survey #2 COVID Impact on Licensing

Child Care Summer Camps

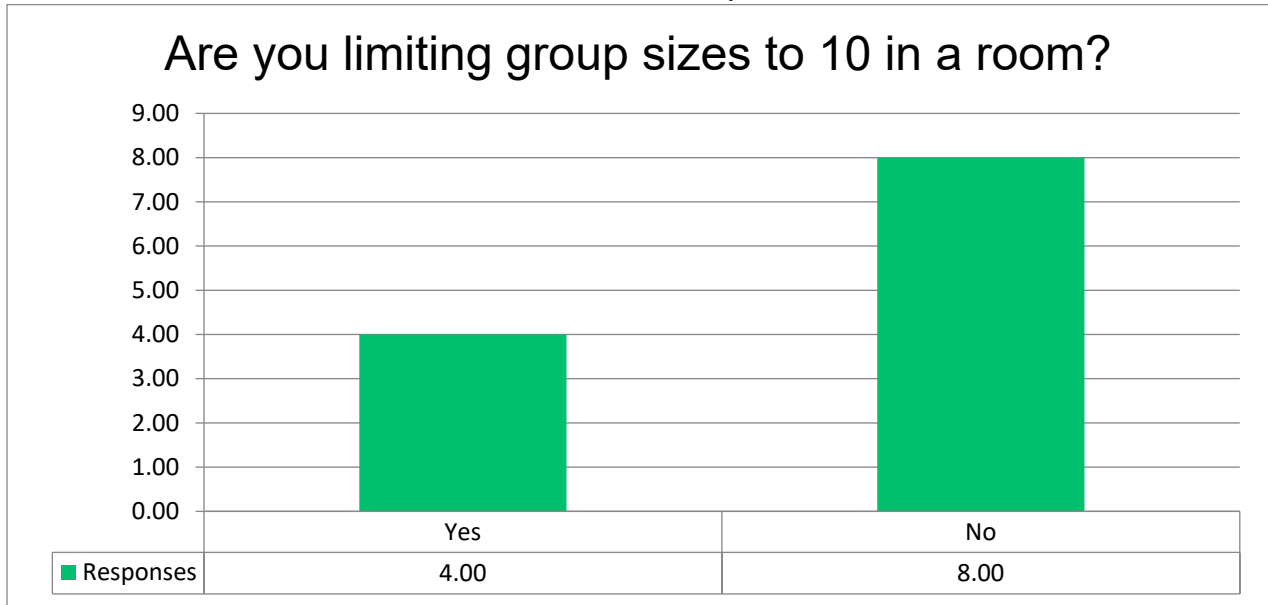


If there will be changes, please specify:

- Still working on operating protocols.
- Youth camps will not be permitted. Outside water play prohibited; sanitation requirements; social distancing required; cloth face coverings required for adults.
- We anticipate that some summer programs may not operate, and there will be an increased need for child care.
- Child care facilities can still operate summer programs if they choose to do so, as long as, all safety measures/precautions are adhered to.
- Summer programs must comply with the Governor's new order regarding capacity limitations and reduced ratio and group sizes.
- Ratio changes , health checks and staff PPE among planned
- Guidance has been released for Summer Day Camps - <https://files.nc.gov/ncdhhs/documents/files/covid-19/NC-Interim-Guidance-for-Day-Camp-Settings.pdf>
- Numbers of children are still restricted. Groups of children during indoor activities are restricted to 20. When outdoors, those groups are restricted to 40, granted they keep the caregiver-to-child ratio and follow square footage capacity.

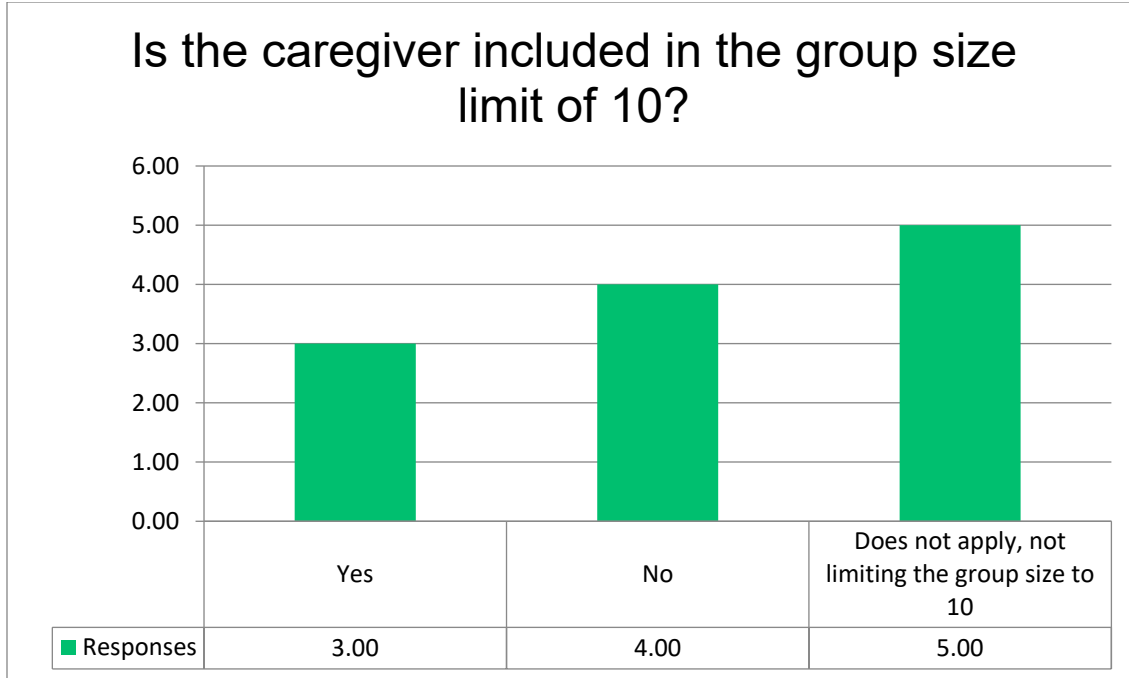
NARA Survey #2 COVID Impact on Licensing

Child Care Group Size



Yes	No
Delaware	Colorado
Florida	Maine
New Mexico	Nevada
New York	North Carolina
	Oklahoma
	Oklahoma
	Utah
	West Virginia

NARA Survey #2 COVID Impact on Licensing



Yes	No	Does not apply, not limiting the group size to 10
New Mexico New York Oklahoma	Colorado Delaware Florida Oklahoma	Maine Nevada North Carolina Utah West Virginia

NARA Survey #2 COVID Impact on Licensing

Additional Information about Group Size

- Group size restrictions may change as different phases of reopening the state are rolled out.
- Recommendations of group sizing by 10 are advised, however, regulations are built that will allow the promotion of social distancing. Facilities are advised to ensure social distancing whenever feasibly possible but recognize that it may be hard to accomplish with our young children. So, maintaining appropriate frequent practices of sanitation, disinfecting, laundering become essential in aiding the ability to try and reduce spread and/or exposure.
- We recommended group sizes.
- Only recommended.
- It was initially 10 including the caregiver, now we have moved to 20 when indoors including the caregiver, and 40 when outdoors not including the caregivers.

NARA Survey #2 COVID Impact on Licensing

How Do You Define a Room for Child Care?

- A classroom where children gather to perform daily curriculum activities.
- A room is an activity area/space for children's activities where related equipment and materials are accessible to children.
- By groups.
- Enclosed room
- Group of children
- In addition to previous definition in rule, for COVID-19 purposes children must stay in rooms separated by full, solid walls that are higher than six feet.
- Includes adults and clearly partitioned space if large room with multiple groups
- Larger spaces with half walls or dividers can count as two rooms provided the two groups can be kept 6 feet apart and items may not be passed back and forth.
- Room maybe the classroom or group of children "Group" means the children assigned to a specific caregiver or caregivers, to meet the staff/child ratios set forth in the child care requirements using space the Division has identified for each group.
- Room size is based on square foot. 35 square feet per child.
- This is a recommendation but not a requirement. A room is a separate enclosed space or separate space with dividers.
- We are limiting group sizes to 10 if they have a large room (gym, cafeteria) they can have more than one group as long as there is square footage for the physical distancing of 6ft.

NARA Survey #2 COVID Impact on Licensing

Changes to Rules and Regulations

Were there any changes to the rules and regulations related to *application*?

State	Area	Change	Implemented
Maine	Child Care	We have eased up on the requirements for Emergency Temporary Licenses that we implemented due to COVID -19 and the number of child care programs that closed.	March 2020 – May 2020
Minnesota	Adult Care	We are currently not accepting initial applications for home care providers.	April 2020 – TBD

Were there any changes to the rules and regulations related to *background screening*?

State	Area	Change	Implemented
Delaware	Child Care	Eligibility based on the background check is still required to work in child care. However, the results can be transferred from one site to another during this health crisis so that a person changing jobs or providing care at a different facility that needs additional staffing does not have to be re-printed. Usually, re-fingerprinting is required.	March 2020 – TBD
Florida	Adult Care	During a 30-day period of time we allowed Level 1 checks only.	March 2020 – April 2020
Maine	Child Care	In the case of emergency temporary licenses we are giving individuals a longer timeframe to complete background checks. Also, those who have a background check through Department of Education are being accepted.	March 2020 – May 2020
Minnesota	Adult Care	Background screening was modified at the time when finger printing locations were/are closed due to COVID outbreak.	April 2020 – TBD
Utah	Child Care	No charges, plus a name search instead of fingerprints for lack of fingerprinting places open.	March 2020 – June 2020

NARA Survey #2 COVID Impact on Licensing

Were there any changes to the rules and regulations related to *behavior and guidance*?

State	Area	Change	Implemented
Minnesota	Adult Care	Congregate dining and activities have been modified.	March 2020 – TBD

Were there any changes to the rules and regulations related to the *environment*?

State	Area	Change	Implemented
Nevada	Adult Care	No changes were made to regulations; however, rules related to infection control measures were implemented.	March 2020 – July 2020
Utah	Child Care	More intensive and frequent cleaning and sanitizing practices.	March 2020 – TBD

Were there any changes to the rules and regulations related to *family engagement*?

State	Area	Change	Implemented
Delaware	Child Care	Families had to drop children off at the facility entrance and not enter the home or center. Same applied during pick up at the end of the day. Families had to verify that the child was free of fever and other symptoms.	April 2020 – TBD
Nevada	Adult Care	Visitation was restricted to medical personnel and family for end of life visitation.	March 2020 – July 2020
New Mexico	Child Care	Open door policy rules have been waived. Programs must limit the amount of visitors in their facility. They may limit parents from entering their program for pick up and drop off.	March 2020 – TBD
Utah	Child Care	Parents were not allowed in the facility.	March 2020 – June 2020
Vermont	Adult Care	Following the CMS guidance regarding virtual visits rather than in person visits in nursing homes	March 2020 – TBD
Wisconsin	Adult Care	Visitors are not allowed within the facility. Instead providers were	March 2020 - TBD

NARA Survey #2 COVID Impact on Licensing

State	Area	Change	Implemented
		encouraged to utilize technology to engage the resident and the family.	

Were there any changes to the rules and regulations related to *food preparation and service*?

State	Area	Change	Implemented
Delaware	Child Care	Food must be plated for children by an adult. Access to food preparation areas restricted to only those needing to be there.	April 2020 – TBD
Minnesota	Adult Care	Implemented per CDC guidance.	March 2020 – TBD
Nevada	Adult Care	Social distancing measures implemented in the dining room; many residents are served meals in their units.	March 2020 – July 2020
New Mexico	Child Care	Family Style Dining has been waived.	March 2020 - TBD

Were there any changes to the rules and regulations related to *health*?

State	Area	Change	Implemented
Delaware	Child Care	Health screening of children and staff required before being admitted to the facility or accepted for care. Increased sanitation requirements Play-doh, clay, and communal water play prohibited. Increase hand washing required.. Use of soft toys that cannot be readily cleaned prohibited.	April 2020 - TBD
Florida	Adult Care	We allowed only for a period of time online/skype medication training	March 2020 – April 2020
Minnesota	Adult Care	Implemented per CDC guidance.	March 2020 - TBD
Nevada	Adult Care	Extensions for CPR/first aid were provided; and infections control practices were implemented	March 2020 – July 2020
New Mexico	Child Care	Allowing providers to take online first aide / CPR course	March 2020 – TBD
New York	Child Care	Increased sanitation process. temp screening and screening questions at arrival related to COVID 19.	March 2020 - TBD

NARA Survey #2 COVID Impact on Licensing

State	Area	Change	Implemented
		Parent drop off and pick up scheduled and 1 at a time.	
North Carolina	Child Care	https://ncchildcare.ncdhhs.gov/Portals/0/documents/pdf/F/Flexibility_in_Policy_and_Regulatory_Requirements_Announced.pdf?ver=2020-03-18-165010-367	March 2020 – June 2020
Oklahoma	Child Care	Online cpr/first aid.	March 2020 – July 2020
Utah	Child Care	Additonal COVID-19 restrictions.	March 2020 – TBD
Vermont	Adult Care	Following CMS guidance regarding infection control during COVID-19	March 2020 - TBD

Were there any changes to the rules and regulations related to *personnel training*?

State	Area	Change	Implemented
Delaware	Child Care	Typically, staff professional development is tied to the facility's licensing year. This was expanded to either provide more time to reach needed PD hours or could be applied to next year's PD requirement.	March 2020 – TBD
Florida	Child Care	Extended introductory training completion timeframes	July 2020 – December 2020
New Mexico	Child Care	Waived the limitation of the amount of online training hours an educator may take to meet training requirements.	March 2020 – TBD
New York	Child Care	Extensions given for first aid and cpr certification, Med administration training only related to in person skill. Authorizing virtual training in limited sessions	March 2020 – TBD
North Carolina	Child Care	https://ncchildcare.ncdhhs.gov/Portals/0/documents/pdf/F/Flexibility_in_Policy_and_Regulatory_Requirements_Announced.pdf?ver=2020-03-18-165010-367	March 2020 – June 2020
Oklahoma	Child Care	Accepting more online training.	March 2020 – TBD
Utah	Child Care	No face-to-face required training.	March 2020 – July 2020

NARA Survey #2 COVID Impact on Licensing

Were there any changes to the rules and regulations related to *ratio and group size*?

State	Area	Change	Implemented
Delaware	Child Care	Group size limited to a maximum of 10 children or less, depending on age group.	April 2020 - TBD
Maine	Child Care	We are allowing providers to go over ratio and capacity for children age 4 and up.	March 2020 – May 2020
Minnesota	Adult Care	Groups less than 10, social distancing of at least 6 feet	March 2020 – TBD
Nevada	Adult Care	social distancing practices implemented. No more than 10 persons to a group enforced.	March 2020 – July 2020
New Mexico	Child Care	Group sizes have been limited to 10 (e.g - 8 children and 2 staff)	March 2020 - TBD
New York	Child Care	yes. Group size limited to 10 and staff counted in group size	March 2020 - TBD
North Carolina	Child Care	https://ncchildcare.ncdhhs.gov/Portals/0/documents/pdf/F/Flexibility_in_Policy_and_Regulatory_Requirements_Announced.pdf?ver=2020-03-18-165010-367	March 2020 – May 2020
North Carolina	Adult Care	phase ins 10 people then 25 then more increasing later.	TBD
Utah	Child Care	All groups were restricted to 10 or less initially.	March 2020 – June 2020

Were there any changes to the rules and regulations related to *safety requirements*?

State	Area	Change	Implemented
Delaware	Child Care	Facility required to contact public health if a child or adult in the facility tested positive for COVID-19 and follow PH's directives.	April 2020 - TBD
Minnesota	Adult Care	Per CDC guidance	March 2020 - TBD
New York	Child Care	Staff working in child care to wear PPE. Bleach to water ratio strengthened to follow DOH guidance	March 2020 - TBD

NARA Survey #2 COVID Impact on Licensing

State	Area	Change	Implemented
Wisconsin	Adult Care	Providers must develop a plan to replace staffing. This includes contracting with temp agencies, volunteers, local hospital for furloughed employees, etc.	March 2020 - TBD

Were there any changes to the rules and regulations related to *supervision*?

State	Area	Change	Implemented
Delaware	Child Care	Eligible inters and aides are permitted to be alone with children.	April 2020 - TBD
Wisconsin	Adult Care	https://www.dhs.wisconsin.gov/dqa/memos/20-006.pdf	April 2020 - TBD

Were there any changes to the rules and regulations related to *transportation*?

State	Area	Change	Implemented
Delaware	Child Care	No field trips.	April 2020 - TBD
Minnesota	Adult Care	Limited field trips with groups of clients. Ensure source control masks are in use.	March 2020 - TBD
New Mexico	Child Care	Transporting of children is currently not allowed.	March 2020 - TBD
Utah	Child Care	Offsite activities were initially not allowed.	March 2020 – May 2020

Is there any other information you would like to share with NARA that would be helpful to other agencies?

- It is important to note that regulations are written with safety in mind in preventing illness and contamination through sanitation, laundering, disinfecting and did not require regulation change but required practice may have needed to be amped up to ensure procedures were being following diligently. Square footage per classroom allowed facilities to practice social distancing in their classrooms and that is what was advised.
- Survey posted on our website to follow staffing needs, child care slot openings, parent looking for childcare. CCR's contract for CARES funds dispersment
- While there were no changes to the rules and regulations governing the adult facility types licensed in the state of Tennessee, the Board for Licensing Health Care Facilities which promulgates these regulations offered a Board Policy to address COVID-19 as well as interpretative guidance for several rules found in the nursing home, hospital, assisted care living facility, and home health agency regulations.