

A young child with curly hair is sitting on a colorful play structure, looking upwards and to the left. The child is wearing a green polo shirt. The play structure has blue and purple sections. The background is a light blue wall.

The
**50-State Child Care
Licensing Study**

2011 – 2013

Edition

nara

The National Association for Regulatory Administration

Prepared for **NARA** by **Innovative Mayhem Consulting**

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Introduction

State child care licensing regulations and monitoring and enforcement policies help provide a baseline of protection for the health and safety of children in out-of-home care. Effective, robust licensing prevents harm to children. It mitigates the risk of injury or death from fire, building hazards, disease, and inadequate staff oversight, and helps to prevent the developmental delays that can result from the lack of healthy relationships with adults or developmentally inappropriate activities.

Licensing is a process that establishes the minimum requirements necessary to protect the health and safety of children in out-of-home-care; it is illegal for facilities that do not meet or exceed these minimum standards to operate. States manage the licensing process through the application and enforcement of regulations.

The protections offered by well-enforced, effective regulations are critical and broad in scope. There were over 20 million children under age five in the United States on any given day in 2011; 17% of these children attended a formal out-of-home child care setting while their parents worked¹. Millions of children and their families relied on state licensing agencies to monitor and enforce regulatory requirements in these settings.

The National Association for Regulatory Administration (NARA) is an international professional organization dedicated to promoting excellence in human care regulation and licensing through leadership, education, collaboration, and services. NARA represents all human care licensing, including child care, older adult care, child welfare, and program licensing for services related to mental illness, developmental disabilities and abuse of drugs or alcohol. NARA's researchers have been studying child care in the United States for over thirty-five years. NARA seeks to improve the overall quality of out-of-home child care by measuring the effectiveness of licensing policies and procedures and determining which regulations are best at protecting children from harm.

Since 2005, NARA has partnered with the Office of Child Care's National Center on Child Care Quality Improvement (NCCCQI) to produce the Child Care Licensing Study. Between 2011 and 2013, NARA and NCCCQI pursued unilateral analyses of survey and regulatory data for operational reasons. NARA and NCCCQI intend to revitalize their partnership for future studies and other endeavors. NCCCQI has produced three extremely useful and enlightening research briefs relating to trends in child care licensing; these briefs are available at [Appendix A](#) of this study.

¹ U.S. Census Bureau, August 2011

Source Data

The data used for this study was obtained from three sources:

The NARA Child Care Licensing Survey

In 2011, NARA sent a survey to all state child care licensing agencies via an online survey tool. The survey included 91 questions relating to regulatory implementation, operational procedures, and the role of technology in the licensing process. Fifty states and the District of Columbia responded to the survey.

Analysis of Online Data Maintained by the National Resource Center for Health and Safety in Child Care and Early Education

The National Resource Center for Health and Safety in Child Care and Early Education maintains data about regulatory requirements online at <http://nrckids.org/STATES/states.htm>. The data for each state was analyzed to obtain preliminary information about updates to the regulations since 2008, the last licensing study published by NARA.

State-by-State Contacts

In order to verify the information on the National Resource Center for Health and Safety in Child Care and Early Education's website, telephone contacts were made with each state to obtain information about regulatory updates between 2009 and 2013.

Study Design

The study consists of four sections, each of which is composed of data extracted from responses to the survey and data tables that compare regulatory requirements across the states. The four sections include:

General Licensing Procedures - This section addresses how licensing operations are conducted in each state.

Family Child Day Care Homes - This section addresses licensing practices, regulatory requirements, and operations in Family Child Day Care Homes.

Group Day Care Homes - This section addresses licensing practices, regulatory requirements, and operations in Group Day Care Homes.

Child Day Care Centers - This section addresses licensing practices, regulatory requirements, and operations in Child Day Care Centers.

Each of the survey questions is repeated verbatim and includes a simple analysis of the responses to each question. Most of the survey questions and information about regulatory requirements are presented on a shaded map and also in a data table. The tables are designed to easily identify a particular state and to see responses in aggregate. Regulatory requirements have been generalized to permit state-by-state comparisons, and are presented in a similar format.

Terminology

The regulatory and technical terminology used in child care licensing differs from state to state. When the terms are the same, their specific meanings are usually different in some degree. In order to compare licensing processes across states, it is necessary to establish a shared lexicon of licensing terms. The terms used in this report will have the meanings shown below unless otherwise specified. The reader should refer to specific regulatory chapters for the precise meaning of any term in a particular state.

Abbreviated Compliance Form: See “Weighted Risk.”

Background Check: The act of searching for a history of criminal charges against individuals working in child care facilities.

Child Care Setting: A place where child care services are provided other than the child’s place of residence. The term includes small family child care homes, large/group child care homes, and child care centers.

Child Care Center: A nonresidential facility that provides child care services for fewer than 24 hours per day per child, unless care in excess of 24 hours is due to the nature of the parents’ work.

Complaint: An allegation of regulatory noncompliance made by someone other than a child care provider.

Conditional License: See “Provisional License.”

Criminal History Record Check: A compilation of an individual’s identification, arrest, conviction, incarceration, legal status, sex offender registration, warrant information, and other relevant criminal history. In the United States, these compilations are maintained and updated on the local, state, and Federal levels by various law enforcement agencies.

Differential Monitoring: An algorithmic combination of targeted measurement tools that determine the number and scope of inspections that should be conducted at a licensed setting.

Emergency Closure: The immediate removal of children from a child care setting due to conditions that pose an immediate threat to health and safety.

Environmental Health Inspection: An inspection of licensed settings conducted by the health department or similar entity for compliance with the state and municipal environmental health codes and laws.

Fire-Safety Inspection: An inspection conducted by a fire marshal, code enforcement officer, or other fire-safety expert to determine whether a licensed setting is compliant with state and municipal fire-safety requirements.

Full Inspection: An inspection where all possible areas of regulatory compliance are measured.

Indicator Inspection: An inspection where a subset of licensing regulations that statistically predict compliance with the entire set of regulations is measured.

Initial Inspection: An inspection and licensing process completed for the purposes of deciding whether or not to issue a license to an applicant who is not currently licensed.

Inspection: The process by which the licensing agency measures compliance with regulations in a child care setting. An *Announced Inspection* is one where the child care setting is notified of the date and time of the inspection in advance of the inspection. An *Unannounced Inspection* is one where the child care setting is not notified in advance of the inspection.

Interim inspection: An inspection completed for purposes unrelated to initial licensure or license renewal. Interim inspections may be conducted in response to complaints, to monitor ongoing compliance, or similar reasons

Licensing Agency: The government entity responsible for the regulation and licensing of child care facilities.

Large/Group Family Child Care Home (“Large Home” or “Group Home”): A child care setting located in the licensee’s residence that generally includes one provider, an assistant, and a number of children greater than the number permitted in a small home but lesser than the number permitted in a child care center.

License: Permission from a licensing agency to operate a child care setting. Licenses are earned by meeting specific regulatory requirements. The licensing process is the means by which a license is issued. Some states call their licensing processes “certifications” or “registrations.” In this study, the terms “license” or “licensing” are used to represent all regulatory processes.

Licensed Capacity: The maximum number of children a child care setting is permitted to serve.

Line Staff: An employee of the licensing agency who conducts inspections.

Provisional License: A “warning” license issued when complete compliance with regulatory requirements is not achieved.

Regulations: A state’s official promulgated licensing requirements that are used to evaluate a child care setting’s operation. States may use the terms “rules” or “standards” to describe their requirements; the term “regulation” will be used throughout this study.

Renewal Inspection: An inspection and licensing process completed for the purposes of renewing an existing licensee’s license.

Small Family Child Care Home (“Small Home”): A child care setting located in the licensee’s residence that generally includes one provider and a small number of children.

Supervisor: An employee of the licensing agency who oversees the work of line staff.

Weighted Risk: A method of regulating where only the most “serious” regulations are measured, usually those that pose the greatest risk of harm to children. Inspection results are recorded on an abbreviated compliance form.

State Abbreviations

The following abbreviations are used in this study:

State	Abbreviation	State	Abbreviation
ALABAMA	AL	NEW HAMPSHIRE	NH
ALASKA	AK	NEW JERSEY	NJ
ARIZONA	AZ	MISSISSIPPI	MS
ARKANSAS	AR	MISSOURI	MO
CALIFORNIA	CA	MONTANA	MT
COLORADO	CO	NEBRASKA	NE
CONNECTICUT	CT	NEVADA	NV
DELAWARE	DE	NEW HAMPSHIRE	NH
DISTRICT OF COLUMBIA	DC	NEW JERSEY	NJ
FLORIDA	FL	NEW MEXICO	NM
GEORGIA	GA	NEW YORK	NY
HAWAII	HI	NORTH CAROLINA	NC
IDAHO	ID	NORTH DAKOTA	ND
ILLINOIS	IL	OHIO	OH
INDIANA	IN	OKLAHOMA	OK
IOWA	IA	OREGON	OR
KANSAS	KS	PENNSYLVANIA	PA
KENTUCKY	KY	RHODE ISLAND	RI
LOUISIANA	LA	SOUTH CAROLINA	SC
MAINE	ME	SOUTH DAKOTA	SD
MARYLAND	MD	TENNESSEE	TN
MASSACHUSETTS	MA	TEXAS	TX
MICHIGAN	MI	UTAH	UT
MINNESOTA	MN	VERMONT	VT
MISSISSIPPI	MS	VIRGINIA	VA
MISSOURI	MO	WASHINGTON	WA
MONTANA	MT	WEST VIRGINIA	WV
NEBRASKA	NE	WISCONSIN	WI
NEVADA	NV	WYOMING	WY

Survey Questions by Subject

Subject Area	Question / Table	Page Number			
		Overview	Homes	Groups	Centers
Background Checks	Are child abuse and neglect registry checks required?	71	112	305	495
	Are criminal history record checks required?	71	107	300	490
	Are facility staff required to sign a statement about criminal status?	X	116	309	499
	Are fingerprint checks required?	71	109	302	492
	Are sex offender registry checks required?	72	114	307	497
	Does the background check system in your state notify your agency when an individual's criminal record has changed?	73	119	313	502
	Does the state pay the costs for individuals to obtain background checks to work in child care facilities?	76	X	X	X
	Does the state require background checks for child care center staff and family child care providers?	72	105	298	488
	How often are background checks conducted on staff in child care centers and family child care home providers?	72	118	312	X
	What is the state's policy on background checks if child care facility staff change jobs, move to another facility, or have a break in employment?	72	119	313	502
Complaint Investigation	Does the licensing agency investigate or make referrals when complaints are received on legally-exempt facilities?	34	X	X	X
	Does the state investigate licensing complaints filed anonymously?	X	97	290	480
	If the licensing agency conducts complaint investigations, what staff are used to conduct the investigations?	32	97	290	480
	Which state agencies investigate child abuse and neglect complaints against child care facilities?	32	X	X	X
Electronics	Does the state have a computerized database to store information about child care facilities?	26	X	X	X
	Is the licensing database linked to other state databases? If yes, which ones?	29	X	X	X

Subject Area	Question / Table	Page Number			
		Overview	Homes	Groups	Centers
	Is the state using, or considering using, any other tools/devices (such as laser measuring devices) for inspections and monitoring?	31	95	288	477
	Is your state using, or considering using, portable, hand-held devices such as "smart" phones, laptops, portable digital assistants, and/or computer tablets with specific software for capturing information during licensing inspections?	31	95	288	478
Fees	Are the revenues collected from licensing fees used to support the licensing agency?	45	99	292	482
	Does the state charge a fee for a license?	X	99	292	482
Indicators and Differential Monitoring	Does the state ever use an abbreviated compliance form during monitoring inspections of child care facilities?	20	90	282	473
	Does the state have a system of differential monitoring based on compliance records for each type of child care facility?	X	90	282	473
	Does the state have a system of weighted licensing requirements where rules are ranked for relative risk of harm?	X	90	282	473
	Does the state have policies on determining when to switch from an abbreviated compliance review to a full compliance review?	X	X	X	X
	How did the state choose which standards to include in the abbreviated review?	23	X	X	X
Inspector Training	Are child care licensing line staff required to complete additional training each year?	49	X	X	X
	Are child care licensing line staff required to have experience working in a setting with children, prior to working as a licensor?	49	X	X	X
	Does the licensing agency have policies/training for disaster and emergency planning for licensing staff?	59	X	X	X
	Has your state incorporated "inter-rater reliability" training or evaluation for licensing staff?	56	x	x	x

Subject Area	Question / Table	Page Number			
		Overview	Homes	Groups	Centers
	What are the minimum entry-level educational qualifications for child care licensing line staff?	46	x	x	x
	What sources of funding are used in your state to hire and support (including providing training) child care licensing staff?	54	x	x	x
	What topics must be covered in the training required each year?	51	x	x	x
Licensing Process	Are inspections to assess compliance conducted of child care programs for LICENSE RENEWAL? Are inspections conducted announced or unannounced?	19	x	x	x
	After initial licensure, how often are licenses renewed? Applies to certification or registration, if appropriate.	20	80	272	464
	Are inspections to assess compliance conducted of child care programs PRIOR TO ISSUING A LICENSE? Are the inspections conducted announced or unannounced?	18	77	269	461
	Are ROUTINE INSPECTIONS conducted on child care programs to review compliance with the regulations? Are inspections conducted announced or unannounced?	19	82	274	466
	Has your state developed interpretive guidelines?	56	x	x	x
	How is the subsidy agency notified of licensing enforcement actions taken against a facility?	38	x	x	x
	How often does the state conduct a FULL COMPLIANCE REVIEW of child care facilities?	20	88	280	472
	If a licensing enforcement action is taken against a facility, does that affect the provider's receipt of child care assistance/subsidy payment?	36	x	x	x
	Once a license is issued, how often are licensing inspections conducted for each facility type?	19	84	276	468

Subject Area	Question / Table	Page Number			
		Overview	Homes	Groups	Centers
	What is the state child care licensing agency's level of involvement in these initiatives to improve the quality of child care facilities?	64	x	x	x
Other	What sources of funding are used in your state to hire and support (including providing training) child care licensing staff?	54	x	x	x
Public Awareness	Are licensing inspection reports available on the Internet for parent and public access?	40	x	x	x
	If licensing inspection reports are available on the Internet, are complaints filed against facilities included?	42	x	x	x
	What methods does the licensing agency use to make the public aware of the role of licensing?	62	x	x	x
Regulations - Fire Safety	Are inspections for fire safety required for child care facilities?	70	101	294	484
Regulations - Physical Site	Are environmental health inspections required for child care facilities?	70	103	296	486
Staffing	How are the child care licensing line staff assigned to inspect child care facilities in your state? Check ALL that apply:	15	x	x	x
	What is the total number of child care licensing LINE STAFF conducting inspections in your state?	17	x	x	x
	What is the total number of child care licensing SUPERVISORS in your state?	18	x	x	x

Subject Area	Question / Table	Page Number			
		Overview	Homes	Groups	Centers
Technical Assistance	Do child care licensors provide technical assistance (TA), e.g., guidance, resources, training, and/or consultation to licensees?	X	93	285	476
	Do licensors ever refer licensees to other agencies, organizations, or people for TA and/or consultation?	X	93	285	476
	What methods does the licensing agency use to communicate with providers?	59	X	x	x
	When do licensors provide technical assistance (TA) and/or consultation?	25	93	285	476

Regulatory Requirements by Subject

Subject Area	Question / Table	Page Number		
		Homes	Groups	Centers
Activities and Education	Activity Requirements	190	382	580
	Developmental Domains Related to Required Activities	196	388	586
	Required Equipment and Materials	200	392	590
	Specific Activities Required to Address Developmental Domains	198	390	588
	Types of Required Activities	192	384	582
Child Health	Additional Health Requirements	180	372	570
	Administration of Medication to Children	242	434	631
	Caring for Children who are Mildly Ill	246	438	635
	Child Immunization Requirements	177	369	567
	Diapering Requirements	257	449	646
	Health Consultants and First Aid Kits	250	442	639
	Requirements for Medical Procedures	244	436	633
	Requirements for Washing Children’s Hands	255	447	644
	Requirements Regarding the Risk of SIDS	206	398	597
	Requirements Relating to Smoking	259	451	648
Discipline	Prohibited Forms of Discipline	186	378	576
	Regulations Pertaining to Discipline of Children	185	377	575
Emergency Preparedness	Emergency Preparedness Plans	230	422	619
	Monitoring Children’s Whereabouts	233	425	622
Fire Safety	Frequency of Fire Drills	226	419	616
	Requirements for Smoke Detectors, Fire Extinguishers, and General Emergency Plans	229	421	618
Incident Management	Incident Reporting Requirements	247	439	636
Licensing Requirements and Definition	Elements Included in Licensing Definitions	131	322	506
	Facilities that are Exempt from Licensure	X	x	512
	Maximum Capacity	125	320	X
	Minimum Age Requirements for Provider Roles	137	328	X
	States with Separate Regulations by Population Served	x	x	504
	Threshold for Licensure	123	317	x

		Page Number		
Subject Area	Question / Table	Homes	Groups	Centers
	Type of License Required	121	317	X
Nutrition	Child Nutrition Requirements	182	374	572
Parental Interaction	Communicating with Parents	203	395	593
Physical Site	Animals Kept in the Home	267	459	656
	Firearms	265	457	654
	Indoor / Outdoor Equipment Safety Requirements	219	411	610
	Indoor Equipment Safety Requirements	217	410	608
	Outdoor Fencing Height Requirements	221	413	612
	Requirements Relating to Exterior Conditions and Equipment	215	407	606
	Requirements Relating to Interior Conditions and Equipment	213	405	604
Space Requirements	Amount of Indoor Space Required per Child	209	400	599
	Amount of Outdoor Space Required per Child	211	402	601
Staff Health	Staff Health Requirements	143	335	527
	Staff Requirements for Hand Washing	252	444	641
Staff Requirements and Training	Health and Safety Training Requirements	149	342	533
	Ongoing Training Hours Requirement	141	333	525
	Orientation Training Requirements	146	339	530
	Pre-service Qualification Requirement	140	331	523
	References Required Prior to Hire	145	337	529
	Regulation of Staff Roles	134	326	518
	Requirements for a High School Diploma or GED	138	329	521
	States with Educational and Training Requirements	137	328	X
Supervision	Requirements for Children to Have Physical Examinations	175	367	565
	Requirements for the Supervision of Children	153	346	537
	Requirements for the Supervision of Children during Evening/Overnight Care	162	355	547
	Requirements for the Supervision of Children during Field Trips	173	365	562

		Page Number		
Subject Area	Question / Table	Homes	Groups	Centers
	Requirements for the Supervision of Children during Large Group Activities	X	x	553
	Requirements for the Supervision of Children during Naptime	159	352	542
	Requirements for the Supervision of Children during Naptime by Age of Child	X	X	545
	Requirements for the Supervision of Children during Outdoor Play	164	357	550
	Requirements for the Supervision of Children during Specific Times/Activities	156	349	539
	Requirements for the Supervision of Children during Water Activities	166	358	554
	Requirements for the Supervision of Children when Travelling in Vehicles	169	361	558
Transportation	Liability and Automobile Insurance Requirements	240	432	629
	Transportation Requirements	235	428	624
	Vehicle Safety Restraint Requirements	238	430	626

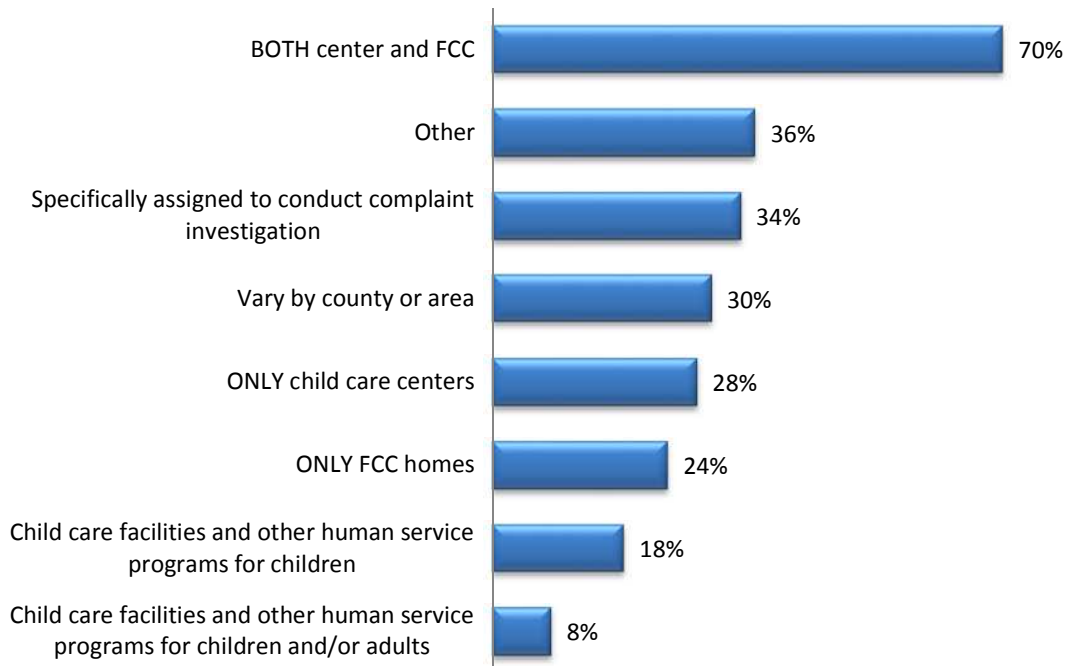
Section 1: General Licensing Procedures

Question: How are the child care licensing line staff assigned to inspect child care facilities in your state?

Analysis: In most states, inspectors inspect both family child care homes and child care centers.

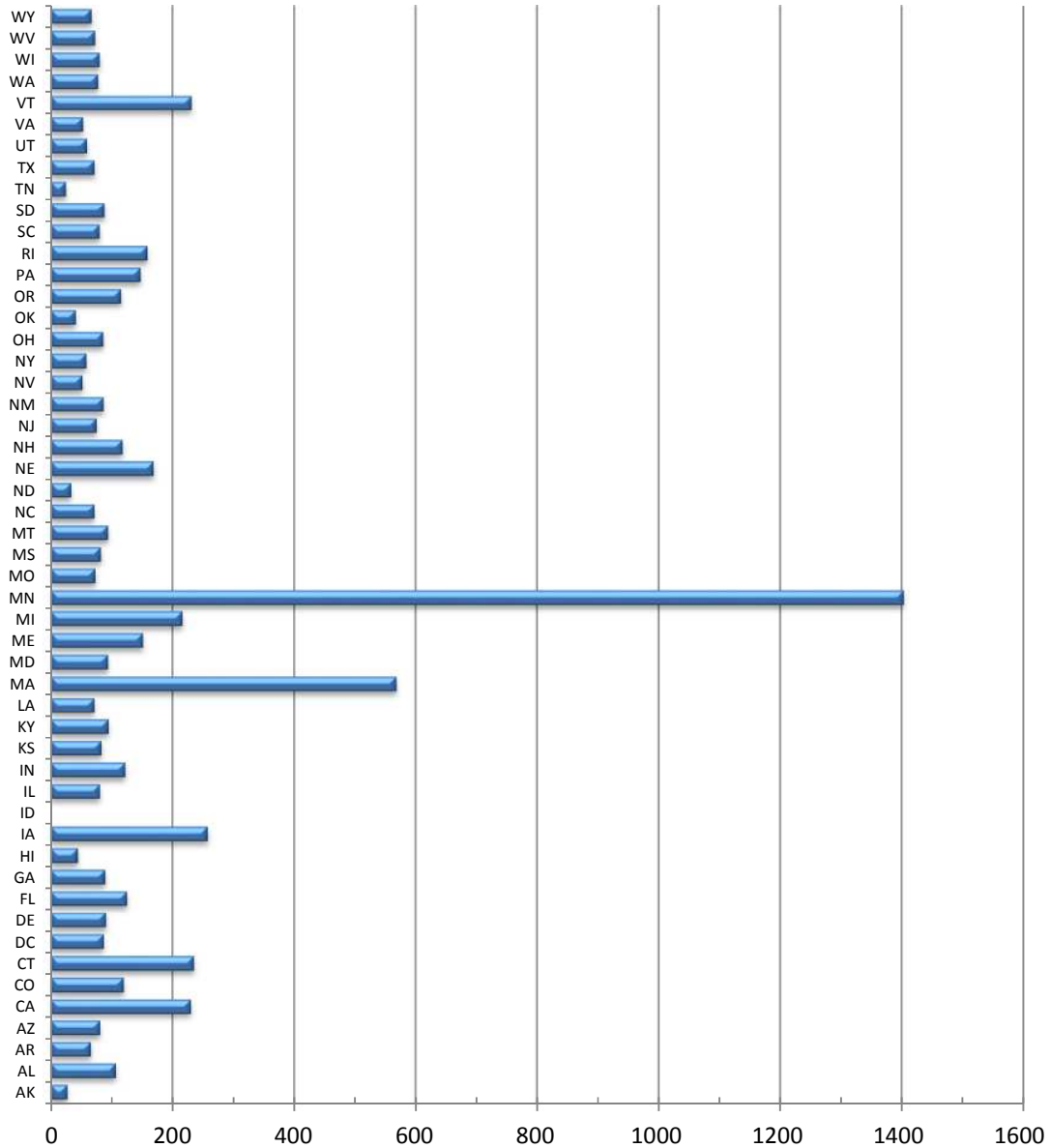
State:	Line staff are assigned to inspect ONLY child care centers.	Line staff are assigned to inspect ONLY FCC homes.	Line staff are assigned to inspect BOTH center and FCC homes.	Line staff are assigned to inspect child care facilities and other human service programs for children.	Line staff are assigned to inspect child care facilities and other human service programs for children and/or adults.	Line staff assignments vary by county or area of the state.
Total:	14	12	35	9	4	15
AK			•	•		•
AL	•	•				•
AR			•			
AZ			•			
CA			•			•
CO						
CT	•	•				
DC			•			
DE			•	•		
FL	•	•	•			•
GA			•			
HI			•			
IA	•	•		•		•
ID						
IL	•	•	•			•
IN	•	•				
KS			•	•		
KY			•			•
LA	•					
MA	•	•				
MD			•			
ME			•			
MI			•			
MN					•	•
MO			•			
MS			•			
MT			•			•
NC			•			•
ND			•	•	•	
NE			•			
NH				•		
NJ	•	•				
NM			•			
NV				•	•	

State:	Line staff are assigned to inspect ONLY child care centers.	Line staff are assigned to inspect ONLY FCC homes.	Line staff are assigned to inspect BOTH center and FCC homes.	Line staff are assigned to inspect child care facilities and other human service programs for children.	Line staff are assigned to inspect child care facilities and other human service programs for children and/or adults.	Line staff assignments vary by county or area of the state.
NY			•			•
OH	•					
OK			•			
OR	•	•	•	•		
PA			•			
RI			•			
SC						
SD			•			
TN			•		•	•
TX			•			•
UT	•	•				
VA			•			
VT			•			•
WA	•	•	•			•
WI			•			
WV	•	•		•		
WY			•			



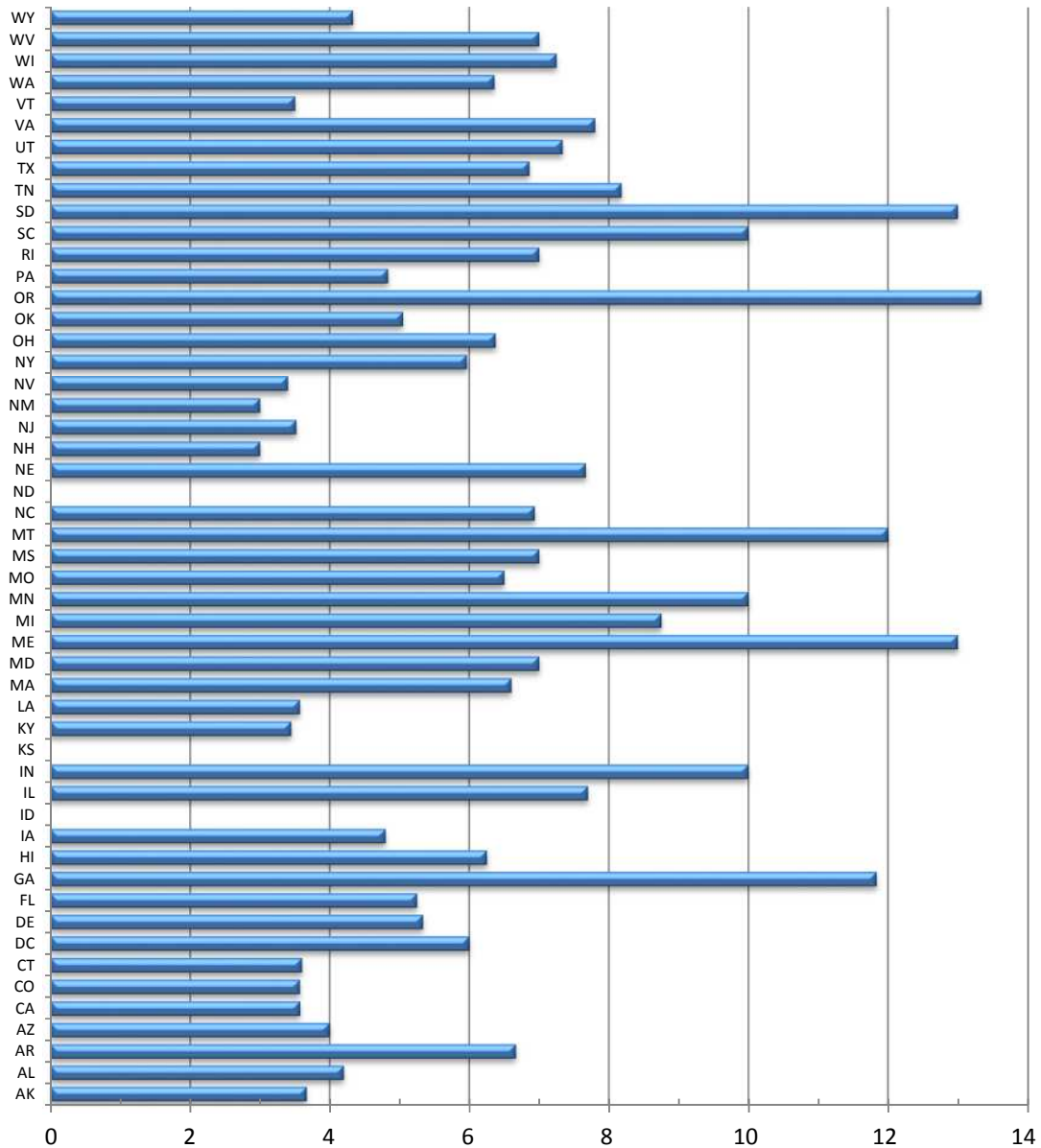
Question: What is the total number of child care licensing LINE STAFF conducting inspections in your state?

Analysis: In order to control for the different sizes of states, the ratio of facilities to line staff was calculated. On average, there are 136 facilities for every line staff person. Because the data is somewhat skewed, the median number of facilities for every line staff person was also calculated. The median was found to be 86 facilities for each line staff person.



Question: What is the total number of child care licensing SUPERVISORS in your state?

Analysis: In order to control for the different sizes of workforces, the ratio of line staff to supervisors was calculated. On average, a supervisor has a staff of seven persons.



Question: Are inspections to assess compliance conducted of child care programs PRIOR TO ISSUING A LICENSE? Are the inspections conducted announced or unannounced?

Analysis: Announced inspections are almost always required before a license is issued to a child care center. Announced inspections are usually required before a license is used to a family child care center.

	Child Care Center	Small FCC Homes	Large/Group FCC Homes
Inspections conducted prior to issuing a license	50	36	39
Inspections are announced	41	31	32
Inspections are unannounced	16	16	14

N=50

Question: Are inspections to assess compliance conducted of child care programs for LICENSE RENEWAL? Are inspections conducted announced or unannounced?

Analysis: Licenses for centers usually require an unannounced renewal inspection. About half of the responding states reported that inspections are required to renew homes' licenses.

	Child Care Center	Small FCC Homes	Large/Group FCC Homes
Licenses are renewed	40	30	30
Inspections for license renewal are conducted	37	26	31
Inspections are announced	17	14	16
Inspections are unannounced	28	20	19

N=42

Question: Are ROUTINE INSPECTIONS conducted on child care programs to review compliance with the regulations? Are inspections conducted announced or unannounced?

Analysis: Unannounced routine inspections are common in both centers and homes.

	Child Care Center	Small FCC Homes	Large/Group FCC Homes
Routine inspections for compliance are conducted	49	40	38
Inspections are announced	10	12	11
Inspections are unannounced	49	39	38

N=49

Question: Once a license is issued, how often are licensing inspections conducted for each facility type?

Analysis: Both centers and homes are usually inspected 1-2 times per year.

	Child Care Center	Small FCC Homes	Large/Group FCC Homes
More than three times a year	5	4	4
Three times a year	3	2	2
Twice a year	14	9	13
Once a year	25	15	17
Once every two years	2	6	2
Once every three years	0	1	0
Less than once every three years	1	1	1
Not inspected on a regular basis	0	3	1
Other frequency	7	4	5

N=50

Question: After initial licensure, how often are licenses or certificates renewed?

Analysis: Most licenses and certificates expire every 1-2 years.

	Child Care Center	Small FCC Homes	Large/Group FCC Homes
Once a year	20	14	16
Once every two years	12	12	10
Once every three years	4	5	4
Non-expiring license	9	8	6
Other frequency	5	4	5

N=49

Question: How often does the state conduct a FULL COMPLIANCE REVIEW of child care facilities?

Analysis: Full compliance reviews are usually conducted every 1-2 years, likely as part of the license renewal process.

	Child Care Center	Small FCC Homes	Large/Group FCC Homes
More than three times a year	0	0	0
Three times a year	2	1	1
Twice a year	7	5	7
Once a year	23	15	19
Once every two years	12	10	6
Once every three years	2	4	2
Less than once every three years	1	1	1
Other frequency	7	9	7

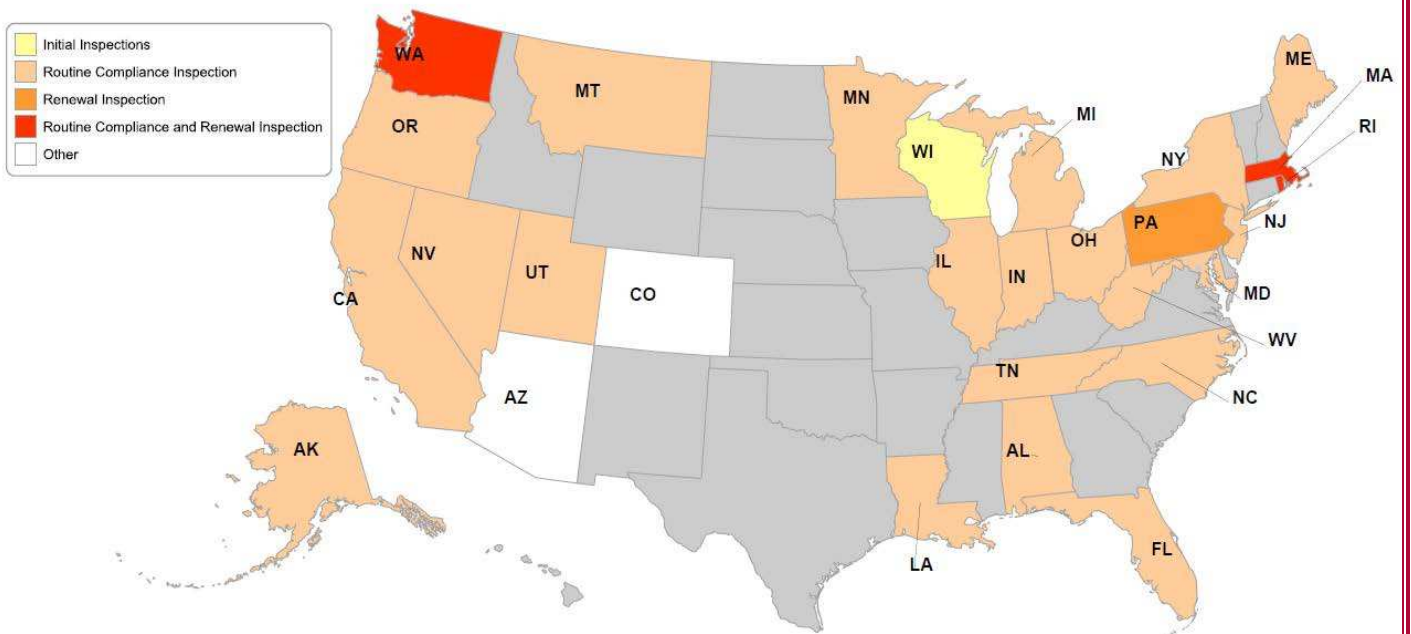
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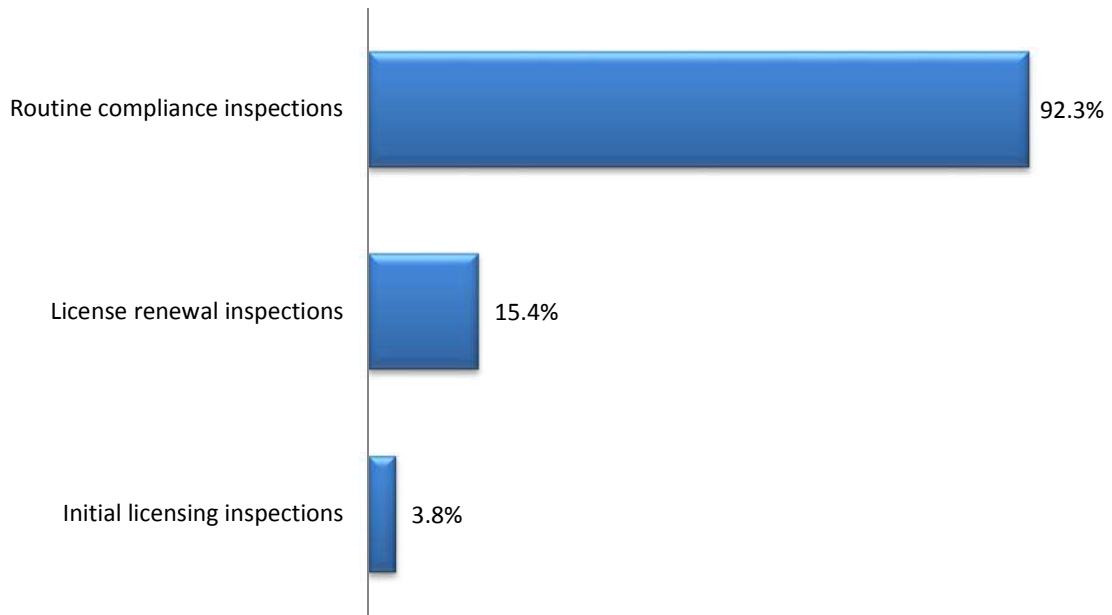
Question: Does the state ever use an abbreviated compliance form during monitoring inspections of child care facilities?

Analysis: About half of the responding states use abbreviated compliance forms, usually during routine compliance inspections.

State:	Yes	No	Initial licensing inspections	Routine compliance inspections	License renewal inspections
Total:	28	21	1	24	4
AK	•			•	
AL	•			•	
AR		•			
AZ	•				
CA	•			•	
CO	•				
CT		•			
DC		•			
DE		•			
FL	•			•	
GA		•			
HI		•			
IA		•			
ID					
IL	•			•	
IN	•			•	
KS		•			
KY					
LA	•			•	
MA	•			•	•
MD	•			•	
ME	•			•	
MI	•			•	
MN	•			•	
MO		•			
MS		•			
MT	•			•	
NC	•			•	
ND		•			
NE		•			
NH		•			
NJ	•			•	
NM		•			
NV	•			•	
NY	•			•	
OH	•			•	
OK		•			
OR	•			•	
PA	•				•
RI	•			•	•

State:	Yes	No	Initial licensing inspections	Routine compliance inspections	License renewal inspections
SC		•			
SD		•			
TN	•			•	
TX		•			
UT	•			•	
VA		•			
VT		•			
WA	•			•	•
WI	•		•		
WV	•			•	
WY		•			





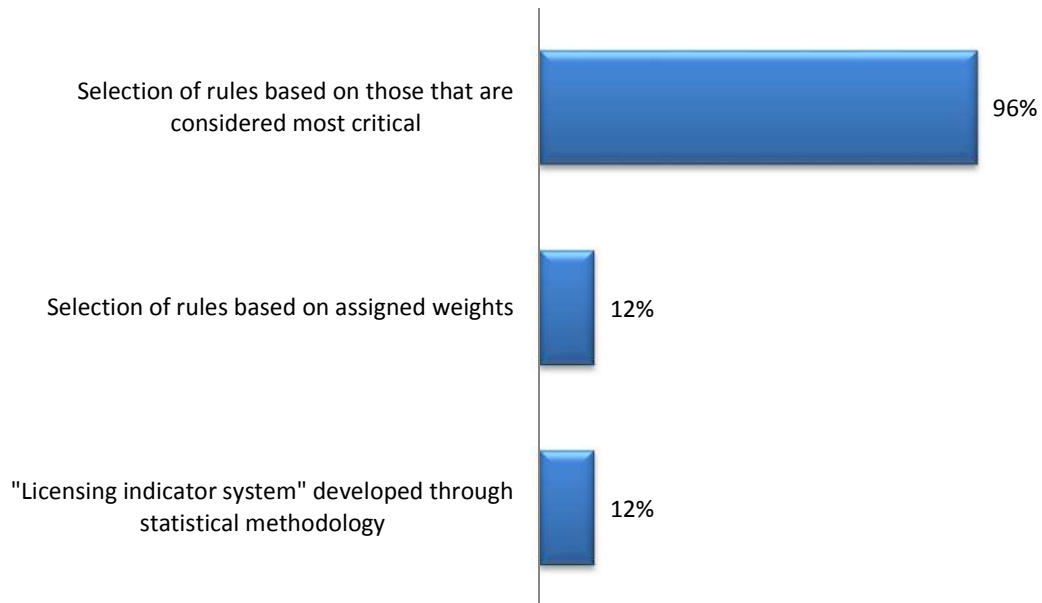
N=26

Question: How did the state choose which standards to include in the abbreviated review?

Analysis: States that use abbreviated review systems almost always selected the most critical rules to review.

State:	"Licensing indicator system" developed through a statistical methodology	Selection of rules based on those considered most critical	Selection of rules based on assigned weights
Total:	3	24	3
AK			
AL		•	
AR			
AZ		•	
CA	•	•	•
CO			
CT			
DC			
DE			
FL		•	•
GA			
HI			
IA			
ID			
IL		•	
IN		•	
KS			
KY			
LA			
MA		•	
MD		•	

State:	"Licensing indicator system" developed through a statistical methodology	Selection of rules based on those considered most critical	Selection of rules based on assigned weights
ME		•	
MI		•	
MN		•	
MO			
MS			
MT		•	
NC		•	•
ND			
NE			
NH			
NJ		•	
NM			
NV		•	
NY		•	
OH		•	
OK			
OR		•	
PA	•	•	
RI		•	
SC			
SD			
TN		•	
TX			
UT		•	
VA			
VT			
WA	•		
WI		•	
WV		•	
WY			



N=25

Question: When do licensors provide technical assistance (TA) and/or consultation?

Analysis: Technical assistance is provided through a variety of means for both homes and centers.

	Child Care Center	Small FCC Homes	Large/Group FCC Homes
During application inspection visits	49	39	39
During routine inspection visits	48	41	39
During renewal inspection visits	39	30	33
On the telephone	49	42	40
As needed by licensee	48	41	39
Other	18	15	17

N=49

Question: Does the state have a computerized database to store information about child care facilities?

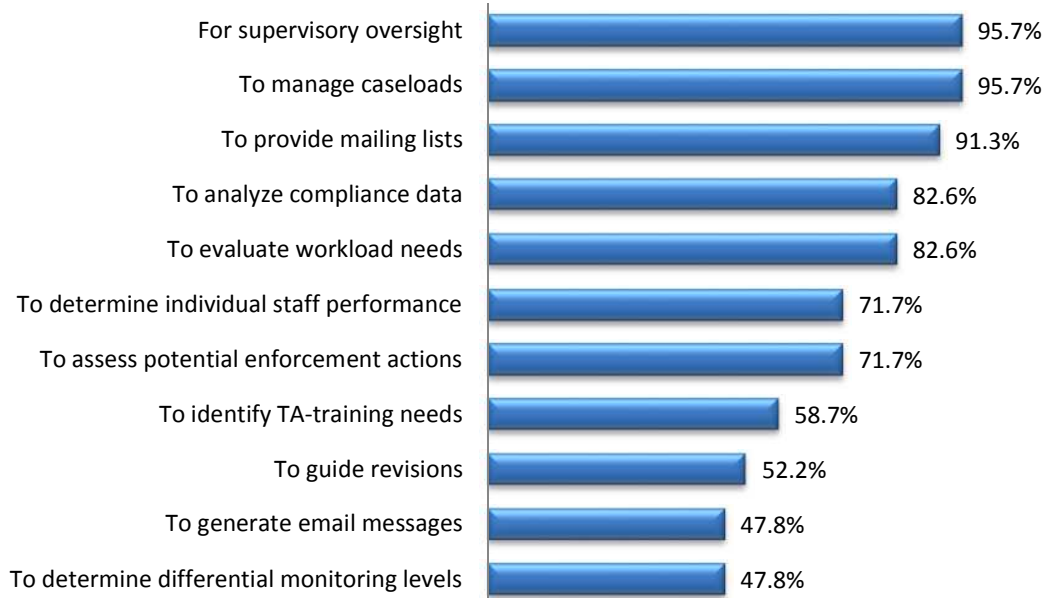
Analysis: Every state has some sort of computerized database to store child care facility information. The databases are usually used to manage caseloads, for supervisory oversight, and to generate mailing lists.

State:	State has a computerized database to store information about child care facilities	To manage caseloads	To evaluate workload needs	For supervisory oversight	To determine differential monitoring levels	To assess potential enforcement actions (e.g. risk management)	To analyze compliance data
Total:	50	44	38	44	22	33	37
AK	•	•	•	•	•	•	•
AL	•	•	•	•			•
AR	•	•	•	•		•	•
AZ	•	•	•	•		•	•
CA	•	•	•	•	•	•	•
CO	•	•	•	•		•	
CT	•	•	•	•	•	•	•
DC	•	•	•	•		•	•
DE	•	•	•	•	•	•	•
FL	•	•	•	•	•	•	•
GA	•	•	•	•		•	•
HI	•	•	•	•			
IA	•						
ID							
IL	•						
IN	•	•	•	•		•	•
KS	•	•	•	•		•	•
KY	•						
LA	•	•			•	•	•
MA	•	•	•	•	•	•	•
MD	•	•	•	•		•	•
ME	•	•	•	•	•	•	•
MI	•	•	•	•			•
MN	•	•	•	•	•		•
MO	•	•	•	•			
MS	•	•		•			
MT	•	•		•		•	•
NC	•	•	•	•	•	•	•
ND	•						
NE	•			•			•
NH	•	•	•	•			
NJ	•	•	•	•			•
NM	•	•	•	•		•	•
NV	•						
NY	•	•	•	•	•	•	•
OH	•	•	•	•	•	•	•

State:	State has a computerized database to store information about child care facilities	To manage caseloads	To evaluate workload needs	For supervisory oversight	To determine differential monitoring levels	To assess potential enforcement actions (e.g. risk management)	To analyze compliance data
OK	•	•	•	•	•	•	
OR	•	•	•	•	•	•	•
PA	•	•	•	•	•	•	•
RI	•	•		•	•	•	•
SC	•	•	•	•	•	•	•
SD	•	•	•	•		•	•
TN	•	•	•	•	•	•	•
TX	•	•	•	•	•	•	•
UT	•	•	•	•	•	•	•
VA	•	•	•	•	•	•	•
VT	•	•		•			•
WA	•	•	•	•	•	•	•
WI	•	•	•	•			•
WV	•	•		•			
WY	•	•	•	•		•	•

State:	To guide revisions	To identify TA-training needs	To determine individual staff performance	To generate email messages	To provide mailing lists
Total:	24	27	33	22	42
AK	•	•	•	•	•
AL					•
AR	•		•		•
AZ		•	•	•	•
CA					
CO			•		
CT	•	•	•	•	•
DC	•	•	•	•	•
DE	•	•	•	•	•
FL	•	•	•	•	•
GA	•	•		•	•
HI					•
IA					
ID					
IL					
IN	•	•	•		•
KS			•	•	•
KY					
LA		•		•	•
MA			•		
MD	•	•	•	•	•

State:	To guide revisions	To identify TA-training needs	To determine individual staff performance	To generate email messages	To provide mailing lists
ME	•	•	•	•	•
MI	•	•	•		•
MN	•		•		•
MO				•	•
MS					•
MT		•	•		•
NC		•	•		•
ND					•
NE			•		•
NH					•
NJ					•
NM	•	•		•	•
NV					
NY	•	•	•		•
OH	•	•	•	•	•
OK			•		
OR		•	•		•
PA	•	•	•		•
RI				•	•
SC	•	•	•		•
SD	•	•	•	•	•
TN	•	•	•	•	•
TX	•	•	•	•	•
UT	•	•	•	•	•
VA	•	•	•	•	•
VT				•	•
WA	•	•	•	•	•
WI			•		•
WV			•		•
WY	•	•	•		•



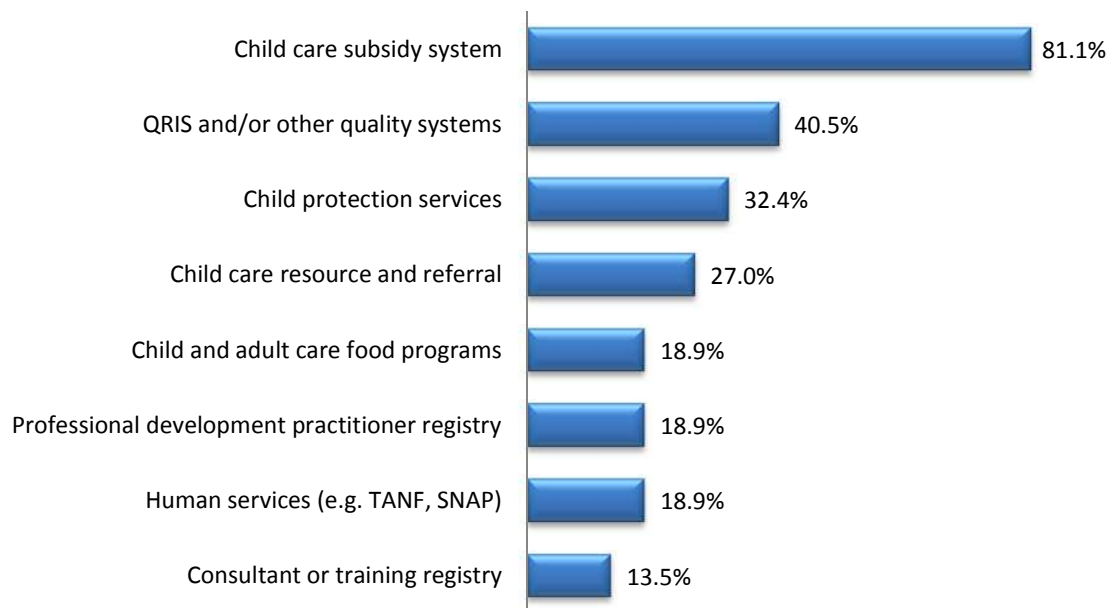
N=46

Question: Is the licensing database linked to other state databases? If yes, which ones?

Analysis: If a database is linked, it is usually linked to a child care subsidy system database.

State:	Child care subsidy system	Child protection services	Human services (e.g. TANF, SNAP)	Child care resource and referral	Professional development practitioner registry	Consultant or training registry	QRIS and/or other quality systems	Child and Adult Care Food Programs
Total:	30	12	7	10	7	5	15	7
AK	•		•					
AL	•							
AR	•						•	•
AZ							•	
CA								
CO	•	•	•					
CT								
DC	•							
DE					•			
FL								
GA							•	•
HI	•				•			
IA	•					•		
ID								
IL	•	•						
IN	•						•	
KS	•							
KY								
LA								

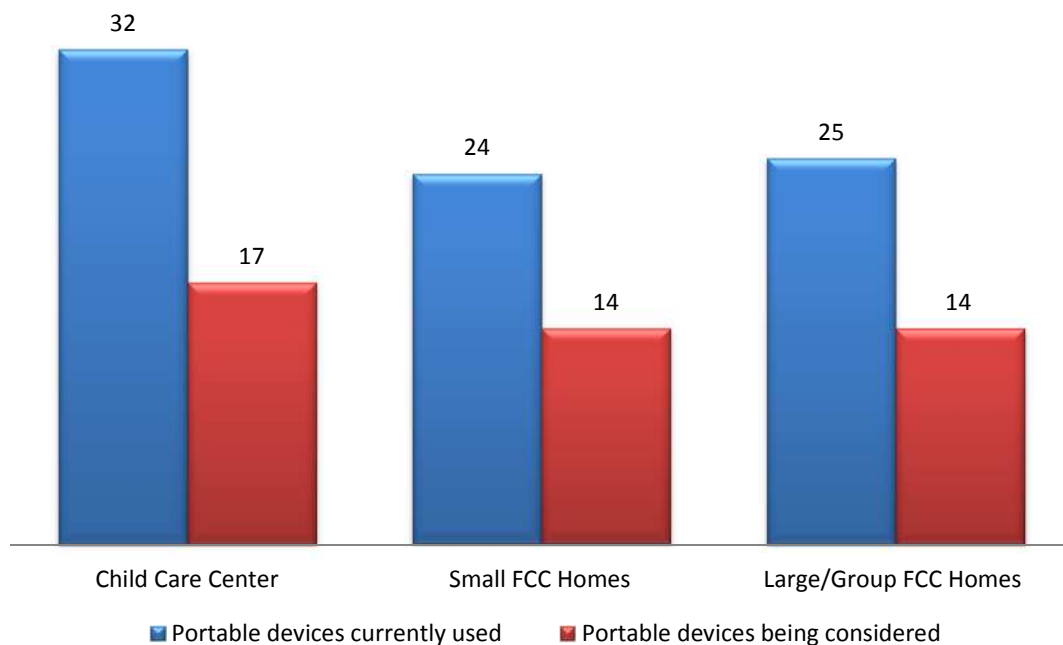
State:	Child care subsidy system	Child protection services	Human services (e.g. TANF, SNAP)	Child care resource and referral	Professional development practitioner registry	Consultant or training registry	QRIS and/or other quality systems	Child and Adult Care Food Programs
MA	•				•		•	
MD	•	•		•	•	•		•
ME	•	•						
MI	•	•		•			•	
MN	•							
MO	•							
MS								
MT	•	•		•	•		•	•
NC								
ND								
NE								
NH								
NJ		•		•				
NM	•	•	•				•	
NV								
NY	•			•				•
OH	•						•	
OK	•	•	•	•	•	•	•	
OR			•	•		•		•
PA	•			•			•	
RI	•	•						
SC	•	•	•			•	•	
SD	•		•					
TN	•							
TX		•						
UT				•			•	•
VA	•							
VT	•						•	
WA								
WI	•				•		•	
WV	•			•				
WY								



N=37

Question: Is your state using, or considering using, portable, hand-held devices such as "smart" phones, laptops, portable digital assistants, and/or computer tablets with specific software for capturing information during licensing inspections?

Analysis: About half of the responding states are using portable technology during the inspection process.



N=46

Question: If the licensing agency conducts complaint investigations, what staff are used to conduct the investigations?

Analysis: In most states, staff who perform initial and routine compliance inspections also investigate complaints.

	Child Care Center	Small FCC Homes	Large/Group FCC Homes
Same staff who conduct initial / routine compliance	47	40	38
Separate staff who only perform complaint inspections	12	12	10
Staff from other agency	2	2	2
Other	6	6	5

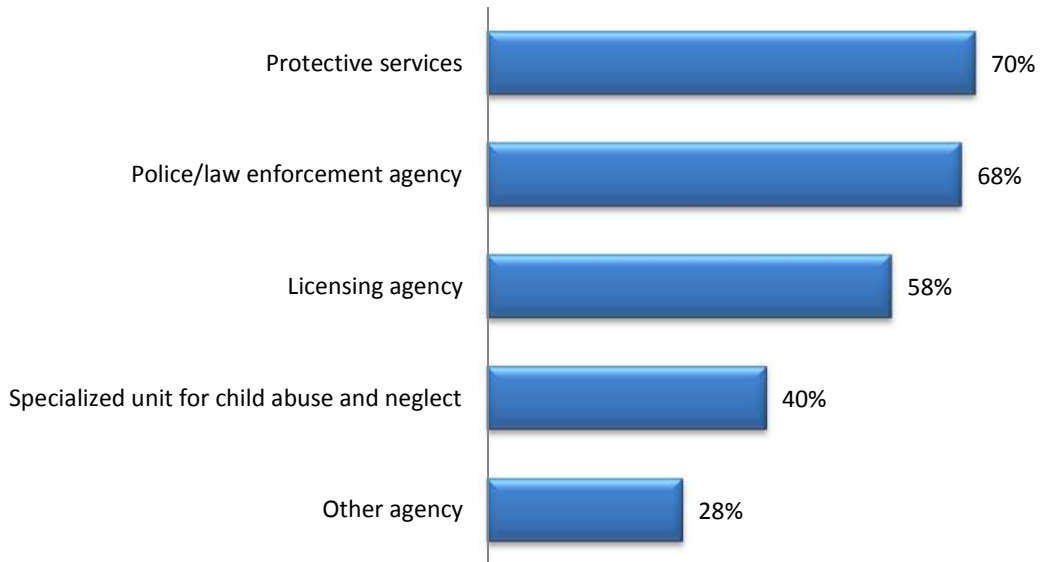
N=50

Question: Which state agencies investigate child abuse and neglect complaints against child care facilities?

Analysis: In most states, investigations are completed by the licensing agency, protective services, and the police.

State:	Licensing agency	Protective services	Police/law enforcement agency	Specialized unit for child abuse and neglect	Other agency
Total:	29	35	34	20	14
AK	•	•	•	•	•
AL		•	•		
AR		•	•	•	•
AZ	•		•		
CA	•	•	•	•	
CO					•
CT	•	•	•		
DC	•	•	•		
DE				•	
FL	•		•	•	•
GA	•	•	•		
HI	•	•	•		
IA	•	•	•		
ID					
IL	•	•			
IN					•
KS		•	•		•
KY	•	•	•	•	
LA	•				•
MA	•	•	•	•	
MD		•	•		
ME				•	
MI	•	•	•		
MN	•	•	•	•	
MO	•	•	•	•	
MS	•	•	•	•	•
MT		•			

State:	Licensing agency	Protective services	Police/law enforcement agency	Specialized unit for child abuse and neglect	Other agency
NC	•	•	•	•	•
ND	•	•	•		
NE	•	•	•		
NH	•		•		
NJ				•	
NM	•				•
NV	•		•		
NY	•	•	•		
OH			•		•
OK		•			
OR		•	•		
PA	•	•	•		
RI	•	•	•	•	•
SC	•		•	•	
SD		•	•		
TN		•	•	•	•
TX	•			•	•
UT		•			
VA	•	•	•		
VT		•		•	
WA				•	
WI		•	•		
WV		•		•	
WY		•			



N=50

Question: Does the licensing agency investigate or make referrals when complaints are received on legally-exempt facilities?

Analysis: When complaints against legally-exempt facilities are investigated, it is usually to verify that the facility is not subject to licensing.

State:	Yes, all complaints are investigated	Yes, a referral is made on allegations of abuse or neglect	Yes, but only to determine or verify that the facility is legally exempt (not subject to licensing)	No, the licensing agency does not investigate complaints filed against legally-exempt facilities	Other
Total:	5	15	23	19	13
AK	•				
AL		•		•	•
AR			•		
AZ			•		
CA	•	•	•		•
CO			•		
CT				•	
DC				•	
DE				•	
FL		•		•	•
GA		•	•		
HI			•		
IA					
ID					
IL		•	•		
IN			•		•
KS				•	•
KY					
LA	•				
MA				•	•
MD				•	
ME			•		
MI				•	
MN		•	•		
MO	•				
MS			•		•
MT				•	
NC			•		
ND		•			
NE		•	•		•
NH			•		
NJ				•	
NM			•		
NV		•			
NY					•

State:	Yes, all complaints are investigated	Yes, a referral is made on allegations of abuse or neglect	Yes, but only to determine or verify that the facility is legally exempt (not subject to licensing)	No, the licensing agency does not investigate complaints filed against legally-exempt facilities	Other
OH		•			•
OK			•		
OR		•	•		
PA				•	
RI				•	
SC				•	
SD		•		•	
TN			•		•
TX			•	•	
UT		•	•		
VA	•				
VT				•	
WA		•	•		
WI			•		•
WV		•	•	•	•
WY				•	

Question: Which of the following corrective and adverse enforcement actions were used with child care facilities in CALENDAR YEAR 2011?

Analysis: License revocation is the most common type of licensing enforcement action.

	Child Care Center	Small FCC Homes	Large/Group FCC Homes
Revocation of license	40	39	28
Denial of license	33	36	26
Emergency/immediate closure of facility	28	31	21
Nonrenewal of license	22	23	19
Probation	19	15	14
Conditional license	30	19	22
Consent agreement	17	14	11
Civil fine	24	22	19
Criminal fine	1	1	1
Imprisonment	1	1	0
Other enforcement actions	19	13	14

N=50

Question: If a licensing enforcement action is taken against a facility, does that affect the provider's receipt of child care assistance/subsidy payment?

Analysis: Licensing enforcement action almost always impacts child care subsidies.

State:	Licensing enforcement action affects the provider's receipt of child care assistance/subsidy payment?	An enforcement action is made effective	The action is not appealed or is upheld	Varies by type of enforcement action
Total:	44	11	15	26
AK	•			•
AL	•			•
AR	•			•
AZ	•	•		
CA	•			•
CO	•			
CT	•	•		
DC	•	•		
DE	•			•
FL	•			•
GA	•			•
HI	•			•
IA				
ID				
IL	•			•
IN	•		•	•
KS	•		•	•
KY				

State:	Licensing enforcement action affects the provider's receipt of child care assistance/subsidy payment?	An enforcement action is made effective	The action is not appealed or is upheld	Varies by type of enforcement action
LA	•		•	
MA	•	•	•	
MD	•	•		
ME	•	•		
MI	•	•	•	
MN	•		•	
MO	•		•	•
MS				
MT	•			•
NC	•			•
ND	•	•	•	•
NE	•			•
NH	•		•	
NJ	•		•	
NM	•			•
NV				
NY	•			•
OH				
OK	•		•	
OR	•			•
PA	•			•
RI	•	•		
SC	•		•	
SD	•	•	•	•
TN	•			•
TX				
UT	•	•	•	
VA	•			
VT	•		•	
WA	•			•
WI	•			•
WV	•			•
WY	•			•

Question: How is the subsidy agency notified of licensing enforcement actions taken against a facility?

Analysis: Subsidy agencies are usually notified by personal contact from the licensing agency.

State:	An electronic notice is automatically generated	Personal contact is made between the licensing and subsidy agency	There is a link between the licensing and subsidy agency databases and enforcement actions are monitored
Total:	12	28	18
AK	•	•	•
AL		•	
AR			•
AZ		•	•
CA	•		
CO		•	
CT		•	
DC			
DE		•	
FL	•		•
GA	•		
HI			•
IA			
ID			
IL			
IN		•	•
KS	•	•	•
KY			
LA	•	•	
MA			
MD	•		
ME		•	
MI			•
MN			•
MO	•		
MS		•	
MT		•	•
NC			•
ND		•	
NE		•	
NH		•	
NJ		•	
NM	•	•	•
NV			
NY		•	
OH			
OK		•	
OR		•	
PA	•		•
RI		•	•

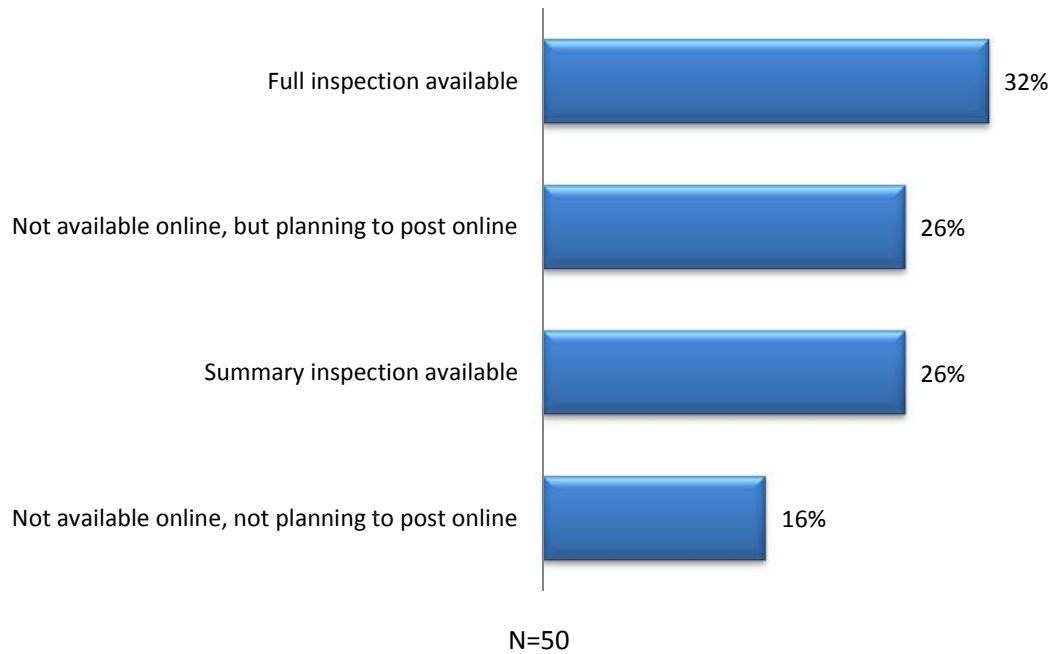
State:	An electronic notice is automatically generated	Personal contact is made between the licensing and subsidy agency	There is a link between the licensing and subsidy agency databases and enforcement actions are monitored
SC		•	
SD		•	
TN		•	
TX	•		
UT	•		•
VA			•
VT			•
WA		•	
WI		•	•
WV		•	
WY		•	

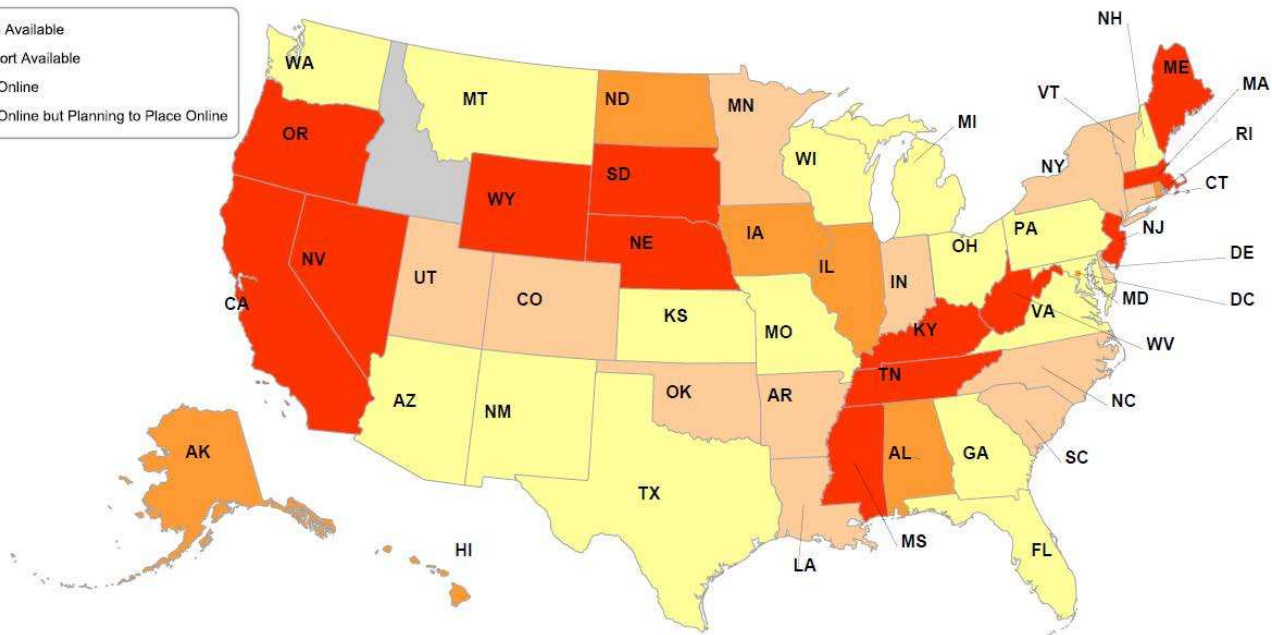
Question: Are licensing inspection reports available on the Internet for parent and public access?

Analysis: States are evenly split between those that publish reports online and those that do not.

State:	Yes, the full inspection report is available	Yes, a summary of the report is available	No, inspection reports are not available on the Internet	No, but state is planning to put inspection reports on the Internet
Total:	16	13	8	13
AK			•	
AL			•	
AR		•		
AZ	•			
CA				•
CO		•		
CT		•		
DC			•	
DE		•		
FL	•			
GA	•			
HI			•	
IA			•	
ID				
IL			•	
IN		•		
KS	•			
KY				•
LA		•		
MA				•
MD	•			
ME				•
MI	•			
MN		•		
MO	•			
MS				•
MT	•			
NC		•		
ND			•	
NE				•
NH	•			
NJ				•
NM	•			
NV				•
NY		•		
OH	•			
OK		•		
OR				•
PA	•			
RI			•	

State:	Yes, the full inspection report is available	Yes, a summary of the report is available	No, inspection reports are not available on the Internet	No, but state is planning to put inspection reports on the Internet
SC		•		
SD				•
TN				•
TX	•			
UT		•		
VA	•			
VT		•		
WA	•			
WI	•			
WV				•
WY				•

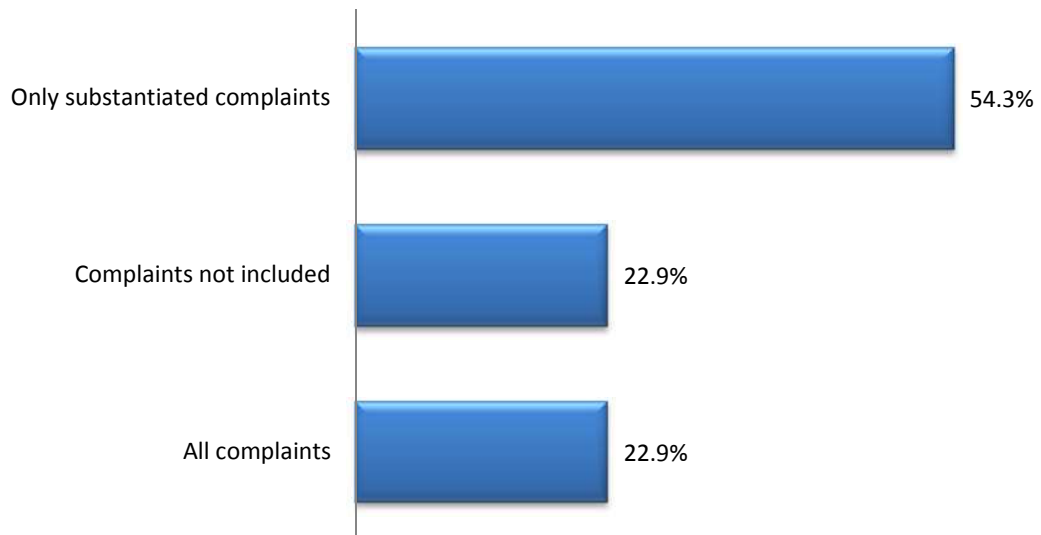




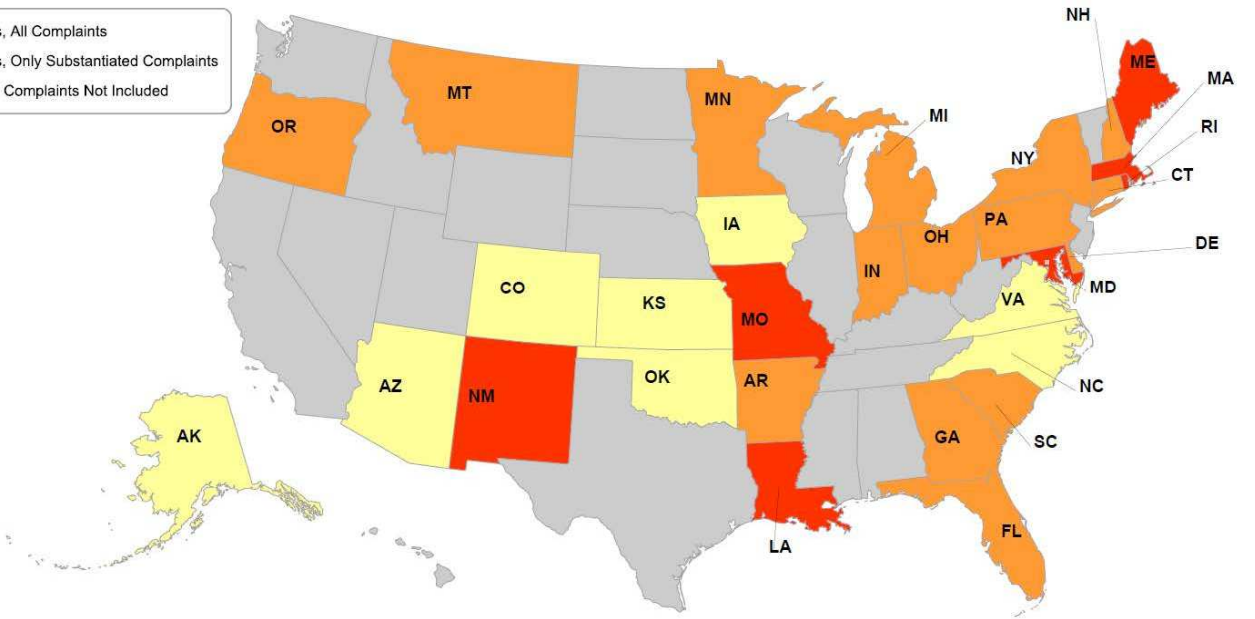
Question: If licensing inspection reports are available on the Internet, are complaints filed against facilities included?
Analysis: Most of the states that post inspection information online include complaint information.

State:	Yes, all complaints	Yes, but only substantiated complaints	No, complaints are not included
Total:	8	19	8
AK	•		
AL			
AR		•	
AZ	•		
CA			
CO	•		
CT		•	
DC			
DE		•	
FL		•	
GA		•	
HI			
IA	•		
ID			
IL			
IN		•	
KS	•		
KY			
LA			•
MA			•
MD			•
ME			•
MI		•	

State:	Yes, all complaints	Yes, but only substantiated complaints	No, complaints are not included
MN		•	
MO			•
MS			
MT		•	
NC	•		
ND			
NE			
NH		•	
NJ			
NM			•
NV			
NY		•	
OH		•	
OK	•		
OR		•	
PA		•	
RI			•
SC		•	
SD			
TN			
TX		•	
UT		•	
VA	•		
VT			•
WA		•	
WI		•	
WV			
WY			

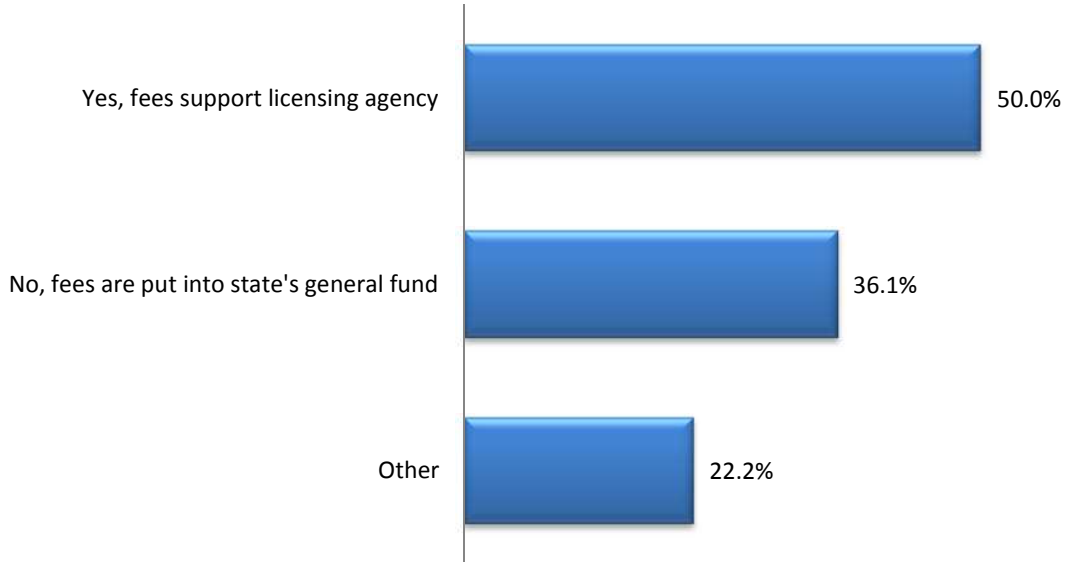
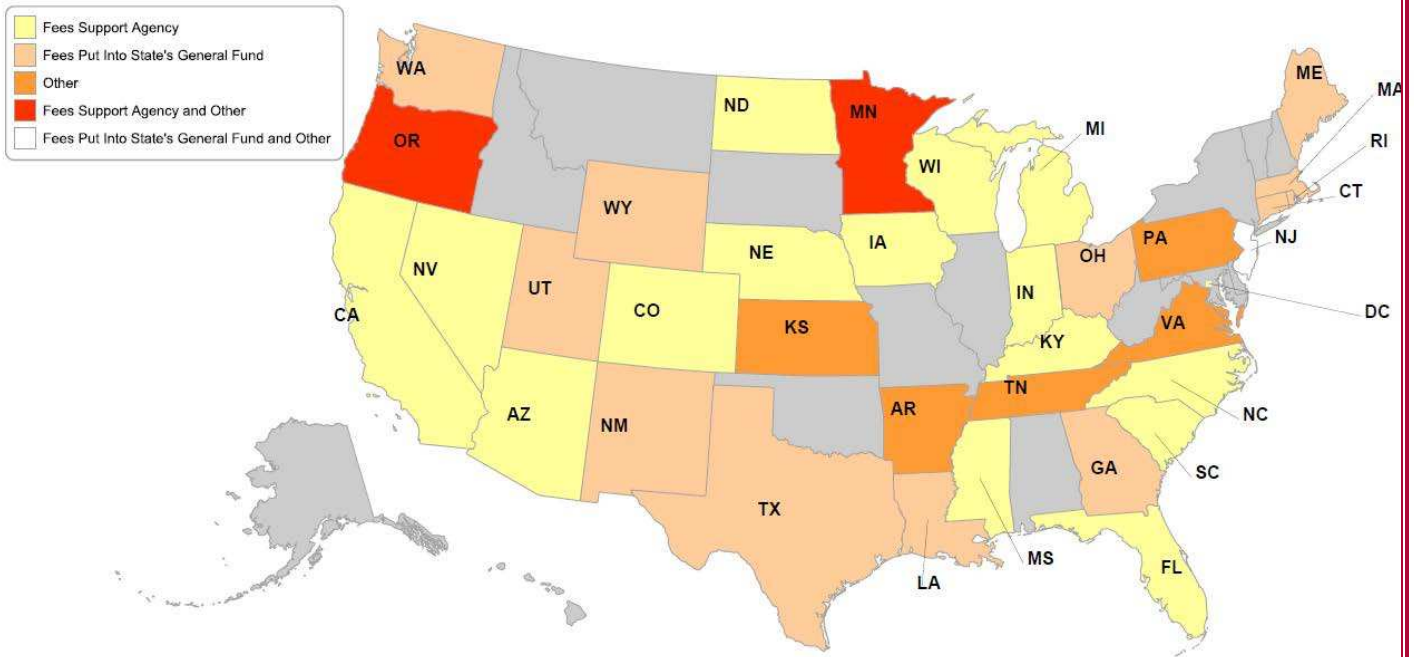


N=35



Question: Are the revenues collected from licensing fees used to support the licensing agency?

Analysis: Half of the states who collect fees use them to support the licensing agency. About 36% use them to supplement the state's general fund.



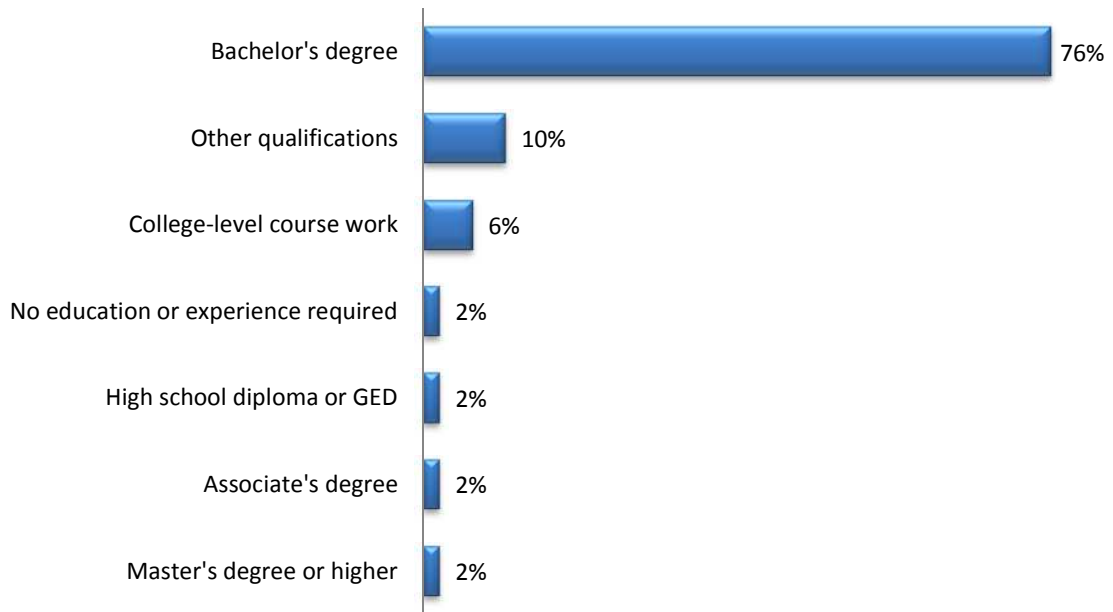
N=36

Question: What are the minimum entry-level educational qualifications for child care licensing line staff?

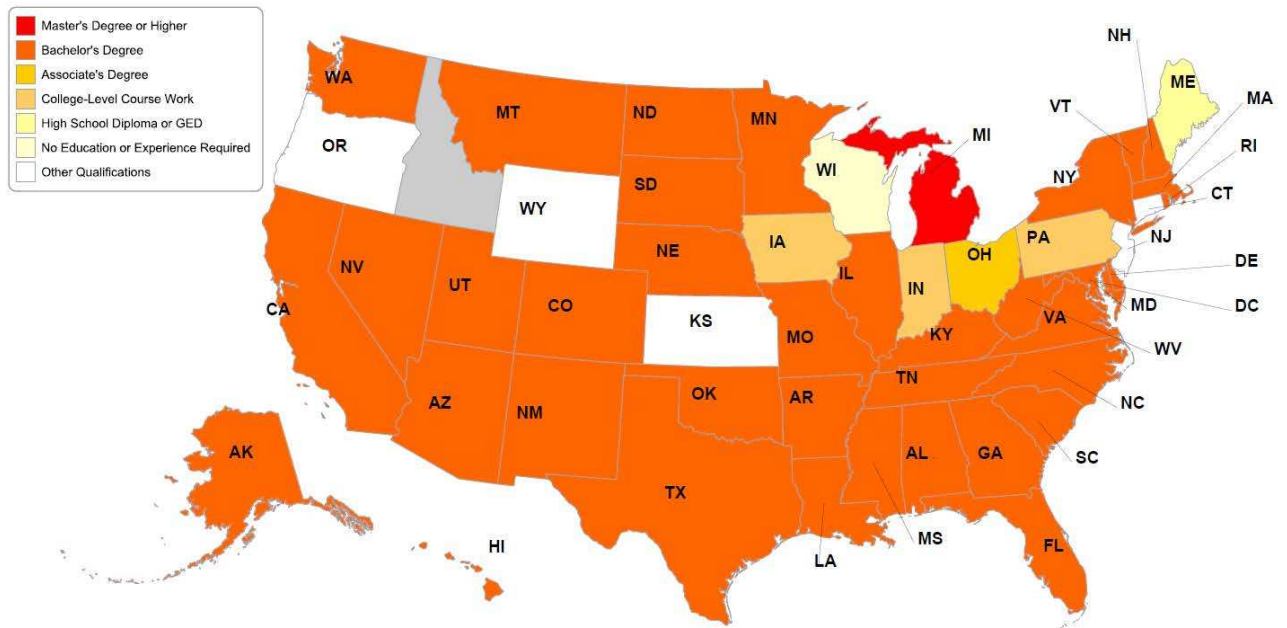
Analysis: The vast majority of states require at least a Bachelor's degree.

State:	Master's degree or higher	Bachelor's degree	Associate's degree	College-level course work	High school diploma or GED	No education or experience required	Other qualifications
Total:	1	38	1	3	1	1	5
AK		•					
AL		•					
AR		•					
AZ		•					
CA		•					
CO		•					
CT							•
DC		•					
DE		•					
FL		•					
GA		•					
HI		•					
IA				•			
ID							
IL		•					
IN				•			
KS							•
KY		•					
LA		•					
MA		•					
MD		•					
ME					•		
MI	•						
MN		•					
MO		•					
MS		•					
MT		•					
NC		•					
ND		•					
NE		•					
NH		•					
NJ							•
NM		•					
NV		•					
NY		•					
OH			•				
OK		•					
OR							•
PA				•			

State:	Master's degree or higher	Bachelor's degree	Associate's degree	College-level course work	High school diploma or GED	No education or experience required	Other qualifications
RI		•					
SC		•					
SD		•					
TN		•					
TX		•					
UT		•					
VA		•					
VT		•					
WA		•					
WI						•	
WV		•					
WY							•



N=50



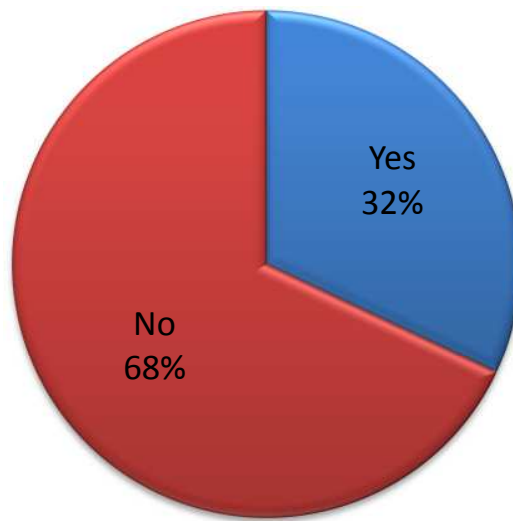
Question: Must a child care worker’s degree or courses be in early childhood education, child development, or a field related to the care and education of children? Are child care licensing line staff required to have experience working in a setting with children, prior to working as a licenser? Are child care licensing line staff required to complete additional training each year?

Analysis: Most states do not require college-level work to be related to the care and education of children; about half require previous experience working with children and annual training.

State:	Degree or courses must be in early childhood education, child development, or a field related to the care and education of children	Licensing line staff required to have experience working in a setting with children	Child care licensing line staff required to complete additional training annually
Total:	16	22	25
AK	•	•	
AL	•	•	
AR			•
AZ	•	•	•
CA			
CO			•
CT	•	•	•
DC	•	•	
DE			•
FL			•
GA	•	•	•
HI			
IA			
ID			
IL	•	•	•
IN			•
KS			•
KY			•
LA			
MA		•	
MD	•		
ME			
MI		•	
MN		•	•
MO			
MS			•
MT			•
NC	•	•	
ND			•
NE			•
NH	•	•	
NJ		•	
NM		•	
NV	•	•	
NY			

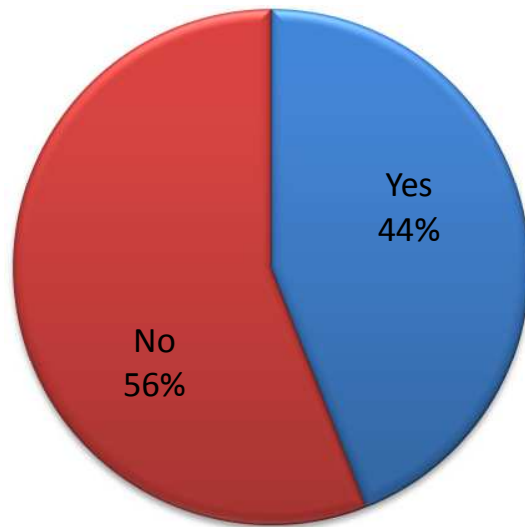
State:	Degree or courses must be in early childhood education, child development, or a field related to the care and education of children	Licensing line staff required to have experience working in a setting with children	Child care licensing line staff required to complete additional training annually
OH	•	•	
OK			•
OR			
PA		•	
RI			•
SC		•	•
SD			
TN			
TX			•
UT	•	•	•
VA	•	•	•
VT	•		•
WA		•	
WI			
WV			•
WY	•	•	•

Must Include Early Childhood Education, Child Development, or a Field Related to the Care and Education of Children



N=50

Work Experience Requirements for Line Staff: Previously Worked in Setting with Children



N=50

Question: What topics must be covered in the training required each year?

Analysis: Health and safety topics and state regulations are the most common types of required training.

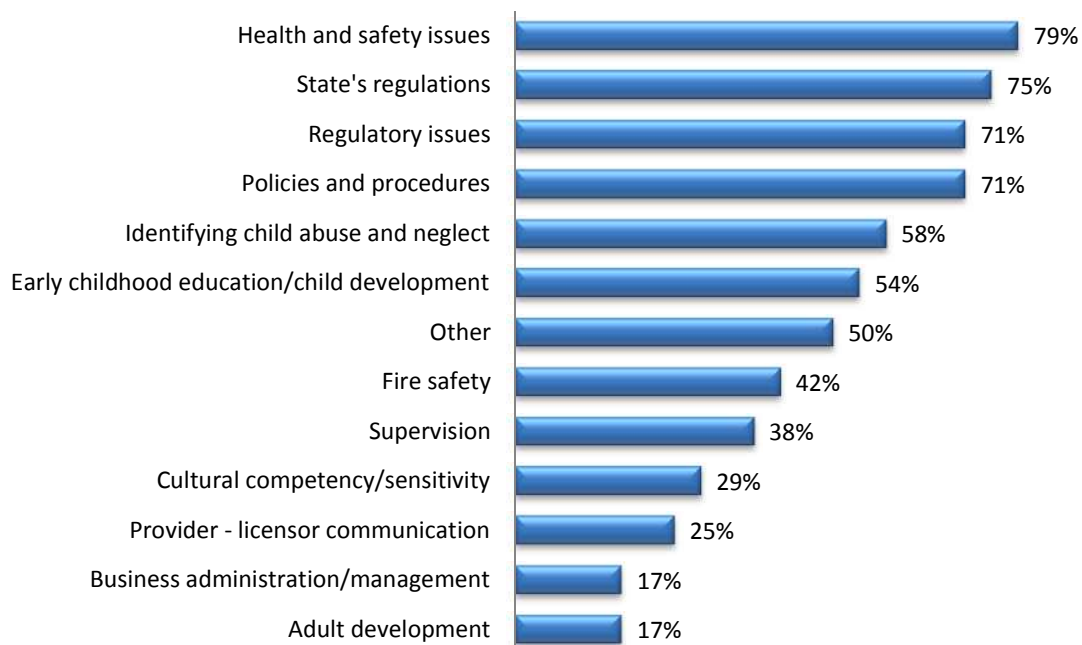
State:	Regulatory issues	State's regulations	State's licensing policies and procedures	Health and safety issues	Identifying child abuse and neglect	Fire safety	Early childhood education/child development
Total:	17	18	17	19	14	10	13
AK							
AL							
AR	•	•	•	•			•
AZ	•	•	•	•	•	•	•
CA				•	•		•
CO	•	•	•	•	•	•	•
CT	•	•	•	•	•	•	•
DC							
DE							
FL	•	•	•	•			
GA	•	•	•	•			•
HI							
IA							
ID							
IL							
IN							
KS	•	•	•	•	•	•	•
KY	•	•	•	•	•		•
LA							
MA							

State:	Regulatory issues	State's regulations	State's licensing policies and procedures	Health and safety issues	Identifying child abuse and neglect	Fire safety	Early childhood education/child development
MD							
ME							
MI							
MN		•	•	•			
MO							
MS	•	•	•	•	•	•	•
MT	•	•	•	•	•	•	•
NC							
ND							
NE	•	•	•	•	•	•	•
NH	•	•		•			•
NJ							
NM							
NV							
NY							
OH							
OK							
OR		•					
PA							
RI	•	•	•	•	•		•
SC	•	•	•	•	•	•	
SD							
TN							
TX	•	•	•	•	•		
UT	•	•	•	•	•	•	
VA							
VT							
WA	•		•	•	•	•	
WI							
WV							
WY							

State:	Adult development	Provider - licensor relationships / communication	Cultural competency / sensitivity	Business administration / management	Supervision	Other topics
Total:	4	6	7	4	9	12
AK						
AL						
AR						
AZ	•	•	•	•		
CA					•	
CO					•	
CT					•	•

State:	Adult development	Provider - licensor relationships / communication	Cultural competency / sensitivity	Business administration / management	Supervision	Other topics
DC						
DE						
FL						•
GA						
HI						
IA						
ID						
IL						
IN						
KS						
KY					•	•
LA						
MA						
MD						
ME						
MI						
MN						
MO						
MS		•	•		•	•
MT	•	•	•	•	•	
NC						
ND						
NE	•	•	•	•	•	
NH	•	•				•
NJ						•
NM						
NV						
NY						
OH						
OK						
OR			•	•		•
PA						
RI			•			•
SC		•	•			
SD						
TN						
TX						•
UT					•	
VA						•
VT						
WA					•	
WI						
WV						•
WY						•

Required Annual Training Topics for Line Staff



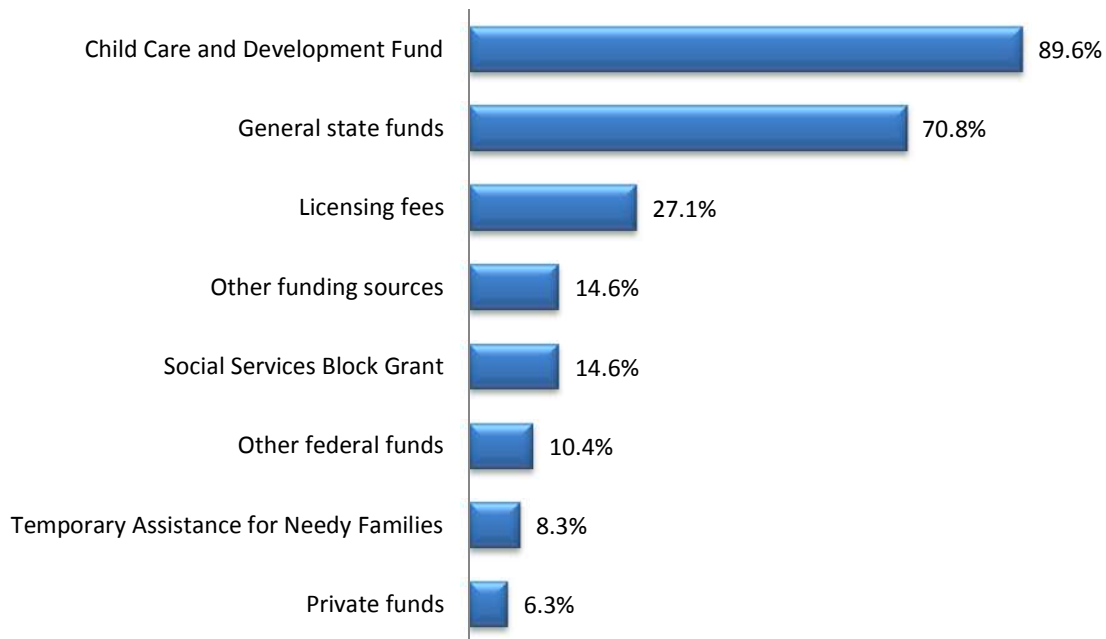
N=24

Question: What sources of funding are used in your state to hire and support (including providing training) child care licensing staff?

Analysis: State funds and the CCDF are the most common funding sources.

State:	General state funds	Child Care and Development Fund (CCDF)	Temporary Assistance for Needy Families (TANF)	Social Services Block Grant	Private funds	Licensing fees	Other federal funds	Other funding sources
Total:	34	43	4	7	3	13	5	7
AK		•						
AL	•	•						
AR	•	•		•				
AZ						•	•	•
CA	•					•		
CO		•			•	•		
CT	•		•	•			•	•
DC								
DE	•	•	•	•				
FL	•	•		•		•		
GA	•	•						
HI	•	•						
IA		•						

State:	General state funds	Child Care and Development Fund (CCDF)	Temporary Assistance for Needy Families (TANF)	Social Services Block Grant	Private funds	Licensing fees	Other federal funds	Other funding sources
ID								
IL	•	•					•	
IN		•						
KS	•	•					•	•
KY	•	•				•		
LA	•	•						
MA	•	•						
MD	•	•						
ME	•	•						
MI	•	•				•		
MN	•	•				•	•	
MO	•	•						
MS						•		•
MT	•	•						
NC	•	•				•		
ND	•	•						
NE		•				•		
NH								
NJ	•				•			•
NM	•	•						
NV		•						
NY		•						
OH		•						
OK	•	•	•	•				
OR	•	•				•		
PA	•	•						
RI		•			•			•
SC		•						
SD		•						
TN	•	•						
TX	•	•						
UT	•	•						•
VA	•	•		•		•		
VT	•	•	•					
WA	•	•						
WI		•				•		
WV	•	•		•				
WY	•	•						



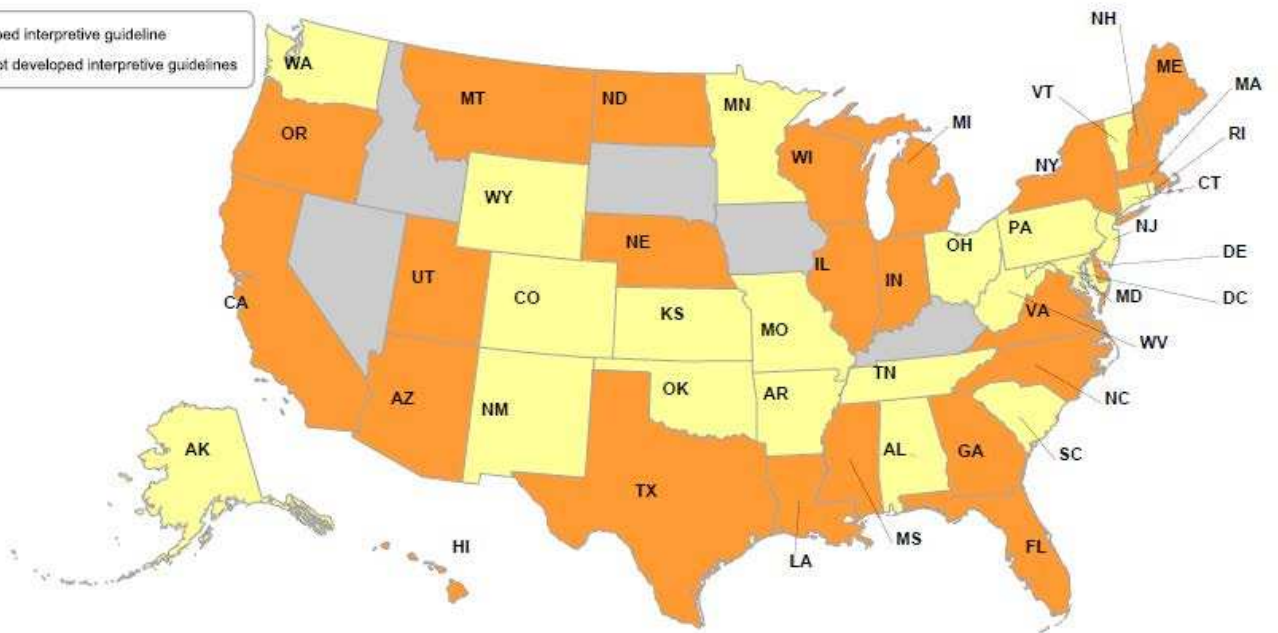
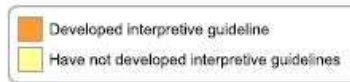
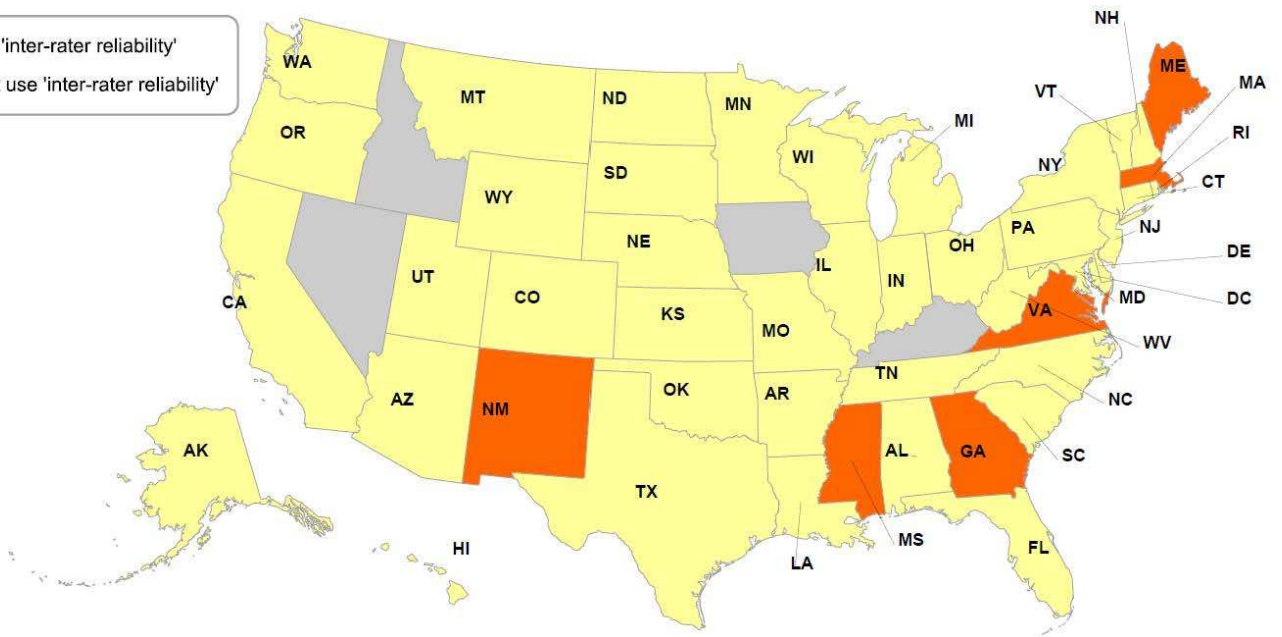
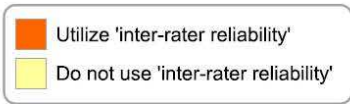
N=48

Question: Has your state incorporated "inter-rater reliability" training or evaluation for licensing staff? Has your state developed interpretive guidelines?

Analysis: While about half of the states have developed interpretive guidelines, very few have incorporated inter-rater reliability training for staff.

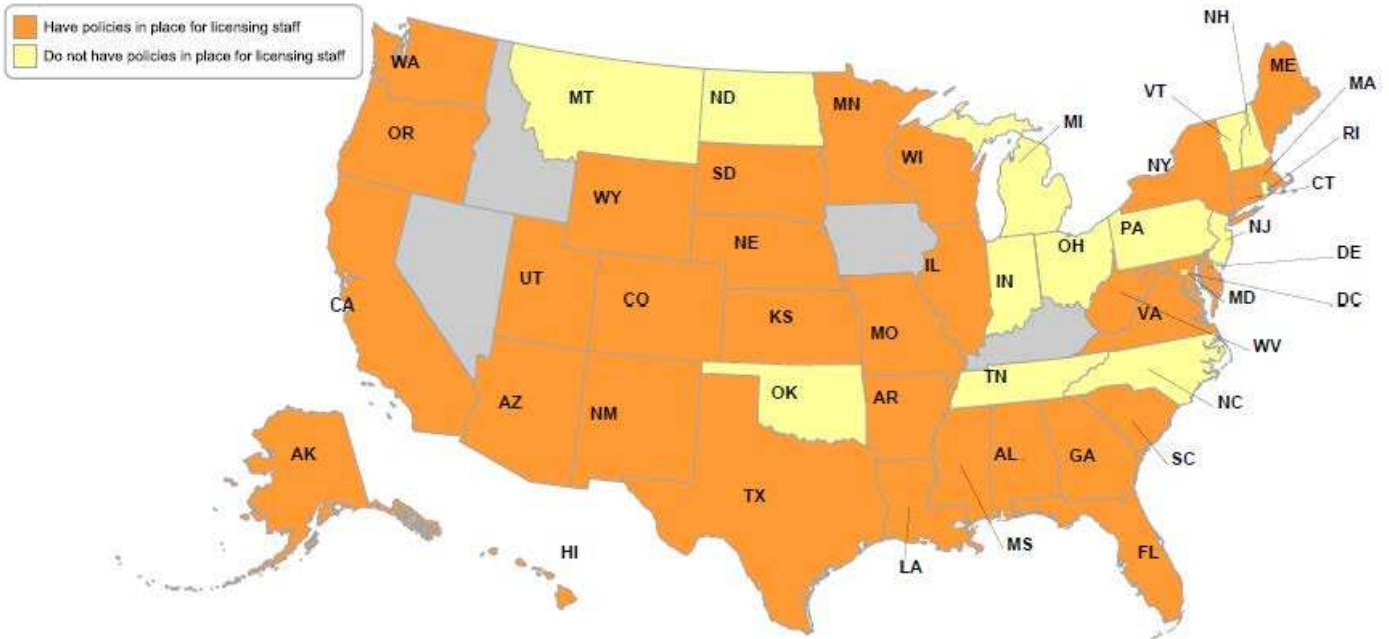
State:	State has incorporated "inter-rater reliability" training or evaluation for licensing staff	State has developed interpretive guidelines
Total:	6	24
AK		
AL		
AR		
AZ		•
CA		•
CO		
CT		
DC		
DE		•
FL		•
GA	•	•
HI		•
IA		
ID		
IL		•
IN		•
KS		
KY		
LA		•

MA	•	•
MD		
ME	•	•
MI		•
MN		
MO		
MS	•	•
MT		•
NC		•
ND		•
NE		•
NH		•
NJ		
NM	•	
NV		
NY		•
OH		
OK		
OR		•
PA		
RI		
SC		
SD		
TN		
TX		•
UT		•
VA	•	•
VT		
WA		
WI		•
WV		
WY		



Question: Does the licensing agency have policies/training for disaster and emergency planning for licensing staff?

Analysis: Most states have disaster and emergency planning policies in place.

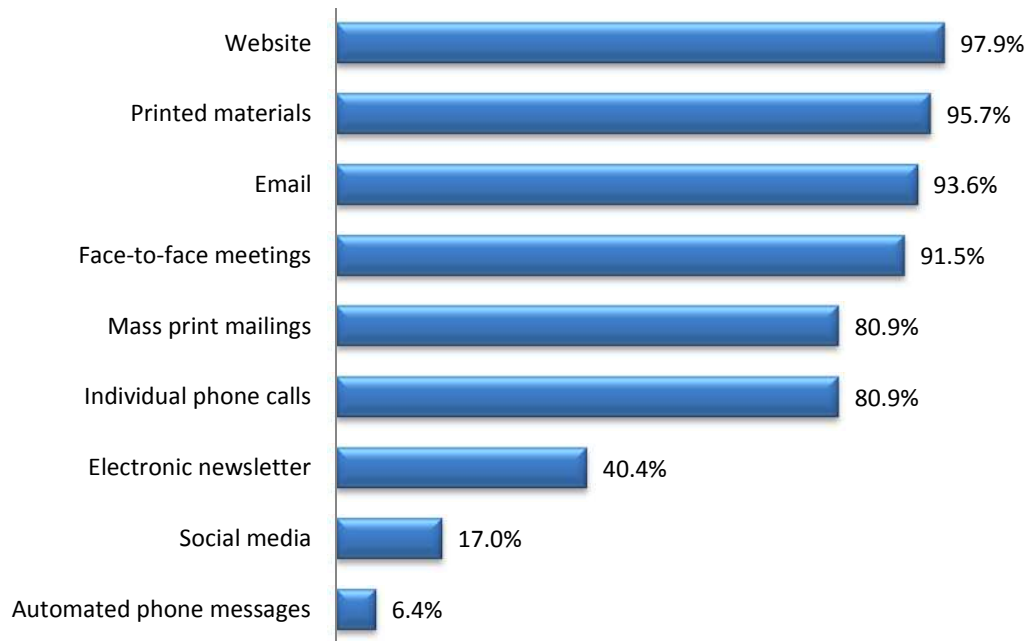


Question: What methods does the licensing agency use to communicate with providers?

Analysis: States use a variety of methods to communicate with providers.

State:	Website	Social media	Email	Electronic newsletters	Printed materials	Automated phone messages	Individual phone calls	Mass print mailings	Face-to-face meetings
Total:	46	8	44	19	45	3	37	38	43
AK	•		•	•	•		•	•	•
AL	•		•	•	•		•	•	•
AR	•		•	•	•			•	•
AZ	•		•	•	•		•	•	•
CA	•		•	•	•		•	•	•
CO	•		•				•		•
CT	•				•	•	•	•	•
DC	•		•		•		•		
DE	•		•	•	•		•	•	•
FL	•	•	•		•			•	•
GA	•		•		•		•		•
HI	•		•		•		•	•	•
IA									
ID									
IL	•		•		•		•	•	•
IN	•		•	•	•		•	•	•
KS	•	•	•		•			•	•

State:	Website	Social media	Email	Electronic newsletters	Printed materials	Automated phone messages	Individual phone calls	Mass print mailings	Face-to-face meetings
KY									
LA	•		•		•		•	•	•
MA	•		•		•			•	•
MD	•		•	•	•		•		•
ME	•		•		•	•	•	•	•
MI	•		•		•			•	
MN	•		•		•		•	•	•
MO	•	•	•	•	•		•		•
MS	•		•	•	•		•	•	•
MT	•		•	•	•		•	•	•
NC	•		•	•	•	•	•	•	•
ND	•		•	•	•		•	•	•
NE	•				•			•	•
NH	•		•				•	•	•
NJ	•		•		•		•	•	•
NM	•		•		•		•	•	•
NV									
NY	•	•	•		•		•	•	•
OH	•		•		•		•		•
OK	•				•			•	
OR	•		•	•	•		•	•	•
PA	•		•	•	•		•		•
RI			•		•		•		
SC	•	•	•	•	•		•	•	•
SD	•		•	•	•		•	•	•
TN	•	•	•		•		•	•	•
TX	•	•	•		•			•	•
UT	•		•		•		•	•	•
VA	•		•		•		•		•
VT	•		•	•	•			•	•
WA	•	•	•	•	•		•	•	•
WI	•		•		•		•	•	•
WV	•		•		•		•	•	•
WY	•		•	•	•		•	•	•



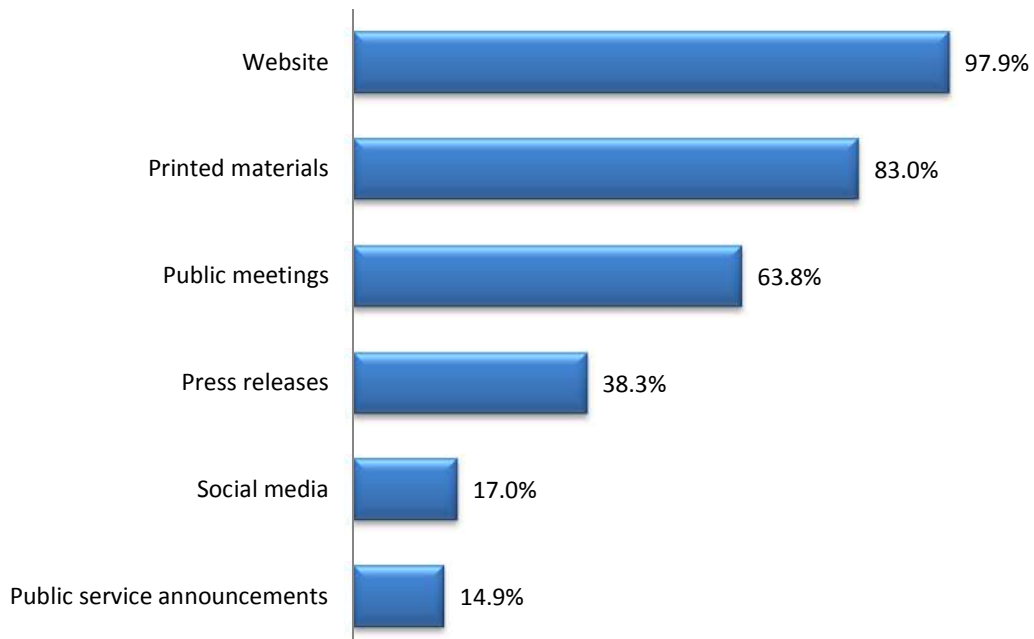
N=47

Question: What methods does the licensing agency use to make the public aware of the role of licensing?

Analysis: Printed materials, web-based information, and public meetings are the most common methods used to communicate with the public.

State:	Website	Social media (e.g. Facebook, other)	Press releases	Printed materials	Public meetings	Public service announcements
Total:	46	8	18	39	30	7
AK	•			•	•	•
AL	•			•	•	
AR	•			•		
AZ	•		•	•		
CA	•			•	•	
CO	•			•	•	
CT	•			•		
DC	•					
DE	•					•
FL	•	•		•	•	
GA	•		•	•	•	
HI	•				•	
IA						
ID						
IL	•		•	•		
IN	•		•	•	•	
KS	•	•	•	•	•	
KY						
LA	•		•	•	•	
MA	•					
MD	•		•	•		
ME	•			•	•	
MI	•		•	•		
MN	•		•	•	•	
MO	•	•	•	•	•	
MS	•		•	•	•	•
MT	•			•	•	
NC	•					
ND	•			•	•	
NE	•		•	•	•	
NH	•				•	
NJ	•		•	•	•	
NM	•			•	•	
NV						
NY	•	•	•	•	•	
OH	•			•	•	•
OK	•		•	•		
OR	•			•	•	
PA	•			•	•	
RI				•	•	

State:	Website	Social media (e.g. Facebook, other)	Press releases	Printed materials	Public meetings	Public service announcements
SC	•	•		•	•	
SD	•			•		
TN	•	•	•	•	•	•
TX	•	•	•	•	•	•
UT	•					
VA	•			•		
VT	•			•	•	
WA	•	•	•	•	•	•
WI	•			•		
WV	•					
WY	•			•		



N=47

Question: What is the state child care licensing agency's level of involvement in initiatives to improve the quality of child care facilities?

Analysis: Most states are involved in quality improvement initiatives.

State:	Tiered quality strategy - Leads the initiative	Tiered quality strategy - Initiative in same agency	Tiered quality strategy - Participates in planning	Tiered quality strategy - Licensing not involved	Tiered quality strategy - State does not have initiative	Accreditation facilitation - Leads the initiative
Total:	7	15	18	5	5	4
AK			•			
AL		•				
AR	•					•
AZ				•		
CA					•	
CO					•	
CT			•			
DC		•				
DE			•			
FL				•		
GA		•				
HI		•				
IA		•				
ID						
IL			•			
IN	•					•
KS			•			
KY				•		
LA		•				
MA		•				
MD		•				
ME			•			
MI			•			
MN			•			
MO				•		
MS			•			
MT			•			
NC	•					
ND			•			
NE					•	
NH			•			
NJ					•	
NM		•				
NV			•			
NY			•			
OH	•					
OK	•					

State:	Tiered quality strategy - Leads the initiative	Tiered quality strategy - Initiative in same agency	Tiered quality strategy - Participates in planning	Tiered quality strategy - Licensing not involved	Tiered quality strategy - State does not have initiative	Accreditation facilitation - Leads the initiative
OR	•					•
PA		•				
RI			•			
SC		•				
SD	•					•
TN		•				
TX				•		
UT			•			
VA			•			
VT			•			
WA		•				
WI		•				
WV		•				
WY					•	

State:	Accreditation facilitation - Initiative in same agency	Accreditation facilitation - Participates in planning	Accreditation facilitation - Licensing not involved	Accreditation facilitation - State does not have initiative	Professional development system - Leads the initiative	Professional development system - Initiative in same agency
Total:	10	11	11	10	5	10
AK		•				
AL	•					•
AR						•
AZ			•			
CA				•		
CO				•	•	
CT		•				
DC	•					•
DE				•		
FL	•					
GA	•					•
HI			•			
IA		•				
ID						
IL		•				
IN					•	
KS						
KY			•			
LA			•			
MA	•					•
MD	•				•	
ME		•				
MI				•		

State:	Accreditation facilitation - Initiative in same agency	Accreditation facilitation - Participates in planning	Accreditation facilitation - Licensing not involved	Accreditation facilitation - State does not have initiative	Professional development system - Leads the initiative	Professional development system - Initiative in same agency
MN						
MO			•			
MS				•		
MT			•			
NC			•			
ND		•				
NE		•				
NH		•				
NJ			•			
NM						•
NV		•				
NY			•			
OH						
OK				•		
OR					•	
PA	•					•
RI		•				
SC			•			•
SD					•	
TN		•				
TX				•		
UT				•		
VA	•					
VT	•					
WA			•			•
WI				•		
WV	•					•
WY				•		

State:	Professional development system - Participates in planning	Professional development system - Licensing not involved	Professional development system - State does not have initiative	Assessing quality with an environment rating scale - Licensing agency leads the initiative
Total:	31	3	1	4
AK	•			
AL				
AR				•
AZ		•		
CA			•	
CO				•
CT	•			
DC				
DE	•			
FL	•			

State:	Professional development system - Participates in planning	Professional development system - Licensing not involved	Professional development system - State does not have initiative	Assessing quality with an environment rating scale - Licensing agency leads the initiative
GA				
HI	•			
IA	•			
ID				
IL	•			
IN				•
KS	•			
KY		•		
LA	•			
MA				
MD				
ME	•			
MI	•			
MN	•			
MO	•			
MS	•			
MT	•			
NC	•			•
ND	•			
NE	•			
NH	•			
NJ		•		
NM				
NV	•			
NY	•			
OH	•			
OK	•			
OR				
PA				
RI	•			
SC				
SD				
TN	•			
TX	•			
UT	•			
VA	•			
VT	•			
WA				
WI	•			
WV				
WY	•			

State:	Assessing quality with an environment rating scale - In same agency as licensing	Assessing quality with an environment rating scale - Participates in planning	Assessing quality with an environment rating scale - Licensing not involved	Assessing quality with an environment rating scale - State does not have initiative
Total:	14	15	8	7
AK		•		
AL	•			
AR				
AZ			•	
CA				•
CO				
CT			•	
DC				
DE			•	
FL			•	
GA	•			
HI		•		
IA				•
ID				
IL		•		
IN				
KS				•
KY			•	
LA	•			
MA	•			
MD	•			
ME		•		
MI			•	
MN		•		
MO			•	
MS		•		
MT			•	
NC				
ND		•		
NE		•		
NH		•		
NJ				•
NM	•			
NV		•		
NY		•		
OH				
OK		•		
OR				•
PA	•			
RI		•		
SC	•			
SD		•		
TN	•			

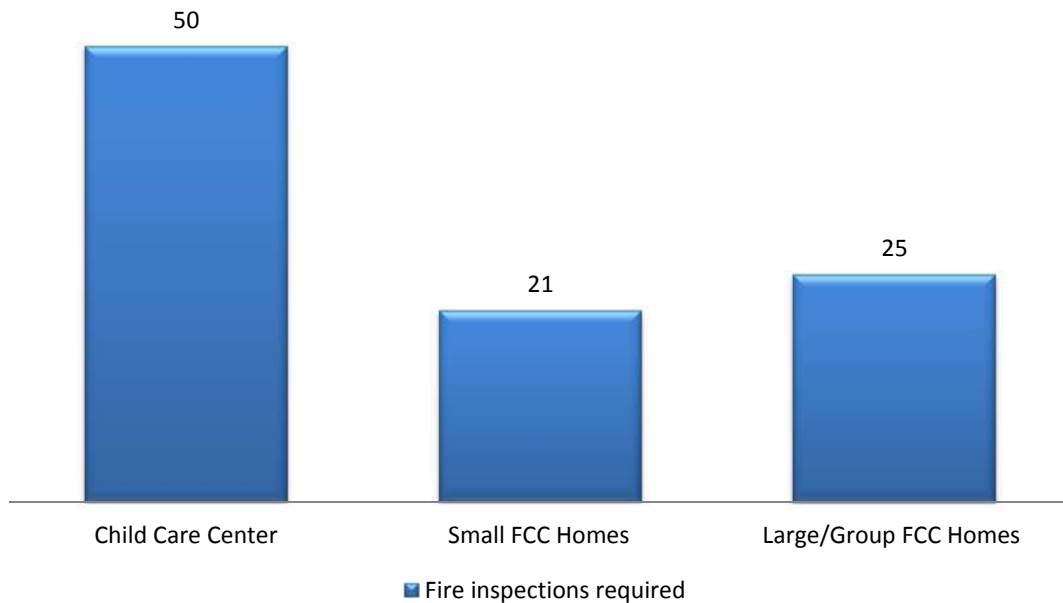
State:	Assessing quality with an environment rating scale - In same agency as licensing	Assessing quality with an environment rating scale - Participates in planning	Assessing quality with an environment rating scale - Licensing not involved	Assessing quality with an environment rating scale - State does not have initiative
TX				•
UT		•		
VA	•			
VT	•			
WA	•			
WI	•			
WV	•			
WY				•

	Leads the initiative	Initiative within same agency	Participates in planning	Not involved	No current initiative
Tiered quality strategy	7	15	18	5	5
Accreditation facilitation project	4	10	11	11	10
Professional development system	5	10	31	3	1
Assessing quality with an environment rating scale	4	14	15	8	7

N=50

Question: Are inspections for fire safety required for child care facilities?

Analysis: Centers are far more likely than homes to have fire safety inspection requirements.



N=50

Question: How frequently are fire safety inspections required?

Analysis: Fire safety inspections are usually required every year.

	Child Care Center	Small FCC Homes	Large/Group FCC Homes
Once a year	28	8	16
Once every two years	11	4	2
Once every three years	1	1	1
Less than once every three years	0	0	0
Other frequency	13	11	11

N=50

Question: How frequently are environmental health inspections required?

Analysis: Environmental health inspections are usually required every year.

	Child Care Center	Small FCC Homes	Large/Group FCC Homes
Once a year	19	7	11
Once every two years	8	1	2
Once every three years	3	1	1
Less than once every three years	0	0	0
Other frequency	10	6	6

N=38

Question: Are criminal history record checks required?

Analysis: Nearly all states require criminal history background checks, especially in centers.

	Child Care Center	Small FCC Homes	Large/Group FCC Homes
Criminal history check required	47	40	38
State criminal history check required	33	40	38
Federal criminal history check required	41	27	28
Required for all staff in facility	41	35	34
Required for only staff having contact with children	11	9	9
Required for volunteers	38	25	28
Required for household members	18	40	32
Required under other conditions	6	6	6

N=49

Question: Are fingerprint checks required?

Analysis: About half of the states require fingerprint checks.

	Child Care Center	Small FCC Homes	Large/Group FCC Homes
Fingerprint check required	33	26	27
State fingerprint check required	26	21	21
Federal fingerprint check required	32	25	26
Required for all staff in facility	25	19	19
Required for only staff having contact with children	7	7	7
Required for volunteers	21	14	16
Required for household members	12	22	18
Required under other conditions	10	11	10
Electronic fingerprint imaging required	15	11	12
FBI check required	20	18	18

N=40

Question: Are child abuse and neglect registry checks required?

Analysis: Nearly all states require child abuse and neglect registry checks.

	Child Care Center	Small FCC Homes	Large/Group FCC Homes
CAN required	44	41	37
Required for all staff in facility	34	33	29
Required for only staff having contact with children	11	10	9
Required for volunteers	36	29	29
Required for household members	19	39	32
Required under other conditions	1	1	1

N=44

Question: Are sex offender registry checks required?

Analysis: Of the states that responded to the question, nearly all require sex offender registry checks.

	Child Care Center	Small FCC Homes	Large/Group FCC Homes
SOR required	24	23	21
Required for all staff in facility	18	16	16
Required for only staff having contact with children	9	9	7
Required for volunteers	18	16	15
Required for household members	10	22	18
Required under other conditions	1	1	1

N=26

Question: How often are background checks conducted on staff in child care centers and family child care home providers?

Analysis: Background checks are usually required before someone may work in a child care facility.

	Child Care Center	Small FCC Homes	Large/Group FCC Homes
Prior to working in a child care facility	46	39	38
Annually	8	8	7
Once every two years	7	7	7
Once every three years	6	7	4
Once every four years	1	0	1
Once every five years	3	3	3
Other frequency	10	8	8

N=49

Question: What is the state's policy on background checks if child care facility staff change jobs, move to another facility, or have a break in employment?

Analysis: Background checks are usually not portable.

	Child Care Center	Small FCC Homes	Large/Group FCC Homes
New background check conducted	30	25	23
Current background check is portable and moves with individual	15	13	13
Other policy	13	9	9

N=50

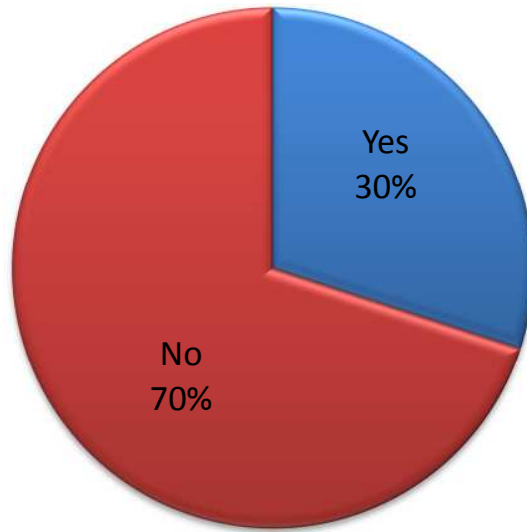
Question: Does the background check system in your state notify your agency when an individual's criminal record has changed?

Analysis: 70% of states do not have automatic notifications of a change in criminal history.

State:	Background check system notifies agency when an individual's criminal record has changed	Yes, the state pays for background check	No, facilities pay for background checks for their staff	No, individuals pay for their own background checks	Other
Total:	15	11	3	22	14
AK	•	•			
AL	•			•	
AR		•			
AZ	•			•	
CA	•			•	
CO	•			•	
CT				•	
DC				•	
DE	•	•			
FL				•	
GA				•	
HI				•	
IA		•			
ID					
IL	•	•			
IN					•
KS					•
KY				•	
LA					•
MA		•			
MD	•			•	
ME					•
MI	•			•	
MN			•		
MO				•	
MS	•				•
MT					•
NC					•
ND		•			
NE					•
NH				•	
NJ	•				•
NM			•		
NV				•	
NY	•				•
OH				•	
OK					•
OR					•

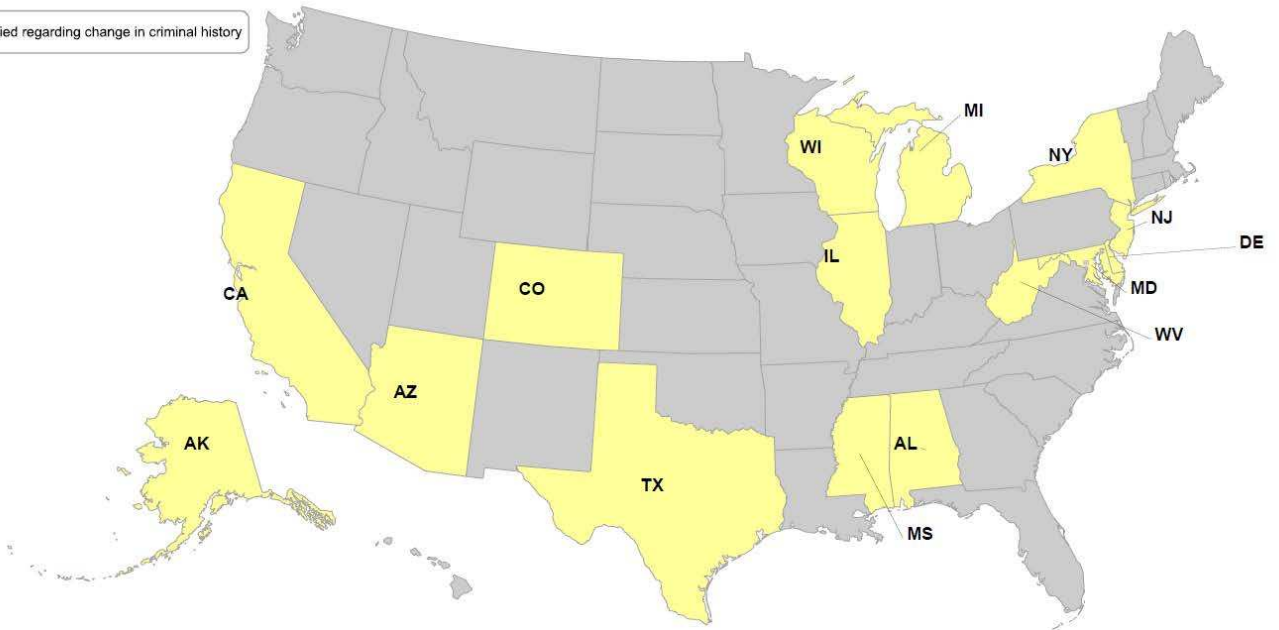
State:	Background check system notifies agency when an individual's criminal record has changed	Yes, the state pays for background check	No, facilities pay for background checks for their staff	No, individuals pay for their own background checks	Other
PA				•	
RI			•		
SC				•	
SD		•			
TN		•			
TX	•			•	
UT					•
VA				•	
VT		•			
WA				•	
WI	•			•	
WV	•				•
WY		•			

Automated Notification of Change in Criminal Status



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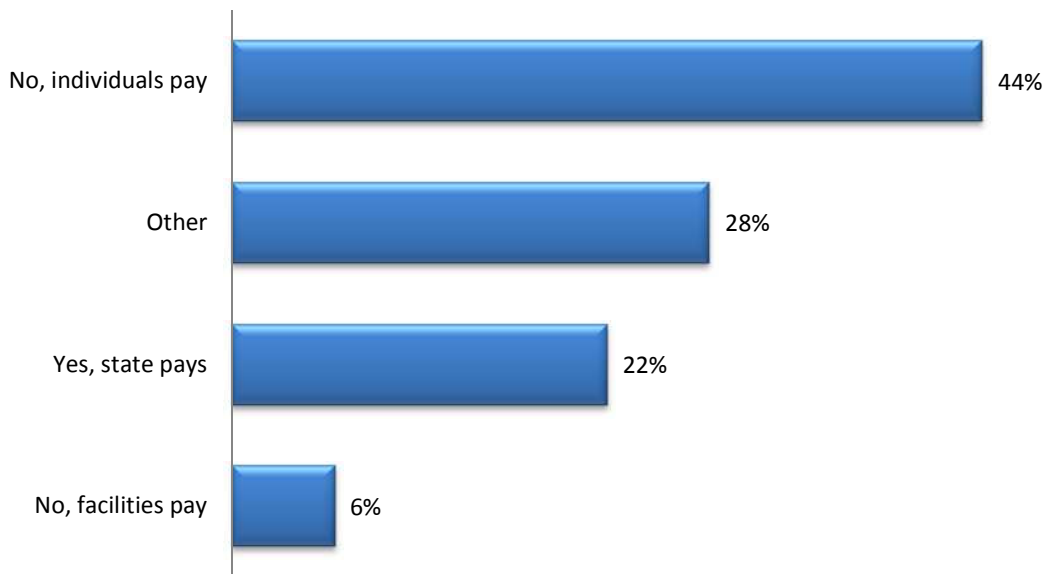
Agency notified regarding change in criminal history



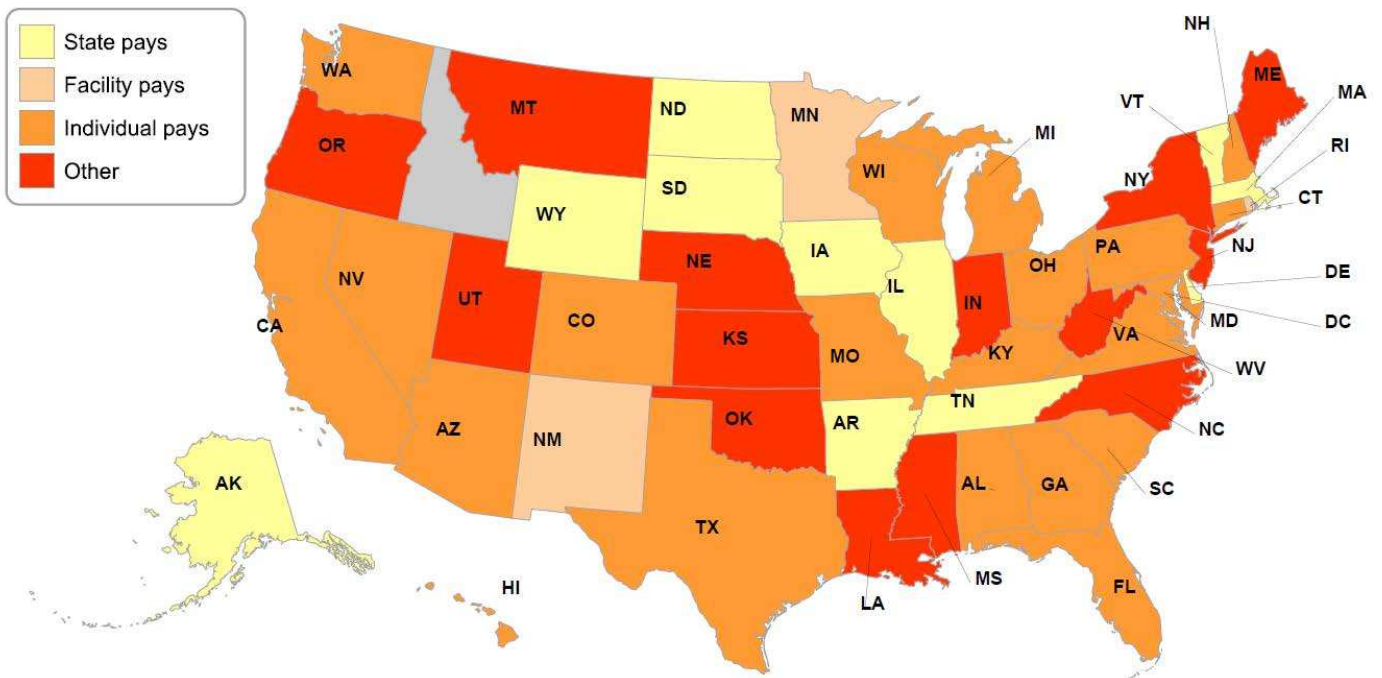
Q90 Reimbursement of Background Check Fee

Question: Does the state pay the costs for individuals to obtain background checks to work in child care facilities?

Analysis: The person who wishes to obtain a background check usually has to pay for it herself.



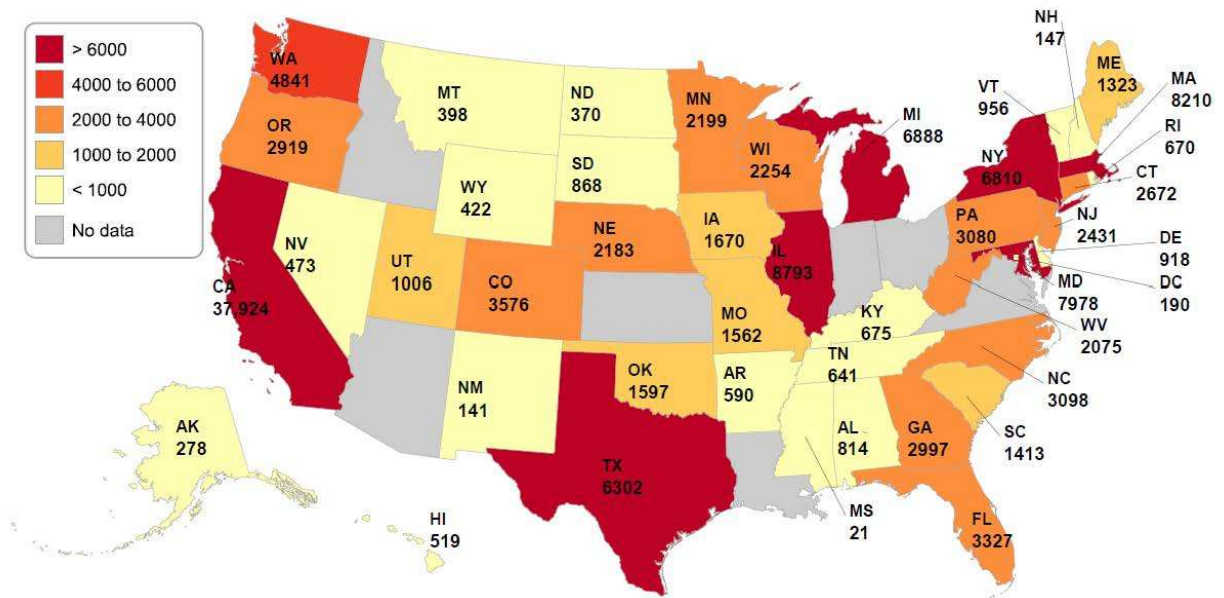
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Section 2: Family Child Day Care Homes

Part A: Survey Responses

Family Child Day Care Homes as of December 31, 2011

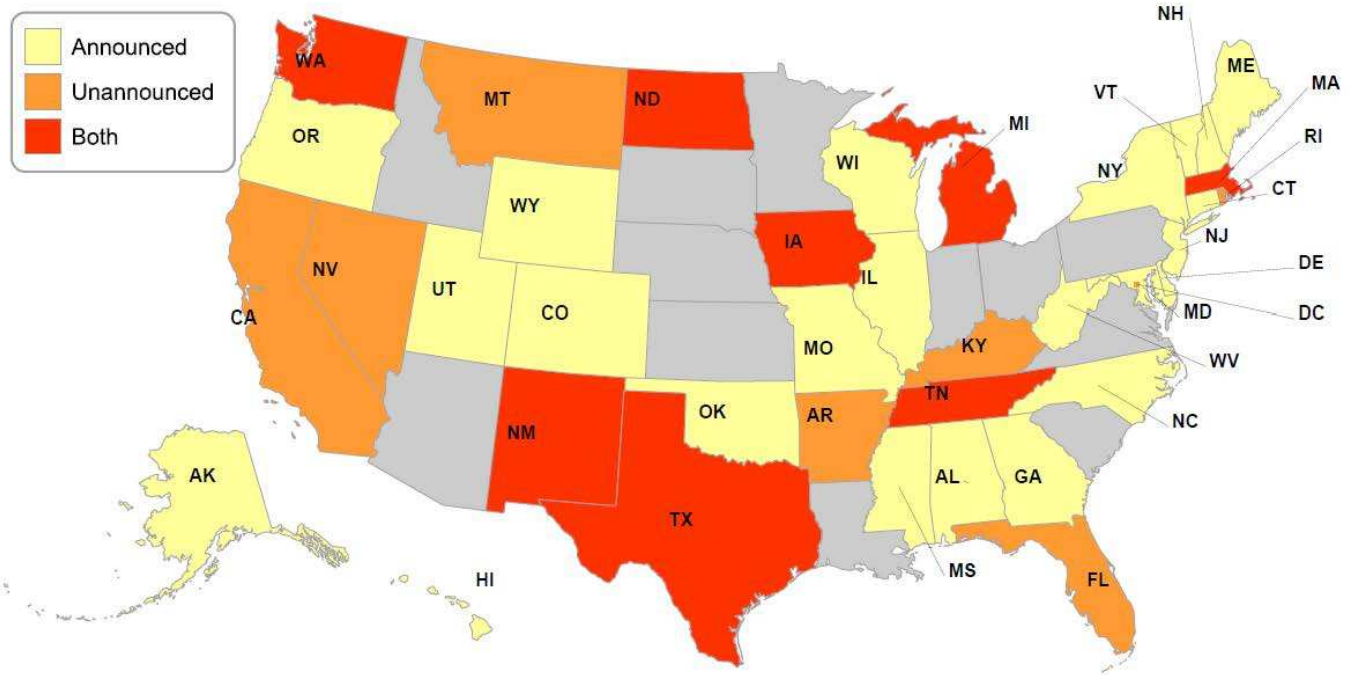


Question: Are inspections to assess compliance conducted of child care programs PRIOR TO ISSUING A LICENSE? Are the inspections conducted announced or unannounced?

Analysis: Announced inspections are usually conducted before a license is issued.

State:	Inspections prior to issuing a license are conducted	Inspections are announced	Inspections are unannounced
Total:	36	31	16
AK	•	•	
AL	•	•	
AR	•		•
AZ			
CA	•		•
CO	•	•	
CT	•	•	
DC	•		•
DE	•	•	
FL	•		•
GA	•	•	

State:	Inspections prior to issuing a license are conducted	Inspections are announced	Inspections are unannounced
HI	•	•	
IA		•	•
ID			
IL	•	•	
IN			
KS			
KY	•		•
LA			
MA	•	•	•
MD	•	•	
ME	•	•	
MI		•	•
MN	•		
MO	•	•	
MS	•	•	
MT			•
NC	•	•	
ND	•	•	•
NE			
NH	•	•	
NJ	•	•	
NM	•	•	•
NV	•		•
NY	•	•	
OH			
OK	•	•	
OR	•	•	
PA			
RI	•		•
SC			
SD			
TN	•	•	•
TX	•	•	•
UT	•	•	
VA			
VT	•	•	
WA	•	•	•
WI	•	•	
WV		•	
WY	•	•	

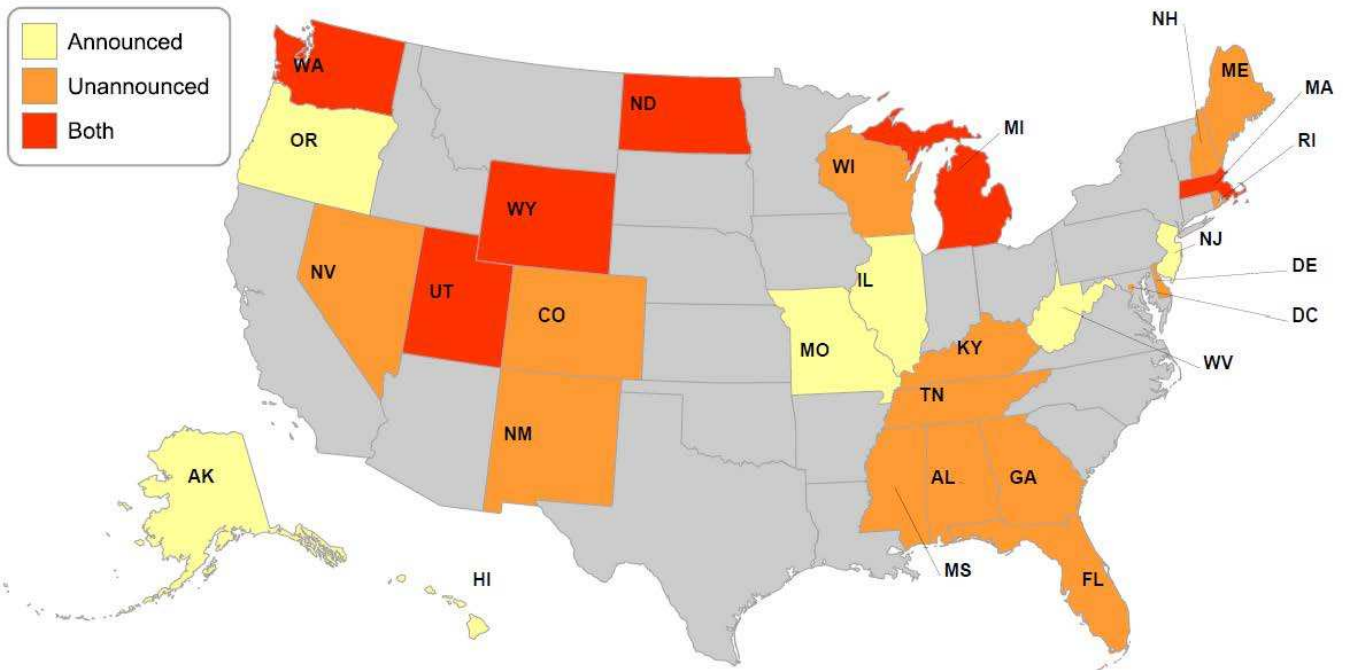


Question: Are inspections to assess compliance conducted of child care programs for LICENSE RENEWAL? Are inspections conducted announced or unannounced?

Analysis: More than half of the responding states renew licenses through an inspection process.

State:	Licenses are renewed	Inspections for license renewal are conducted	Inspections are announced	Inspections are unannounced
Total:	30	26	14	20
AK	•	•	•	
AL	•	•		•
AR				
AZ				
CA	•			
CO	•	•		•
CT				
DC	•	•		•
DE	•	•		•
FL	•	•		•
GA	•	•		•
HI	•	•	•	
IA				
ID				
IL	•	•	•	
IN				
KS				
KY	•	•		•
LA				
MA	•	•	•	•
MD				
ME	•	•		•
MI	•		•	•
MN		•		
MO	•	•	•	
MS	•	•		•
MT	•			
NC				
ND	•	•	•	•
NE				
NH	•			•
NJ	•	•	•	
NM	•	•		•
NV	•	•		•
NY	•			
OH				
OK				
OR	•	•	•	
PA				

State:	Licenses are renewed	Inspections for license renewal are conducted	Inspections are announced	Inspections are unannounced
RI		•		•
SC				
SD				
TN	•	•	•	
TX				
UT	•	•	•	•
VA				
VT				
WA	•	•	•	•
WI	•			•
WV	•	•	•	
WY	•	•	•	•

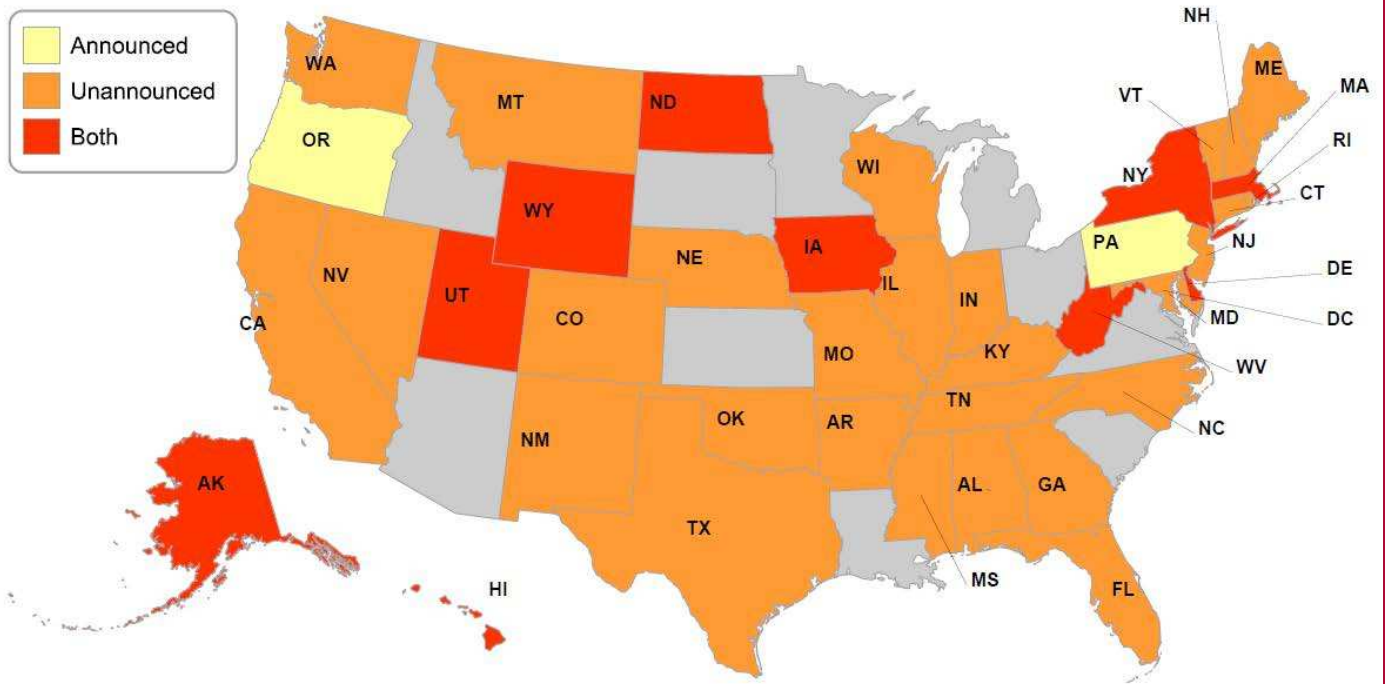


Question: Are ROUTINE INSPECTIONS conducted on child care programs to review compliance with the regulations? Are inspections conducted announced or unannounced?

Analysis: Almost every state conducts unannounced inspections to measure compliance.

State:	Routine inspections for compliance are conducted	Inspections are announced	Inspections are unannounced
Total:	40	12	39
AK	•	•	•
AL	•		•
AR	•		•
AZ			
CA	•		•
CO	•		•
CT	•		•
DC	•		•
DE	•	•	•
FL	•		•
GA	•		•
HI	•	•	•
IA		•	•
ID			
IL	•		•
IN	•		•
KS			
KY	•		•
LA			
MA	•	•	•
MD	•		•
ME	•		•
MI			
MN	•		
MO	•		•
MS	•		•
MT	•		•
NC	•		•
ND	•	•	•
NE	•		•
NH	•		•
NJ	•		•
NM	•		•
NV	•		•
NY	•	•	•
OH			
OK	•		•
OR	•	•	

State:	Routine inspections for compliance are conducted	Inspections are announced	Inspections are unannounced
PA		•	
RI	•		•
SC			
SD			
TN	•		•
TX	•		•
UT	•	•	•
VA			
VT	•		•
WA	•		•
WI	•		•
WV	•	•	•
WY	•	•	•

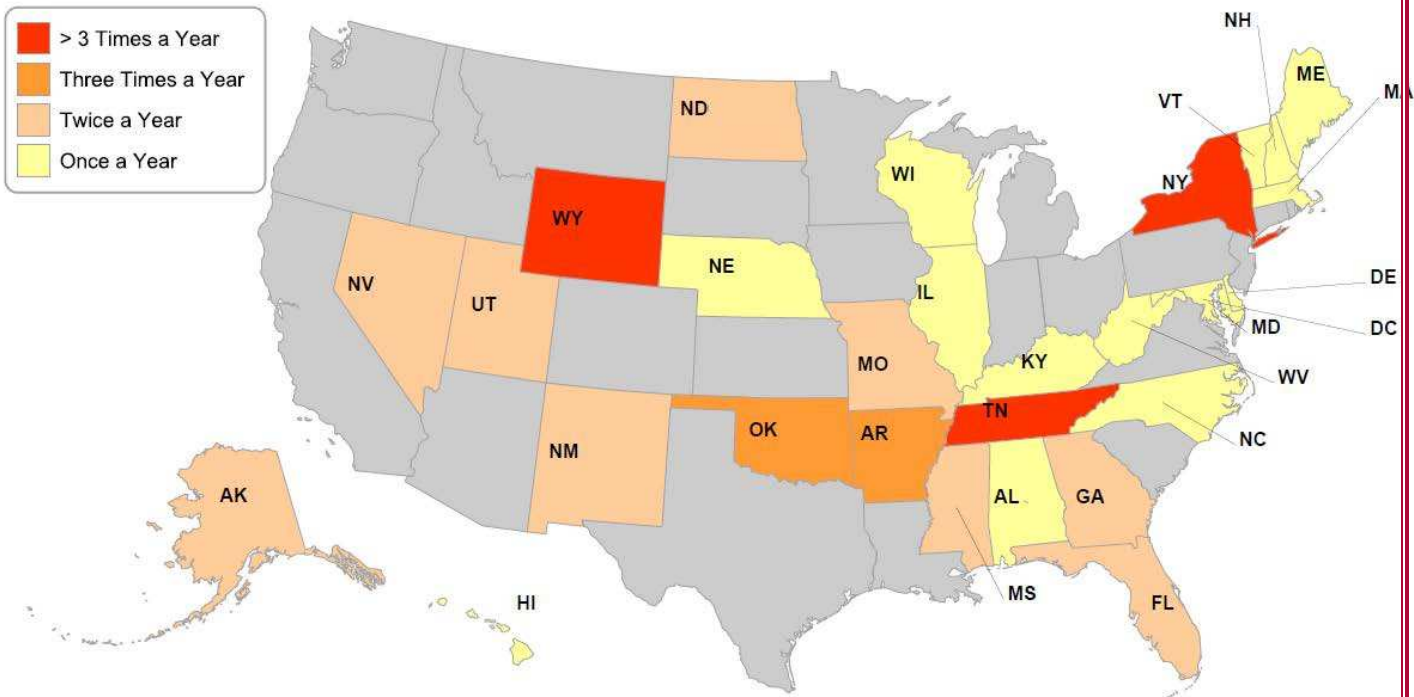


Question: Once a license is issued, how often are licensing inspections conducted for each facility type?

Analysis: Most states inspect licensed homes once a year.

State:	More than three times a year	Three times a year	Twice a year	Once a year	Once every two years	Once every three years	Less than once every three years
Total:	4	2	9	15	6	1	1
AK	•		•				
AL				•			
AR		•					
AZ							
CA							•
CO							
CT						•	
DC				•			
DE				•			
FL			•				
GA			•				
HI				•			
IA							
ID							
IL				•			
IN							
KS							
KY				•			
LA							
MA				•			
MD				•			
ME				•			
MI							
MN					•		
MO			•				
MS			•				
MT							
NC				•			
ND			•				
NE				•			
NH				•			
NJ					•		
NM			•				
NV			•				
NY	•						
OH							
OK		•					
OR					•		
PA							
RI					•		

State:	More than three times a year	Three times a year	Twice a year	Once a year	Once every two years	Once every three years	Less than once every three years
SC							
SD							
TN	•						
TX					•		
UT			•				
VA							
VT				•			
WA					•		
WI				•			
WV				•			
WY	•						

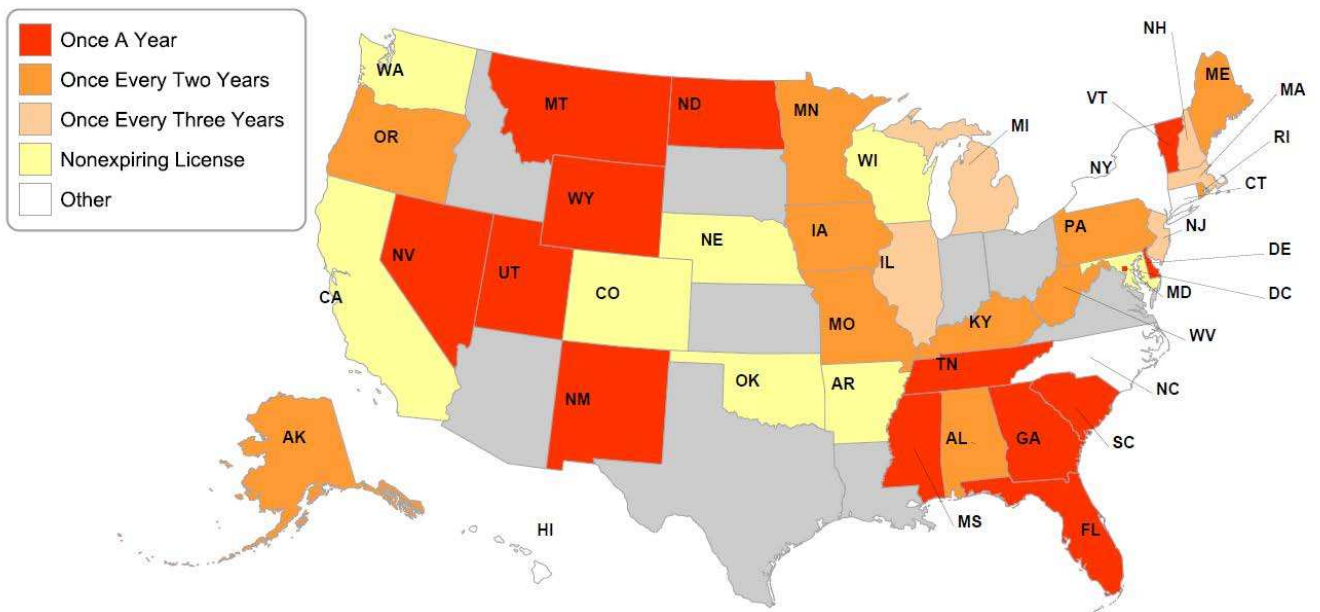


Question: After initial licensure, how often are licenses renewed? Applies to certification or registration, if appropriate.

Analysis: Licenses and certificates are usually renewed at least every two years.

State:	Once a year	Once every two years	Once every three years	Nonexpiring license	Other frequency
Total:	14	12	5	8	4
AK		•			
AL		•			
AR				•	
AZ					
CA				•	
CO				•	
CT					•
DC	•				
DE	•				
FL	•				
GA	•				
HI					•
IA		•			
ID					
IL			•		
IN					
KS					
KY		•			
LA					
MA			•		
MD				•	
ME		•			
MI			•		
MN		•			
MO		•			
MS	•				
MT	•				
NC					•
ND	•	•			
NE				•	
NH			•		
NJ			•		
NM	•				
NV	•				
NY					•
OH					
OK				•	
OR		•			
PA		•			
RI		•			
SC	•				
SD					

State:	Once a year	Once every two years	Once every three years	Nonexpiring license	Other frequency
TN	•				
TX					
UT	•				
VA					
VT	•				
WA				•	
WI				•	
WV		•			
WY	•				

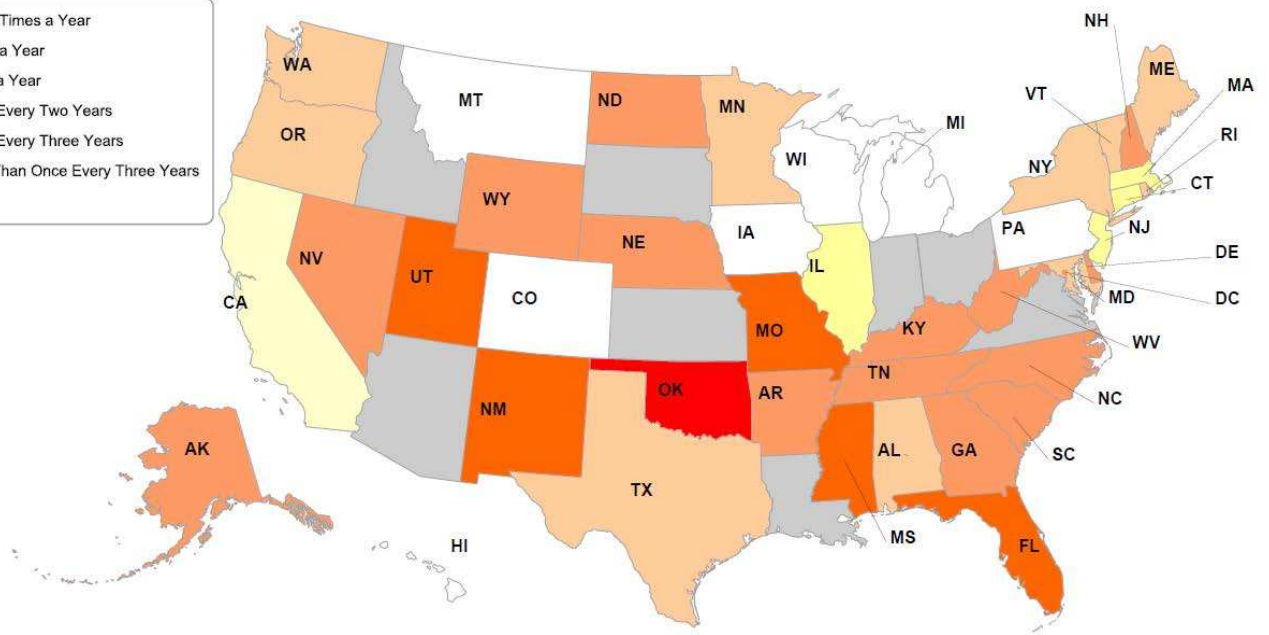


Question: How often does the state conduct a FULL COMPLIANCE REVIEW of homes?

Analysis: Homes are inspected for full compliance at least every 2 years.

State:	Three times a year	Twice a year	Once a year	Once every two years	Once every three years	Less than once every three years	Other frequency
Total:	1	5	15	10	4	1	9
AK			•				
AL				•			
AR			•				
AZ							
CA						•	
CO							•
CT					•		
DC			•				
DE			•				
FL		•					
GA			•				
HI							•
IA							•
ID							
IL					•		
IN							
KS							
KY			•				
LA							
MA					•		
MD				•			
ME				•			
MI							•
MN				•			
MO		•					
MS		•					
MT							•
NC			•				
ND			•				
NE			•				
NH			•				
NJ					•		
NM		•					
NV			•				
NY				•			•
OH							
OK	•						
OR				•			
PA							•
RI				•			
SC			•				

State:	Three times a year	Twice a year	Once a year	Once every two years	Once every three years	Less than once every three years	Other frequency
SD							
TN			•				
TX				•			
UT		•					
VA							
VT				•			
WA				•			•
WI							•
WV			•				
WY			•				

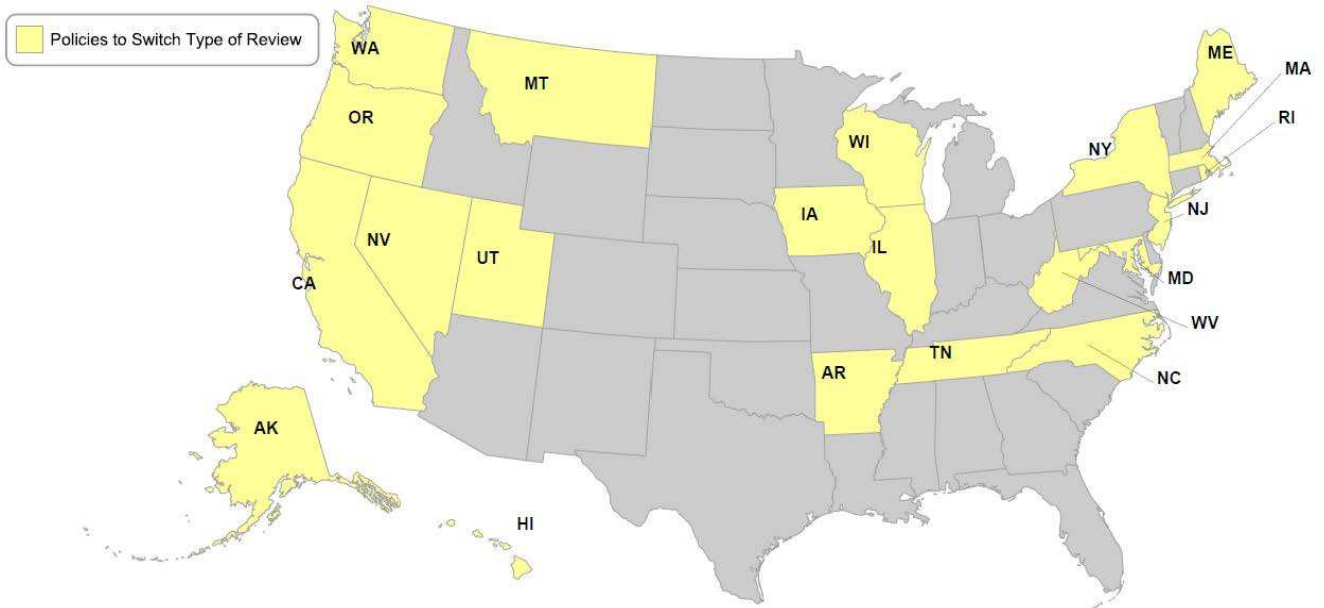


Question: Does the state have policies on determining when to switch from an abbreviated compliance review to a full compliance review? Does the state have a system of weighted licensing requirements where rules are ranked for relative risk of harm? Does the state have a system of differential monitoring based on compliance records for each type of child care facility?

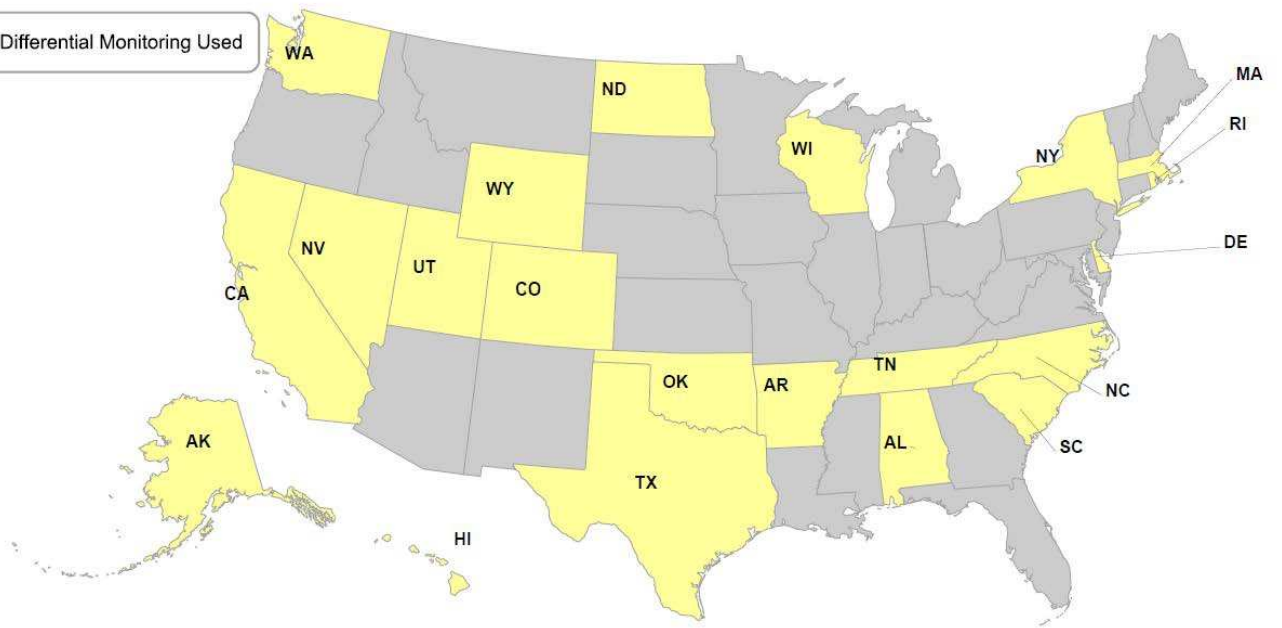
Analysis: Less than half of the states use some type of differential monitoring system.

State:	State has policies on when to switch type of review	State has system of weighted licensing requirements where rules are ranked for relative risk of harm	Differential monitoring used
Total:	21	13	21
AK	•		•
AL			•
AR	•		•
AZ			
CA	•	•	•
CO		•	•
CT			
DC			
DE			•
FL		•	
GA		•	
HI	•		•
IA	•		
ID			
IL	•		
IN			
KS			
KY			
LA			
MA	•		•
MD	•		
ME	•	•	
MI			
MN			
MO			
MS			
MT	•		
NC	•	•	•
ND			•
NE			
NH			
NJ	•		
NM			
NV	•		•
NY	•		•
OH		•	
OK		•	•
OR	•	•	

State:	State has policies on when to switch type of review	State has system of weighted licensing requirements where rules are ranked for relative risk of harm	Differential monitoring used
PA			
RI	•	•	•
SC			•
SD			
TN	•		•
TX		•	•
UT	•	•	•
VA		•	
VT			
WA	•		•
WI	•		•
WV	•		
WY			•



Differential Monitoring Used

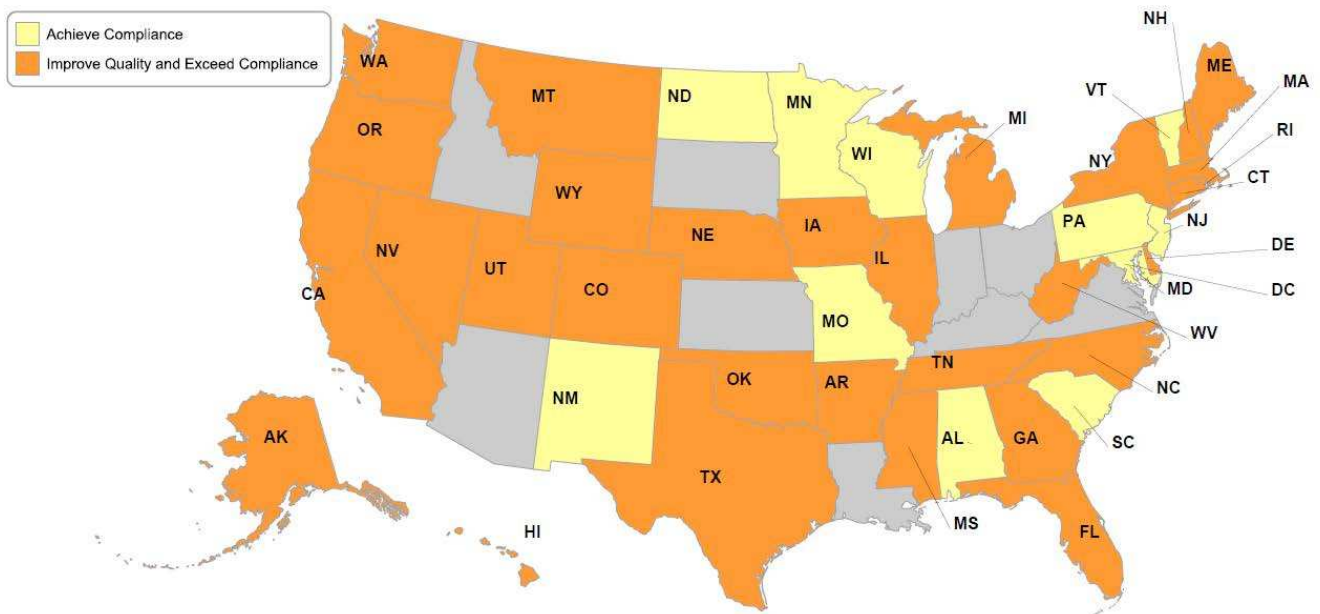


Question: Do child care licensors provide technical assistance (TA), e.g., guidance, resources, training, and/or consultation to licensees? When do licensors provide technical assistance (TA) and/or consultation? Do licensors ever refer licensees to other agencies, organizations, or people for TA and/or consultation?

Analysis: Technical assistance is frequently provided in a variety of ways.

State:	Licensors provide TA to licensees to help them achieve compliance with the regulations	Licensors provide TA/consultation to licensees to help them improve quality and exceed minimum licensing regulations	During application inspection visits	During routine inspection visits	During renewal inspection visits	On the telephone	Referrals made to other agencies, organizations, or people
Total:	42	30	39	41	30	42	42
AK	•	•	•	•	•	•	•
AL	•		•	•	•	•	•
AR	•	•	•	•		•	•
AZ							
CA	•	•	•	•		•	•
CO	•	•	•	•	•	•	•
CT	•	•	•	•		•	•
DC	•		•	•	•	•	•
DE	•	•	•	•	•	•	•
FL	•	•	•	•	•	•	•
GA	•	•	•	•	•	•	•
HI	•	•	•	•	•	•	•
IA	•	•		•		•	•
ID							
IL	•	•	•	•	•	•	•
IN							
KS							
KY							•
LA							
MA	•	•	•	•	•	•	•
MD	•		•	•		•	•
ME	•	•	•	•	•	•	•
MI	•	•	•	•		•	•
MN	•		•	•	•	•	
MO	•		•	•	•	•	•
MS	•	•	•	•	•	•	•
MT	•	•	•	•	•	•	•
NC	•	•	•	•	•	•	•
ND	•		•	•	•	•	•
NE	•	•	•	•	•	•	•
NH	•	•	•	•	•	•	•
NJ	•		•	•	•	•	•

State:	Licensors provide TA to licensees to help them achieve compliance with the regulations	Licensors provide TA/consultation to licensees to help them improve quality and exceed minimum licensing regulations	During application inspection visits	During routine inspection visits	During renewal inspection visits	On the telephone	Referrals made to other agencies, organizations, or people
NM	•		•	•	•	•	•
NV	•	•	•	•	•	•	•
NY	•	•	•	•	•	•	•
OH							
OK	•	•	•	•		•	•
OR	•	•	•	•	•	•	•
PA	•			•		•	•
RI	•	•	•	•	•	•	•
SC	•					•	•
SD							
TN	•	•	•	•	•	•	•
TX	•	•	•	•		•	•
UT	•	•	•	•	•	•	•
VA							
VT	•		•	•		•	•
WA	•	•	•	•	•	•	•
WI	•		•	•		•	•
WV	•	•	•	•	•	•	•
WY	•	•	•	•	•	•	•

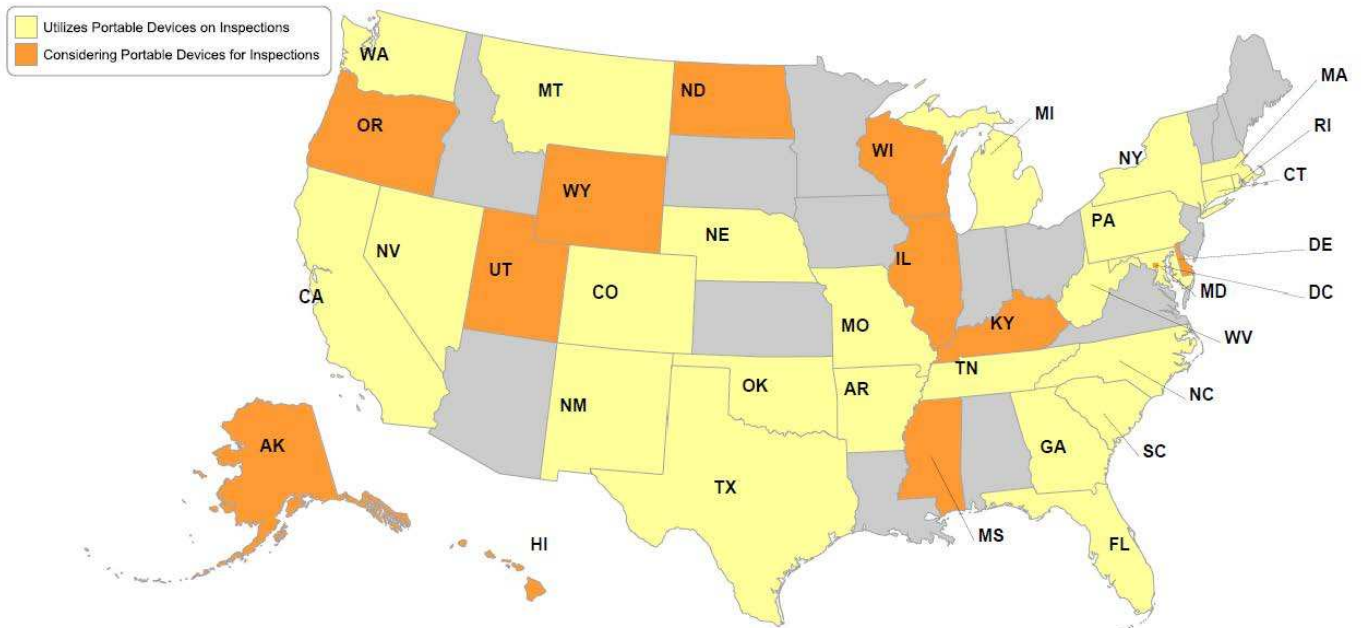


Question: Is your state using, or considering using, portable, handheld devices such as "smart" phones, laptops, portable digital assistants, and/or computer tablets with specific software for capturing information during licensing inspections? Is the state using, or considering using, any other tools/devices (such as laser measuring devices) for inspections and monitoring?

Analysis: While only half of the states use portable devices, many states are considering using technology during the inspection process.

State:	Portable devices used for inspections	State is considering the use of portable devices for inspections	State is using, or considering using, any other tools/devices (such as laser measuring devices) for inspections and monitoring
Total:	24	14	28
AK		•	
AL			
AR	•		•
AZ			•
CA	•	•	
CO	•		•
CT	•		•
DC		•	•
DE		•	•
FL	•		•
GA	•		
HI		•	•
IA			
ID			
IL		•	•
IN			•
KS			•
KY		•	
LA			•
MA	•		
MD	•		•
ME			
MI	•		
MN			
MO	•		
MS		•	•
MT	•		
NC	•		
ND		•	•
NE	•		•
NH			
NJ			
NM	•		•
NV	•		
NY	•		•
OH			•

State:	Portable devices used for inspections	State is considering the use of portable devices for inspections	State is using, or considering using, any other tools/devices (such as laser measuring devices) for inspections and monitoring
OK	•	•	
OR		•	•
PA	•		
RI	•		•
SC	•		•
SD			
TN	•		•
TX	•		•
UT		•	•
VA			•
VT			
WA	•		•
WI		•	
WV	•		•
WY		•	

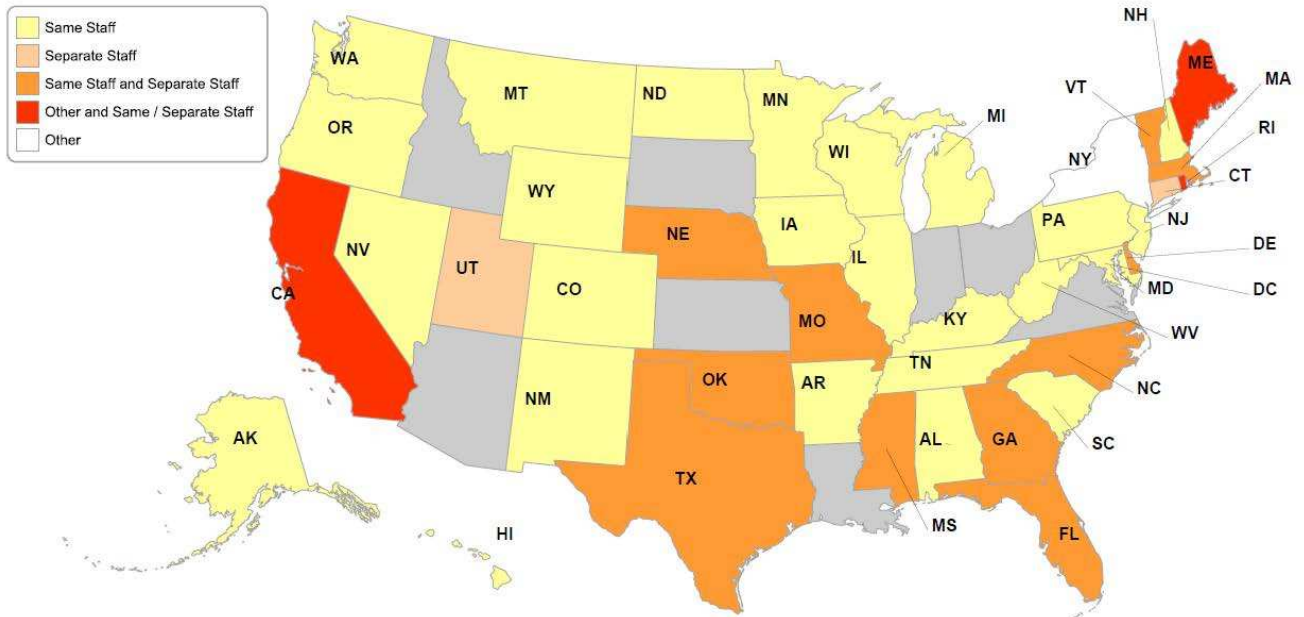


Question: Does the state licensing agency conduct investigations on licensing complaints? If the licensing agency conducts complaint investigations, what staff are used to conduct the investigations? Does the state investigate licensing complaints filed anonymously?

Analysis: In most states, the licensing agency conducts complaint investigations. The investigations are usually performed by the same staff who inspect for routine compliance.

State:	Licensing agency conducts complaint investigations	Same staff who conduct inspections for initial licensure and routine compliance	Separate staff who only work on complaint investigations	Staff from another agency	Other	State investigates anonymous complaints
Total:	43	40	12	2	6	41
AK	•	•				•
AL	•	•				•
AR	•	•				•
AZ						
CA	•	•	•		•	•
CO	•	•				
CT	•		•			•
DC	•	•				•
DE	•	•	•			•
FL	•	•	•			•
GA	•	•	•			•
HI	•	•			•	•
IA	•	•				•
ID						
IL	•	•				•
IN						
KS						
KY	•	•				•
LA						
MA	•	•	•			•
MD	•	•				•
ME	•	•			•	•
MI	•	•				•
MN	•	•				•
MO	•	•		•		•
MS	•	•	•			•
MT	•	•			•	•
NC	•	•	•			•
ND	•	•				•
NE	•	•		•		•
NH	•	•				•
NJ	•	•				•
NM	•	•				•
NV	•	•				•
NY	•				•	•
OH						

State:	Licensing agency conducts complaint investigations	Same staff who conduct inspections for initial licensure and routine compliance	Separate staff who only work on complaint investigations	Staff from another agency	Other	State investigates anonymous complaints
OK	•	•	•			•
OR	•	•				•
PA	•	•				•
RI	•	•			•	•
SC	•	•				•
SD						
TN	•	•				•
TX	•	•	•			•
UT	•		•			
VA						
VT	•	•	•			•
WA	•	•				•
WI	•	•				•
WV	•	•				•
WY	•	•				•

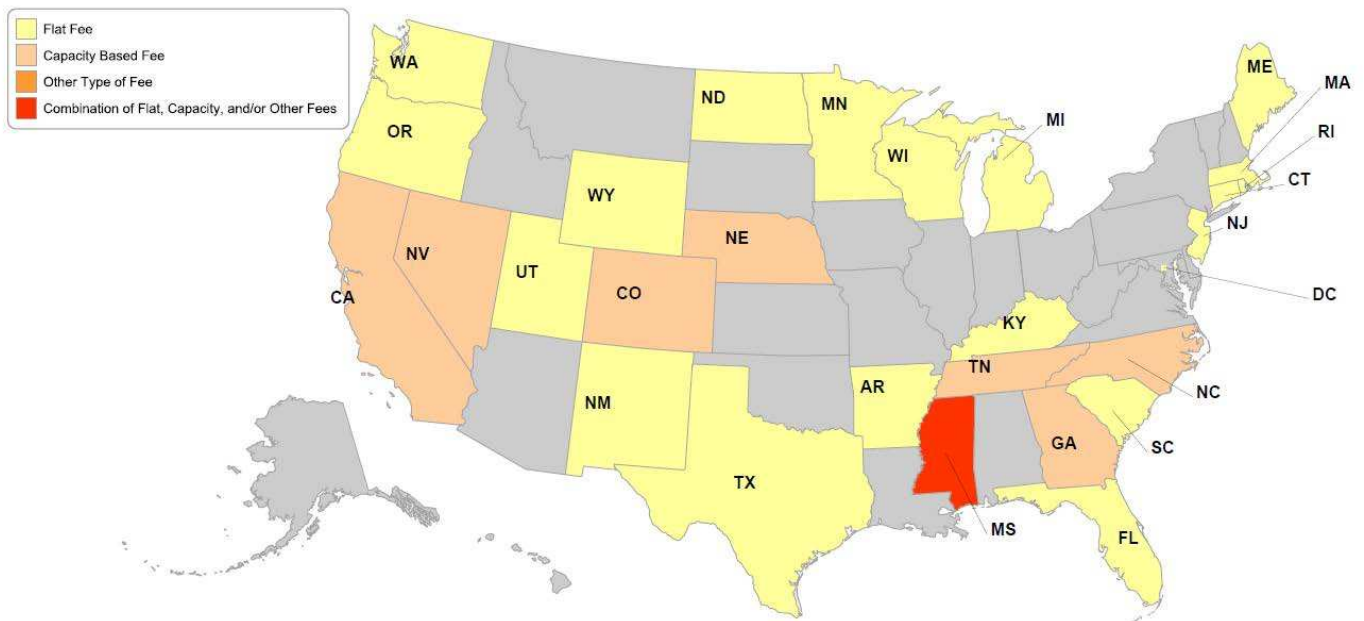


Question: Does the state charge a fee for a license? If fees are charged, how is the fee assessed? Are the revenues collected from licensing fees used to support the licensing agency?

Analysis: Slightly more than half of the states charge a fee for licensure.

State:	Fee charged for license	Flat fee amount for all facilities	Fee is based on the maximum number of children allowed in the facility	Other Fee	Yes, revenues from fees are used to support the licensing agency	No, revenues from fees are put into the state's general fund	Other Use
Total:	27	21	7	1	18	13	8
AK							
AL							
AR	•	•					•
AZ					•		
CA	•		•		•		
CO	•		•		•		
CT	•	•				•	
DC	•	•			•		
DE							
FL	•	•			•		
GA	•		•			•	
HI							
IA					•		
ID							
IL							
IN					•		
KS							•
KY	•	•			•		
LA						•	
MA	•	•				•	
MD							
ME	•	•				•	
MI	•	•			•		
MN		•			•		•
MO							
MS	•	•		•	•		
MT							
NC	•		•		•		
ND	•	•			•		
NE	•		•		•		
NH							
NJ	•	•				•	•
NM	•	•				•	
NV	•		•		•		
NY							
OH						•	
OK							
OR	•	•			•		•
PA							•

State:	Fee charged for license	Flat fee amount for all facilities	Fee is based on the maximum number of children allowed in the facility	Other Fee	Yes, revenues from fees are used to support the licensing agency	No, revenues from fees are put into the state's general fund	Other Use
RI	•	•				•	
SC	•	•			•		
SD							
TN	•		•				•
TX	•	•				•	
UT	•	•				•	
VA							•
VT							
WA	•	•				•	
WI	•	•			•		
WV							
WY	•	•				•	

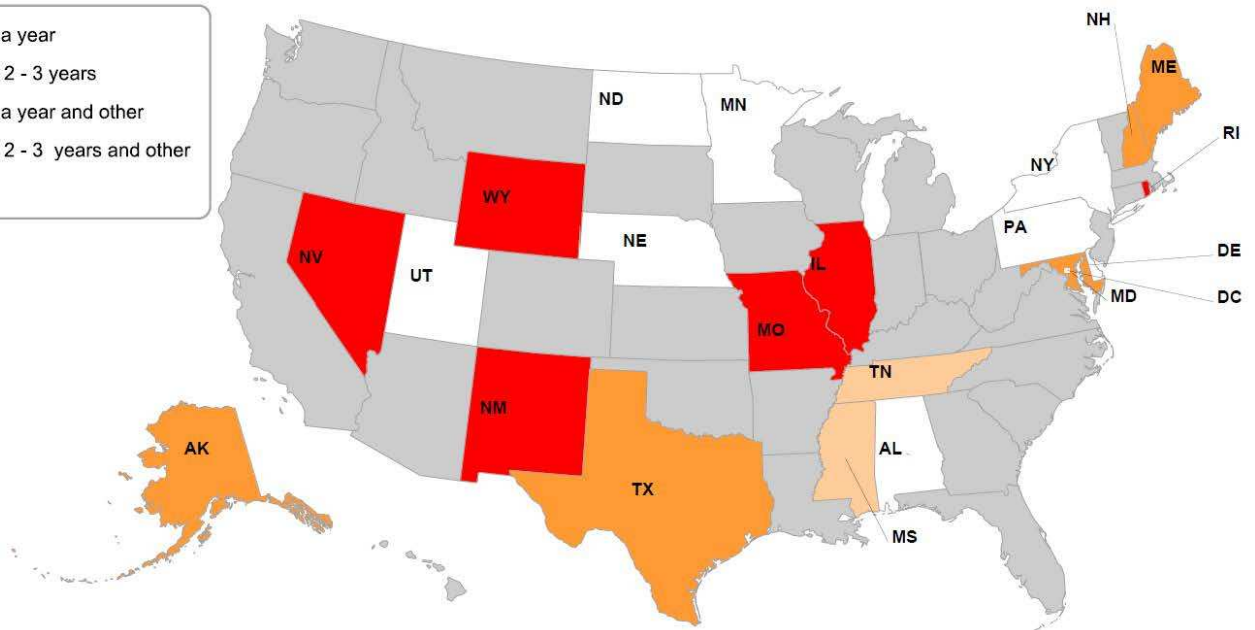


Question: Are inspections for fire safety required for homes? If yes, what is the frequency of fire safety inspections?

Analysis: Fire inspections are required in about half of all states.

State:	Fire inspections required	Once a year	Once every two years	Once every three years	Less than once every three years	Other frequency
Total:	21	8	4	1	0	11
AK	•		•			
AL	•					•
AR						
AZ						
CA						
CO						
CT						
DC	•					•
DE	•					•
FL						
GA						
HI						
IA						
ID						
IL	•	•				
IN						
KS						
KY						
LA						
MA						
MD	•		•			
ME	•		•			
MI						
MN						•
MO	•	•				
MS	•	•				•
MT						
NC						
ND	•					•
NE	•					•
NH	•			•		
NJ						
NM	•	•				
NV	•	•				
NY	•					•
OH						
OK						
OR						
PA	•					•
RI	•	•				
SC						

State:	Fire inspections required	Once a year	Once every two years	Once every three years	Less than once every three years	Other frequency
SD						
TN	•	•				•
TX			•			
UT	•					•
VA						
VT	•					
WA						
WI						
WV						
WY	•	•				

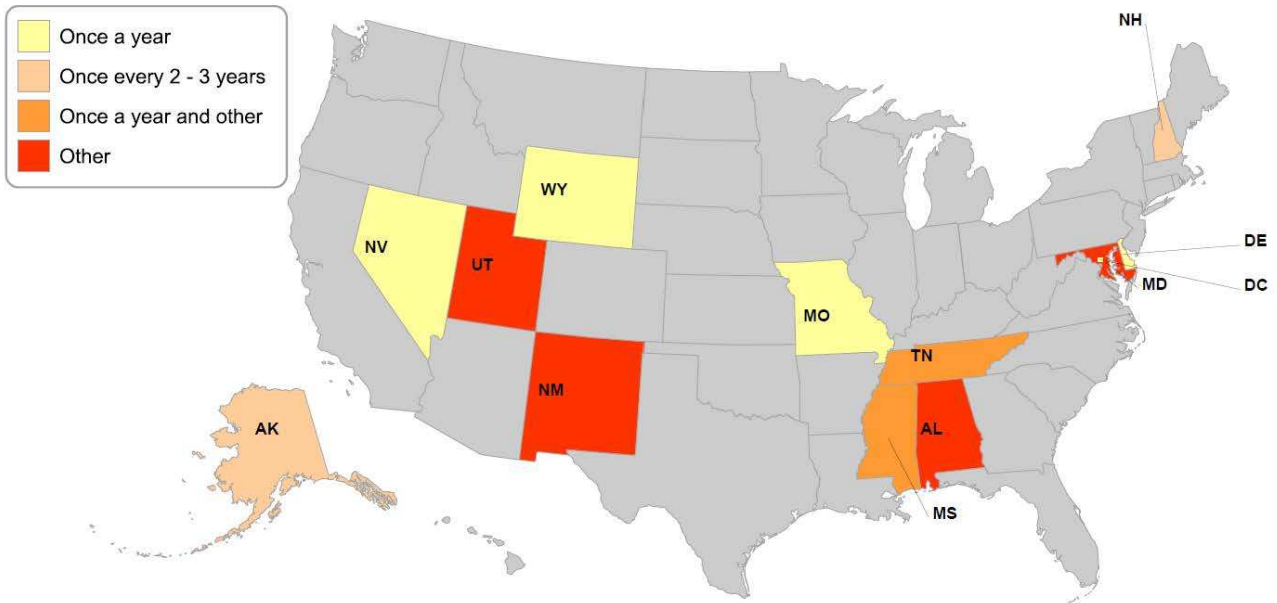


Question: Are environmental health inspections required for homes? If yes, what is the frequency of environmental health inspections?

Analysis: Few states require environmental health inspections separate from the licensing process.

State:	Health inspections required	Once a year	Once every two years	Once every three years	Less than once every three years	Other frequency
Total:	12	7	1	1	0	6
AK	•		•			
AL						•
AR						
AZ						
CA						
CO						
CT						
DC	•	•				
DE		•				
FL						
GA						
HI						
IA						
ID						
IL						
IN						
KS						
KY						
LA						
MA						
MD	•					•
ME						
MI	•					
MN						
MO	•	•				
MS	•	•				•
MT						
NC						
ND						
NE						
NH	•			•		
NJ						
NM	•					•
NV	•	•				
NY						
OH						
OK						
OR						
PA						
RI						

State:	Health inspections required	Once a year	Once every two years	Once every three years	Less than once every three years	Other frequency
SC						
SD						
TN	•	•				•
TX						
UT	•					•
VA						
VT						
WA						
WI						
WV						
WY	•	•				



Question: Does the state require background checks for family child care providers?

Analysis: Background checks are required in nearly every state.

State:	Background checks required	CRIMINAL HISTORY RECORD (CHR) CHECKS ARE REQUIRED	State CHR required	Federal CHR required
Total:	43	40	40	27
AK	•	•	•	•
AL	•	•	•	•
AR	•	•	•	•
AZ				
CA	•	•	•	•
CO	•	•	•	•
CT	•	•	•	•
DC	•	•		
DE	•	•	•	•
FL	•	•	•	•
GA	•	•	•	•
HI	•	•	•	•
IA	•	•	•	
ID				
IL	•	•	•	•
IN				
KS	•	•	•	
KY	•	•	•	
LA				
MA	•	•	•	
MD	•	•	•	•
ME	•	•	•	
MI	•	•	•	•
MN	•		•	
MO	•	•	•	
MS	•	•	•	•
MT	•	•	•	
NC	•	•	•	•
ND	•	•	•	•
NE				
NH	•	•	•	•
NJ	•			
NM	•	•	•	•
NV	•	•	•	•
NY	•	•	•	
OH				
OK	•	•	•	
OR	•	•	•	•
PA	•	•	•	•
RI	•	•	•	•
SC	•	•	•	•

State:	Background checks required	CRIMINAL HISTORY RECORD (CHR) CHECKS ARE REQUIRED	State CHR required	Federal CHR required
SD				
TN	•	•	•	•
TX	•	•	•	
UT	•	•	•	•
VA				
VT	•	•	•	
WA	•	•	•	•
WI	•	•	•	
WV	•	•	•	•
WY	•			

Question: Are criminal history record checks required?

Analysis: Criminal history checks are usually required.

State:	CHR required for all staff in facility	CHR required only for staff having contact with children	CHR required for volunteers	CHR required for household members (for FCC homes)	CHR required under certain conditions (e.g., those who have lived in state for a certain period of time)
Total:	35	9	25	40	6
AK	•	•	•	•	
AL	•		•	•	
AR	•		•	•	
AZ					
CA		•	•	•	
CO	•			•	•
CT	•			•	
DC	•				
DE	•		•	•	
FL	•		•	•	
GA	•			•	
HI	•	•	•	•	
IA	•			•	
ID					
IL	•			•	•
IN					
KS	•		•	•	
KY		•	•	•	
LA					
MA	•		•	•	
MD	•			•	
ME				•	
MI	•			•	
MN		•		•	
MO	•		•	•	
MS	•		•	•	
MT	•		•	•	•
NC	•			•	
ND	•	•	•	•	•
NE					
NH		•	•	•	
NJ					
NM	•		•	•	
NV	•	•	•	•	•
NY	•		•	•	
OH					
OK	•		•	•	
OR	•		•	•	
PA		•		•	

State:	CHR required for all staff in facility	CHR required only for staff having contact with children	CHR required for volunteers	CHR required for household members (for FCC homes)	CHR required under certain conditions (e.g., those who have lived in state for a certain period of time)
RI	•		•	•	
SC	•			•	
SD					
TN	•		•	•	
TX	•			•	
UT	•		•	•	
VA					
VT	•		•	•	
WA	•		•	•	
WI	•			•	
WV	•			•	
WY					•

Question: Are fingerprint checks required?

Analysis: About half of the states require fingerprint checks in homes.

State:	FINGERPRINT CHECK (FR) REQUIRED	State FR required	Federal FR required	FR required for all staff in facility	FR required only for staff having contact with children
Total:	26	21	25	19	7
AK	•	•	•	•	•
AL	•	•	•	•	
AR			•		
AZ					
CA	•	•	•		•
CO	•	•	•	•	
CT	•	•	•	•	
DC	•			•	
DE	•	•	•	•	
FL	•		•	•	
GA	•	•	•		
HI	•	•	•	•	•
IA					
ID					
IL	•	•	•	•	
IN					
KS					
KY					
LA					
MA					
MD	•	•	•	•	
ME					
MI	•	•	•		
MN					
MO					
MS	•	•	•	•	
MT					
NC	•	•	•	•	
ND	•	•	•		
NE					
NH	•		•		•
NJ					
NM	•	•	•	•	
NV	•	•	•	•	•
NY	•	•		•	
OH					
OK					
OR					
PA	•		•		•
RI	•		•		•

State:	FINGERPRINT CHECK (FR) REQUIRED	State FR required	Federal FR required	FR required for all staff in facility	FR required only for staff having contact with children
SC	•	•	•	•	
SD					
TN	•	•	•	•	
TX					
UT					
VA					
VT					
WA	•	•	•	•	
WI					
WV	•	•	•	•	
WY					

State:	FR required for volunteers	FR required for household members (for FCC homes)	FR required under certain conditions (e.g., those who have lived in state for a certain period of time)	Electronic fingerprint imaging (LIVE SCAN) required	FBI check required
Total:	14	22	11	11	18
AK	•	•			•
AL	•	•			
AR			•		
AZ					
CA	•	•		•	
CO	•	•		•	•
CT		•			•
DC					
DE	•	•			•
FL	•	•		•	•
GA				•	
HI	•	•			
IA					
ID					
IL		•	•	•	•
IN					
KS					
KY					
LA					
MA					
MD		•			
ME					
MI				•	•
MN			•		
MO					

State:	FR required for volunteers	FR required for household members (for FCC homes)	FR required under certain conditions (e.g., those who have lived in state for a certain period of time)	Electronic fingerprint imaging (LIVE SCAN) required	FBI check required
MS	•	•			•
MT			•		
NC		•			
ND			•		•
NE					
NH	•	•			•
NJ					
NM	•	•			•
NV	•	•	•		
NY	•	•		•	
OH					•
OK					
OR			•		
PA		•		•	•
RI		•			•
SC		•		•	•
SD					
TN	•	•		•	•
TX			•		
UT			•		
VA					
VT					
WA	•	•		•	•
WI			•		
WV		•			•
WY			•		

Question: Are child abuse and neglect registry checks required?

Analysis: Child abuse checks are required in nearly every state.

State	CHILD ABUSE AND NEGLECT REGISTRY CHECK (CAN) REQUIRED	CAN required for all staff in facility	CAN required only for staff having contact with children	CAN required for volunteers	CAN required for household members (for FCC homes)	CAN required under certain conditions (e.g., those who have lived in state for a certain period of time)
Total:	41	33	10	29	39	1
AK	•	•	•	•	•	
AL	•	•		•	•	
AR	•	•		•	•	
AZ						
CA	•		•	•	•	
CO	•	•		•	•	
CT	•	•			•	
DC	•					
DE	•	•		•	•	
FL	•				•	
GA						
HI	•	•	•	•	•	
IA	•	•			•	
ID						
IL	•	•		•	•	
IN						
KS	•	•		•	•	
KY	•		•	•	•	
LA						
MA	•	•		•	•	
MD	•	•			•	
ME	•	•	•	•	•	
MI	•		•	•	•	
MN						
MO	•	•		•	•	
MS	•	•		•	•	
MT	•	•		•	•	
NC						
ND	•	•	•	•	•	
NE	•	•		•	•	
NH	•	•	•	•	•	
NJ	•	•			•	
NM	•	•		•	•	
NV	•	•	•	•	•	•
NY	•	•		•	•	
OH						
OK	•				•	
OR	•	•		•	•	

State	CHILD ABUSE AND NEGLECT REGISTRY CHECK (CAN) REQUIRED	CAN required for all staff in facility	CAN required only for staff having contact with children	CAN required for volunteers	CAN required for household members (for FCC homes)	CAN required under certain conditions (e.g., those who have lived in state for a certain period of time)
PA	•		•		•	
RI	•	•		•	•	
SC	•	•		•	•	
SD						
TN	•	•		•	•	
TX	•	•		•	•	
UT	•					
VA						
VT	•	•		•	•	
WA	•	•		•	•	
WI	•	•			•	
WV	•	•			•	
WY	•	•			•	

Question: Are sex offender registry checks required?

Analysis: About half of the states require sex offender checks.

State	SEX OFFENDER REGISTRY CHECK (SOR) REQUIRED	SOR required for all staff in facility	SOR required only for staff having contact with children	SOR required for volunteers	SOR required for household members (for FCC homes)	SOR required under certain conditions (e.g., those who have lived in state for a certain period of time)
Total:	23	16	9	16	22	1
AK	•	•	•	•	•	
AL						
AR						
AZ						
CA	•		•	•	•	
CO	•	•			•	
CT						
DC						
DE						
FL	•					
GA	•	•			•	
HI	•	•	•	•	•	
IA	•	•		•	•	
ID						
IL	•	•	•	•	•	
IN						
KS						
KY	•		•	•	•	
LA						
MA	•				•	
MD						
ME	•		•	•	•	
MI	•				•	
MN						
MO	•	•		•	•	
MS	•	•		•	•	
MT						
NC						
ND	•	•	•	•	•	
NE						
NH	•		•	•	•	
NJ						
NM						
NV						
NY						
OH						
OK	•	•	•	•	•	
OR		•		•	•	

State	SEX OFFENDER REGISTRY CHECK (SOR) REQUIRED	SOR required for all staff in facility	SOR required only for staff having contact with children	SOR required for volunteers	SOR required for household members (for FCC homes)	SOR required under certain conditions (e.g., those who have lived in state for a certain period of time)
PA						
RI						
SC	•	•		•	•	
SD						
TN	•	•		•	•	
TX						
UT						
VA						
VT						
WA	•	•		•	•	
WI	•	•			•	
WV	•	•			•	
WY	•					•

Question: Are facility staff required to sign a statement about criminal status?

Analysis: Signed statements about criminal status are usually required prior to working in a home.

State:	SIGNED STATEMENT ABOUT CRIMINAL STATUS	Prior to licensure/working in a child care facility	Annually	Every two years	Every three years
Total:	34	39	8	7	7
AK	•	•			
AL	•	•			
AR	•	•		•	
AZ					
CA	•	•			
CO	•	•			
CT	•	•			
DC		•			
DE	•	•			
FL	•	•			
GA	•	•			
HI	•	•	•		
IA	•	•		•	
ID					
IL	•	•			
IN					
KS	•	•			•
KY		•		•	
LA					
MA	•	•			•
MD		•			
ME	•	•			
MI		•			•
MN		•		•	
MO		•		•	
MS					
MT		•	•		
NC	•	•			•
ND	•	•	•		
NE	•				
NH		•			•
NJ	•	•			•
NM	•	•			
NV	•				
NY	•	•			
OH					
OK	•	•	•		
OR	•	•			
PA		•			
RI	•	•		•	

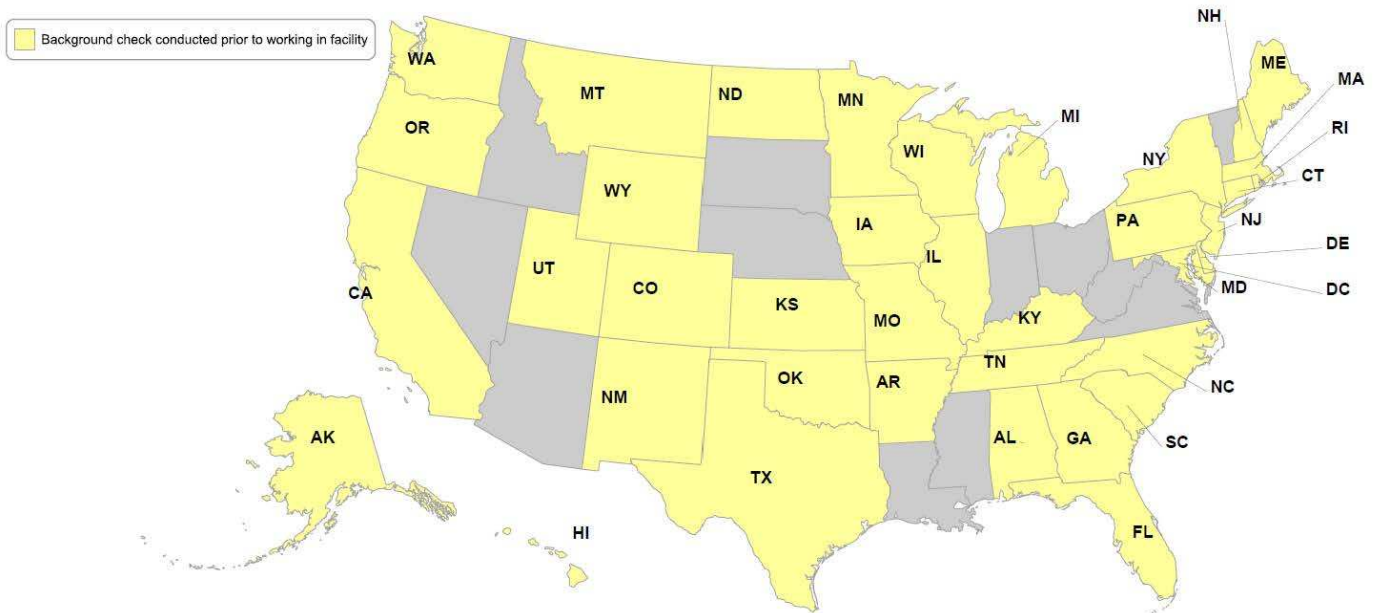
State:	SIGNED STATEMENT ABOUT CRIMINAL STATUS	Prior to licensure/working in a child care facility	Annually	Every two years	Every three years
SC	•	•	•		
SD					
TN	•	•			
TX	•	•		•	
UT	•	•	•		
VA					
VT	•		•		
WA	•	•			•
WI	•	•	•		
WV	•				
WY	•	•			

State:	Every four years	Every five years	Less than every five years	Other frequency
Total:	0	3	0	8
AK				•
AL				
AR				
AZ				
CA				•
CO				•
CT				
DC				
DE				
FL		•		
GA				
HI				
IA				
ID				
IL				
IN				
KS				
KY				
LA				
MA				
MD				
ME				
MI				
MN				
MO				
MS		•		
MT				
NC				

State:	Every four years	Every five years	Less than every five years	Other frequency
ND				
NE				
NH				
NJ				
NM				
NV				•
NY				•
OH				
OK				
OR				•
PA				
RI				
SC				•
SD				
TN				
TX				
UT				
VA				
VT				•
WA				
WI				
WV		•		
WY				

Question: How often are background checks conducted on staff of family child care home providers?

Analysis: Background checks are almost always performed prior to employment.

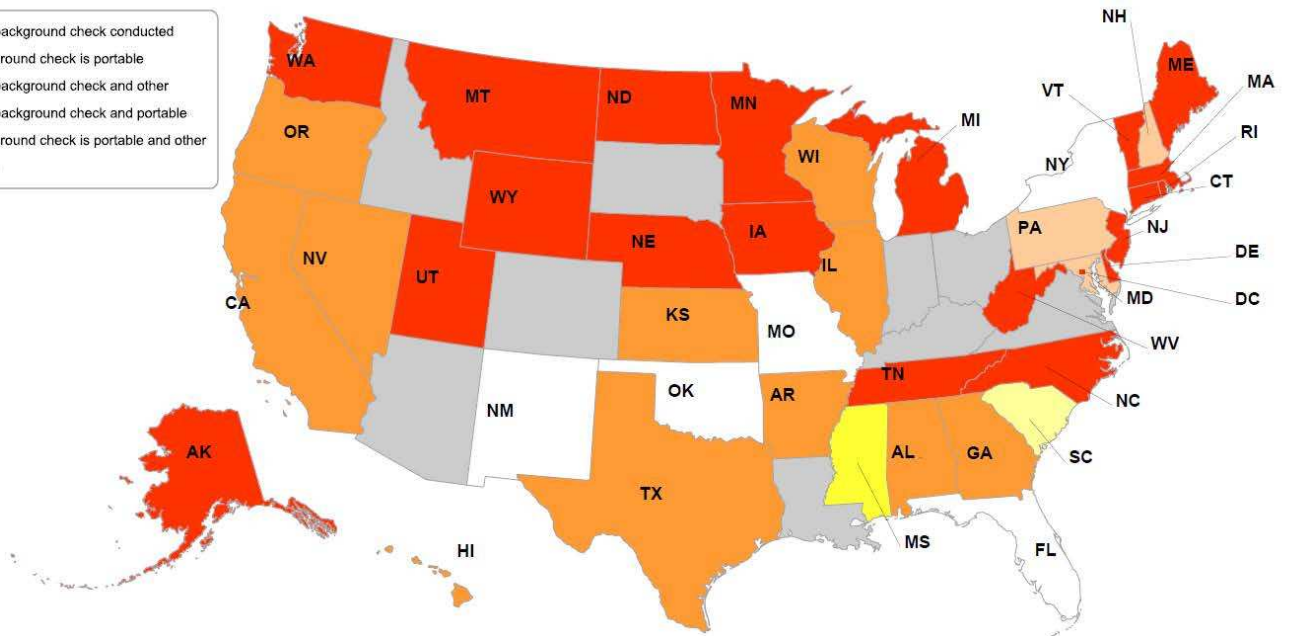
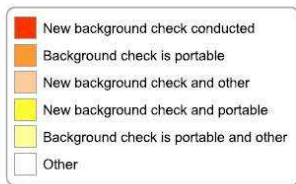


Question: What is the state’s policy on background checks if child care facility staff change jobs, move to another facility, or have a break in employment? Does the background check system in your state notify your agency when an individual's criminal record has changed?

Analysis: Background checks are usually not portable.

State:	New background check is conducted	Current background check is portable and moves with the individual	Other policy
Total:	25	13	9
AK	•		
AL		•	
AR		•	
AZ			
CA		•	
CO			
CT	•		
DC	•		
DE	•		
FL			•
GA		•	
HI		•	
IA	•		
ID			
IL		•	
IN			
KS		•	
KY			
LA			
MA	•		
MD	•		•
ME	•		
MI	•		
MN	•		
MO			•
MS	•	•	
MT	•		
NC	•		
ND	•		
NE	•		
NH	•		•
NJ	•		
NM			•
NV		•	
NY			•
OH			
OK			•
OR		•	
PA	•		•

State:	New background check is conducted	Current background check is portable and moves with the individual	Other policy
RI	•		
SC		•	•
SD			
TN	•		
TX		•	
UT	•		
VA			
VT	•		
WA	•		
WI		•	
WV	•		
WY	•		



Part B: Regulatory Requirements

Type of License Required for Homes

State:	Licensing	Registration	Certification
Total:	29	13	2
AK	•		
AL	•		
AR	•		
AZ			
CA	•		
CO	•		
CT		•	
DC	•		
DE	•		
FL	•		
GA		•	
HI		•	
IA		•	
ID			
IL	•		
IN	•		
KS	•		
KY			•
LA			
MA	•		
MD		•	
ME			•
MI	•		
MN	•		
MO	•		
MS	•		
MT		•	
NC	•		
ND	•		
NE	•		
NH	•		
NJ			
NM	•		
NV	•		
NY		•	
OH			
OK	•		
OR		•	
PA		•	
RI	•		

State:	Licensing	Registration	Certification
SC		•	
SD			
TN	•		
TX		•	
UT	•		
VA			
VT		•	
WA	•		
WI	•		
WV		•	
WY	•		

Threshold for Licensure

State:	1 child	2 children	3 children	4 children	5 children	6 children
Total:	10	5	8	11	6	4
AK					•	
AL	•					
AR						•
AZ						
CA		•				
CO		•				
CT	•					
DC	•					
DE	•					
FL		•				
GA			•			
HI			•			
IA						•
ID						
IL				•		
IN						•
KS	•					
KY				•		
LA						
MA	•					
MD	•					
ME			•			
MI	•					
MN		•				
MO					•	
MS						•
MT			•			
NC			•			
ND				•		
NE				•		
NH				•		
NJ						
NM					•	
NV					•	
NY			•			
OH						
OK	•					
OR				•		
PA				•		
RI				•		
SC		•				
SD						
TN					•	
TX				•		

State:	1 child	2 children	3 children	4 children	5 children	6 children
UT					•	
VA						
VT			•			
WA	•					
WI				•		
WV				•		
WY			•			

Maximum Capacity

State:	4 children plus additional SAC	5 children plus additional SAC	6 children	6 children plus additional SAC	6 children plus additional children age 3 and older
Total:	1	1	14	9	1
AK					
AL			•		
AR					
AZ					
CA			•		
CO			•		
CT				•	
DC			•		
DE	•				
FL					
GA					•
HI			•		
IA				•	
ID					
IL					
IN					
KS					
KY			•		
LA					
MA			•		
MD					
ME					
MI			•		
MN				•	
MO					
MS					
MT			•		
NC		•			
ND					
NE					
NH				•	
NJ					
NM			•		
NV				•	
NY				•	
OH					
OK					
OR				•	
PA			•		
RI			•		
SC			•		
SD					
TN					

State:	4 children plus additional SAC	5 children plus additional SAC	6 children	6 children plus additional SAC	6 children plus additional children age 3 and older
TX				•	
UT					
VA					
VT				•	
WA					
WI					
WV			•		
WY					

Maximum Capacity (continued)

State:	7 children	7 children plus additional SAC	7 children plus additional children related to provider	8 children	8 children plus additional SAC
Total:	1	1	1	4	2
AK				•	
AL					
AR					
AZ					
CA					
CO					
CT					
DC					
DE					
FL					
GA					
HI					
IA					
ID					
IL					•
IN					
KS					
KY					
LA					
MA					
MD				•	
ME					
MI					
MN					
MO					
MS					
MT					
NC					
ND		•			
NE					•
NH					
NJ					
NM					
NV					
NY					
OH					
OK	•				
OR					
PA					
RI					
SC					
SD					
TN			•		

State:	7 children	7 children plus additional SAC	7 children plus additional children related to provider	8 children	8 children plus additional SAC
TX					
UT				•	
VA					
VT					
WA					
WI				•	
WV					
WY					

Maximum Capacity (continued)

State:	10 children	12 children	12 children plus additional SAC	16 children
Total:	4	3	1	1
AK				
AL				
AR				•
AZ				
CA				
CO				
CT				
DC				
DE				
FL	•			
GA				
HI				
IA				
ID				
IL				
IN			•	
KS	•			
KY				
LA				
MA				
MD				
ME		•		
MI				
MN				
MO	•			
MS		•		
MT				
NC				
ND				
NE				
NH				
NJ				
NM				
NV				
NY				
OH				
OK				
OR				
PA				
RI				
SC				
SD				
TN				
TX				

State:	10 children	12 children	12 children plus additional SAC	16 children
UT				
VA				
VT				
WA		•		
WI				
WV				
WY	•			

Elements Included in Licensing Definitions

State:	Services are provided for less than 24 hours or any part of a 24-hour day	Provider is the holder of the license	Services are provided on an ongoing/regular or scheduled basis
Total:	30	23	18
AK	•		•
AL			
AR			
AZ			
CA	•	•	•
CO	•		•
CT	•	•	
DC			•
DE	•	•	•
FL			•
GA	•	•	
HI	•		
IA	•	•	•
ID			
IL	•		
IN	•		
KS			
KY		•	
LA			
MA	•	•	•
MD	•	•	•
ME		•	•
MI		•	
MN	•	•	•
MO		•	
MS	•		
MT	•	•	•
NC	•		•
ND		•	
NE	•	•	•
NH	•		
NJ			
NM	•	•	
NV		•	•
NY	•		•
OH			
OK	•	•	
OR	•	•	•
PA	•		
RI			
SC			
SD			
TN	•	•	

State:	Services are provided for less than 24 hours or any part of a 24-hour day	Provider is the holder of the license	Services are provided on an ongoing/regular or scheduled basis
TX	•		
UT			•
VA			
VT	•		
WA	•	•	
WI	•		
WV	•	•	
WY	•	•	

Elements Included in Licensing Definitions (continued)

State:	Services are provided in the residence of the provider/licensee	Services are provided for payment or compensation	Number of hours that services are provided (minimum/maximum)
Total:	18	14	10
AK		•	
AL	•	•	•
AR			
AZ			
CA	•		
CO			
CT			•
DC	•		
DE	•	•	
FL	•	•	
GA		•	
HI			
IA			
ID			
IL	•		
IN		•	•
KS	•		
KY	•		
LA			
MA			
MD		•	•
ME			
MI			
MN		•	
MO		•	•
MS			
MT			
NC			•
ND			
NE	•	•	•
NH	•		

State:	Services are provided in the residence of the provider/licensee	Services are provided for payment or compensation	Number of hours that services are provided (minimum/maximum)
NJ			
NM	•		
NV			
NY		•	•
OH			
OK			
OR	•	•	
PA		•	
RI			
SC			
SD			
TN	•		
TX	•		
UT	•	•	•
VA			
VT	•		
WA	•		
WI			
WV	•		•
WY			

Regulation of Staff Roles

State:	Owner	Provider	Assistant Provider	Household member
Total:	10	44	25	19
AK	•	•		•
AL	•	•		
AR		•	•	•
AZ				
CA	•	•		
CO	•	•	•	•
CT	•	•	•	•
DC		•		•
DE	•	•		•
FL		•		
GA		•		
HI		•		
IA		•	•	
ID				
IL		•	•	•
IN		•	•	
KS	•	•		
KY		•	•	•
LA				
MA		•	•	•
MD		•	•	
ME		•	•	
MI		•	•	
MN		•	•	
MO		•	•	•
MS	•	•	•	
MT		•	•	•
NC		•		
ND		•	•	
NE		•	•	•
NH		•	•	
NJ				
NM		•		•
NV	•	•		
NY		•		
OH				
OK		•	•	•
OR		•		•
PA		•	•	
RI		•	•	
SC		•		
SD				
TN		•	•	•
TX		•	•	•

State:	Owner	Provider	Assistant Provider	Household member
UT	•	•	•	
VA				
VT		•		
WA		•	•	•
WI		•		
WV		•		•
WY		•		

Regulation of Staff Roles (continued)

State:	Volunteer	Substitute	Other Role
Total:	17	22	4
AK			
AL	•	•	•
AR			
AZ			
CA			
CO			
CT		•	•
DC			
DE		•	
FL		•	
GA			
HI			
IA		•	
ID			
IL	•	•	
IN	•		
KS			
KY			
LA			
MA			
MD	•	•	
ME	•		
MI		•	
MN			
MO	•		
MS	•	•	
MT	•		•
NC		•	
ND		•	
NE		•	
NH			
NJ			
NM	•	•	
NV	•	•	
NY	•	•	
OH			
OK		•	
OR		•	
PA	•		
RI			
SC			
SD			
TN	•	•	•
TX	•	•	

State:	Volunteer	Substitute	Other Role
UT	•	•	
VA			
VT			
WA	•		
WI	•	•	
WV		•	
WY			

Minimum Age Requirements for Provider Roles, Homes

Provider Role	Number of States That Regulate Role	Range of Required Age	Most Common Age
Provider	44	16 – 21 years	18
Assistant Provider	25	13 – 18 years	18

States with Educational and Training Requirements, Homes

Provider Role	Number of States That Regulate Role	High School Diploma or GED	Preservice Qualification	Ongoing Training
Provider	44	13	21	40
Assistant Provider	25	2	3	13

Requirements for a High School Diploma or GED

State:	Provider: High school diploma/GED required	Assistant Provider: High school diploma/GED required
Total:	14	2
AK		
AL	•	
AR	•	
AZ		
CA		
CO		
CT		
DC	•	
DE		
FL		
GA	•	
HI		
IA		
ID		
IL	•	
IN		
KS		
KY		
LA		
MA		
MD		
ME		
MI	•	
MN		
MO		
MS	•	•
MT		
NC	•	
ND		
NE		
NH		
NJ		
NM		
NV		
NY		
OH		
OK	•	
OR		
PA	•	
RI	•	
SC		
SD		
TN		
TX	•	•

State:	Provider: High school diploma/GED required	Assistant Provider: High school diploma/GED required
UT		
VA		
VT		
WA	•	
WI		
WV		
WY	•	

Pre-service Qualification Requirement

State:	Provider: Preservice qualifications required	Assistant Provider: Preservice qualifications required
Total:	22	3
AK		
AL	•	
AR	•	
AZ		
CA	•	
CO	•	
CT		
DC		
DE	•	
FL	•	
GA	•	
HI		
IA		
ID		
IL	•	
IN		
KS		
KY		
LA		
MA	•	
MD	•	•
ME		
MI		
MN	•	•
MO		
MS	•	•
MT		
NC		
ND	•	
NE		
NH		
NJ		
NM	•	
NV	•	
NY	•	
OH		
OK		
OR	•	
PA		
RI	•	
SC		
SD		
TN	•	
TX		

State:	Provider: Preservice qualifications required	Assistant Provider: Preservice qualifications required
UT		
VA		
VT		
WA	•	
WI	•	
WV		
WY	•	

Ongoing Training Hours Requirement

State:	Provider: Ongoing training required	Assistant Provider: Ongoing training required
Total:	39	13
AK	•	
AL	•	
AR	•	
AZ		
CA		
CO	•	
CT		
DC	•	
DE	•	
FL	•	
GA	•	
HI	•	
IA	•	
ID		
IL	•	
IN		
KS		
KY	•	
LA		
MA	•	
MD	•	
ME	•	•
MI	•	•
MN	•	•
MO	•	•
MS	•	•
MT	•	•
NC	•	
ND	•	
NE	•	•
NH	•	•
NJ		
NM	•	
NV	•	

State:	Provider: Ongoing training required	Assistant Provider: Ongoing training required
NY	•	
OH		
OK	•	
OR	•	
PA	•	•
RI	•	•
SC		
SD		
TN	•	
TX	•	•
UT	•	•
VA		
VT	•	
WA	•	•
WI	•	
WV	•	
WY	•	

Staff Health Requirements

State:	Physical exam or health statement from physician	Tuberculosis screening	Proof of immunizations	Proof of suitability to provide care to children
Total:	26	23	6	3
AK				
AL	•	•		•
AR		•		
AZ				
CA				
CO	•		•	
CT	•	•		
DC	•	•		
DE	•	•	•	
FL				
GA				
HI	•	•		
IA	•		•	
ID				
IL	•	•		
IN	•	•		
KS	•	•		•
KY	•	•		
LA				
MA	•		•	
MD	•			
ME				
MI	•	•		•
MN	•			
MO	•	•		
MS			•	
MT			•	
NC	•	•		
ND		•		
NE	•			
NH	•	•		
NJ				
NM				
NV		•		
NY	•	•		
OH				
OK				
OR				
PA	•	•		
RI	•			
SC				

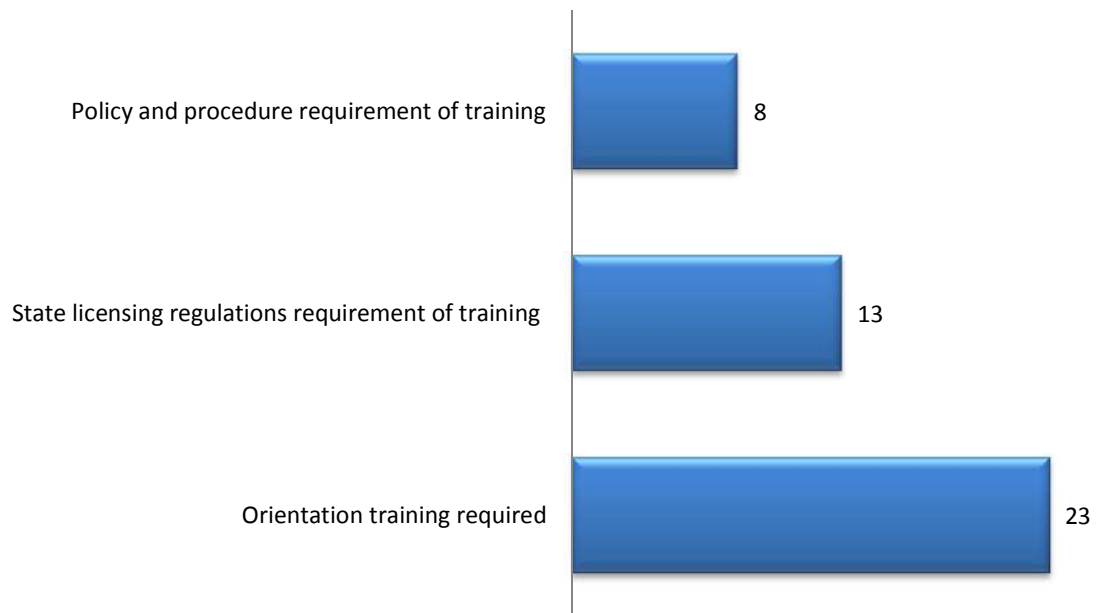
State:	Physical exam or health statement from physician	Tuberculosis screening	Proof of immunizations	Proof of suitability to provide care to children
SD				
TN	•	•		
TX		•		
UT				
VA				
VT				
WA	•	•		
WI	•			
WV	•	•		
WY		•		

References Required Prior to Hire

State:	References required from providers at initial licensure or when hired	Other staff references must be obtained in written and/or verbal form
Total:	19	9
AK	•	•
AL	•	•
AR		
AZ		
CA		
CO		
CT	•	
DC	•	•
DE		
FL		
GA	•	•
HI	•	•
IA	•	•
ID		
IL	•	•
IN		
KS		
KY		
LA		
MA	•	
MD		
ME	•	
MI		
MN		
MO	•	
MS		
MT		
NC		
ND		
NE	•	
NH		
NJ		
NM		
NV		
NY	•	
OH		
OK	•	
OR		
PA		
RI		
SC	•	•
SD		
TN	•	

State:	References required from providers at initial licensure or when hired	Other staff references must be obtained in written and/or verbal form
TX		
UT		
VA		
VT	•	•
WA	•	
WI		
WV		
WY	•	

Orientation Training Requirements



Orientation Training Requirements

State:	Orientation training required	State licensing regulations requirement of training	Policy and procedure requirement of training
Total:	23	13	8
AK	•	•	•
AL			
AR	•		
AZ			
CA	•		
CO			
CT			
DC	•	•	•
DE	•	•	
FL	•	•	
GA	•	•	•
HI			
IA			
ID			
IL			
IN	•		
KS			
KY			
LA			
MA	•		
MD	•	•	
ME	•		
MI	•		
MN			
MO			
MS	•	•	
MT	•		
NC			
ND			
NE	•	•	
NH			
NJ			
NM			
NV	•		•
NY			
OH			
OK			
OR			
PA			
RI	•	•	•
SC			
SD			
TN			

State:	Orientation training required	State licensing regulations requirement of training	Policy and procedure requirement of training
TX	•	•	•
UT	•	•	•
VA			
VT	•	•	
WA	•		
WI	•		•
WV			
WY	•	•	

Health and Safety Training Requirements

State:	First aid	CPR	Child abuse and neglect prevention	Prevention of spread of communicable disease
Total:	39	35	16	9
AK	•	•	•	
AL	•	•		•
AR		•		
AZ				
CA	•			•
CO	•	•	•	•
CT	•	•		
DC	•	•		
DE	•		•	•
FL	•	•	•	•
GA	•	•	•	•
HI	•	•		
IA	•		•	
ID				
IL	•	•		
IN	•	•	•	•
KS	•			
KY	•	•		
LA				
MA	•	•		
MD	•	•		
ME	•	•		
MI	•	•		
MN	•	•		
MO	•	•		
MS	•	•	•	
MT	•	•		
NC	•	•		
ND	•	•		
NE	•	•		
NH	•	•		
NJ				
NM	•			•
NV	•	•	•	
NY			•	
OH				
OK	•	•	•	•
OR	•	•	•	
PA	•			
RI	•	•	•	
SC				
SD				
TN	•	•	•	

State:	First aid	CPR	Child abuse and neglect prevention	Prevention of spread of communicable disease
TX	•	•		
UT	•	•	•	
VA				
VT		•		
WA	•	•		
WI		•	•	
WV	•			
WY	•	•		

Health and Safety Training Requirements (continued)

State:	Reducing risk of SIDS	Prevention of Shaken Baby Syndrome	Medication administration
Total:	11	8	5
AK	•	•	
AL			
AR			
AZ			
CA			
CO			•
CT			•
DC	•		
DE			•
FL			
GA			
HI			
IA			
ID			
IL			
IN			
KS			
KY		•	
LA			
MA			
MD	•		
ME			
MI	•	•	
MN	•	•	
MO			
MS			
MT			
NC	•		
ND			
NE			
NH			

State:	Reducing risk of SIDS	Prevention of Shaken Baby Syndrome	Medication administration
NJ			
NM			
NV	•		•
NY			•
OH			
OK	•	•	
OR			
PA			
RI			
SC			
SD			
TN			
TX	•	•	
UT	•	•	
VA			
VT			
WA			
WI	•	•	
WV			
WY			

Health and Safety Training Requirements (continued)

State:	Prevention of HIV/AIDS and blood borne pathogens	Lifeguard training	Fire safety
Total:	3	1	2
AK			
AL		•	
AR			
AZ			
CA			
CO			
CT			
DC			
DE			
FL			
GA			
HI			
IA			
ID			
IL			
IN			•
KS			
KY			
LA			

State:	Prevention of HIV/AIDS and blood borne pathogens	Lifeguard training	Fire safety
MA			
MD			
ME			
MI	•		
MN			
MO			
MS			
MT			
NC			
ND			
NE			
NH			
NJ			
NM			
NV	•		
NY			
OH			
OK			
OR			
PA			
RI			
SC			
SD			
TN			
TX			
UT			
VA			
VT			
WA	•		•
WI			
WV			
WY			

Requirements for the Supervision of Children

State:	Requirements regarding use of substitutes	Must be able to see children at all times	Must be able to hear children at all times	Staff must be alert / awake
Total:	30	15	15	7
AK		•	•	•
AL	•			
AR			•	
AZ				
CA	•			
CO	•			
CT	•	•	•	
DC				
DE	•			
FL	•			
GA	•			
HI	•	•	•	
IA	•			
ID				
IL	•			•
IN				
KS				
KY		•		
LA				
MA	•	•	•	
MD	•	•	•	•
ME	•	•	•	•
MI	•			•
MN	•		•	
MO	•			
MS				
MT				
NC	•	•	•	
ND				
NE	•			
NH				
NJ				
NM				
NV	•			
NY	•	•		
OH				
OK	•			
OR	•	•	•	
PA		•	•	
RI	•			•
SC				
SD				
TN	•			

State:	Requirements regarding use of substitutes	Must be able to see children at all times	Must be able to hear children at all times	Staff must be alert / awake
TX	•	•	•	
UT	•			
VA				
VT				
WA	•	•	•	
WI	•	•	•	•
WV	•			
WY	•	•	•	

Requirements for the Supervision of Children (continued)

State:	Staff must be on same floors as children	Staff must be free of other duties	Not allowed to children unattended in infant seats, playpens, or cribs while awake	Requirements related to supervision during emergencies
Total:	4	3	12	4
AK	•		•	•
AL		•		
AR				•
AZ				
CA				
CO			•	
CT		•		
DC				
DE			•	
FL				
GA				
HI				
IA				
ID				
IL				
IN				
KS				
KY				
LA				
MA	•		•	
MD	•			
ME				•
MI				
MN				
MO			•	
MS				
MT			•	
NC				
ND				
NE				

State:	Staff must be on same floors as children	Staff must be free of other duties	Not allowed to children unattended in infant seats, playpens, or cribs while awake	Requirements related to supervision during emergencies
NH			•	
NJ				
NM				
NV			•	•
NY			•	
OH				
OK			•	
OR				
PA				
RI				
SC				
SD				
TN				
TX			•	
UT				
VA				
VT				
WA	•			
WI		•		
WV				
WY			•	

Requirements for the Supervision of Children during Specific Times/Activities

State:	Transportation in vehicles	Swimming / water activities	Field trips
Total:	41	34	26
AK	•	•	•
AL	•	•	
AR	•		
AZ			
CA			
CO	•		
CT	•	•	•
DC	•	•	•
DE	•	•	•
FL	•		•
GA	•		•
HI	•		•
IA		•	•
ID			
IL	•	•	•
IN	•	•	•
KS	•	•	•
KY	•		
LA			
MA	•	•	•
MD	•	•	
ME	•	•	
MI	•	•	•
MN	•	•	
MO	•	•	•
MS	•	•	•
MT	•	•	
NC	•		
ND	•	•	
NE	•	•	
NH	•	•	•
NJ			
NM	•	•	•
NV	•		
NY	•	•	
OH			
OK	•	•	
OR	•	•	•
PA	•	•	•
RI	•	•	•
SC			
SD			
TN	•	•	•
TX	•	•	•

State:	Transportation in vehicles	Swimming / water activities	Field trips
UT	•	•	•
VA			
VT	•	•	
WA	•	•	•
WI	•	•	•
WV	•	•	
WY	•	•	•

Requirements for the Supervision of Children during Specific Times/Activities (continued)

State:	Outdoor play	Evening / overnight care	Naptime
Total:	25	24	18
AK	•	•	•
AL	•	•	
AR	•	•	•
AZ			
CA			
CO		•	•
CT	•		
DC	•	•	
DE			•
FL	•		
GA			
HI		•	
IA			
ID			
IL	•	•	
IN			
KS			
KY	•	•	
LA			
MA	•	•	•
MD		•	•
ME	•	•	•
MI		•	
MN	•		
MO	•	•	•
MS			•
MT	•	•	
NC		•	•
ND	•		
NE		•	
NH			
NJ			
NM			
NV			•

State:	Outdoor play	Evening / overnight care	Naptime
NY	•	•	•
OH			
OK	•	•	
OR	•	•	
PA	•		
RI	•		•
SC			
SD			
TN			
TX	•	•	•
UT	•		•
VA			
VT	•		•
WA	•	•	•
WI	•	•	
WV	•	•	
WY		•	•

Requirements for the Supervision of Children during Naptime

State:	Must be able to hear children	Must be on the same floor as children	Must observe napping in certain time intervals
Total:	6	5	6
AK	•	•	
AL			
AR			•
AZ			
CA			
CO			
CT			
DC			
DE			
FL			
GA			
HI			
IA			
ID			
IL			
IN			
KS			
KY			
LA			
MA			•
MD			•
ME			
MI			
MN			
MO	•	•	
MS			
MT			
NC	•	•	•
ND			
NE			
NH			
NJ			
NM			
NV	•		•
NY		•	
OH			
OK			
OR			
PA			
RI		•	
SC			
SD			
TN			

State:	Must be able to hear children	Must be on the same floor as children	Must observe napping in certain time intervals
TX	•		
UT			
VA			
VT			•
WA			
WI			
WV			
WY	•		

Requirements for the Supervision of Children during Naptime (continued)

State:	Room doors must be ajar or open	Baby monitors may be used under certain conditions	Providers must be able to see children
Total:	5	5	4
AK			•
AL			
AR	•	•	
AZ			
CA			
CO		•	
CT			
DC			
DE			
FL	•		
GA			
HI			
IA			
ID			
IL			
IN			
KS			
KY			
LA			
MA	•	•	
MD			
ME			
MI			
MN			
MO			
MS			•
MT			
NC	•		
ND			
NE			
NH			
NJ			

State:	Room doors must be ajar or open	Baby monitors may be used under certain conditions	Providers must be able to see children
NM			
NV			•
NY	•	•	
OH			
OK			
OR			
PA			
RI			
SC			
SD			
TN			
TX			
UT			
VA			
VT		•	
WA			
WI			
WV			
WY			•

Requirements for the Supervision of Children during Evening/Overnight Care

State:	Providers must be awake until children are asleep	Providers must be awake at all time	Other requirements about providers being awake	Staff must be able to hear children	Staff must be able to hear children
Total:	6	3	10	7	1
AK					
AL					
AR		•			
AZ					
CA					
CO			•		
CT					
DC			•	•	•
DE					
FL					
GA					
HI				•	
IA					
ID					
IL			•	•	
IN					
KS					
KY	•				
LA					
MA	•				
MD			•		
ME		•			
MI			•		
MN					
MO				•	
MS					
MT				•	
NC			•		
ND					
NE	•			•	
NH					
NJ					
NM					
NV					
NY					
OH					
OK			•		
OR			•		
PA					
RI					
SC					

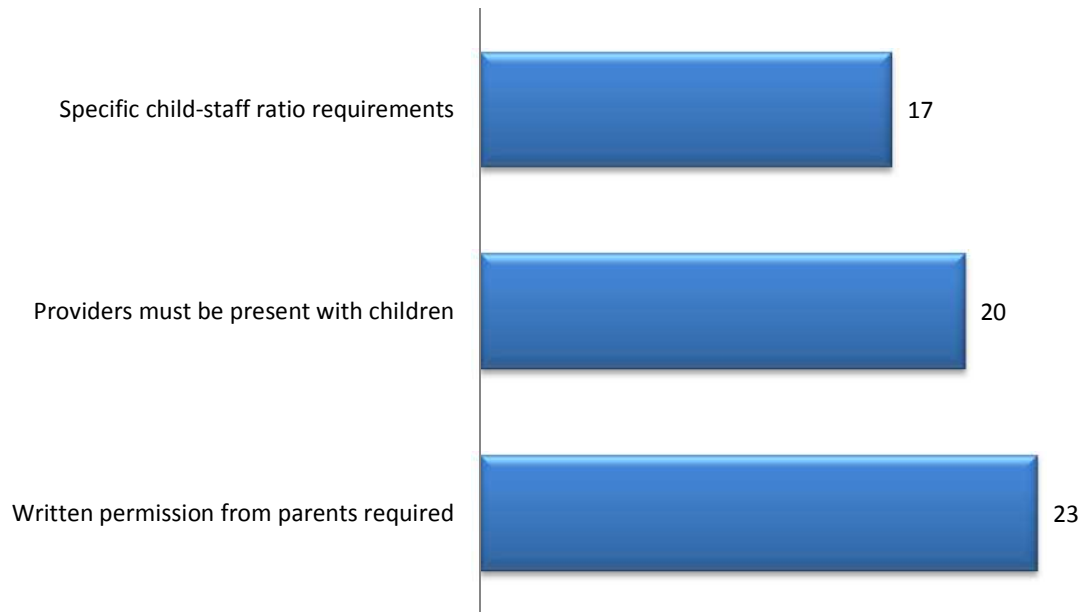
State:	Providers must be awake until children are asleep	Providers must be awake at all time	Other requirements about providers being awake	Staff must be able to hear children	Staff must be able to hear children
SD					
TN					
TX	•				
UT					
VA					
VT					
WA	•		•		
WI		•			
WV	•				
WY			•	•	

Requirements for the Supervision of Children during Outdoor Play

State:	Staff must be present with children	Staff must be able to see children	Staff must be able to hear children	Requirements specifically for supervision of school-age children playing outdoors
Total:	6	6	5	4
AK				
AL				
AR	•			•
AZ				
CA				
CO				
CT		•	•	
DC		•		
DE				
FL				
GA				
HI				
IA				
ID				
IL	•			
IN				
KS				
KY				
LA				
MA	•			•
MD				
ME	•			
MI				
MN				
MO	•			•
MS				
MT				
NC				
ND				
NE				
NH				
NJ				
NM				
NV				
NY				
OH				
OK				
OR		•	•	
PA				
RI				
SC				

State:	Staff must be present with children	Staff must be able to see children	Staff must be able to hear children	Requirements specifically for supervision of school-age children playing outdoors
SD				
TN				
TX				
UT				
VA				
VT		•	•	
WA		•	•	•
WI	•	•	•	
WV				
WY				

Requirements for the Supervision of Children during Water Activities



Requirements for the Supervision of Children during Water Activities

State:	Written permission from parents required	Providers must be present with children	Specific child-staff ratio requirements
Total:	23	20	17
AK	•		
AL	•	•	•
AR			
AZ			
CA			
CO			
CT	•		
DC	•		•
DE	•	•	
FL			
GA	•		•
HI			
IA	•	•	
ID			
IL	•	•	
IN			
KS			•
KY			
LA			
MA		•	
MD	•	•	
ME	•	•	•
MI	•	•	•
MN	•	•	
MO		•	
MS			•
MT		•	•
NC			
ND			
NE	•	•	•
NH	•	•	•
NJ			
NM	•		
NV		•	•
NY	•		
OH			
OK			
OR	•		
PA	•	•	•
RI	•		
SC			
SD			
TN			

State:	Written permission from parents required	Providers must be present with children	Specific child-staff ratio requirements
TX			•
UT		•	
VA			
VT	•		
WA	•	•	•
WI		•	•
WV	•	•	•
WY	•	•	•

Requirements for the Supervision of Children when Traveling in Vehicles

State:	Children must not be left unattended in vehicle	Written permission from parents	Specific child-staff ratio
Total:	30	28	13
AK		•	
AL	•	•	
AR			
AZ			
CA			
CO	•	•	
CT		•	
DC	•	•	
DE	•	•	
FL	•	•	
GA	•	•	
HI			•
IA			
ID			
IL	•	•	•
IN	•		
KS	•		•
KY	•	•	
LA			
MA	•	•	
MD		•	
ME	•		•
MI	•	•	
MN	•	•	
MO	•	•	•
MS			•
MT	•	•	
NC	•	•	
ND	•	•	
NE		•	•
NH	•		
NJ			
NM			
NV	•		•
NY	•	•	
OH			
OK	•	•	
OR		•	
PA	•	•	
RI	•		
SC			
SD			
TN	•		•

State:	Children must not be left unattended in vehicle	Written permission from parents	Specific child-staff ratio
TX	•	•	•
UT	•		
VA			
VT		•	
WA	•	•	•
WI	•	•	•
WV			
WY	•	•	•

Requirements for the Supervision of Children when Travelling in Vehicles (continued)

State:	Emergency contact information must be taken on vehicle	Staff must supervise children enter and exit vehicle	Staff/driver must ensure that children are received by parents or designees
Total:	13	13	10
AK			•
AL			•
AR		•	
AZ			
CA			
CO		•	•
CT			
DC	•	•	
DE	•		
FL	•		
GA			
HI			
IA			
ID			
IL		•	•
IN			
KS			
KY	•		
LA			
MA			
MD			
ME			
MI	•		
MN			
MO	•	•	•
MS		•	•
MT			•
NC	•		
ND			
NE			

State:	Emergency contact information must be taken on vehicle	Staff must supervise children enter and exit vehicle	Staff/driver must ensure that children are received by parents or designees
NH			
NJ			
NM		•	
NV		•	•
NY		•	
OH			
OK	•	•	
OR			
PA	•		
RI			
SC			
SD			
TN		•	•
TX	•	•	
UT			
VA			
VT			
WA			
WI	•	•	•
WV	•		
WY	•		

Requirements for the Supervision of Children when Traveling in Vehicles (continued)

State:	Attendance records of children be kept	Additional checks for children remaining on board conducted once vehicle unloaded
Total:	9	6
AK		
AL	•	
AR	•	•
AZ		
CA		
CO		
CT		
DC		
DE		
FL	•	•
GA		
HI		
IA		
ID		
IL		
IN		
KS		

State:	Attendance records of children be kept	Additional checks for children remaining on board conducted once vehicle unloaded
KY		
LA		
MA		
MD		
ME		
MI		
MN		
MO	•	•
MS		
MT		
NC		
ND		
NE		
NH		
NJ		
NM		
NV	•	•
NY		
OH		
OK	•	
OR		
PA		
RI		
SC		
SD		
TN	•	•
TX	•	
UT		
VA		
VT		
WA	•	
WI		•
WV		
WY		

Requirements for the Supervision of Children during Field Trips

State:	Written permission from parents	Emergency contact information must be taken on field trip	Specific child-staff ratio	Attendance records of children be kept	Children required to wear name tags or other identification
Total:	24	8	7	4	3
AK					
AL					
AR					
AZ					
CA					
CO					
CT					
DC					•
DE	•				
FL	•	•			
GA	•				
HI	•				
IA	•				
ID					
IL	•				
IN	•				
KS	•				
KY	•				
LA					
MA	•				•
MD					
ME					
MI	•	•			
MN					
MO	•			•	
MS	•				
MT					
NC					
ND					
NE					
NH	•	•	•	•	
NJ					
NM	•				
NV		•	•	•	
NY					
OH					
OK					
OR	•				
PA	•	•	•		
RI	•				
SC					

State:	Written permission from parents	Emergency contact information must be taken on field trip	Specific child-staff ratio	Attendance records of children be kept	Children required to wear name tags or other identification
SD					
TN	•		•		
TX	•	•	•	•	•
UT	•	•	•		
VA					
VT					
WA	•		•		
WI	•	•			
WV					
WY	•				

Requirements for Children to Have Physical Examinations

State:	Provider required to keep records of children's physical exams	Health records must be provided to provider within specific timeframe
Total:	19	15
AK		
AL	•	
AR		
AZ		
CA		
CO	•	•
CT	•	
DC	•	•
DE	•	•
FL	•	•
GA		
HI		
IA	•	•
ID		
IL	•	•
IN		•
KS		
KY		
LA		
MA	•	•
MD	•	
ME		
MI	•	
MN		
MO		•
MS		
MT		
NC	•	
ND	•	
NE		
NH	•	•
NJ		
NM		
NV	•	•
NY		
OH		
OK		
OR		
PA		•
RI	•	
SC		
SD		
TN		

State:	Provider required to keep records of children's physical exams	Health records must be provided to provider within specific timeframe
TX		•
UT	•	
VA		
VT		
WA		
WI	•	•
WV	•	•
WY		

Child Immunization Requirements

State:	Parents can provide written statements to not have children immunized	Physicians can provide written statements to exempt children for medical reasons	Records must be provided within specific timeframes
Total:	26	25	13
AK	•	•	•
AL			•
AR		•	•
AZ			
CA	•	•	
CO	•		•
CT	•	•	
DC			
DE			•
FL	•	•	•
GA	•	•	•
HI			
IA			
ID			
IL	•	•	
IN	•		
KS	•	•	
KY	•	•	•
LA			
MA	•	•	
MD			
ME	•	•	•
MI	•		
MN			
MO	•	•	
MS		•	
MT	•	•	
NC			
ND			
NE			
NH	•		•
NJ			
NM		•	
NV	•	•	
NY	•	•	
OH			
OK	•	•	
OR			
PA	•	•	•
RI	•	•	
SC			
SD			

State:	Parents can provide written statements to not have children immunized	Physicians can provide written statements to exempt children for medical reasons	Records must be provided within specific timeframes
TN	•	•	
TX			•
UT	•	•	
VA			
VT	•	•	
WA	•	•	•
WI			
WV	•	•	
WY			

Child Immunization Requirements (continued)

State:	Providers can exclude children until records or exemptions provided	Providers can accept children on conditional basis if immunizations are not complete	Providers can refuse enrollment to children not immunized
Total:	8	7	1
AK		•	
AL			
AR			
AZ			
CA	•		
CO			•
CT	•	•	
DC			
DE	•		
FL			
GA			
HI			
IA			
ID			
IL			
IN			
KS			
KY			
LA			
MA			
MD	•		
ME			
MI			
MN			
MO		•	
MS			
MT	•	•	
NC			

State:	Providers can exclude children until records or exemptions provided	Providers can accept children on conditional basis if immunizations are not complete	Providers can refuse enrollment to children not immunized
ND			
NE			
NH			
NJ			
NM			
NV			
NY		•	
OH			
OK			
OR			
PA	•		
RI			
SC			
SD			
TN	•		
TX		•	
UT	•		
VA			
VT			
WA		•	
WI			
WV			
WY			

Additional Health Requirements

State:	Require lead-level blood screenings prior to enrollment	Providers required to keep emergency contact information in children's records
Total:	7	27
AK		
AL	•	•
AR		•
AZ		
CA		•
CO		•
CT		•
DC	•	
DE	•	•
FL		
GA		•
HI		
IA		•
ID		
IL	•	
IN		•
KS		
KY		•
LA		
MA	•	•
MD		
ME		•
MI		
MN		•
MO		•
MS		
MT		•
NC		•
ND		
NE		
NH		•
NJ		
NM		•
NV		•
NY	•	
OH		
OK		•
OR		•
PA		•
RI	•	
SC		
SD		
TN		•

State:	Require lead-level blood screenings prior to enrollment	Providers required to keep emergency contact information in children's records
TX		
UT		•
VA		
VT		•
WA		
WI		
WV		•
WY		•

Child Nutrition Requirements

State:	Requirements about feeding infants	Requirements about nutritional content of food served to children	Requirements include an interval of time between meals/snacks being served	Parents/guardians allowed to provide food for own children
Total:	36	36	30	23
AK	•	•		•
AL	•	•	•	
AR	•	•	•	•
AZ				
CA				
CO	•	•	•	
CT	•			
DC	•	•	•	•
DE	•	•	•	•
FL		•		
GA	•	•	•	
HI	•	•	•	•
IA		•	•	•
ID				
IL	•	•	•	•
IN				
KS				
KY	•	•		
LA				
MA	•	•	•	•
MD		•	•	
ME	•			•
MI	•	•	•	•
MN	•	•		•
MO	•	•	•	
MS	•	•	•	•
MT	•	•	•	
NC	•	•	•	
ND	•		•	
NE	•	•	•	
NH	•	•	•	•
NJ				
NM	•	•	•	•
NV	•	•	•	•
NY	•		•	•
OH				
OK	•	•	•	
OR	•	•		
PA	•	•		•
RI	•	•	•	•
SC				
SD				

State:	Requirements about feeding infants	Requirements about nutritional content of food served to children	Requirements include an interval of time between meals/snacks being served	Parents/guardians allowed to provide food for own children
TN	•	•	•	
TX	•	•	•	•
UT	•	•	•	•
VA				
VT		•		
WA	•	•	•	•
WI	•	•	•	•
WV	•	•		
WY	•	•	•	•

Child Nutrition Requirements (continued)

State:	Provider required to provide supplemental food to meet nutritional requirements	Provider required to post menus of meals/snacks served	Provider required to provide all meals/snacks	Parents/guardians allowed to bring food for all children for special occasions
Total:	8	8	6	1
AK				
AL			•	
AR	•			
AZ				
CA				
CO				
CT				
DC		•		
DE				
FL				
GA			•	
HI	•			
IA				
ID				
IL	•			
IN				
KS				
KY		•		
LA				
MA	•			
MD				
ME				
MI				
MN				
MO			•	
MS	•	•		•
MT				

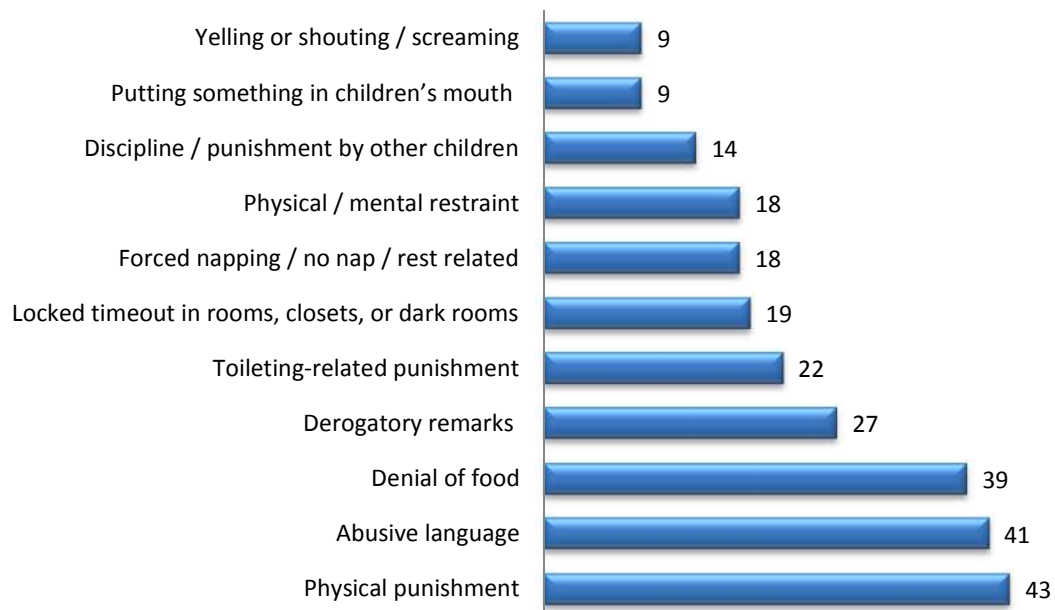
State:	Provider required to provide supplemental food to meet nutritional requirements	Provider required to post menus of meals/snacks served	Provider required to provide all meals/snacks	Parents/guardians allowed to bring food for all children for special occasions
NC			•	
ND				
NE				
NH	•			
NJ				
NM		•		
NV		•		
NY	•			
OH				
OK		•	•	
OR				
PA				
RI				
SC				
SD				
TN		•	•	
TX				
UT		•		
VA				
VT				
WA	•			
WI				
WV				
WY				

Regulations Pertaining to Discipline of Children

State:	Regulations specify types of discipline allowed	Supervised separation from groups permitted	Corporal punishment permitted
Total:	29	21	1
AK	•	•	
AL			
AR	•	•	
AZ			
CA			
CO	•	•	
CT	•		
DC	•	•	
DE	•	•	
FL			
GA			
HI			
IA	•		
ID			
IL	•	•	
IN			
KS			
KY			
LA			
MA	•	•	
MD			
ME			
MI	•		
MN	•	•	
MO	•	•	
MS	•	•	
MT	•		
NC			
ND	•	•	
NE			
NH	•	•	
NJ			
NM	•	•	
NV	•	•	
NY	•	•	
OH			
OK	•	•	
OR			
PA			
RI	•	•	
SC			•
SD			
TN	•		

State:	Regulations specify types of discipline allowed	Supervised separation from groups permitted	Corporal punishment permitted
TX	•	•	
UT	•		
VA			
VT	•		
WA	•		
WI	•	•	
WV	•	•	
WY	•	•	

Prohibited Forms of Discipline



Prohibited Forms of Discipline

State:	Physical punishment	Abusive language	Denial of food	Derogatory remarks	Toileting-related punishment	Locked timeout in rooms, closets, or dark rooms
Total:	43	41	39	27	22	19
AK	•	•	•	•	•	•
AL	•	•	•	•	•	
AR	•	•	•	•	•	
AZ						
CA	•	•	•	•	•	
CO	•	•	•	•	•	•
CT	•	•				
DC	•	•	•	•		•
DE	•	•	•		•	•
FL	•	•	•		•	
GA	•	•	•	•	•	•
HI	•	•				
IA	•	•	•	•	•	
ID						
IL	•	•	•	•	•	
IN	•	•	•			
KS	•	•	•	•		•
KY	•		•			
LA						
MA	•	•	•	•	•	
MD	•	•	•	•		
ME	•	•	•	•	•	
MI	•	•	•	•		•
MN	•	•	•	•		•
MO	•	•	•	•	•	•
MS	•	•	•			
MT	•	•				
NC	•		•		•	•
ND	•	•	•	•		•
NE	•	•	•	•	•	
NH	•	•	•	•	•	
NJ						
NM	•	•	•			
NV	•	•	•	•		
NY	•	•	•			•
OH						
OK	•	•	•	•	•	
OR	•	•	•		•	
PA	•	•		•		•
RI	•	•	•		•	
SC						
SD						

State:	Physical punishment	Abusive language	Denial of food	Derogatory remarks	Toileting-related punishment	Locked timeout in rooms, closets, or dark rooms
TN	•	•	•	•		
TX	•	•	•	•		•
UT	•	•	•			•
VA						
VT	•	•	•			•
WA	•	•	•	•		•
WI	•	•	•	•	•	•
WV	•	•	•	•	•	•
WY	•	•	•		•	

Prohibited Forms of Discipline (continued)

State:	Forced napping / no nap / rest related	Physical / mental restraint	Discipline / punishment by other children	Putting something in children's mouths	Yelling or shouting / screaming
Total:	18	18	14	9	9
AK	•	•	•		
AL	•	•	•		•
AR	•	•		•	•
AZ					
CA	•				
CO	•		•		
CT		•			
DC			•		
DE		•			
FL	•				
GA	•	•	•		
HI					
IA					
ID					
IL					
IN					
KS		•		•	
KY					
LA					
MA			•		
MD		•		•	•
ME					
MI		•			
MN			•		
MO	•				
MS		•	•	•	•
MT					
NC			•		

State:	Forced napping / no nap / rest related	Physical / mental restraint	Discipline / punishment by other children	Putting something in children's mouths	Yelling or shouting / screaming
ND			•		
NE	•				•
NH	•	•			•
NJ					
NM				•	•
NV	•		•		
NY	•			•	
OH					
OK		•	•	•	
OR					
PA		•			
RI					
SC					
SD					
TN					
TX	•			•	•
UT	•	•			•
VA					
VT	•	•			
WA		•			
WI	•	•			
WV	•	•	•	•	
WY	•		•		

Activity Requirements

State:	Written daily schedules of activities required	Homes required to post schedules daily
Total:	11	8
AK		
AL	•	•
AR	•	•
AZ		
CA		
CO		
CT		
DC		
DE		
FL		
GA		
HI		
IA		
ID		
IL		
IN		
KS		
KY	•	•
LA		
MA		
MD		
ME		
MI		
MN		
MO	•	
MS		
MT	•	
NC	•	•
ND		
NE		
NH		
NJ		
NM	•	•
NV		
NY		
OH		
OK		
OR		
PA	•	•
RI	•	
SC		
SD		
TN	•	•
TX		

State:	Written daily schedules of activities required	Homes required to post schedules daily
UT		
VA		
VT		
WA		
WI		
WV	•	•
WY		

Types of Required Activities

State:	Outdoor play	Nap or rest period	Active play	Quiet play	Indoor play	Regular meal / snack times
Total:	34	27	24	24	23	19
AK	•	•	•	•	•	•
AL	•					•
AR	•	•				
AZ						
CA						
CO	•	•				•
CT	•	•		•	•	•
DC	•	•	•	•	•	
DE	•	•	•	•		•
FL						
GA	•	•	•	•	•	
HI			•	•		
IA			•	•		
ID						
IL	•	•	•	•	•	•
IN	•	•	•	•	•	•
KS						
KY	•	•	•	•	•	
LA						
MA	•		•	•		•
MD	•	•			•	•
ME	•	•			•	
MI	•	•	•	•	•	
MN	•		•	•	•	
MO	•	•			•	•
MS	•	•	•	•		•
MT	•	•	•	•		
NC	•		•	•		
ND	•	•	•	•	•	
NE						
NH	•	•			•	•
NJ						
NM		•				•
NV	•	•	•			
NY	•	•	•	•	•	•
OH						
OK	•	•			•	•
OR	•		•	•	•	
PA	•					
RI	•	•	•	•	•	•
SC						
SD						
TN	•					

State:	Outdoor play	Nap or rest period	Active play	Quiet play	Indoor play	Regular meal / snack times
TX	•	•	•	•	•	•
UT		•				
VA						
VT	•		•	•	•	
WA	•	•			•	•
WI	•		•	•	•	
WV	•	•	•	•	•	•
WY						

Types of Required Activities (continued)

State:	Large group activities	Creative expression	Gross motor activities	Provider- initiated activities	Child- initiated activities	Free play
Total:	12	13	10	9	10	9
AK	•	•	•			
AL						
AR						
AZ						
CA						
CO	•					
CT	•					•
DC		•		•	•	
DE	•			•	•	•
FL						
GA	•	•	•			
HI					•	•
IA			•			
ID						
IL			•			
IN						
KS						
KY		•			•	
LA						
MA	•	•	•	•	•	
MD						
ME						
MI	•	•	•			
MN		•	•	•	•	
MO	•					•
MS						
MT		•				•
NC				•		•
ND						
NE						
NH	•	•				

State:	Large group activities	Creative expression	Gross motor activities	Provider- initiated activities	Child- initiated activities	Free play
NJ						
NM						
NV						
NY						
OH						
OK						
OR						
PA						•
RI				•	•	
SC						
SD						
TN				•	•	
TX	•	•	•	•	•	
UT						
VA						
VT						
WA	•	•	•	•	•	•
WI	•	•	•			
WV		•				•
WY						

Types of Required Activities (continued)

State:	Fine motor activities	Toileting and washing / hand washing	Small group activities
Total:	9	9	3
AK		•	
AL			
AR			
AZ			
CA			
CO			
CT			
DC			
DE			
FL			
GA	•	•	•
HI			
IA	•		
ID			
IL	•	•	
IN			
KS			
KY	•	•	
LA			

State:	Fine motor activities	Toileting and washing / hand washing	Small group activities
MA		•	
MD			
ME			
MI	•		
MN	•		
MO		•	
MS			
MT			•
NC			
ND			
NE			
NH			
NJ			
NM			
NV			
NY			
OH			
OK			
OR			
PA			
RI		•	
SC			
SD			
TN			
TX	•		
UT			
VA			
VT			
WA	•	•	•
WI	•		
WV		•	
WY			

Developmental Domains Related to Required Activities

State:	Emotional development	Physical development	Cognitive / intellectual development	Social development	Language / literacy development	Cultural development
Total:	21	20	19	18	15	9
AK	•	•	•	•	•	
AL						
AR						
AZ						
CA						
CO						
CT	•	•	•	•		•
DC	•	•	•	•		
DE	•	•	•	•	•	
FL						
GA						
HI	•	•	•	•	•	•
IA	•					
ID						
IL	•	•				
IN						
KS						
KY	•	•	•	•		
LA						
MA	•	•	•	•	•	•
MD						
ME						
MI	•	•	•		•	
MN	•	•	•	•	•	
MO						
MS						
MT	•	•	•	•		
NC	•	•	•	•		
ND	•	•	•	•	•	
NE						
NH					•	
NJ						
NM						
NV	•	•	•	•	•	•
NY	•	•	•	•	•	•
OH						
OK						
OR						
PA	•	•	•	•	•	•
RI						•
SC						
SD						

State:	Emotional development	Physical development	Cognitive / intellectual development	Social development	Language / literacy development	Cultural development
TN						
TX	•	•	•	•	•	
UT	•	•	•	•	•	
VA						
VT						
WA	•	•	•	•	•	•
WI	•	•	•	•	•	•
WV						
WY						

Specific Activities Required to Address Developmental Domains

State:	Physical development	Cognitive / intellectual development	Social development	Emotional development	Language / literacy development	Cultural development
Total:	6	6	6	5	5	2
AK	•	•	•		•	
AL						
AR						
AZ						
CA						
CO						
CT						
DC						
DE						
FL						
GA						
HI	•	•	•	•		•
IA						
ID						
IL						
IN						
KS						
KY						
LA						
MA	•	•	•	•	•	
MD						
ME						
MI						
MN	•	•	•	•	•	
MO						
MS						
MT						
NC						
ND						
NE						
NH						
NJ						
NM						
NV						
NY						
OH						
OK						
OR						
PA						
RI						
SC						
SD						

State:	Physical development	Cognitive / intellectual development	Social development	Emotional development	Language / literacy development	Cultural development
TN						
TX	•	•	•	•	•	
UT						
VA						
VT						
WA	•	•	•	•	•	•
WI						
WV						
WY						

Required Equipment and Materials

State:	Books and other literacy materials	Fine motor / manipulatives	Gross motor equipment (indoor and/or outdoor)	Dramatic play/pretend materials
Total:	17	17	16	13
AK	•	•		
AL	•	•	•	•
AR	•	•	•	
AZ				
CA				
CO	•	•	•	
CT				
DC	•			
DE				
FL				
GA	•			
HI		•	•	
IA				
ID				
IL				
IN				
KS				
KY				
LA				
MA	•	•	•	•
MD	•			
ME	•	•	•	•
MI	•	•	•	•
MN				
MO	•	•	•	•
MS	•	•	•	•
MT	•			•
NC		•	•	
ND				
NE				
NH				
NJ				
NM				
NV				
NY				
OH				
OK	•	•	•	•
OR				
PA		•	•	•
RI	•	•	•	•
SC				
SD				
TN				

State:	Books and other literacy materials	Fine motor / manipulatives	Gross motor equipment (indoor and/or outdoor)	Dramatic play/pretend materials
TX	•	•	•	•
UT				
VA				
VT				
WA				
WI		•	•	•
WV	•	•	•	•
WY				

Required Equipment and Materials (continued)

State:	Art supplies / creative activities	Music materials	Sensory materials	Science / experimental / math materials
Total:	13	9	6	5
AK				
AL	•	•	•	•
AR				
AZ				
CA				
CO	•			
CT				
DC				
DE				
FL				
GA				
HI				
IA				
ID				
IL				
IN				
KS				
KY				
LA				
MA	•	•		
MD				•
ME	•	•	•	•
MI	•	•		
MN				
MO	•	•	•	•
MS	•	•	•	
MT	•	•		
NC				
ND				
NE				
NH				

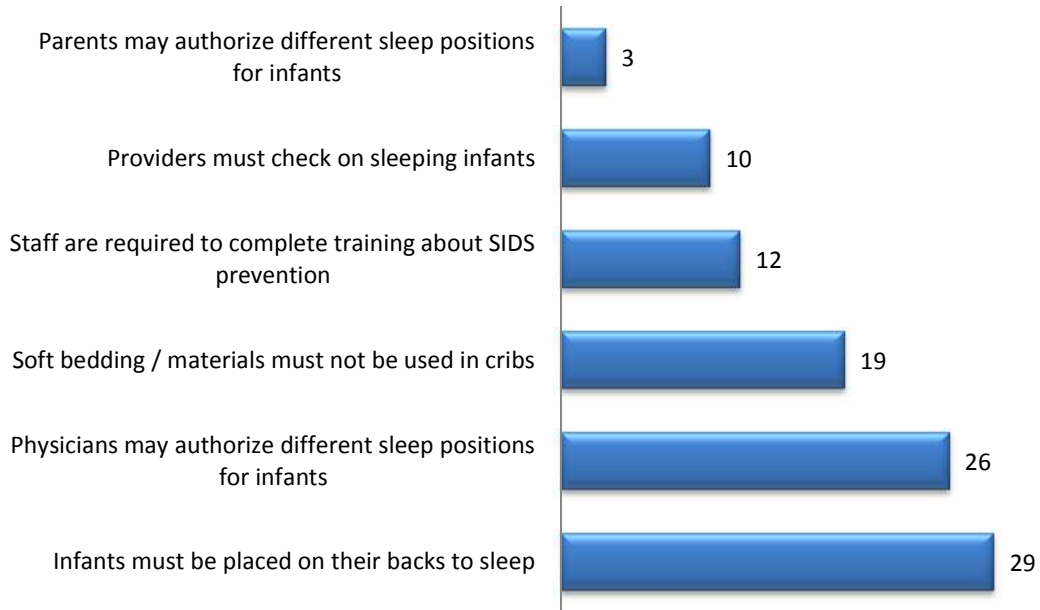
State:	Art supplies / creative activities	Music materials	Sensory materials	Science / experimental / math materials
NJ				
NM				
NV				
NY				
OH				
OK	•	•		
OR				
PA	•		•	
RI	•	•		
SC				
SD				
TN				
TX	•		•	
UT				
VA				
VT				
WA				
WI				
WV	•			•
WY				

Communicating with Parents

State:	Must provide written copies of policies and procedures	Must keep logs of children's care and communicate with parents	Must hold regularly scheduled meetings with parents	Must have resource areas for parents
Total:	32	3	1	1
AK	•			
AL	•			
AR	•			
AZ				
CA	•			
CO				
CT				
DC	•			
DE	•			
FL	•			
GA				
HI			•	
IA				
ID				
IL	•			
IN	•			
KS				
KY	•	•		
LA				
MA	•			
MD	•			
ME				
MI	•			
MN	•			
MO	•			
MS	•	•		
MT	•			
NC	•			
ND				
NE				
NH				
NJ				
NM	•			
NV	•			
NY	•			
OH				
OK	•			
OR	•			
PA	•			
RI		•		•
SC	•			

State:	Must provide written copies of policies and procedures	Must keep logs of children's care and communicate with parents	Must hold regularly scheduled meetings with parents	Must have resource areas for parents
SD				
TN	•			
TX	•			
UT	•			
VA				
VT	•			
WA	•			
WI	•			
WV				
WY	•			

Requirements Relating to the Risk of SIDS



Requirements Relating to the Risk of SIDS

State:	Infants must be placed on their backs to sleep	Physicians may authorize different sleep positions for infants	Soft bedding / materials must not be used in cribs
Total:	29	26	19
AK	•	•	•
AL	•	•	•
AR	•	•	
AZ			
CA			
CO			
CT			
DC	•	•	•
DE			
FL	•	•	
GA	•	•	•
HI			
IA	•	•	
ID			
IL	•	•	•
IN			
KS			
KY	•	•	•
LA			
MA			
MD	•	•	•
ME	•	•	•
MI	•	•	•
MN	•		•
MO	•	•	
MS	•	•	•
MT	•	•	•
NC	•	•	•
ND			
NE			•
NH			
NJ			
NM			
NV	•		
NY	•	•	
OH			
OK	•	•	
OR	•		
PA	•	•	•
RI	•	•	
SC			
SD			

State:	Infants must be placed on their backs to sleep	Physicians may authorize different sleep positions for infants	Soft bedding / materials must not be used in cribs
TN			
TX	•	•	•
UT	•	•	
VA			
VT			
WA	•	•	•
WI	•	•	•
WV	•	•	•
WY	•	•	

Requirements Relating to the Risk of SIDS (continued)

State:	Staff are required to complete training about SIDS prevention	Providers must check on sleeping infants	Parents may authorize different sleep positions for infants
Total:	12	10	3
AK	•		
AL			
AR			
AZ			
CA			
CO			
CT			
DC	•		
DE			
FL			
GA			
HI			
IA			
ID			
IL			
IN			
KS			
KY			
LA			
MA			
MD	•	•	
ME		•	
MI	•	•	
MN	•		•
MO			
MS		•	
MT	•		
NC		•	•
ND	•		

State:	Staff are required to complete training about SIDS prevention	Providers must check on sleeping infants	Parents may authorize different sleep positions for infants
NE			
NH			
NJ			
NM			
NV	•	•	
NY			
OH			
OK	•	•	
OR			
PA			
RI			•
SC			
SD			
TN			
TX	•	•	
UT	•	•	
VA			
VT			
WA			
WI	•	•	
WV			
WY			

Amount of Indoor Space Required per Child

State:	30 square feet	32 square feet	35 square feet
Total:	1	1	26
AK			
AL		•	
AR			•
AZ			
CA			
CO			•
CT			
DC			
DE			
FL			
GA			•
HI			•
IA			•
ID			
IL			•
IN			
KS			
KY			•
LA			
MA			
MD			
ME			•
MI			•
MN			•
MO			•
MS			•
MT			•
NC			
ND			•
NE			•
NH			•
NJ			
NM			•
NV			•
NY			
OH			
OK			•
OR			•
PA			
RI			•
SC			
SD			
TN			
TX	•		

State:	30 square feet	32 square feet	35 square feet
UT			•
VA			
VT			
WA			•
WI			•
WV			•
WY			•

Amount of Outdoor Space Required per Child

State:	38 square feet	40 square feet	50 square feet	75 square feet	80 square feet
Total:	1	1	4	11	1
AK					
AL					
AR				•	
AZ					
CA					
CO				•	
CT					
DC					
DE					
FL					
GA					
HI					
IA			•		
ID					
IL					
IN					
KS					
KY					
LA					
MA				•	
MD					
ME					
MI					
MN			•		
MO				•	
MS				•	
MT				•	
NC					
ND				•	
NE			•		
NH			•		
NJ					
NM					
NV	•				
NY					
OH					
OK				•	
OR					
PA					
RI					
SC					
SD					
TN					
TX					•

State:	38 square feet	40 square feet	50 square feet	75 square feet	80 square feet
UT		•			
VA					
VT					
WA				•	
WI				•	
WV					
WY				•	

Other Amounts of Outdoor Space Required

Minimum Requirement	State
150 square feet	HI
300 square feet	AL
400 square feet	MI

Requirements Relating to Interior Conditions and Equipment

State:	Requirement about condition of indoor area of the building / facility	Requirements about the condition of indoor equipment	Requirements about conditions of stairs in home	Requirements about the basement used for the care of children
Total:	37	34	27	22
AK				
AL	•			
AR	•	•	•	•
AZ				
CA	•		•	
CO	•		•	•
CT	•	•	•	
DC		•		
DE	•	•	•	•
FL	•	•		
GA	•	•		
HI	•	•		
IA		•		•
ID				
IL	•	•	•	•
IN	•			•
KS				
KY	•	•	•	
LA				
MA	•	•	•	•
MD	•	•		
ME	•	•	•	
MI	•	•	•	•
MN		•	•	•
MO	•	•	•	•
MS	•	•	•	
MT	•	•	•	•
NC	•	•	•	
ND	•	•	•	
NE	•	•		
NH	•	•	•	•
NJ				
NM	•			
NV	•			
NY	•	•	•	•
OH				
OK	•	•	•	•
OR	•	•	•	•
PA	•	•	•	
RI	•	•	•	•
SC				
SD				

State:	Requirement about condition of indoor area of the building / facility	Requirements about the condition of indoor equipment	Requirements about conditions of stairs in home	Requirements about the basement used for the care of children
TN	•	•		
TX	•	•		•
UT	•	•	•	
VA				
VT		•		•
WA	•	•	•	•
WI	•	•	•	•
WV	•	•	•	•
WY	•		•	•

Requirements Relating to Exterior Conditions and Equipment

State:	Requirement about condition of outdoor area of the building / facility	Requirements about the condition of outdoor equipment	Requirement about condition of materials and toys for children
Total:	33	31	24
AK			
AL	•		
AR	•	•	
AZ			
CA			
CO	•	•	
CT	•	•	
DC	•	•	•
DE	•	•	
FL	•	•	
GA	•	•	•
HI	•	•	•
IA	•	•	
ID			
IL	•	•	•
IN	•		
KS			
KY	•	•	•
LA			
MA	•		
MD	•		•
ME	•	•	•
MI		•	•
MN	•		
MO	•	•	•
MS	•	•	•
MT	•	•	•
NC	•	•	•
ND	•	•	•
NE			•
NH	•		•
NJ			
NM			•
NV	•	•	
NY		•	•
OH			
OK	•	•	•
OR	•	•	•
PA	•	•	•
RI	•	•	
SC			
SD			

State:	Requirement about condition of outdoor area of the building / facility	Requirements about the condition of outdoor equipment	Requirement about condition of materials and toys for children
TN	•	•	
TX		•	
UT	•	•	•
VA			
VT			
WA	•	•	•
WI	•	•	
WV	•	•	•
WY		•	•

Indoor Equipment Safety Requirements

State:	Requirement about sleeping equipment (e.g., cots and cribs)	Requirement about safety of indoor equipment	Prohibition of the use of stackable cribs	Requirements about the surfaces under indoor equipment
Total:	30	24	8	2
AK	•			
AL	•			
AR	•	•		
AZ				
CA				
CO	•			
CT				
DC	•	•	•	
DE	•	•		
FL	•		•	
GA		•		
HI				
IA				
ID				
IL	•	•		
IN				
KS				
KY	•			
LA				
MA	•	•		
MD	•			
ME	•			
MI	•	•		
MN	•	•		
MO	•	•		
MS	•	•	•	
MT	•	•		
NC	•			
ND		•		
NE				
NH	•	•	•	•
NJ				
NM	•			
NV				
NY			•	
OH				
OK	•	•		
OR				
PA	•	•	•	
RI	•	•		
SC				
SD				

State:	Requirement about sleeping equipment (e.g., cots and cribs)	Requirement about safety of indoor equipment	Prohibition of the use of stackable cribs	Requirements about the surfaces under indoor equipment
TN	•	•		
TX	•	•		•
UT	•	•		
VA				
VT	•	•		
WA	•	•	•	
WI	•	•		
WV	•	•		
WY		•	•	

Indoor / Outdoor Equipment Safety Requirements

State:	Requirement about safety of outdoor equipment	Requirement about surfaces under outdoor equipment	Requirement about indoor and outdoor equipment be free of components that can pinch, sheer, or crush body tissues
Total:	31	26	10
AK			
AL	•	•	
AR	•	•	•
AZ			
CA			
CO	•	•	
CT			
DC	•	•	•
DE	•	•	•
FL	•	•	•
GA	•	•	
HI			
IA			
ID			
IL	•		
IN			
KS			
KY	•		
LA			
MA	•	•	
MD	•		
ME	•	•	
MI	•	•	
MN			
MO	•	•	•
MS	•		
MT	•	•	
NC	•	•	
ND	•		
NE			
NH		•	
NJ			
NM	•	•	
NV	•	•	
NY	•		
OH			
OK	•	•	•
OR			
PA	•	•	•
RI	•	•	
SC			
SD			

State:	Requirement about safety of outdoor equipment	Requirement about surfaces under outdoor equipment	Requirement about indoor and outdoor equipment be free of components that can pinch, shear, or crush body tissues
TN	•	•	
TX	•	•	•
UT	•	•	•
VA			
VT			
WA	•	•	
WI	•	•	
WV	•	•	
WY	•	•	•

Outdoor Fencing Height Requirements

State:	At least 4 feet	At least 42 inches	Height not specified
Total:	12	2	2
AK			
AL	•		
AR			
AZ			
CA			
CO		•	
CT			
DC	•		
DE			
FL	•		
GA	•		
HI			
IA			
ID			
IL			
IN			
KS			
KY			
LA			
MA			
MD			
ME			
MI			
MN			
MO		•	
MS	•		
MT	•		
NC			
ND			
NE			
NH			
NJ			
NM	•		
NV			•
NY			
OH			
OK			
OR			
PA			
RI			•
SC			
SD			
TN			
TX	•		

State:	At least 4 feet	At least 42 inches	Height not specified
UT	•		
VA			
VT			
WA	•		
WI	•		
WV			
WY	•		

Requirements Relating to Swimming Pools / Bodies of Water

State:	Requirement about safety of swimming pools	Requirements to protect children from bodies of water
Total:	38	23
AK		•
AL	•	
AR		•
AZ		
CA	•	•
CO	•	•
CT	•	•
DC	•	
DE	•	
FL	•	•
GA	•	
HI	•	
IA	•	
ID		
IL	•	•
IN	•	
KS	•	
KY	•	
LA		
MA	•	•
MD	•	•
ME	•	
MI	•	•
MN	•	•
MO	•	
MS	•	
MT	•	•
NC	•	
ND	•	
NE	•	•
NH	•	•
NJ		
NM	•	•
NV		•
NY	•	•
OH		
OK	•	•
OR		
PA	•	
RI	•	•
SC		
SD		
TN	•	

State:	Requirement about safety of swimming pools	Requirements to protect children from bodies of water
TX	•	•
UT	•	•
VA		
VT		
WA	•	•
WI	•	•
WV	•	
WY	•	

Frequency of Fire-Safety Inspections

State:	Once a year	Once every 2 years	Once every 3 years	Other frequency
Total:	7	3	1	8
AK		•		•
AL				
AR				
AZ				
CA				
CO				
CT				
DC				•
DE				•
FL				
GA				
HI				
IA				
ID				
IL				•
IN				
KS				
KY				
LA				
MA				
MD		•		
ME	•			
MI				
MN				
MO	•			
MS	•			
MT				
NC				
ND				
NE				•
NH			•	
NJ				
NM	•			
NV	•			
NY				
OH				
OK				
OR				
PA				•
RI		•		
SC				
SD				
TN	•			
TX				

State:	Once a year	Once every 2 years	Once every 3 years	Other frequency
UT				•
VA				
VT				
WA				•
WI				
WV				
WY	•			

Frequency of Fire Drills

State:	At least once a month	More than four times a year	At least four times a year	Less than four times a year	Other frequency	Time interval not specified
Total:	26	3	4	1	2	2
AK						
AL						
AR	•					
AZ						
CA				•		
CO						•
CT			•			
DC		•				
DE	•					
FL					•	
GA	•					
HI					•	
IA	•					
ID						
IL	•					
IN			•			
KS	•					
KY	•					•
LA						
MA	•					
MD	•					
ME	•					
MI	•					
MN	•					
MO	•					
MS	•					
MT		•				
NC	•					
ND						
NE		•				
NH	•					
NJ						
NM	•					
NV	•					
NY	•					
OH						
OK	•					
OR						
PA			•			
RI	•					
SC						
SD						
TN						

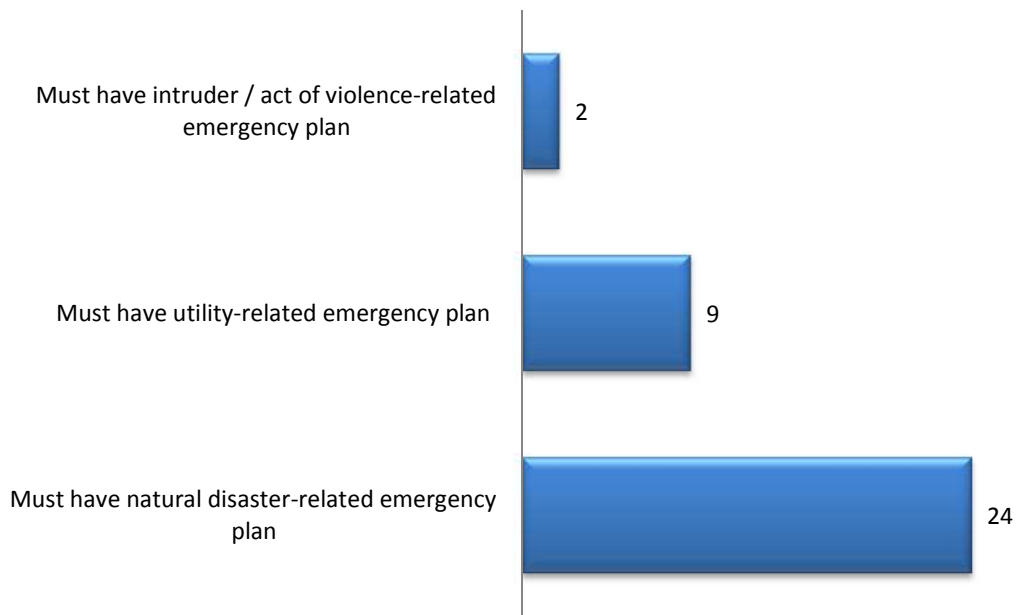
State:	At least once a month	More than four times a year	At least four times a year	Less than four times a year	Other frequency	Time interval not specified
TX	•					
UT			•			
VA						
VT						
WA	•					
WI	•					
WV	•					
WY	•					

Requirements for Smoke Detectors, Fire Extinguishers, and General Emergency Plans

State:	Must have smoke detectors	Must have fire extinguishers	Require evacuation plans for general emergencies	Required to perform general emergency drill
Total:	34	30	8	4
AK				
AL				
AR				
AZ				
CA	•	•		
CO	•	•		
CT	•	•		
DC				
DE	•	•		
FL	•	•	•	•
GA	•	•		
HI	•			
IA	•	•		
ID				
IL	•	•		
IN	•	•		
KS				
KY	•	•	•	•
LA				
MA	•			
MD				
ME	•			
MI	•	•		
MN	•	•		
MO	•	•		
MS		•		
MT	•	•	•	•
NC	•	•		
ND	•	•		
NE	•			
NH	•			
NJ				
NM	•	•		
NV				
NY	•	•	•	
OH				
OK	•	•		
OR	•	•	•	
PA	•	•	•	
RI	•	•		
SC				
SD				
TN				

State:	Must have smoke detectors	Must have fire extinguishers	Require evacuation plans for general emergencies	Required to perform general emergency drill
TX	•	•		
UT	•	•		
VA				
VT	•	•	•	•
WA	•	•	•	
WI	•	•		
WV	•	•		
WY	•	•		

Emergency Preparedness Plans



Emergency Preparedness Plans

State:	Must have natural disaster-related emergency plan	Must have utility-related emergency plan	Must have intruder / act of violence-related emergency plan
Total:	24	9	2
AK			
AL	•	•	
AR	•	•	•
AZ			
CA			
CO	•		
CT			
DC	•	•	
DE			
FL	•		
GA	•	•	
HI	•		
IA	•		
ID			
IL	•		
IN			
KS	•		
KY	•		
LA			
MA	•	•	
MD			
ME	•		
MI	•	•	
MN	•		
MO	•	•	•
MS	•		
MT			
NC			
ND			
NE			
NH			
NJ			
NM			
NV	•		
NY			
OH			
OK	•	•	
OR			
PA			
RI			
SC			
SD			
TN			

State:	Must have natural disaster-related emergency plan	Must have utility-related emergency plan	Must have intruder / act of violence-related emergency plan
TX	•		
UT	•	•	
VA			
VT			
WA	•		
WI	•		
WV	•		
WY			

Monitoring Children's Whereabouts

State:	Must keep daily attendance records of children	Procedures for accepting and releasing children	Procedure for signing children in and out when they enter and exit facilities
Total:	28	16	9
AK	•		
AL		•	•
AR	•		
AZ			
CA			
CO		•	•
CT			
DC	•		
DE		•	
FL			
GA	•		
HI	•		
IA			
ID			
IL		•	
IN	•		
KS			
KY	•		
LA			
MA	•		
MD	•	•	
ME	•		
MI	•	•	
MN		•	
MO	•	•	
MS	•	•	•
MT	•		
NC	•		
ND		•	
NE			
NH	•	•	•
NJ			
NM	•		•
NV	•		•
NY	•	•	
OH			
OK	•		
OR	•		
PA		•	
RI			
SC			
SD			
TN	•		

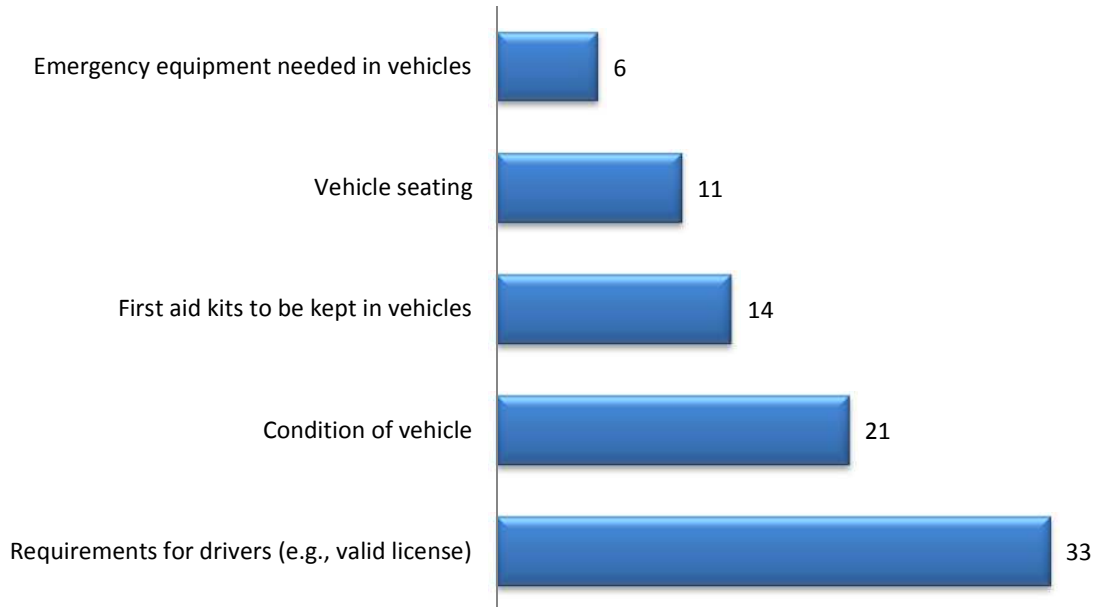
State:	Must keep daily attendance records of children	Procedures for accepting and releasing children	Procedure for signing children in and out when they enter and exit facilities
TX	•		
UT	•	•	•
VA			
VT	•		
WA	•	•	•
WI	•		
WV		•	•
WY	•		

Monitoring Children's Whereabouts (continued)

State:	Policy about monitoring visitors	Requirements about use of video surveillance cameras for parents to view children	Must have security / surveillance cameras
Total:	6	1	0
AK			
AL			
AR			
AZ			
CA			
CO			
CT			
DC	•		
DE			
FL			
GA			
HI			
IA			
ID			
IL			
IN			
KS			
KY			
LA			
MA			
MD	•		
ME			
MI			
MN			
MO			
MS			
MT			
NC			
ND			
NE			
NH			

State:	Policy about monitoring visitors	Requirements about use of video surveillance cameras for parents to view children	Must have security / surveillance cameras
NJ			
NM			
NV			
NY	•	•	
OH			
OK			
OR	•		
PA			
RI	•		
SC			
SD			
TN			
TX			
UT	•		
VA			
VT			
WA			
WI			
WV			
WY			

Transportation Requirements



Transportation Requirements

State:	Requirements for drivers (e.g., valid license)	Condition of vehicle	First aid kits to be kept in vehicles	Vehicle seating	Emergency equipment needed in vehicles
Total:	33	21	14	11	6
AK		•			•
AL	•				
AR	•	•		•	•
AZ					
CA	•	•			
CO		•		•	
CT					
DC	•	•	•		•
DE		•	•		
FL	•			•	
GA	•		•		
HI	•				
IA			•		
ID					
IL	•	•			
IN	•	•			
KS	•	•	•		
KY	•			•	
LA					
MA	•	•			•
MD					
ME	•			•	
MI	•	•	•		
MN	•				
MO	•			•	
MS					
MT	•			•	
NC	•			•	
ND	•	•			
NE	•			•	
NH	•	•			
NJ					
NM		•	•		•
NV	•				
NY	•				
OH					
OK	•	•	•		
OR	•				
PA	•		•	•	
RI					
SC					

State:	Requirements for drivers (e.g., valid license)	Condition of vehicle	First aid kits to be kept in vehicles	Vehicle seating	Emergency equipment needed in vehicles
SD					
TN	•	•	•	•	•
TX					
UT	•	•	•		
VA					
VT	•				
WA	•	•	•		
WI	•	•	•		
WV	•	•			
WY	•	•	•		

Vehicle Safety Restraint Requirements

State:	Requirement about car seats / child restraint system	Requirements about seatbelts for children
Total:	35	33
AK	•	•
AL	•	•
AR	•	•
AZ		
CA	•	
CO	•	•
CT		
DC	•	•
DE		
FL	•	•
GA	•	•
HI	•	•
IA		
ID		
IL	•	
IN		
KS	•	•
KY	•	•
LA		
MA	•	•
MD	•	•
ME	•	•
MI	•	•
MN	•	•
MO	•	•
MS	•	•
MT	•	•
NC	•	•
ND		
NE	•	•
NH	•	•
NJ		
NM	•	•
NV		
NY	•	•
OH		
OK	•	•
OR	•	•
PA		
RI		
SC		
SD		
TN	•	•

State:	Requirement about car seats / child restraint system	Requirements about seatbelts for children
TX	•	•
UT	•	•
VA		
VT	•	•
WA	•	•
WI	•	•
WV	•	•
WY	•	•

Liability and Automobile Insurance Requirements

State:	Require automobile insurance	Require general liability insurance
Total:	22	8
AK		
AL		
AR	•	•
AZ		
CA		
CO		
CT	•	
DC	•	•
DE		
FL	•	
GA		
HI		
IA		
ID		
IL	•	
IN	•	
KS	•	
KY	•	•
LA		
MA		•
MD		
ME		
MI	•	
MN		
MO		
MS	•	
MT		•
NC		
ND	•	
NE		
NH	•	
NJ		
NM	•	
NV	•	•
NY		
OH		
OK	•	
OR	•	
PA	•	
RI	•	•
SC		
SD		
TN	•	•
TX		

State:	Require automobile insurance	Require general liability insurance
UT		
VA		
VT	•	
WA	•	
WI		
WV	•	
WY		

Administration of Medication to Children

State:	Must obtain permission from parent / guardian	Must obtain written instructions	Must maintain records of medications administered to children	Must have training about administration of medication
Total:	38	32	30	5
AK				
AL	•	•	•	
AR	•			
AZ				
CA				
CO	•	•	•	•
CT	•	•	•	•
DC	•	•	•	
DE	•			•
FL	•	•	•	
GA	•		•	
HI	•	•		
IA	•	•		
ID				
IL	•	•	•	
IN	•	•	•	
KS				
KY	•	•		
LA				
MA	•	•	•	
MD	•	•	•	
ME	•	•	•	
MI	•	•	•	
MN	•	•		
MO	•	•	•	
MS	•		•	
MT	•	•	•	
NC	•	•	•	
ND			•	
NE	•	•	•	
NH				
NJ				
NM	•	•	•	
NV	•		•	•
NY	•	•		•
OH				
OK	•	•	•	
OR	•		•	
PA	•	•	•	
RI	•	•	•	
SC				
SD				

State:	Must obtain permission from parent / guardian	Must obtain written instructions	Must maintain records of medications administered to children	Must have training about administration of medication
TN	•	•	•	
TX	•	•	•	
UT	•	•	•	
VA				
VT	•	•		
WA	•	•	•	
WI	•	•	•	
WV	•	•		
WY	•	•	•	

Requirements for Medical Procedures

State:	Requirements relating to performing medical procedures (e.g., blood glucose tests)	Requirement that permission be obtained from parents, and/or instructions obtained from parents or physicians to perform procedures
Total:	7	3
AK		
AL	•	•
AR		
AZ		
CA		
CO		
CT	•	•
DC	•	
DE		
FL		
GA		
HI		
IA		
ID		
IL		
IN		
KS		
KY		
LA		
MA	•	
MD		
ME		
MI		
MN		
MO		
MS	•	
MT		
NC		
ND		
NE		
NH		
NJ		
NM		
NV		
NY	•	
OH		
OK	•	•
OR		
PA		
RI		
SC		
SD		

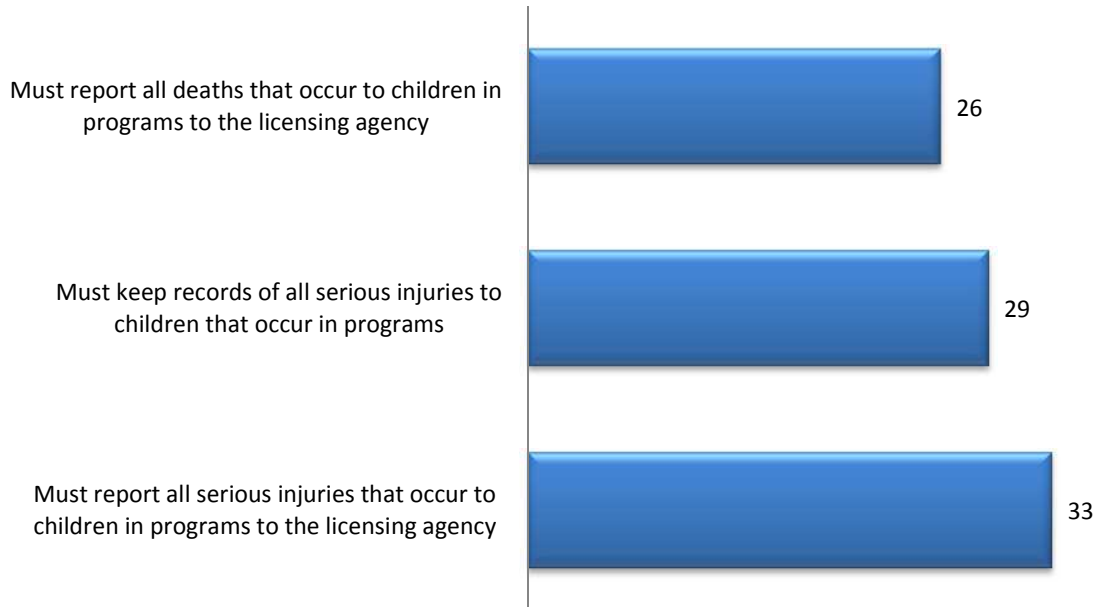
State:	Requirements relating to performing medical procedures (e.g., blood glucose tests)	Requirement that permission be obtained from parents, and/or instructions obtained from parents or physicians to perform procedures
TN		
TX		
UT		
VA		
VT		
WA		
WI		
WV		
WY		

Caring for Children who are Mildly Ill

State:	Allow homes to admit children who are mildly ill	Allow homes to exclude children who are mildly ill
Total:	13	10
AK		
AL		•
AR		•
AZ		
CA		
CO		
CT	•	
DC		•
DE	•	
FL	•	
GA		
HI		
IA		•
ID		
IL	•	
IN		
KS		
KY	•	
LA		
MA	•	
MD		•
ME		
MI		
MN		
MO		
MS		
MT		•
NC	•	
ND		
NE		
NH		
NJ		
NM		
NV	•	
NY		
OH		
OK		
OR		
PA	•	
RI		•
SC		
SD		
TN	•	

State:	Allow homes to admit children who are mildly ill	Allow homes to exclude children who are mildly ill
TX		•
UT	•	
VA		
VT	•	
WA		
WI	•	•
WV		
WY		•

Incident Reporting Requirements



Incident Reporting Requirements

State:	Must report all serious injuries that occur to children in programs to the licensing agency	Must keep records of all serious injuries to children that occur in programs	Must report all deaths that occur to children in programs to the licensing agency
Total:	33	29	26
AK			
AL	•	•	•
AR	•		
AZ			
CA			
CO	•	•	•
CT	•	•	•
DC	•	•	•
DE	•	•	•
FL		•	
GA	•	•	•
HI			
IA		•	
ID			
IL	•		•
IN	•		•
KS			
KY	•	•	•
LA			
MA	•	•	•
MD	•	•	•
ME		•	
MI	•		•
MN	•		•
MO		•	
MS	•	•	•
MT	•	•	
NC	•	•	
ND	•		•
NE	•		•
NH			
NJ			
NM	•	•	•
NV	•	•	•
NY	•	•	•
OH			
OK	•	•	•
OR	•	•	
PA	•	•	•
RI		•	
SC	•		
SD			

State:	Must report all serious injuries that occur to children in programs to the licensing agency	Must keep records of all serious injuries to children that occur in programs	Must report all deaths that occur to children in programs to the licensing agency
TN		•	
TX	•	•	•
UT	•	•	
VA			
VT	•		
WA	•	•	•
WI	•	•	•
WV	•		•
WY	•	•	•

Health Consultants and First Aid Kits

State:	Health consultants required to be available to staff	Require first aid kits to be kept in facility	Specific items have been identified to be contained in first aid kits
Total:	4	31	19
AK			
AL		•	
AR		•	•
AZ			
CA			
CO		•	
CT		•	
DC		•	
DE	•	•	•
FL		•	•
GA		•	•
HI	•	•	
IA		•	
ID			
IL		•	•
IN		•	
KS			
KY		•	•
LA			
MA		•	•
MD		•	
ME		•	
MI			
MN		•	•
MO			
MS			
MT		•	•
NC	•		
ND			
NE		•	•
NH			
NJ			
NM		•	•
NV		•	
NY	•		
OH			
OK			
OR		•	
PA		•	•
RI		•	•
SC			
SD			

State:	Health consultants required to be available to staff	Require first aid kits to be kept in facility	Specific items have been identified to be contained in first aid kits
TN		•	•
TX		•	•
UT		•	•
VA			
VT		•	•
WA		•	•
WI			
WV		•	
WY		•	•

Staff Requirements for Hand Washing

State:	Requirement about locations and/or amount of hand washing facilities for staff	Required after diapering children	Before and/or after preparing, serving, and eating food
Total:	39	36	33
AK	•	•	•
AL	•	•	
AR	•	•	•
AZ			
CA			
CO	•	•	•
CT	•	•	
DC	•		•
DE	•	•	
FL	•		
GA	•	•	•
HI			
IA			
ID			
IL	•	•	•
IN			
KS	•	•	
KY	•	•	•
LA			
MA	•	•	•
MD	•	•	•
ME	•	•	•
MI	•	•	•
MN	•	•	•
MO	•	•	•
MS	•	•	•
MT	•	•	•
NC	•	•	•
ND	•	•	•
NE	•	•	
NH	•	•	•
NJ			
NM	•	•	•
NV	•	•	•
NY	•	•	•
OH			
OK	•	•	•
OR	•	•	•
PA	•	•	•
RI	•	•	•
SC			
SD			

State:	Requirement about locations and/or amount of hand washing facilities for staff	Required after diapering children	Before and/or after preparing, serving, and eating food
TN	•	•	•
TX	•	•	•
UT	•	•	•
VA			
VT	•	•	•
WA	•	•	•
WI	•		•
WV	•	•	•
WY	•	•	•

Staff Requirements for Hand Washing (continued)

State:	After toileting	After toileting children	After handling, feeding, and cleaning up after animals	After attending to ill children
Total:	31	27	18	6
AK	•	•	•	
AL		•		
AR	•			
AZ				
CA				
CO	•	•		
CT				
DC	•	•		•
DE	•	•	•	•
FL				
GA	•	•	•	
HI				
IA				
ID				
IL	•	•		
IN				
KS				
KY	•	•	•	•
LA				
MA	•		•	
MD	•		•	
ME				
MI	•	•	•	
MN		•		
MO	•	•		
MS	•	•	•	
MT	•			
NC	•	•		
ND	•			

State:	After toileting	After toileting children	After handling, feeding, and cleaning up after animals	After attending to ill children
NE		•		
NH	•	•		
NJ				
NM	•	•	•	•
NV	•	•	•	•
NY	•	•	•	
OH				
OK	•		•	
OR	•	•	•	
PA	•			
RI	•	•	•	
SC				
SD				
TN	•	•		
TX	•	•	•	
UT	•	•	•	
VA				
VT	•	•		
WA	•	•	•	•
WI		•		
WV	•	•	•	
WY	•			

Requirements for Washing Children’s Hands

State:	Before and/or after eating food	After toileting	After diapering	After handling animals
Total:	29	28	21	15
AK				
AL		•	•	
AR		•	•	
AZ				
CA				
CO	•	•	•	
CT				
DC	•	•		
DE	•	•	•	•
FL				
GA				
HI				
IA				
ID				
IL	•	•	•	
IN	•	•		
KS				
KY	•	•	•	•
LA				
MA	•	•	•	•
MD	•	•	•	•
ME	•		•	
MI	•	•	•	•
MN	•	•		
MO	•			
MS	•	•	•	•
MT	•	•		
NC	•	•	•	
ND				
NE				
NH	•	•	•	
NJ				
NM	•	•	•	•
NV	•	•		•
NY	•	•	•	•
OH				
OK	•	•		•
OR	•	•		•
PA	•	•	•	
RI	•	•	•	•
SC				
SD				
TN	•			

State:	Before and/or after eating food	After toileting	After diapering	After handling animals
TX	•	•	•	•
UT	•	•		
VA				
VT	•	•	•	•
WA	•	•	•	•
WI	•	•	•	
WV				
WY				

Diapering Requirements

State:	Changing table and areas must be sanitized after each use	Requirement about discarding soiled diapers	Diapering area must be separate from food preparation area
Total:	31	29	24
AK			
AL	•	•	•
AR	•		
AZ			
CA			
CO	•		•
CT	•		
DC	•	•	•
DE	•	•	•
FL	•	•	•
GA	•	•	
HI			
IA			
ID			
IL	•	•	
IN			
KS	•	•	
KY	•		
LA			
MA			•
MD		•	
ME	•	•	•
MI	•	•	•
MN	•	•	•
MO	•	•	•
MS	•	•	
MT	•	•	•
NC		•	•
ND	•	•	•
NE		•	
NH	•	•	•
NJ			
NM	•		
NV	•	•	•
NY	•	•	•
OH			
OK	•	•	
OR			
PA	•	•	•
RI	•	•	•
SC			
SD			
TN		•	•

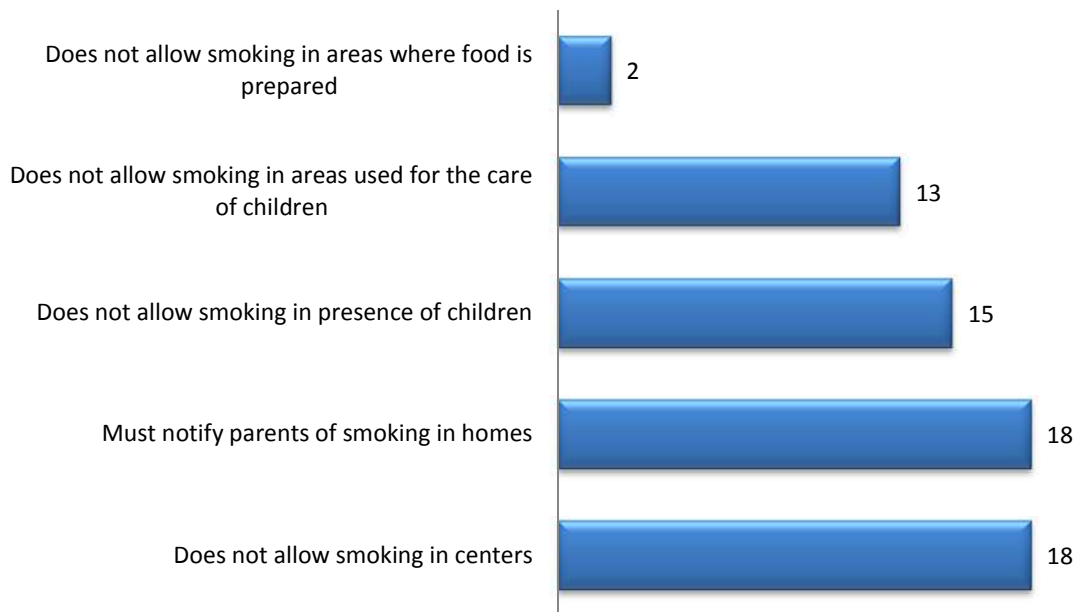
State:	Changing table and areas must be sanitized after each use	Requirement about discarding soiled diapers	Diapering area must be separate from food preparation area
TX	•	•	•
UT	•	•	•
VA			
VT			•
WA	•	•	•
WI	•	•	
WV	•	•	
WY	•		•

Diapering Requirements (continued)

State:	Requirement that specifies when children's diaper must be changed	Staff changing diapers must wear gloves
Total:	23	5
AK		
AL	•	•
AR		
AZ		
CA		
CO		
CT	•	
DC		•
DE		
FL		
GA		
HI		
IA		
ID		
IL	•	
IN		
KS	•	
KY	•	
LA		
MA	•	
MD	•	
ME	•	
MI		
MN	•	
MO	•	
MS		•
MT	•	
NC	•	
ND	•	
NE		
NH	•	

State:	Requirement that specifies when children's diaper must be changed	Staff changing diapers must wear gloves
NJ		
NM	•	•
NV		
NY	•	
OH		
OK	•	
OR		
PA	•	
RI		•
SC		
SD		
TN	•	
TX	•	
UT	•	
VA		
VT		
WA	•	
WI	•	
WV		
WY		

Requirements Relating to Smoking



Requirements Relating to Smoking

State:	Does not allow smoking in homes	Must notify parents of smoking in homes	Does not allow smoking in presence of children
Total:	18	18	15
AK		•	
AL	•		
AR	•	•	
AZ			
CA	•		
CO	•		
CT		•	•
DC			•
DE		•	•
FL		•	
GA			
HI		•	
IA			
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KS	•		
KY			•
LA			
MA		•	•
MD	•	•	•
ME			•
MI		•	
MN		•	
MO			•
MS	•		
MT	•		
NC			•
ND	•		•
NE		•	
NH	•		
NJ			
NM			•
NV	•	•	
NY	•		
OH			
OK	•	•	•
OR	•		
PA		•	
RI	•	•	
SC			
SD			
TN		•	•

State:	Does not allow smoking in homes	Must notify parents of smoking in homes	Does not allow smoking in presence of children
TX	•		
UT			
VA			
VT		•	•
WA			
WI	•		
WV	•	•	
WY			

Requirements Relating to Smoking (continued)

State:	Does not allow smoking in areas used for the care of children	Does not allow smoking in areas where food is prepared
Total:	13	2
AK		
AL		
AR		
AZ		
CA		
CO		
CT		
DC	•	
DE		
FL		
GA		
HI		
IA		
ID		
IL	•	
IN		
KS	•	
KY		
LA		
MA		
MD		
ME	•	
MI		
MN		
MO		
MS	•	
MT		
NC		
ND		
NE	•	
NH		

State:	Does not allow smoking in areas used for the care of children	Does not allow smoking in areas where food is prepared
NJ		
NM	•	
NV		
NY	•	
OH		
OK	•	
OR		
PA	•	•
RI	•	
SC		
SD		
TN		
TX		
UT		
VA		
VT		
WA	•	
WI	•	
WV		
WY		•

Storage of Hazardous Materials

State:	Requirements regarding accessibility of hazardous materials (e.g., cleaning products)	Must be kept out of children's reach
Total:	40	39
AK		
AL	•	•
AR	•	•
AZ		
CA	•	•
CO	•	•
CT	•	•
DC	•	•
DE	•	•
FL	•	•
GA	•	•
HI	•	•
IA	•	•
ID		
IL	•	•
IN	•	•
KS		
KY	•	•
LA		
MA	•	•
MD	•	•
ME	•	•
MI	•	•
MN	•	•
MO	•	•
MS	•	•
MT	•	•
NC	•	•
ND	•	•
NE	•	•
NH	•	•
NJ		
NM	•	•
NV		
NY	•	•
OH		
OK	•	•
OR	•	•
PA	•	•
RI	•	•
SC		
SD		

State:	Requirements regarding accessibility of hazardous materials (e.g., cleaning products)	Must be kept out of children's reach
TN	•	•
TX	•	•
UT	•	•
VA		
VT	•	
WA	•	•
WI	•	•
WV	•	•
WY	•	•

Firearms

State:	Allows firearms in home, but must be locked in safe location	Allows firearm in home, but ammunition must be separate	Requires home to notify parents of the presence of firearms in facilities	Does not allow firearms in home
Total:	34	22	4	1
AK				
AL	•	•		
AR	•			
AZ				
CA	•	•		
CO	•	•		
CT	•	•		
DC				•
DE	•	•		
FL	•			
GA			•	
HI	•			
IA				
ID				
IL	•	•		
IN	•			
KS				
KY	•	•		
LA				
MA	•	•	•	
MD	•			
ME	•	•		
MI	•	•	•	
MN	•	•		
MO	•			
MS	•			
MT	•	•		
NC	•			
ND	•	•		
NE	•	•		
NH	•			
NJ				
NM	•			
NV				
NY				
OH				
OK	•	•		
OR	•	•		
PA	•	•	•	
RI	•	•		
SC				
SD				

State:	Allows firearms in home, but must be locked in safe location	Allows firearm in home, but ammunition must be separate	Requires home to notify parents of the presence of firearms in facilities	Does not allow firearms in home
TN	•			
TX	•	•		
UT	•	•		
VA				
VT		•		
WA				
WI	•			
WV	•			
WY	•	•		

Animals Kept in the Home

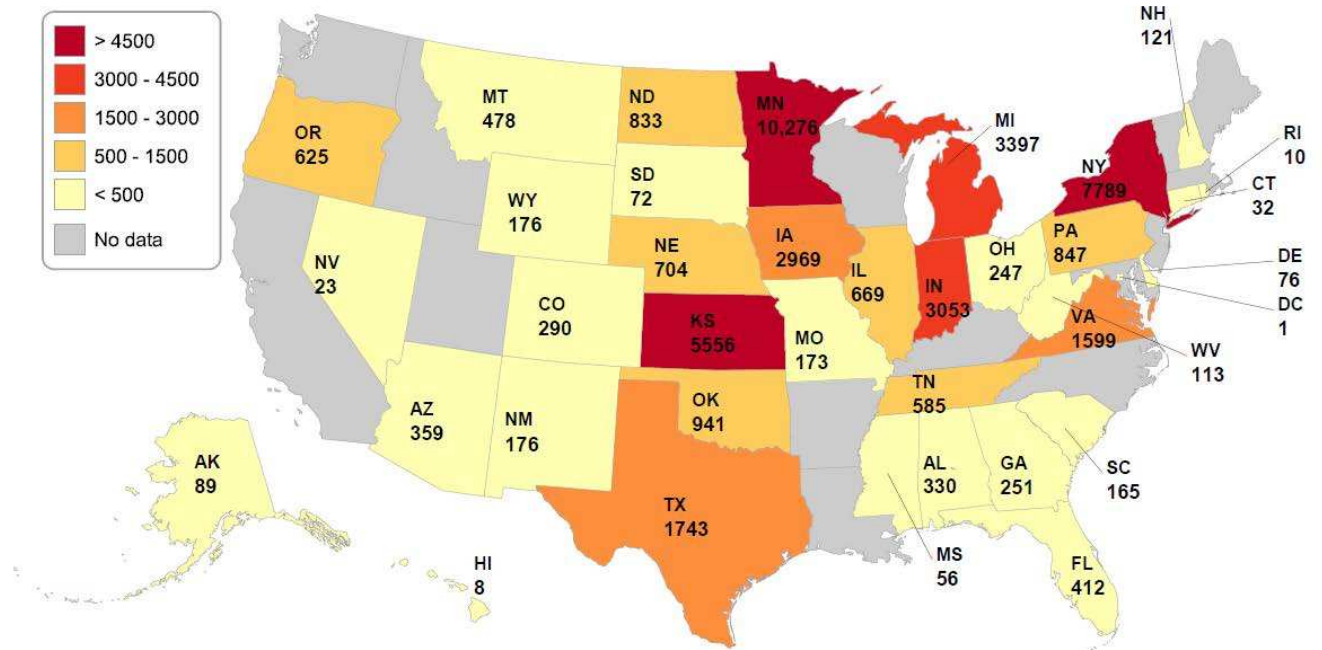
State:	Animals are allowed in homes	Requirement about the care and health of animal	Homes must notify parents of the presence of animals	Certain animals are prohibited
Total:	39	37	18	16
AK				
AL	•	•	•	•
AR	•	•		
AZ				
CA				
CO	•	•		
CT	•	•		
DC	•	•	•	•
DE	•	•		
FL	•	•	•	
GA	•	•	•	•
HI	•	•		
IA	•	•	•	
ID				
IL	•	•		•
IN	•	•		
KS	•	•	•	•
KY	•	•	•	•
LA				
MA	•	•		•
MD	•		•	
ME	•	•		
MI	•		•	
MN	•	•	•	
MO	•	•		
MS	•	•		
MT	•	•		
NC	•	•		
ND	•	•		•
NE	•	•		•
NH	•	•		•
NJ				
NM	•	•	•	•
NV				
NY	•	•		
OH				
OK	•	•	•	•
OR	•	•	•	•
PA	•	•		
RI	•	•	•	
SC				
SD				
TN	•	•		

State:	Animals are allowed in homes	Requirement about the care and health of animal	Homes must notify parents of the presence of animals	Certain animals are prohibited
TX	•	•	•	•
UT	•	•	•	
VA				
VT				
WA	•	•	•	
WI	•	•	•	•
WV	•	•		
WY	•	•		•

Section 3: Family Group Day Care Homes

Part A: Survey Responses

Group Day Care Homes as of December 31, 2011

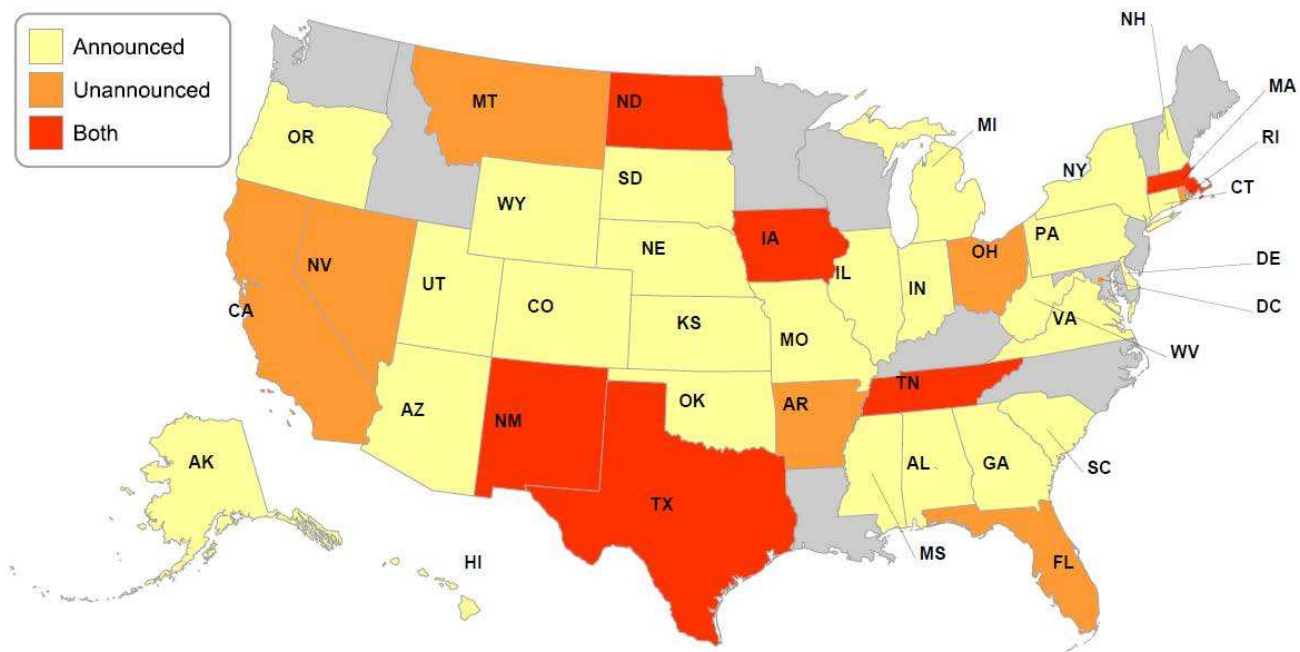


Question: Are inspections to assess compliance conducted of child care programs PRIOR TO ISSUING A LICENSE? Are the inspections conducted announced or unannounced?

Analysis: Inspections are almost always required prior to license issuance. They are usually announced.

State:	Inspections prior to issuing a license are conducted	Inspections are announced	Inspections are unannounced
Total:	39	32	14
AK	•	•	
AL	•	•	
AR	•		•
AZ	•	•	
CA	•		•
CO	•	•	
CT	•	•	
DC	•		•
DE	•	•	
FL	•		•
GA	•	•	
HI	•	•	

State:	Inspections prior to issuing a license are conducted	Inspections are announced	Inspections are unannounced
IA		•	•
ID			
IL	•	•	
IN	•	•	
KS	•	•	
KY			
LA			
MA	•	•	•
MD			
ME			
MI	•	•	
MN	•		
MO	•	•	
MS	•	•	
MT			•
NC			
ND	•	•	•
NE	•	•	
NH	•	•	
NJ			
NM	•	•	•
NV	•		•
NY	•	•	
OH	•		•
OK	•	•	
OR	•	•	
PA	•	•	
RI	•		•
SC	•	•	
SD	•	•	
TN	•	•	•
TX	•	•	•
UT	•	•	
VA	•	•	
VT			
WA			
WI			
WV	•	•	
WY	•	•	

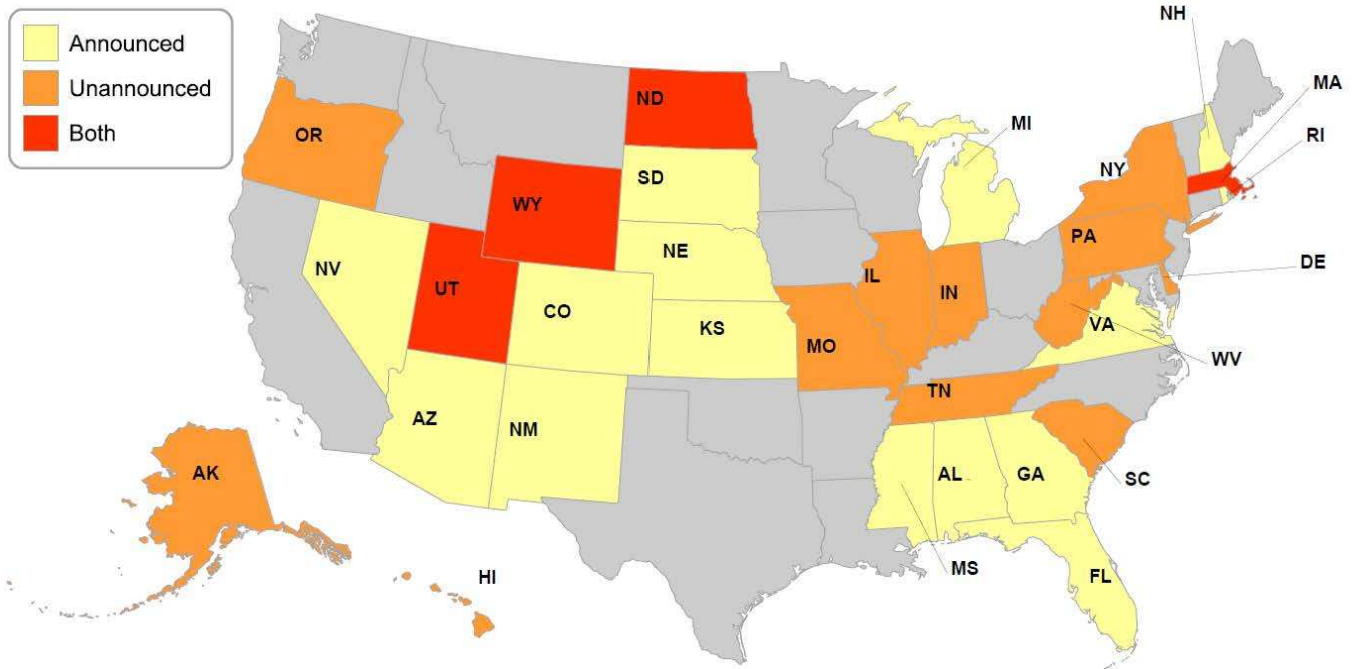


Question: Are inspections to assess compliance conducted of child care programs for LICENSE RENEWAL? Are inspections conducted announced or unannounced?

Analysis: More than half of the responding states renew licenses through an inspection process.

State:	Licenses are renewed	Inspections for license renewal are conducted	Inspections are announced	Inspections are unannounced
Total:	30	31	16	19
AK	•	•	•	
AL	•	•		•
AR				
AZ	•	•		•
CA	•			
CO	•	•		•
CT				
DC				
DE	•	•	•	
FL	•	•		•
GA	•	•		•
HI	•	•	•	
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MO	•	•	•	
MS	•	•		•
MT	•			
NC				
ND	•	•	•	•
NE		•		•
NH	•			•
NJ				
NM	•	•		•
NV	•	•		•
NY	•	•	•	
OH				
OK				
OR	•	•	•	

State:	Licenses are renewed	Inspections for license renewal are conducted	Inspections are announced	Inspections are unannounced
PA	•	•	•	
RI		•		•
SC	•	•	•	
SD		•		•
TN	•	•	•	
TX				
UT	•	•	•	•
VA	•	•		•
VT				
WA				
WI				
WV	•	•	•	
WY	•	•	•	•

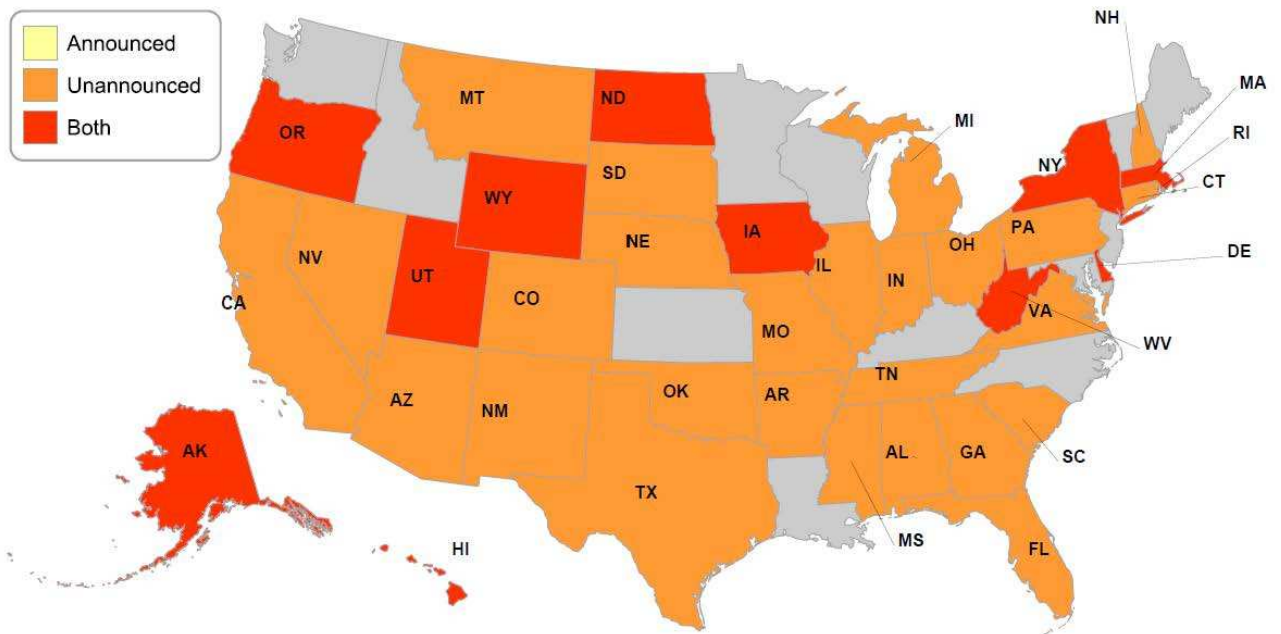


Question: Are ROUTINE INSPECTIONS conducted on child care programs to review compliance with the regulations? Are inspections conducted announced or unannounced?

Analysis: Almost every state conducts unannounced inspections to measure compliance.

State:	Routine inspections for compliance are conducted	Inspections are announced	Inspections are unannounced
Total:	38	11	38
AK	•	•	•
AL	•		•
AR	•		•
AZ	•		•
CA	•		•
CO	•		•
CT	•		•
DC			
DE	•	•	•
FL	•		•
GA	•		•
HI	•	•	•
IA		•	•
ID			
IL	•		•
IN	•		•
KS			
KY			
LA			
MA	•	•	•
MD			
ME			
MI	•		•
MN	•		
MO	•		•
MS	•		•
MT	•		•
NC			
ND	•	•	•
NE	•		•
NH	•		•
NJ			
NM	•		•
NV	•		•
NY	•	•	•
OH	•		•
OK	•		•
OR	•	•	•
PA	•		•
RI	•		•
SC	•		•

State:	Routine inspections for compliance are conducted	Inspections are announced	Inspections are unannounced
SD	•		•
TN	•		•
TX	•		•
UT	•	•	•
VA	•		•
VT			
WA			
WI			
WV	•	•	•
WY	•	•	•

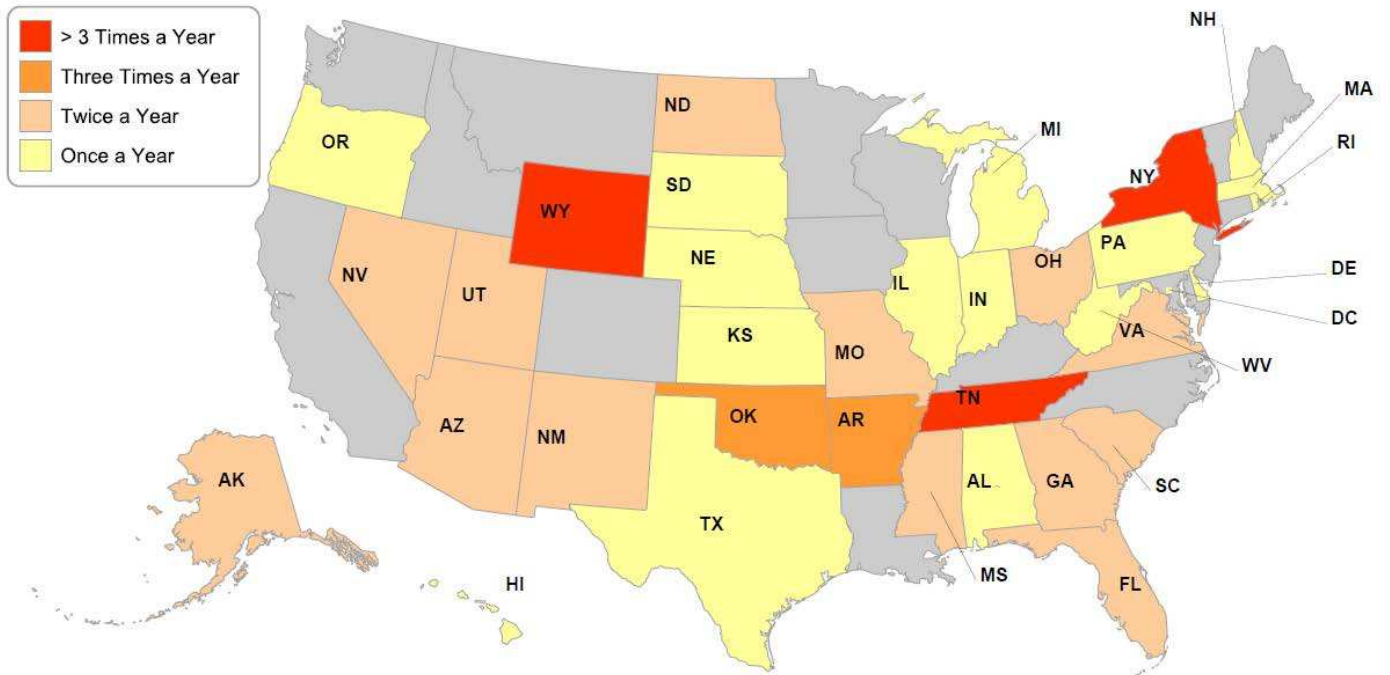


Question: Once a license is issued, how often are licensing inspections conducted for each facility type?

Analysis: Most states inspect licensed homes once a year.

State:	More than three times a year	Three times a year	Twice a year	Once a year	Once every two years	Once every three years	Less than once every three years
Total:	4	2	13	17	2	0	1
AK	•		•				
AL				•			
AR		•					
AZ			•				
CA							•
CO							
CT					•		
DC				•			
DE				•			
FL			•				
GA			•				
HI				•			
IA							
ID							
IL				•			
IN				•			
KS				•			
KY							
LA							
MA				•			
MD							
ME							
MI				•			
MN					•		
MO			•				
MS			•				
MT							
NC							
ND			•				
NE				•			
NH				•			
NJ							
NM			•				
NV			•				
NY	•						
OH			•				
OK		•					
OR				•			
PA				•			

State:	More than three times a year	Three times a year	Twice a year	Once a year	Once every two years	Once every three years	Less than once every three years
RI				•			
SC			•				
SD				•			
TN	•						
TX				•			
UT			•				
VA			•				
VT							
WA							
WI							
WV				•			
WY	•						

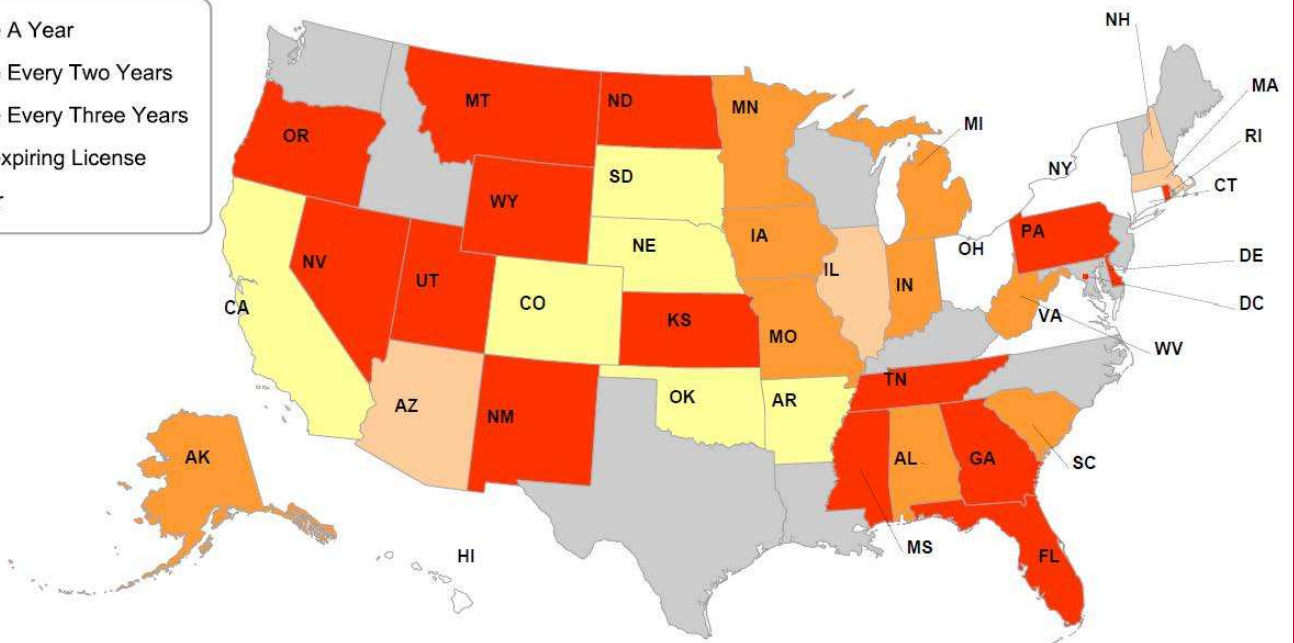


Question: After initial licensure, how often are licenses renewed? Applies to certification or registration, if appropriate.

Analysis: Licenses and certificates are usually renewed at least every two years.

State:	Once a year	Once every two years	Once every three years	Nonexpiring license	Other frequency
Total:	16	10	4	6	5
AK		•			
AL		•			
AR				•	
AZ			•		
CA				•	
CO				•	
CT					•
DC	•				
DE	•				
FL	•				
GA	•				
HI					•
IA		•			
ID					
IL			•		
IN		•			
KS	•				
KY					
LA					
MA			•		
MD					
ME					
MI		•			
MN		•			
MO		•			
MS	•				
MT	•				
NC					
ND	•	•			
NE				•	
NH			•		
NJ					
NM	•				
NV	•				
NY					•
OH					•
OK				•	
OR	•				
PA	•				
RI	•				

State:	Once a year	Once every two years	Once every three years	Nonexpiring license	Other frequency
SC		•			
SD				•	
TN	•				
TX					
UT	•				
VA					•
VT					
WA					
WI					
WV		•			
WY	•				

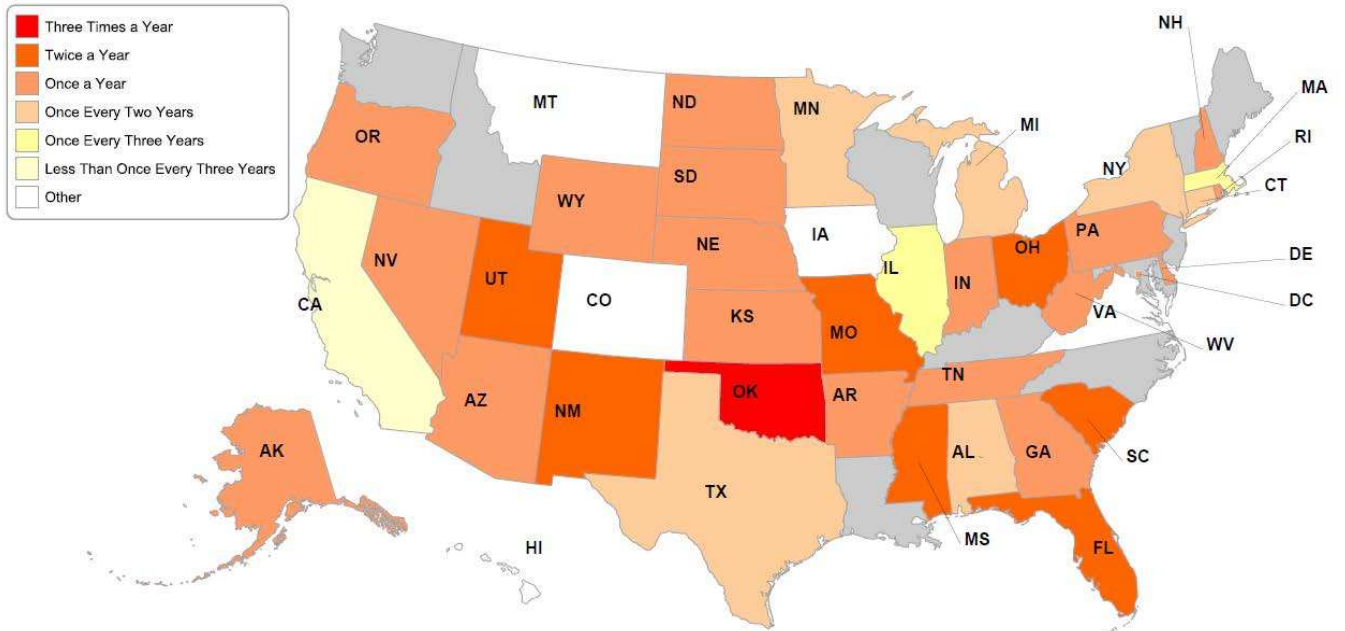


Question: How often does the state conduct a FULL COMPLIANCE REVIEW of child care facilities?

Analysis: Most homes are inspected for full compliance at least once per year.

State:	Three times a year	Twice a year	Once a year	Once every two years	Once every three years	Less than once every three years	Other frequency
Total:	1	7	19	6	2	1	7
AK			•				
AL				•			
AR			•				
AZ			•				
CA						•	
CO							•
CT				•			
DC			•				
DE			•				
FL		•					
GA			•				
HI							•
IA							•
ID							
IL					•		
IN			•				
KS			•				
KY							
LA							
MA					•		
MD							
ME							
MI				•			
MN				•			
MO		•					
MS		•					
MT							•
NC							
ND			•				
NE			•				
NH			•				
NJ							
NM		•					
NV			•				
NY				•			•
OH		•					•
OK	•						
OR			•				
PA			•				
RI			•				
SC		•					

State:	Three times a year	Twice a year	Once a year	Once every two years	Once every three years	Less than once every three years	Other frequency
SD			•				
TN			•				
TX				•			
UT		•					
VA							•
VT							
WA							
WI							
WV			•				
WY			•				

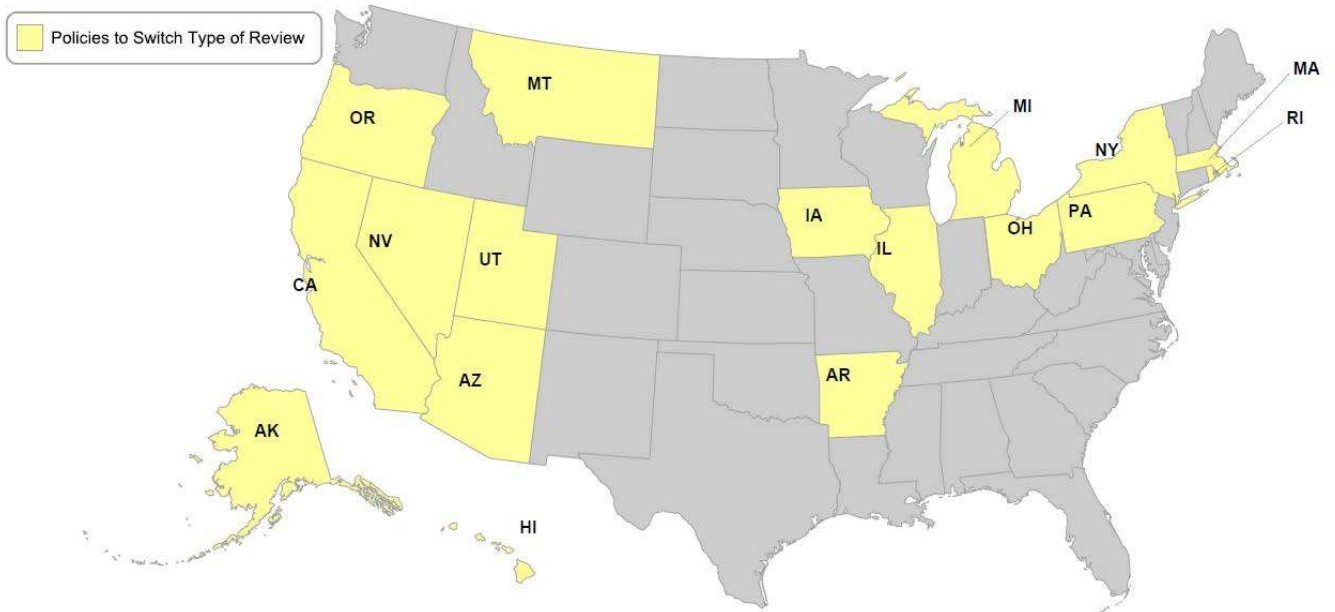


Question: Does the state have policies on determining when to switch from an abbreviated compliance review to a full compliance review? Does the state have a system of weighted licensing requirements where rules are ranked for relative risk of harm? Does the state have a system of differential monitoring based on compliance records for each type of child care facility?

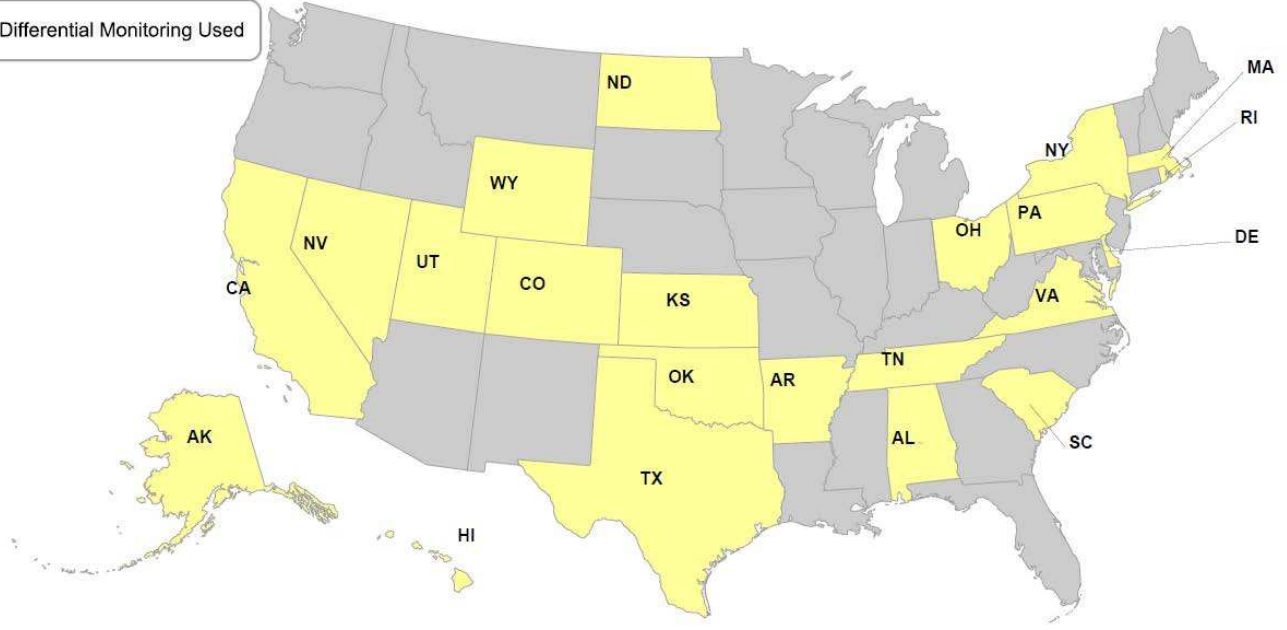
Analysis: Less than half of the states use some type of differential monitoring system.

State:	State has policies on when to switch type of review	State has system of weighted licensing requirements where rules are ranked for relative risk of harm	Differential monitoring used
Total:	17	13	22
AK	•		•
AL			•
AR	•		•
AZ	•		
CA	•	•	•
CO		•	•
CT			
DC			
DE			•
FL		•	
GA		•	
HI	•		•
IA	•		
ID			
IL	•		
IN			
KS			•
KY			
LA			
MA	•		•
MD			
ME		•	
MI	•		
MN			
MO			
MS			
MT	•		
NC		•	
ND			•
NE			
NH			
NJ			
NM			
NV	•		•
NY	•		•
OH	•	•	•
OK		•	•
OR	•	•	

State:	State has policies on when to switch type of review	State has system of weighted licensing requirements where rules are ranked for relative risk of harm	Differential monitoring used
PA	•		•
RI	•	•	•
SC			•
SD			
TN			•
TX		•	•
UT	•	•	•
VA		•	•
VT			
WA			
WI			
WV			
WY			•



Differential Monitoring Used

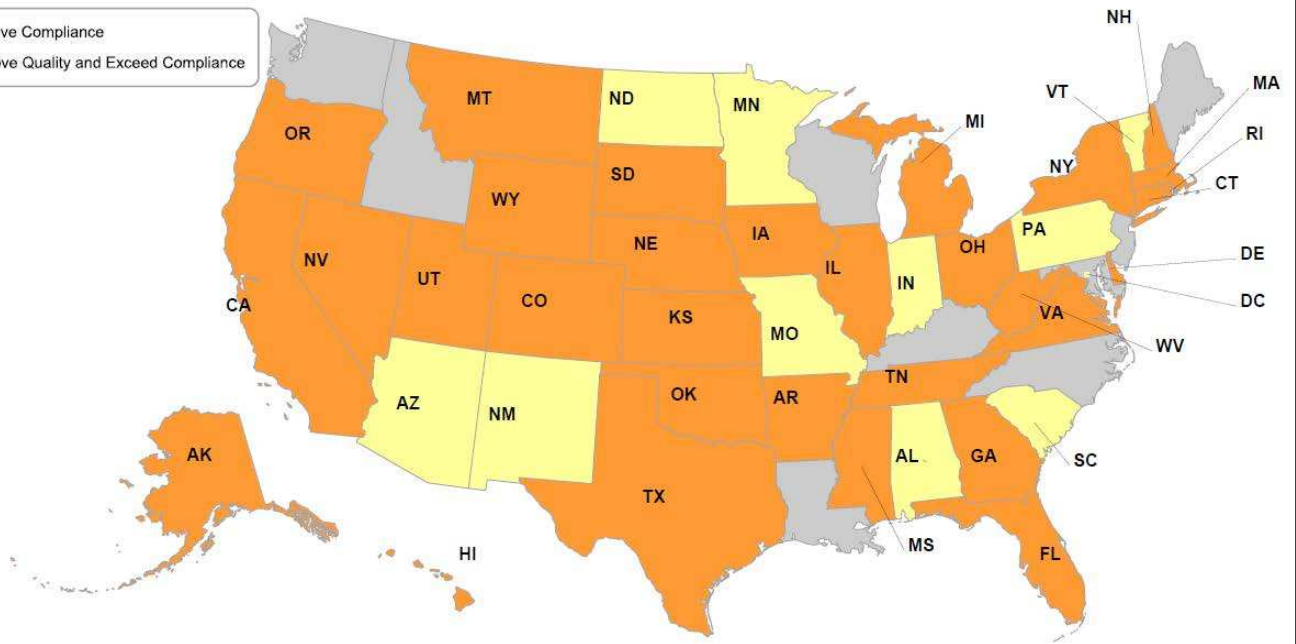
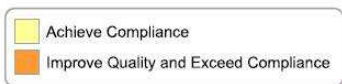


Question: Do child care licensors provide technical assistance (TA), e.g., guidance, resources, training, and/or consultation to licensees? When do licensors provide technical assistance (TA) and/or consultation? Do licensors ever refer licensees to other agencies, organizations, or people for TA and/or consultation?

Analysis: Technical assistance is frequently provided in a variety of ways.

State:	Licensors provide TA to licensees to help them achieve compliance with the regulations	Licensors provide TA/consultation to licensees to help them improve quality and exceed minimum licensing regulations	During application inspection visits	During routine inspection visits	During renewal inspection visits	On the telephone	Referrals made to other agencies, organizations, or people
Total:	42	31	39	39	33	40	39
AK	•	•	•	•	•	•	•
AL	•		•	•	•	•	•
AR	•	•	•	•		•	•
AZ	•		•	•	•	•	•
CA	•	•	•	•		•	•
CO	•	•	•	•	•	•	•
CT	•	•	•	•		•	•
DC	•						
DE	•	•	•	•	•	•	•
FL	•	•	•	•	•	•	•
GA	•	•	•	•	•	•	•
HI	•	•	•	•	•	•	•
IA	•	•		•		•	•
ID							
IL	•	•	•	•	•	•	•
IN	•		•	•	•	•	•
KS	•	•	•		•	•	•
KY							
LA							
MA	•	•	•	•	•	•	•
MD							
ME							
MI	•	•	•	•	•	•	•
MN	•		•	•	•	•	
MO	•		•	•	•	•	•
MS	•	•	•	•	•	•	•
MT	•	•	•	•	•	•	•
NC							
ND	•		•	•	•	•	•
NE	•	•	•	•	•	•	•
NH	•	•	•	•	•	•	•
NJ							

State:	Licensors provide TA to licensees to help them achieve compliance with the regulations	Licensors provide TA/consultation to licensees to help them improve quality and exceed minimum licensing regulations	During application inspection visits	During routine inspection visits	During renewal inspection visits	On the telephone	Referrals made to other agencies, organizations, or people
NM	•		•	•	•	•	•
NV	•	•	•	•	•	•	•
NY	•	•	•	•	•	•	•
OH	•	•	•	•		•	•
OK	•	•	•	•		•	•
OR	•	•	•	•	•	•	•
PA	•		•	•	•	•	•
RI	•	•	•	•	•	•	•
SC	•		•	•	•	•	•
SD	•	•	•	•	•	•	•
TN	•	•	•	•	•	•	•
TX	•	•	•	•		•	•
UT	•	•	•	•	•	•	•
VA	•	•	•	•	•	•	•
VT	•						
WA							
WI							
WV	•	•	•	•	•	•	•
WY	•	•	•	•	•	•	•

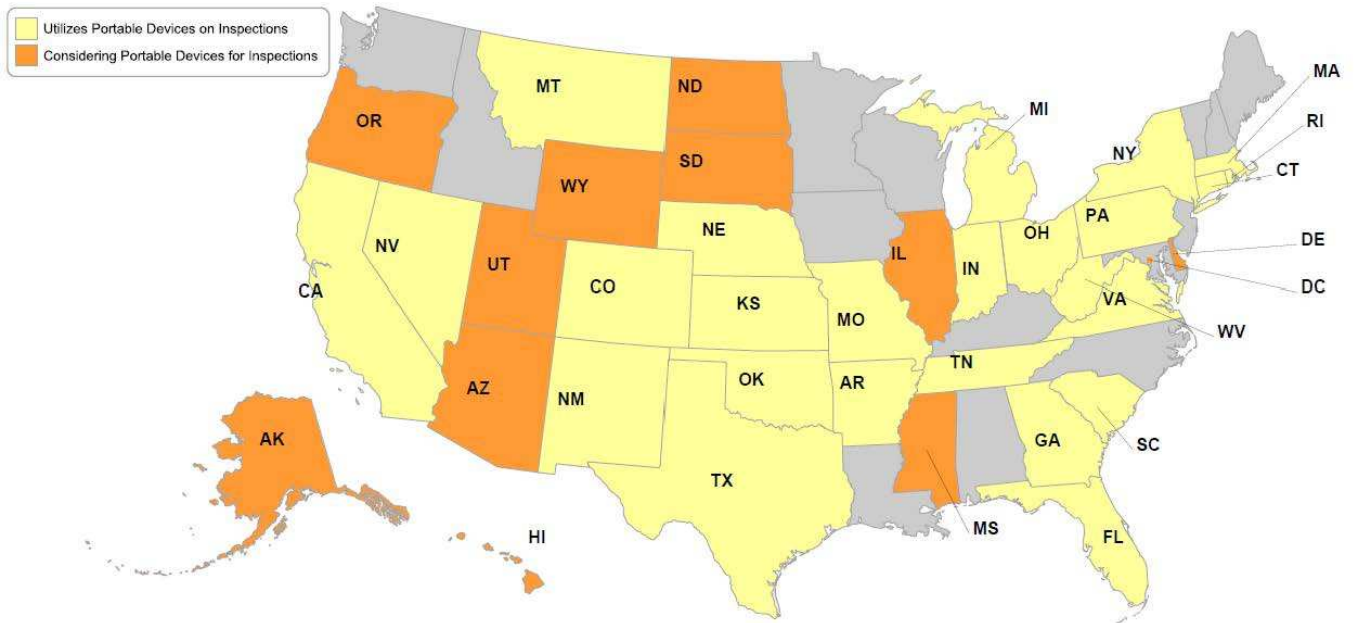


Question: Is your state using, or considering using, portable, handheld devices such as "smart" phones, laptops, portable digital assistants, and/or computer tablets with specific software for capturing information during licensing inspections? Is the state using, or considering using, any other tools/devices (such as laser measuring devices) for inspections and monitoring?

Analysis: While only half of the states use portable devices, many states are considering using technology during the inspection process.

State:	Portable devices used for inspections	State is considering the use of portable devices for inspections	State is using, or considering using, any other tools/devices (such as laser measuring devices) for inspections and monitoring
Total:	25	14	28
AK		•	
AL			
AR	•		•
AZ		•	•
CA	•	•	
CO	•		•
CT	•		•
DC		•	•
DE		•	•
FL	•		•
GA	•		
HI		•	•
IA			
ID			
IL		•	•
IN	•		•
KS	•		•
KY			
LA			•
MA	•		
MD			•
ME			
MI	•		
MN			
MO	•		
MS		•	•
MT	•		
NC			
ND		•	•
NE	•		•
NH			
NJ			
NM	•		•
NV	•		
NY	•		•
OH	•		•

State:	Portable devices used for inspections	State is considering the use of portable devices for inspections	State is using, or considering using, any other tools/devices (such as laser measuring devices) for inspections and monitoring
OK	•	•	
OR		•	•
PA	•		
RI	•		•
SC	•		•
SD		•	
TN	•		•
TX	•		•
UT		•	•
VA	•		•
VT			
WA			•
WI			
WV	•		•
WY		•	

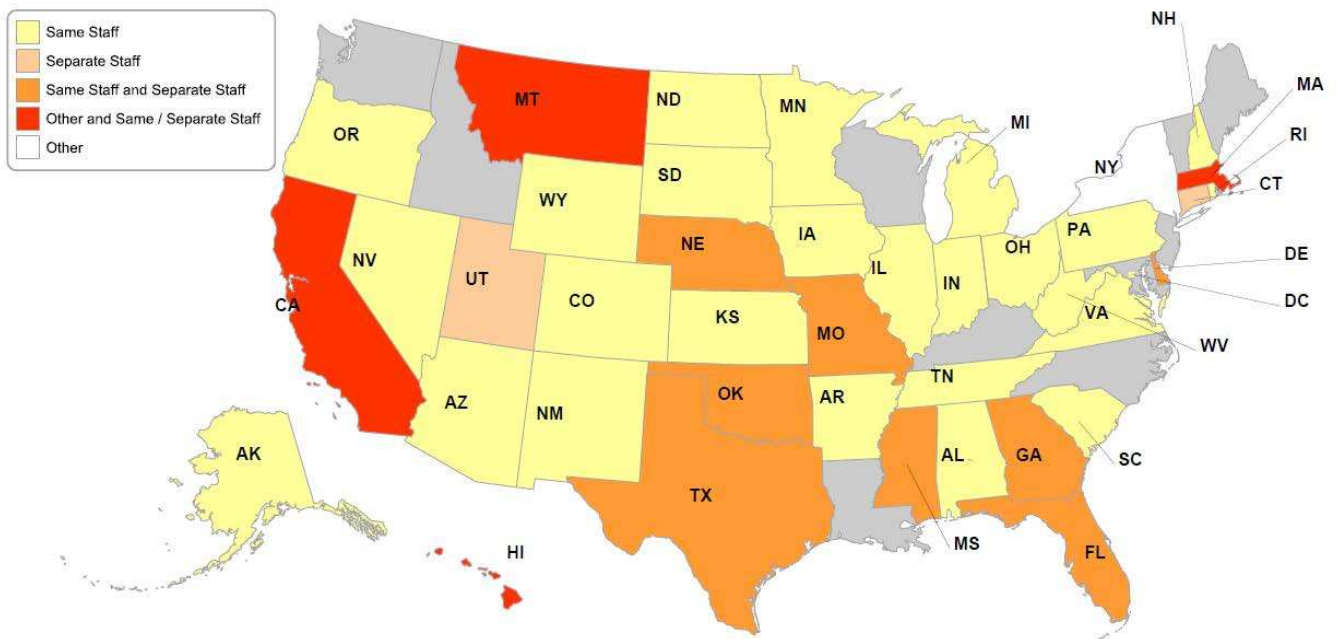


Question: Does the state licensing agency conduct investigations on licensing complaints? If the licensing agency conducts complaint investigations, what staff are used to conduct the investigations? Does the state investigate licensing complaints filed anonymously?

Analysis: In most states, the licensing agency conducts complaint investigations. The investigations are usually performed by the same staff who inspect for routine compliance.

State:	Licensing agency conducts complaint investigations	Same staff who conduct inspections for initial licensure and routine compliance	Separate staff who only work on complaint investigations	Staff from another agency	Other	State investigates anonymous complaints
Total:	41	38	10	2	5	39
AK	•	•				•
AL	•	•				•
AR	•	•				•
AZ	•	•				•
CA	•	•	•		•	•
CO	•	•				
CT	•		•			•
DC	•	•				•
DE	•	•	•			•
FL	•	•	•			•
GA	•	•	•			•
HI	•	•			•	•
IA	•	•				•
ID						
IL	•	•				•
IN	•	•				•
KS	•	•				•
KY						
LA						
MA	•	•	•		•	•
MD						
ME						
MI	•	•				•
MN	•	•				•
MO	•	•		•		•
MS	•	•	•			•
MT	•	•			•	•
NC						
ND	•	•				•
NE	•	•		•		•
NH	•	•				•
NJ						
NM	•	•				•
NV	•	•				•
NY	•				•	•

State:	Licensing agency conducts complaint investigations	Same staff who conduct inspections for initial licensure and routine compliance	Separate staff who only work on complaint investigations	Staff from another agency	Other	State investigates anonymous complaints
OH	•	•				•
OK	•	•	•			•
OR	•	•				•
PA	•	•				•
RI	•	•				•
SC	•	•				•
SD	•	•				•
TN	•	•				•
TX	•	•	•			•
UT	•		•			
VA	•	•				•
VT						
WA						
WI						
WV	•	•				•
WY	•	•				•

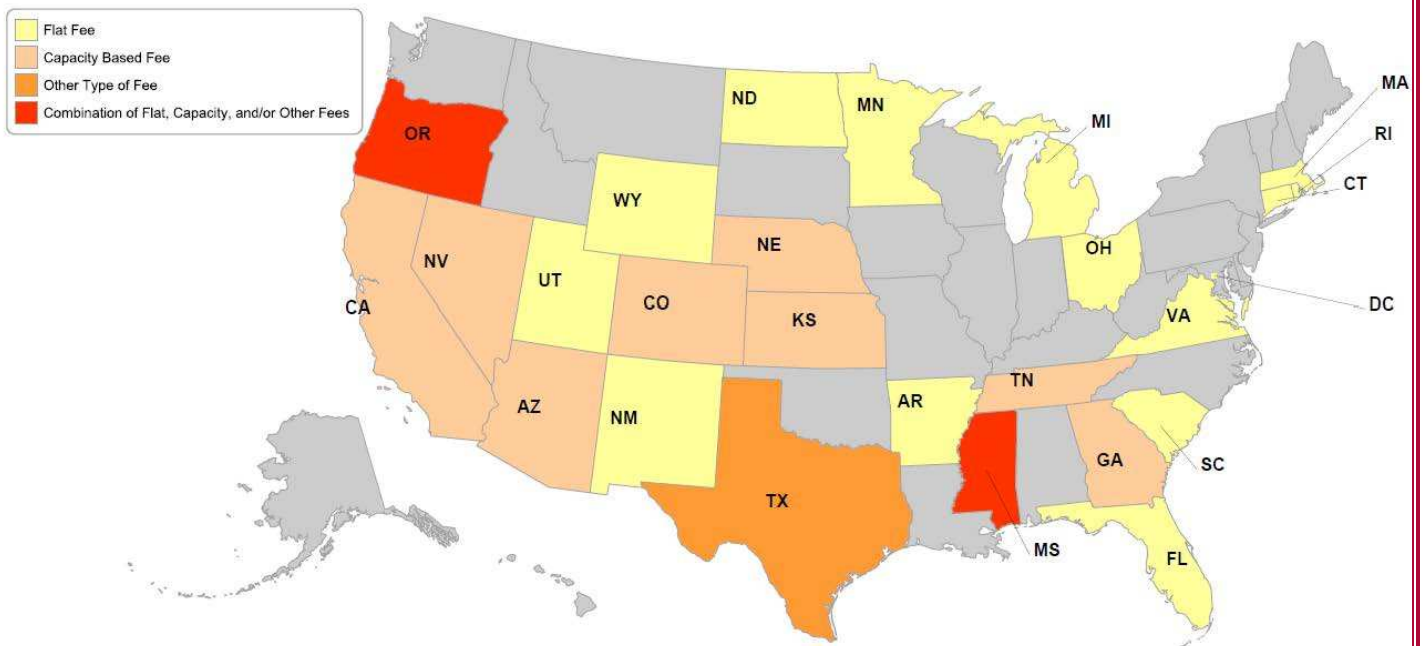


Question: Does the state charge a fee for a license? If fees are charged, how is the fee assessed? Are the revenues collected from licensing fees used to support the licensing agency?

Analysis: Slightly more than half of the states charge a fee for licensure.

State:	Fee charged for license	Flat fee amount for all facilities	Fee is based on the maximum number of children allowed in the facility	Other Fee	Yes, revenues from fees are used to support the licensing agency	No, revenues from fees are put into the state's general fund	Other Use
Total:	25	16	10	2	18	13	8
AK							
AL							
AR	•	•					•
AZ	•		•		•		
CA	•		•		•		
CO	•		•		•		
CT	•	•				•	
DC	•	•			•		
DE							
FL	•	•			•		
GA	•		•			•	
HI							
IA					•		
ID							
IL							
IN					•		
KS	•		•				•
KY					•		
LA						•	
MA	•	•				•	
MD							
ME						•	
MI	•	•			•		
MN		•			•		•
MO							
MS	•		•	•	•		
MT							
NC					•		
ND	•	•			•		
NE	•		•		•		
NH							
NJ						•	•
NM	•	•				•	
NV	•		•		•		
NY							
OH	•	•				•	
OK							
OR	•	•	•		•		•
PA							•

State:	Fee charged for license	Flat fee amount for all facilities	Fee is based on the maximum number of children allowed in the facility	Other Fee	Yes, revenues from fees are used to support the licensing agency	No, revenues from fees are put into the state's general fund	Other Use
RI	•	•				•	
SC	•	•			•		
SD							
TN	•		•				•
TX	•			•		•	
UT	•	•				•	
VA	•	•					•
VT							
WA						•	
WI					•		
WV							
WY	•	•				•	

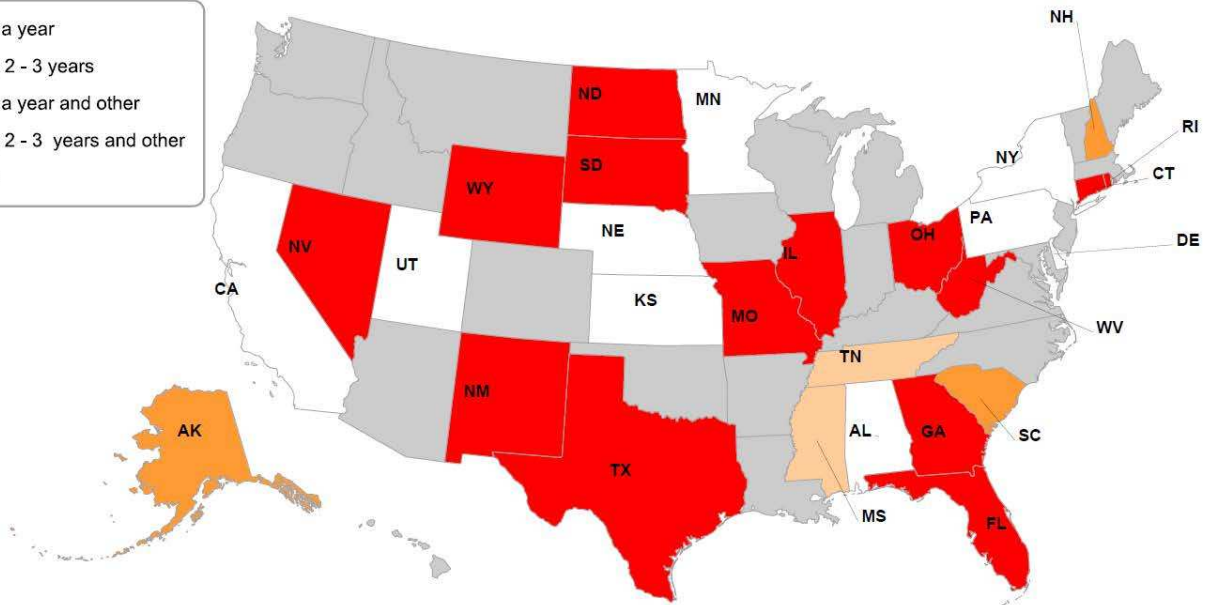


Question: Are inspections for fire safety required for child care facilities? If yes, what is the frequency of fire safety inspections?

Analysis: Fire inspections are required in about half of all states.

State:	Fire inspections required	Once a year	Once every two years	Once every three years	Less than once every three years	Other frequency
Total:	25	16	2	1	0	11
AK	•		•			
AL	•					•
AR						
AZ						
CA	•					•
CO						
CT	•	•				
DC						
DE	•					•
FL	•	•				
GA	•	•				
HI						
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IL	•	•				
IN						
KS	•					•
KY						
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MN						•
MO	•	•				
MS	•	•				•
MT						
NC						
ND		•				
NE	•					•
NH	•			•		
NJ						
NM	•	•				
NV	•	•				
NY	•					•
OH	•	•				
OK						
OR						
PA	•					•
RI	•	•				

State:	Fire inspections required	Once a year	Once every two years	Once every three years	Less than once every three years	Other frequency
SC	•		•			
SD	•	•				
TN	•	•				•
TX		•				
UT	•					•
VA						
VT						
WA						
WI						
WV	•	•				
WY	•	•				

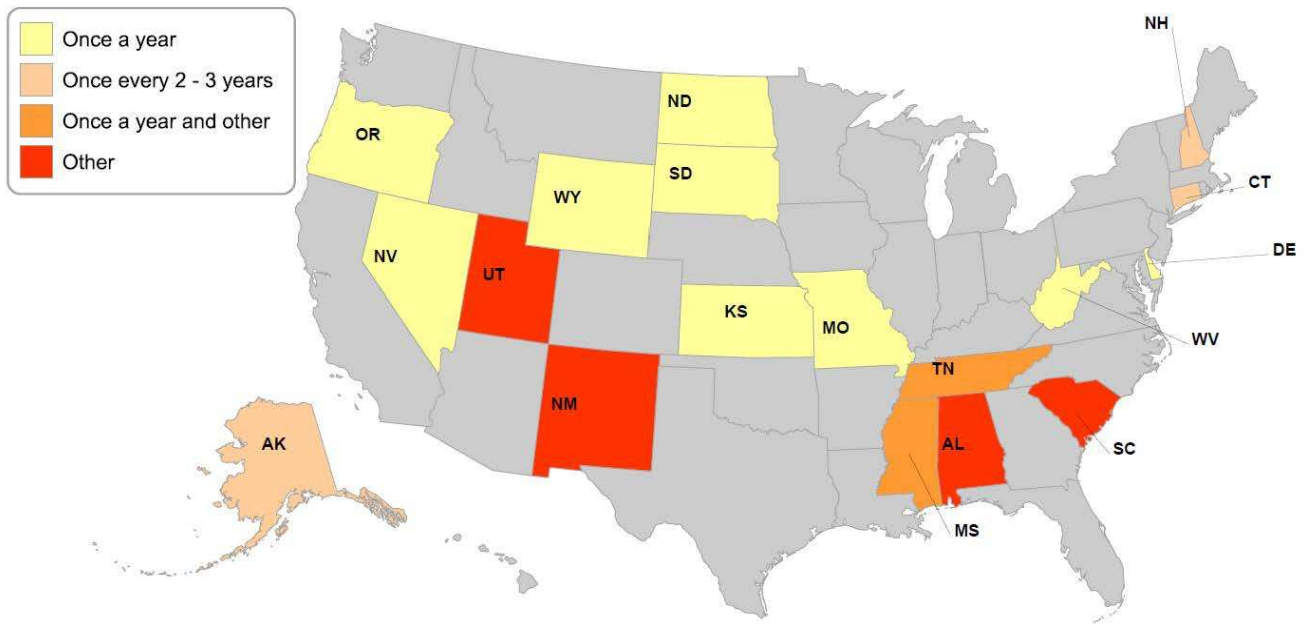


Question: Are environmental health inspections required for child care facilities? If yes, what is the frequency of environmental health inspections?

Analysis: Few states require environmental health inspections separate from the licensing process

State:	Health inspections required	Once a year	Once every two years	Once every three years	Less than once every three years	Other frequency
Total:	18	11	2	1	0	6
AK	•		•			
AL						•
AR						
AZ						
CA						
CO						
CT	•		•			
DC						
DE		•				
FL						
GA						
HI						
IA						
ID						
IL						
IN						
KS	•	•				
KY						
LA						
MA						
MD						
ME						
MI	•					
MN						
MO	•	•				
MS	•	•				•
MT						
NC						
ND	•	•				
NE						
NH	•			•		
NJ						
NM	•					•
NV	•	•				
NY						
OH	•					
OK						
OR	•	•				

State:	Health inspections required	Once a year	Once every two years	Once every three years	Less than once every three years	Other frequency
PA						
RI						
SC	•					•
SD	•	•				
TN	•	•				•
TX						
UT	•					•
VA						
VT						
WA						
WI						
WV	•	•				
WY	•	•				



Question: Does the state require background checks for family child care providers?

Analysis: Background checks are required in nearly every state.

State:	Background checks required	CRIMINAL HISTORY RECORD (CHR) CHECKS ARE REQUIRED	State CHR required	Federal CHR required
Total:	40	38	38	28
AK	•	•	•	•
AL	•	•	•	•
AR	•	•	•	•
AZ	•	•	•	•
CA	•	•	•	•
CO	•	•	•	•
CT	•	•	•	•
DC	•	•		
DE	•	•	•	•
FL	•	•	•	•
GA	•	•	•	•
HI	•	•	•	•
IA	•	•	•	
ID				
IL	•	•	•	•
IN	•	•	•	•
KS	•	•	•	
KY				
LA				
MA	•	•	•	
MD				
ME				
MI	•	•	•	•
MN	•		•	
MO	•	•	•	
MS	•	•	•	•
MT	•	•	•	
NC				
ND	•	•	•	•
NE				
NH	•	•	•	•
NJ				
NM	•	•	•	•
NV	•	•	•	•
NY	•	•	•	
OH	•	•	•	•
OK	•	•	•	
OR	•	•	•	•
PA	•	•	•	•
RI	•	•	•	•
SC	•	•	•	•

State:	Background checks required	CRIMINAL HISTORY RECORD (CHR) CHECKS ARE REQUIRED	State CHR required	Federal CHR required
SD	•	•	•	•
TN	•	•	•	•
TX	•	•	•	
UT	•	•	•	•
VA	•	•	•	
VT				
WA				
WI				
WV	•	•	•	•
WY	•			

Question: Are criminal history record checks required?

Analysis: Criminal history checks are usually required.

State:	CHR required for all staff in facility	CHR required only for staff having contact with children	CHR required for volunteers	CHR required for household members (for FCC homes)	CHR required under certain conditions (e.g., those who have lived in state for a certain period of time)
Total:	34	9	28	32	6
AK	•	•	•	•	
AL	•		•	•	
AR	•		•	•	
AZ	•		•	•	
CA		•	•	•	
CO	•			•	•
CT	•		•		
DC	•				
DE	•		•	•	
FL	•		•	•	
GA	•				
HI	•	•	•		
IA	•			•	
ID					
IL	•			•	•
IN	•		•	•	
KS	•		•	•	
KY					
LA					
MA	•		•	•	
MD					
ME					
MI	•			•	
MN		•		•	
MO	•		•	•	
MS	•		•	•	
MT	•		•	•	•
NC					
ND	•	•	•	•	•
NE					
NH		•	•	•	
NJ					
NM	•		•	•	
NV	•	•	•	•	•
NY	•		•	•	
OH	•		•	•	
OK	•		•		
OR	•		•	•	
PA		•			
RI	•		•	•	

State:	CHR required for all staff in facility	CHR required only for staff having contact with children	CHR required for volunteers	CHR required for household members (for FCC homes)	CHR required under certain conditions (e.g., those who have lived in state for a certain period of time)
SC	•				
SD	•		•	•	
TN	•		•	•	
TX	•			•	
UT	•		•	•	
VA		•	•	•	
VT					
WA					
WI					
WV	•			•	
WY					•

Question: Are fingerprint checks required?

Analysis: About half of the states require fingerprint checks in homes.

State:	FINGERPRINT CHECK (FR) REQUIRED	State FR required	Federal FR required	FR required for all staff in facility	FR required only for staff having contact with children
Total:	27	21	26	19	7
AK	•	•	•	•	•
AL	•	•	•	•	
AR			•		
AZ	•	•	•	•	
CA	•	•	•		•
CO	•	•	•	•	
CT	•	•	•	•	
DC	•			•	
DE	•	•	•	•	
FL	•		•	•	
GA	•	•	•		
HI	•	•	•	•	•
IA					
ID					
IL	•	•	•	•	
IN	•		•		
KS					
KY					
LA					
MA					
MD					
ME					
MI	•	•	•		
MN					
MO					
MS	•	•	•	•	
MT					
NC					
ND	•	•	•		
NE					
NH	•		•		•
NJ					
NM	•	•	•	•	
NV	•	•	•	•	•
NY	•	•		•	
OH	•	•	•	•	
OK					
OR					
PA	•		•		•
RI	•		•		•

State:	FINGERPRINT CHECK (FR) REQUIRED	State FR required	Federal FR required	FR required for all staff in facility	FR required only for staff having contact with children
SC	•	•	•	•	
SD	•	•	•	•	
TN	•	•	•	•	
TX					
UT					
VA					
VT					
WA					
WI					
WV	•	•	•	•	
WY					

State:	FR required for volunteers	FR required for household members (for FCC homes)	FR required under certain conditions (e.g., those who have lived in state for a certain period of time)	Electronic fingerprint imaging (LIVE SCAN) required	FBI check required
Total:	16	18	10	12	18
AK	•	•			•
AL	•	•			
AR			•		
AZ	•	•			
CA	•	•		•	
CO	•	•		•	•
CT	•				•
DC					
DE	•	•			•
FL	•	•		•	•
GA				•	
HI	•				
IA					
ID					
IL		•	•	•	•
IN				•	•
KS					
KY					
LA					
MA					
MD					
ME					
MI				•	•
MN			•		
MO					

State:	FR required for volunteers	FR required for household members (for FCC homes)	FR required under certain conditions (e.g., those who have lived in state for a certain period of time)	Electronic fingerprint imaging (LIVE SCAN) required	FBI check required
MS	•	•			•
MT			•		
NC					
ND			•		•
NE					
NH	•	•			•
NJ					
NM	•	•			•
NV	•	•	•		
NY	•	•		•	
OH		•		•	•
OK					
OR			•		
PA				•	•
RI		•			•
SC				•	•
SD	•	•			
TN	•	•		•	•
TX			•		
UT			•		
VA					
VT					
WA					
WI					
WV		•			•
WY			•		

Question: Are child abuse and neglect registry checks required?

Analysis: Child abuse checks are required in nearly every state.

State:	CHILD ABUSE AND NEGLECT REGISTRY CHECK (CAN) REQUIRED	CAN required for all staff in facility	CAN required only for staff having contact with children	CAN required for volunteers	CAN required for household members (for FCC homes)	CAN required under certain conditions (e.g., those who have lived in state for a certain period of time)
Total:	37	29	9	29	32	1
AK	•	•	•	•	•	
AL	•	•		•	•	
AR	•	•		•	•	
AZ						
CA	•		•	•	•	
CO	•	•		•	•	
CT	•	•		•		
DC	•					
DE	•	•		•	•	
FL	•				•	
GA						
HI	•	•	•	•		
IA	•	•			•	
ID						
IL	•	•		•	•	
IN	•	•		•	•	
KS	•	•		•	•	
KY						
LA						
MA	•	•		•	•	
MD						
ME						
MI	•		•	•	•	
MN						
MO	•	•		•	•	
MS	•	•		•	•	
MT	•	•		•	•	
NC						
ND	•	•	•	•	•	
NE	•	•		•	•	
NH	•	•	•	•	•	
NJ						
NM	•	•		•	•	
NV	•	•	•	•	•	•
NY	•	•		•	•	
OH						
OK	•				•	
OR	•	•		•	•	

State:	CHILD ABUSE AND NEGLECT REGISTRY CHECK (CAN) REQUIRED	CAN required for all staff in facility	CAN required only for staff having contact with children	CAN required for volunteers	CAN required for household members (for FCC homes)	CAN required under certain conditions (e.g., those who have lived in state for a certain period of time)
PA	•		•			
RI	•	•		•	•	
SC	•	•		•	•	
SD	•	•		•	•	
TN	•	•		•	•	
TX	•	•		•	•	
UT	•					
VA	•		•	•	•	
VT						
WA						
WI						
WV	•	•			•	
WY	•	•			•	

Question: Are sex offender registry checks required?

Analysis: About half of the states require sex offender checks.

State:	SEX OFFENDER REGISTRY CHECK (SOR) REQUIRED	SOR required for all staff in facility	SOR required only for staff having contact with children	SOR required for volunteers	SOR required for household members (for FCC homes)	SOR required under certain conditions (e.g., those who have lived in state for a certain period of time)
Total:	21	16	7	15	18	1
AK	•	•	•	•	•	
AL						
AR						
AZ						
CA	•		•	•	•	
CO	•	•			•	
CT						
DC						
DE						
FL	•					
GA	•	•				
HI	•	•	•	•		
IA	•	•		•	•	
ID						
IL	•	•	•	•	•	
IN	•	•		•	•	
KS						
KY						
LA						
MA	•				•	
MD						
ME						
MI	•				•	
MN						
MO	•	•		•	•	
MS	•	•		•	•	
MT						
NC						
ND	•	•	•	•	•	
NE						
NH	•		•	•	•	
NJ						
NM						
NV						
NY						
OH						
OK	•	•	•	•	•	
OR		•		•	•	

State:	SEX OFFENDER REGISTRY CHECK (SOR) REQUIRED	SOR required for all staff in facility	SOR required only for staff having contact with children	SOR required for volunteers	SOR required for household members (for FCC homes)	SOR required under certain conditions (e.g., those who have lived in state for a certain period of time)
PA						
RI						
SC	•	•		•	•	
SD	•	•		•	•	
TN	•	•		•	•	
TX						
UT						
VA						
VT						
WA						
WI						
WV	•	•			•	
WY	•					•

Question: Are facility staff required to sign a statement about criminal status?

Analysis: Signed statements about criminal status are usually required prior to working in a home.

State:	SIGNED STATEMENT ABOUT CRIMINAL STATUS	Prior to licensure/working in a child care facility	Annually	Every two years	Every three years
Total:	34	38	7	7	4
AK	•	•			
AL	•	•			
AR	•	•		•	
AZ	•	•			
CA	•	•			
CO	•	•			
CT	•	•			
DC		•			
DE	•	•			
FL	•	•			
GA	•	•			
HI	•	•	•		
IA	•	•		•	
ID					
IL	•	•			
IN	•	•	•		
KS	•	•			•
KY					
LA					
MA	•	•			•
MD					
ME					
MI		•		•	
MN		•		•	
MO		•		•	
MS					
MT		•	•		
NC					
ND	•	•	•		
NE	•				
NH		•			•
NJ					
NM	•	•			
NV	•				
NY	•	•			
OH	•	•			
OK	•	•	•		
OR	•	•			
PA	•	•			
RI	•	•	•		

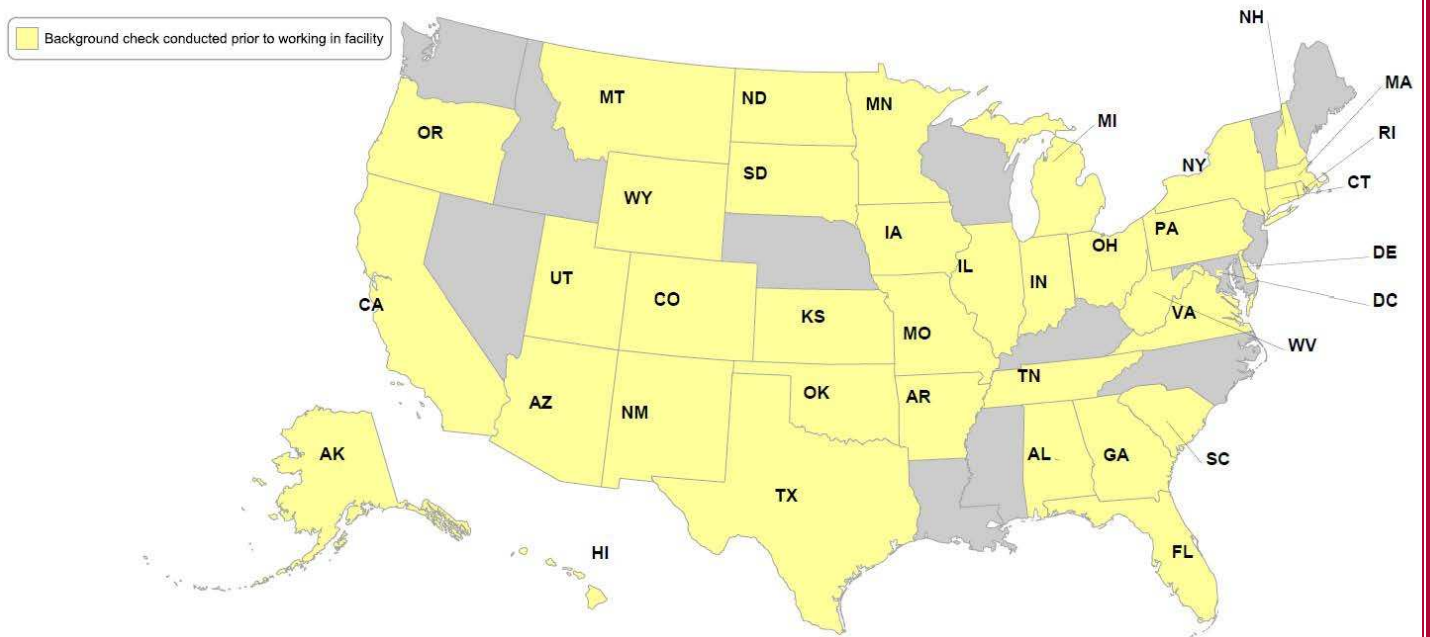
State:	SIGNED STATEMENT ABOUT CRIMINAL STATUS	Prior to licensure/working in a child care facility	Annually	Every two years	Every three years
SC	•	•		•	
SD	•	•			
TN	•	•			
TX	•	•		•	
UT	•	•	•		
VA	•	•			•
VT					
WA					
WI					
WV	•	•			
WY	•	•			

State:	Every four years	Every five years	Less than every five years	Other frequency
Total:	1	3	0	8
AK				•
AL				
AR				
AZ				•
CA				•
CO				•
CT				
DC				
DE				
FL		•		
GA				
HI				
IA				
ID				
IL				
IN				
KS				
KY				
LA				
MA				
MD				
ME				
MI				
MN				
MO				
MS		•		
MT				
NC				

State:	Every four years	Every five years	Less than every five years	Other frequency
ND				
NE				
NH				
NJ				
NM				
NV				•
NY				•
OH	•			
OK				
OR				•
PA				
RI				
SC				•
SD				
TN				
TX				
UT				
VA				
VT				
WA				
WI				
WV		•		
WY				

Question: How often are background checks conducted on staff of group day care home providers?

Analysis: Background checks are almost always performed prior to employment.

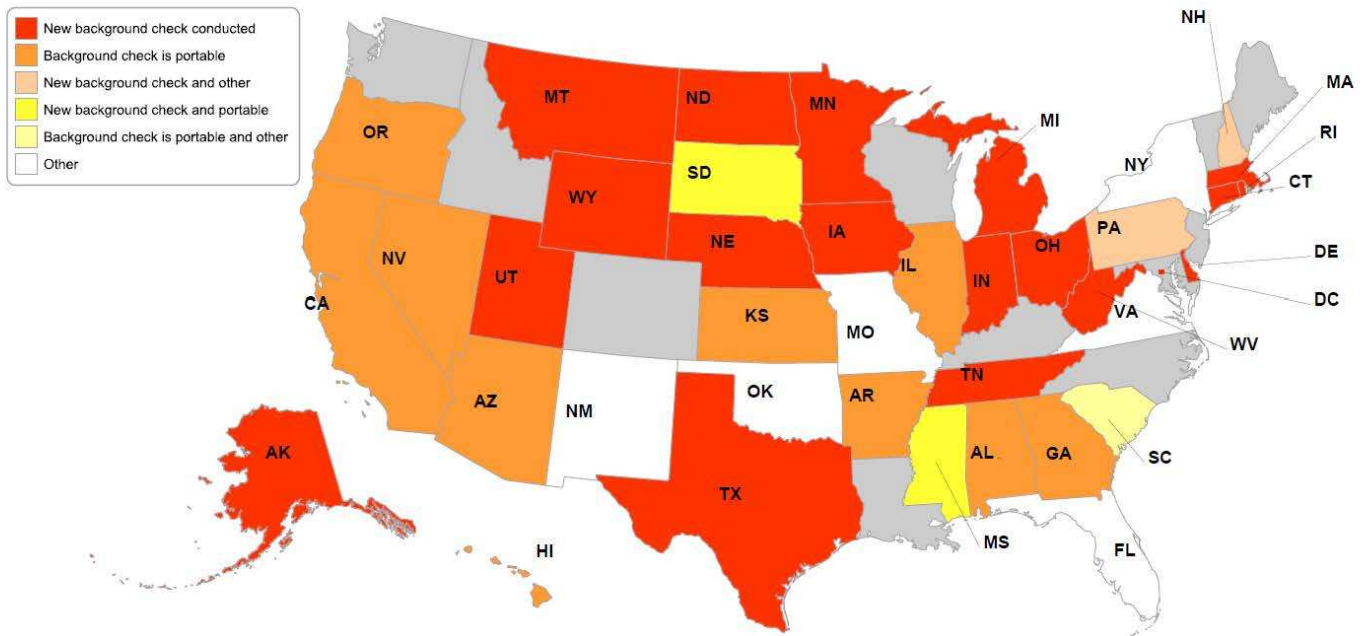


Question: What is the state’s policy on background checks if child care facility staff change jobs, move to another facility, or have a break in employment? Does the background check system in your state notify your agency when an individual's criminal record has changed?

Analysis: Background checks are usually not portable.

State:	New background check is conducted	Current background check is portable and moves with the individual	Other policy
Total:	23	13	9
AK	•		
AL		•	
AR		•	
AZ		•	
CA		•	
CO			
CT	•		
DC	•		
DE	•		
FL			•
GA		•	
HI		•	
IA	•		
ID			
IL		•	
IN	•		
KS		•	
KY			
LA			
MA	•		
MD			
ME			
MI	•		
MN	•		
MO			•
MS	•	•	
MT	•		
NC			
ND	•		
NE	•		
NH	•		•
NJ			
NM			•
NV		•	
NY			•
OH	•		
OK			•
OR		•	
PA	•		•

State:	New background check is conducted	Current background check is portable and moves with the individual	Other policy
RI	•		
SC		•	•
SD	•	•	
TN	•		
TX	•		
UT	•		
VA			•
VT			
WA			
WI			
WV	•		
WY	•		



Part B: Regulatory Requirements

Type of License Required for Homes

State:	Licensing	Certification	Registration
Total:	34	4	2
AK	•		
AL	•		
AR			
AZ		•	
CA	•		
CO	•		
CT	•		
DC			
DE	•		
FL	•		
GA	•		
HI	•		
IA			•
ID			
IL	•		
IN	•		
KS	•		
KY			
LA			
MA	•		
MD	•		
ME			
MI	•		
MN	•		
MO	•		
MS	•		
MT			•
NC			
ND	•		
NE	•		
NH	•		
NJ			
NM	•		
NV	•		
NY	•		
OH	•		
OK	•		
OR		•	
PA		•	
RI	•		
SC	•		

State:	Licensing	Certification	Registration
SD	•		
TN	•		
TX	•		
UT	•		
VA	•		
VT			
WA			
WI			
WV	•		
WY		•	

Threshold for Licensure

State:	4 children	5 children	6 children	7 children	8 children
Total:	1	1	2	18	3
AK					
AL				•	
AR					
AZ		•			
CA					
CO				•	
CT				•	
DC					
DE				•	
FL					
GA				•	
HI					
IA			•		
ID					
IL	•				
IN					
KS				•	
KY					
LA					
MA				•	
MD					
ME					
MI				•	
MN					
MO					
MS					
MT				•	
NC					
ND					•
NE					
NH				•	
NJ					
NM				•	
NV				•	
NY				•	
OH				•	
OK					•
OR				•	
PA				•	
RI					
SC				•	
SD					
TN					•
TX					

State:	4 children	5 children	6 children	7 children	8 children
UT					
VA			•		
VT					
WA					
WI					
WV				•	
WY					

State:	9 children	11 children	13 children	Not specified
Total:	3	1	3	7
AK	•			
AL				
AR				
AZ				
CA				•
CO				
CT				
DC				
DE				
FL				•
GA				
HI				•
IA				
ID				
IL				
IN			•	
KS				
KY				
LA				
MA				
MD				•
ME				
MI				
MN				•
MO		•		
MS			•	
MT				
NC				
ND				
NE				•
NH				
NJ				
NM				
NV				
NY				
OH				

State:	9 children	11 children	13 children	Not specified
OK				
OR				
PA				
RI	•			
SC				
SD			•	
TN				
TX				•
UT	•			
VA				
VT				
WA				
WI				
WV				
WY				•

Maximum Capacity

State:	10 children	12 children	12 children plus additional SAC	14 children	15 children
Total:	1	2	20	7	2
AK			•		
AL			•		
AR					
AZ	•				
CA			•		
CO			•		
CT			•		
DC					
DE				•	
FL			•		
GA					
HI			•		
IA				•	
ID					
IL				•	
IN					
KS			•		
KY					
LA					
MA		•			
MD		•			
ME					
MI			•		
MN					•
MO					
MS					
MT			•		
NC					
ND					
NE			•		
NH				•	
NJ					
NM			•		
NV				•	
NY			•		
OH			•		
OK			•		
OR					
PA				•	
RI			•		
SC			•		
SD					
TN				•	

State:	10 children	12 children	12 children plus additional SAC	14 children	15 children
TX			•		
UT					
VA			•		
VT					
WA					
WI					
WV			•		
WY					•

Maximum Capacity (continued)

State:	16 children	18 children	20 children	Not specified
Total:	3	2	2	1
AK				
AL				
AR				
AZ				
CA				
CO				
CT				
DC				
DE				
FL				
GA		•		
HI				
IA				
ID				
IL				
IN	•			
KS				
KY				
LA				
MA				
MD				
ME				
MI				
MN				
MO			•	
MS				•
MT				
NC				
ND		•		
NE				
NH				
NJ				

State:	16 children	18 children	20 children	Not specified
NM				
NV				
NY				
OH				
OK				
OR	•			
PA				
RI				
SC				
SD			•	
TN				
TX				
UT	•			
VA				
VT				
WA				
WI				
WV				
WY				

Elements Included in Licensing Definitions

State:	Services are provided for less than 24 hours or any part of a 24-hour day	Services are provided on an ongoing/regular or scheduled basis	Services are provided for payment or compensation
Total:	26	15	15
AK	•	•	•
AL			•
AR			
AZ			•
CA	•	•	
CO	•	•	
CT	•	•	
DC			
DE	•	•	•
FL		•	•
GA	•		•
HI	•		
IA			
ID			
IL	•		
IN	•		•
KS			
KY			
LA			
MA	•	•	
MD	•		•
ME			
MI			
MN	•	•	•
MO			•
MS	•		
MT	•	•	
NC			
ND			
NE	•	•	•
NH	•		
NJ			
NM			
NV		•	
NY	•	•	•
OH	•		
OK			
OR	•	•	•
PA	•		
RI	•		
SC			
SD	•	•	

State:	Services are provided for less than 24 hours or any part of a 24-hour day	Services are provided on an ongoing/regular or scheduled basis	Services are provided for payment or compensation
TN	•		
TX	•		
UT		•	•
VA	•		
VT			
WA			
WI			
WV			•
WY	•		

Elements Included in Licensing Definitions (continued)

State:	Provider is the holder of the license	Services are provided in the residence of the provider/licensee	Number of hours that services are provided (minimum/maximum)
Total:	12	9	7
AK			
AL		•	•
AR			
AZ		•	
CA	•	•	
CO			
CT			
DC			
DE			
FL	•	•	
GA			
HI			
IA	•		
ID			
IL			
IN			•
KS			
KY			
LA			
MA	•		
MD	•		•
ME			
MI			
MN	•		
MO			
MS			
MT			
NC			
ND	•		

State:	Provider is the holder of the license	Services are provided in the residence of the provider/licensee	Number of hours that services are provided (minimum/maximum)
NE			•
NH		•	
NJ			
NM	•	•	
NV			
NY			•
OH	•	•	
OK	•		
OR	•		
PA			
RI			
SC		•	
SD			
TN			
TX		•	
UT			•
VA	•		
VT			
WA			
WI			
WV			•
WY			

Regulation of Staff Roles

Nearly every state has specific requirements for home providers.

State:	Owner	Provider	Assistant Provider	Household member
Total:	11	40	35	16
AK	•	•	•	
AL	•	•		
AR				
AZ	•	•	•	•
CA	•	•		
CO	•	•	•	•
CT		•	•	
DC				
DE	•	•	•	•
FL		•	•	
GA		•	•	
HI	•	•	•	
IA		•	•	
ID				
IL		•	•	•
IN		•	•	
KS	•	•		
KY			•	
LA				
MA		•	•	•
MD		•	•	
ME				
MI		•	•	
MN		•	•	
MO		•		
MS	•	•	•	
MT		•	•	•
NC				
ND		•	•	
NE		•	•	•
NH		•	•	
NJ				
NM		•		•
NV	•	•		
NY		•	•	
OH		•	•	
OK		•	•	•
OR		•	•	•
PA		•	•	
RI		•	•	
SC		•	•	
SD		•	•	
TN		•	•	•

State:	Owner	Provider	Assistant Provider	Household member
TX		•	•	•
UT	•	•	•	
VA		•	•	•
VT				•
WA				
WI				
WV		•	•	•
WY		•	•	•

Regulation of Staff Roles (continued)

State:	Volunteer	Substitute	Other Role
Total:	19	26	10
AK			
AL	•	•	•
AR			
AZ			•
CA			
CO			
CT			•
DC			
DE	•	•	
FL		•	
GA		•	
HI		•	•
IA		•	•
ID			
IL	•	•	
IN	•		
KS		•	
KY			
LA			
MA			
MD	•	•	•
ME			
MI		•	
MN			
MO	•		
MS		•	
MT	•		•
NC			
ND			
NE		•	
NH		•	•
NJ			
NM	•	•	
NV	•	•	

State:	Volunteer	Substitute	Other Role
NY	•	•	
OH		•	
OK		•	
OR	•	•	
PA	•	•	
RI			
SC	•	•	
SD	•		•
TN	•	•	•
TX	•	•	
UT	•	•	
VA			
VT			
WA			
WI			
WV	•	•	
WY	•	•	

Minimum Age Requirements for Provider Roles, Large Homes

Large FCC Provider Role	Number of States That Regulate Role	Range of Required Age	Most Common Age
Provider	40	16 – 21 years	18
Assistant Provider	34	13 – 21 years	18

States with Educational and Training Requirements, Large Homes

Large FCC Provider Role	Number of States That Regulate Role	High School Diploma or GED	Preservice Qualification	Ongoing Training
Provider	40	18	32	36
Assistant Provider	35	9	13	29

Requirements for a High School Diploma or GED

State:	Provider: High school diploma/GED required	Assistant Provider: High school diploma/GED required
Total:	32	14
AK		
AL	•	•
AR		
AZ	•	•
CA	•	
CO	•	
CT	•	•
DC		
DE	•	•
FL	•	•
GA	•	•
HI	•	•
IA	•	•
ID		
IL	•	
IN		
KS	•	
KY		
LA		
MA	•	
MD	•	•
ME		
MI		
MN	•	
MO	•	
MS	•	•
MT		
NC		
ND	•	
NE		
NH		
NJ		
NM	•	
NV	•	
NY	•	•
OH	•	
OK	•	
OR	•	
PA	•	•
RI	•	
SC	•	•
SD	•	
TN	•	

State:	Provider: High school diploma/GED required	Assistant Provider: High school diploma/GED required
TX	•	
UT		
VA		
VT		
WA		
WI		
WV	•	
WY	•	•

Pre-service Qualification Requirement

State:	Provider: Preservice qualifications required	Assistant Provider: Preservice qualifications required
Total:	32	14
AK		
AL	•	•
AR		
AZ	•	•
CA	•	
CO	•	
CT	•	•
DC		
DE	•	•
FL	•	•
GA	•	•
HI	•	•
IA	•	•
ID		
IL	•	
IN		
KS	•	
KY		
LA		
MA	•	
MD	•	•
ME		
MI		
MN	•	
MO	•	
MS	•	•
MT		
NC		
ND	•	
NE		
NH		
NJ		
NM	•	
NV	•	
NY	•	•
OH	•	
OK	•	
OR	•	
PA	•	•
RI	•	
SC	•	•
SD	•	
TN	•	
TX	•	

State:	Provider: Preservice qualifications required	Assistant Provider: Preservice qualifications required
UT		
VA		
VT		
WA		
WI		
WV	•	
WY	•	•

Ongoing Training Hours Requirement

State:	Provider: Ongoing training required	Assistant Provider: Ongoing training required
Total:	37	30
AK	•	
AL	•	•
AR		
AZ	•	•
CA		
CO	•	
CT	•	•
DC		
DE	•	•
FL	•	•
GA	•	•
HI		
IA	•	•
ID		
IL	•	•
IN		
KS	•	
KY		
LA		
MA	•	
MD	•	•
ME		
MI	•	•
MN	•	•
MO	•	
MS	•	•
MT	•	•
NC		
ND	•	•
NE	•	•
NH	•	•
NJ		
NM	•	
NV	•	
NY	•	•
OH	•	•
OK	•	•
OR	•	•
PA	•	•
RI	•	•
SC	•	•
SD	•	•
TN	•	•
TX	•	•

State:	Provider: Ongoing training required	Assistant Provider: Ongoing training required
UT	•	•
VA	•	•
VT		
WA		
WI		
WV	•	•
WY	•	•

Staff Health Requirements

State:	Tuberculosis screening	Physical exam or health statement from physician	Proof of immunizations	Proof of suitability to provide care to children
Total:	22	23	8	3
AK				
AL	•	•		•
AR				
AZ	•		•	
CA				
CO		•		
CT	•	•		
DC				
DE	•	•	•	
FL	•	•		
GA				
HI				
IA		•	•	
ID				
IL	•	•		
IN	•	•		
KS	•	•		•
KY				
LA				
MA		•	•	
MD		•		
ME				
MI	•	•		•
MN		•		
MO	•	•		
MS			•	
MT			•	
NC				
ND	•			
NE		•		
NH	•	•		
NJ				
NM				
NV	•			
NY	•	•		
OH		•	•	
OK				
OR				
PA	•	•		
RI		•		
SC	•	•	•	
SD	•			
TN	•	•		

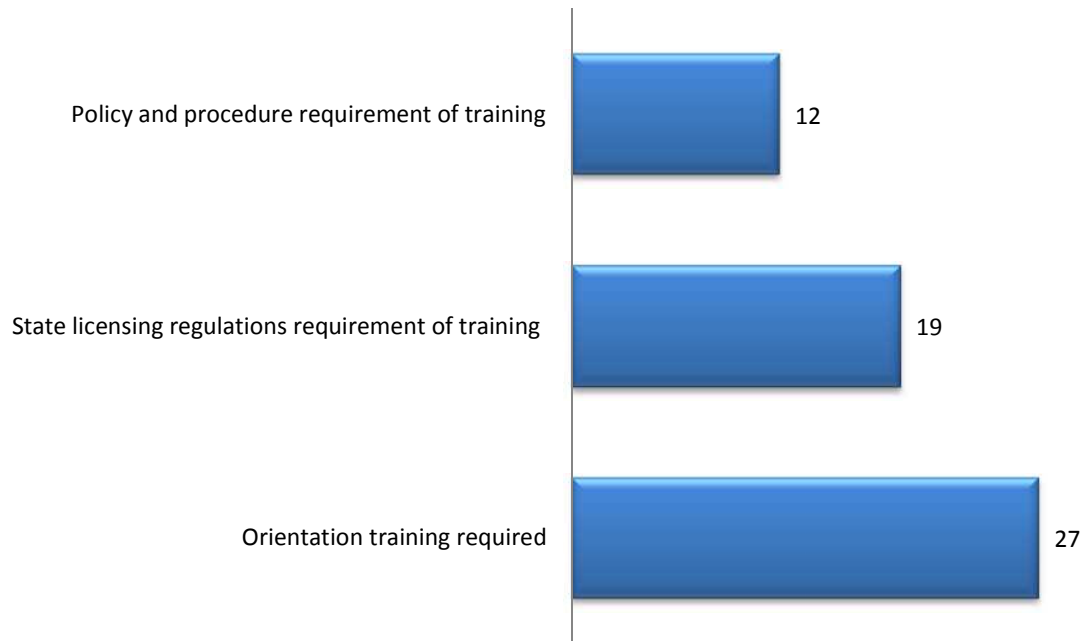
State:	Tuberculosis screening	Physical exam or health statement from physician	Proof of immunizations	Proof of suitability to provide care to children
TX	•			
UT				
VA	•			
VT				
WA				
WI				
WV	•	•		
WY	•			

References Required Prior to Hire

State:	References required from providers at initial licensure or when hired	Other staff references must be obtained in written and/or verbal form
Total:	18	9
AK	•	•
AL	•	•
AR		
AZ	•	
CA		
CO		
CT		
DC		
DE	•	•
FL		
GA	•	•
HI		
IA		
ID		
IL	•	•
IN		
KS		
KY		
LA		
MA	•	
MD		
ME		
MI		
MN		
MO	•	
MS		
MT	•	
NC		
ND		
NE		
NH		
NJ		
NM		
NV		
NY	•	
OH	•	•
OK	•	
OR		
PA	•	•
RI		
SC	•	
SD	•	•
TN	•	

State:	References required from providers at initial licensure or when hired	Other staff references must be obtained in written and/or verbal form
TX		
UT		
VA		
VT		
WA		
WI		
WV	•	•
WY	•	

Orientation Training Requirements



Orientation Training Requirements

State:	Orientation training required	State licensing regulations requirement of training	Policy and procedure requirement of training
Total:	27	19	12
AK	•	•	•
AL			
AR			
AZ	•	•	
CA	•	•	
CO			
CT	•		•
DC			
DE	•	•	•
FL	•	•	
GA	•	•	•
HI	•		
IA			
ID			
IL	•	•	
IN	•		
KS	•	•	•
KY			
LA			
MA	•		
MD	•	•	
ME			
MI	•		
MN			
MO			
MS	•	•	
MT	•		
NC			
ND			
NE	•	•	
NH			
NJ			
NM			
NV	•	•	•
NY			
OH			
OK			
OR	•		•
PA	•		
RI	•	•	•
SC	•	•	•
SD	•	•	•
TN			

State:	Orientation training required	State licensing regulations requirement of training	Policy and procedure requirement of training
TX	•	•	•
UT	•	•	•
VA			
VT			
WA			
WI			
WV	•	•	
WY	•	•	

Health and Safety Training Requirements

State:	First aid	CPR	Child abuse and neglect prevention	Prevention of spread of communicable disease
Total:	37	33	21	12
AK	•	•	•	
AL	•	•		•
AR				
AZ	•	•	•	•
CA	•	•		•
CO	•	•	•	•
CT	•		•	•
DC				
DE	•		•	•
FL	•	•	•	•
GA	•	•	•	•
HI	•	•		
IA	•		•	
ID				
IL	•	•	•	
IN	•	•	•	•
KS	•	•	•	
KY				
LA				
MA	•	•		
MD	•	•	•	
ME				
MI	•	•		
MN	•	•		
MO				
MS	•	•	•	
MT	•	•		
NC				
ND	•	•		
NE	•	•		
NH	•	•		
NJ				
NM	•	•		•
NV	•	•	•	
NY			•	
OH	•	•	•	•
OK	•	•	•	•
OR	•	•	•	
PA	•			
RI	•	•	•	
SC	•	•		
SD	•	•		
TN	•	•	•	

State:	First aid	CPR	Child abuse and neglect prevention	Prevention of spread of communicable disease
TX	•	•		
UT	•	•	•	
VA	•			
VT				
WA				
WI				
WV		•		
WY	•	•		

Health and Safety Training Requirements (continued)

State:	Reducing risk of SIDS	Prevention of Shaken Baby Syndrome	Medication administration
Total:	10	6	5
AK	•	•	
AL			
AR			
AZ	•		
CA			
CO			•
CT			•
DC			
DE			•
FL			
GA	•		•
HI			
IA			
ID			
IL			
IN			
KS	•		
KY			
LA			
MA			
MD			
ME			
MI	•	•	
MN	•	•	
MO			
MS			
MT			
NC			
ND			
NE			
NH			

State:	Reducing risk of SIDS	Prevention of Shaken Baby Syndrome	Medication administration
NJ			
NM			
NV	•		•
NY			
OH			
OK	•	•	
OR			
PA			
RI			
SC			
SD			
TN			
TX	•	•	
UT	•	•	
VA			
VT			
WA			
WI			
WV			
WY			

Health and Safety Training Requirements (continued)

State:	Care of sick children	Fire safety	Prevention of HIV/AIDS and blood borne pathogens	Lifeguard training
Total:	3	4	3	1
AK				
AL				
AR				
AZ	•			
CA				
CO				
CT	•			
DC				
DE				
FL				
GA	•	•	•	•
HI				
IA				
ID				
IL		•		
IN		•		
KS				
KY				
LA				

State:	Care of sick children	Fire safety	Prevention of HIV/AIDS and blood borne pathogens	Lifeguard training
MA				
MD				
ME				
MI		•		
MN				
MO				
MS				
MT				
NC				
ND				
NE				
NH				
NJ				
NM				
NV			•	
NY				
OH				
OK				
OR				
PA				
RI				
SC			•	
SD				
TN				
TX				
UT				
VA				
VT				
WA				
WI				
WV				
WY				

Requirements for the Supervision of Children

State:	Requirements regarding use of substitutes	Must be able to see children at all times	Must be able to hear children at all times	Staff must be alert / awake	Requirement regarding use of video surveillance for parents to view children
Total:	27	11	10	4	1
AK		•	•	•	
AL	•				
AR					
AZ		•	•		
CA	•				
CO					
CT					
DC					
DE	•				
FL	•				
GA	•				
HI		•	•		
IA	•				
ID					
IL	•			•	
IN					
KS	•				
KY					
LA					
MA	•	•	•		
MD	•				
ME					
MI	•			•	
MN	•	•	•		
MO	•				
MS					
MT					
NC					
ND					
NE	•				
NH					
NJ					
NM					
NV	•				
NY	•	•			•
OH	•	•	•		
OK	•				
OR	•	•	•		
PA		•	•		
RI	•			•	
SC					
SD	•				

State:	Requirements regarding use of substitutes	Must be able to see children at all times	Must be able to hear children at all times	Staff must be alert / awake	Requirement regarding use of video surveillance for parents to view children
TN	•				
TX	•	•	•		
UT	•				
VA	•				
VT					
WA					
WI					
WV	•				
WY	•	•	•		

Requirements for the Supervision of Children (continued)

State:	Staff must be on same floors as children	Staff must be free of other duties	Not allowed to children unattended in infant seats, playpens, or cribs while awake	Requirements related to supervision during emergencies
Total:	3	1	19	7
AK	•		•	•
AL		•		
AR				
AZ			•	
CA				
CO			•	
CT			•	
DC				
DE			•	
FL				
GA				
HI				
IA				
ID				
IL				
IN				
KS				
KY				
LA				
MA	•		•	•
MD				
ME				
MI				
MN				•
MO			•	
MS				
MT			•	
NC				

State:	Staff must be on same floors as children	Staff must be free of other duties	Not allowed to children unattended in infant seats, playpens, or cribs while awake	Requirements related to supervision during emergencies
ND			•	
NE				
NH			•	•
NJ				
NM				
NV			•	
NY			•	•
OH			•	
OK			•	
OR	•			
PA				
RI				
SC			•	
SD				
TN				
TX			•	
UT				
VA			•	
VT				
WA				
WI				
WV			•	•
WY			•	•

Requirements for the Supervision of Children during Specific Times/Activities

State:	Transportation in vehicles	Swimming / water activities	Field trips
Total:	36	34	30
AK	•		•
AL	•	•	
AR			
AZ	•	•	•
CA			
CO	•		
CT	•	•	•
DC			
DE	•	•	•
FL	•		•
GA	•	•	•
HI			•
IA		•	•
ID			
IL	•	•	•
IN	•	•	•
KS	•	•	•
KY			
LA			
MA	•	•	•
MD	•	•	•
ME			
MI	•	•	•
MN	•	•	
MO	•	•	•
MS	•	•	•
MT	•	•	
NC			
ND	•	•	
NE	•	•	
NH	•	•	•
NJ			
NM	•	•	•
NV	•	•	•
NY	•	•	
OH	•	•	•
OK	•	•	
OR	•	•	•
PA	•	•	•
RI	•	•	•
SC	•	•	•
SD			
TN	•	•	•

State:	Transportation in vehicles	Swimming / water activities	Field trips
TX	•	•	•
UT	•	•	•
VA	•	•	•
VT			
WA			
WI			
WV	•	•	•
WY	•	•	•

Requirements for the Supervision of Children during Specific Times/Activities (continued)

State:	Evening / overnight care	Outdoor play	Naptime
Total:	25	19	16
AK	•	•	•
AL	•	•	
AR			
AZ	•	•	
CA			
CO	•		•
CT	•	•	
DC			
DE			
FL		•	•
GA	•		•
HI	•		
IA			
ID			
IL	•	•	
IN			
KS	•	•	•
KY			
LA			
MA	•	•	•
MD	•	•	•
ME			
MI	•		
MN		•	
MO	•	•	
MS			•
MT	•	•	
NC			
ND			
NE	•		
NH			
NJ			
NM			

State:	Evening / overnight care	Outdoor play	Naptime
NV			•
NY	•	•	•
OH	•	•	•
OK	•	•	
OR	•		
PA	•		
RI			•
SC	•	•	•
SD	•		
TN			
TX	•	•	•
UT		•	•
VA			
VT			
WA			
WI			
WV	•	•	
WY	•		•

Requirements for the Supervision of Children during Naptime

State:	Providers must be able to see children	Baby monitors may be used under certain conditions	Must be on the same floor as children
Total:	7	4	3
AK	•	•	•
AL			
AR			
AZ			
CA			
CO		•	
CT			
DC			
DE			
FL			
GA			
HI			
IA			
ID			
IL			
IN			
KS			
KY			
LA			
MA		•	
MD	•		
ME			
MI			
MN			
MO			
MS	•		
MT			
NC			
ND			
NE			
NH			
NJ			
NM			
NV	•		
NY		•	•
OH	•		
OK			
OR			
PA			
RI			•
SC			
SD			
TN			

State:	Providers must be able to see children	Baby monitors may be used under certain conditions	Must be on the same floor as children
TX	•		
UT			
VA			
VT			
WA			
WI			
WV			
WY	•		

Requirements for the Supervision of Children during Naptime (continued)

State:	Room doors must be ajar or open	Providers must be able to hear children	Must observe napping in certain time intervals
Total:	4	3	4
AK		•	
AL			
AR			
AZ			
CA			
CO			
CT			
DC			
DE			
FL	•		
GA			
HI			
IA			
ID			
IL			
IN			
KS	•		•
KY			
LA			
MA	•		•
MD		•	•
ME			
MI			
MN			
MO			
MS			
MT			
NC			
ND			
NE			
NH			
NJ			

State:	Room doors must be ajar or open	Providers must be able to hear children	Must observe napping in certain time intervals
NM			
NV		•	•
NY	•		
OH			
OK			
OR			
PA			
RI			
SC			
SD			
TN			
TX			
UT			
VA			
VT			
WA			
WI			
WV			
WY			

Requirements for the Supervision of Children during Evening/Overnight Care

State:	Providers must be awake until children are asleep	Providers must be awake at all time	Other requirements about providers being awake	Staff must be able to hear children	Staff must be able to see children
Total:	5	3	9	7	1
AK					
AL					
AR					
AZ	•			•	
CA					
CO			•		
CT		•			
DC					
DE					
FL					
GA					
HI				•	
IA					
ID					
IL			•	•	
IN					
KS		•			
KY					
LA					
MA	•				
MD					
ME					
MI			•		
MN					
MO				•	
MS					
MT				•	
NC					
ND					
NE	•			•	
NH					
NJ					
NM					
NV					
NY			•		
OH	•				•
OK			•		
OR			•		
PA			•		
RI					
SC					

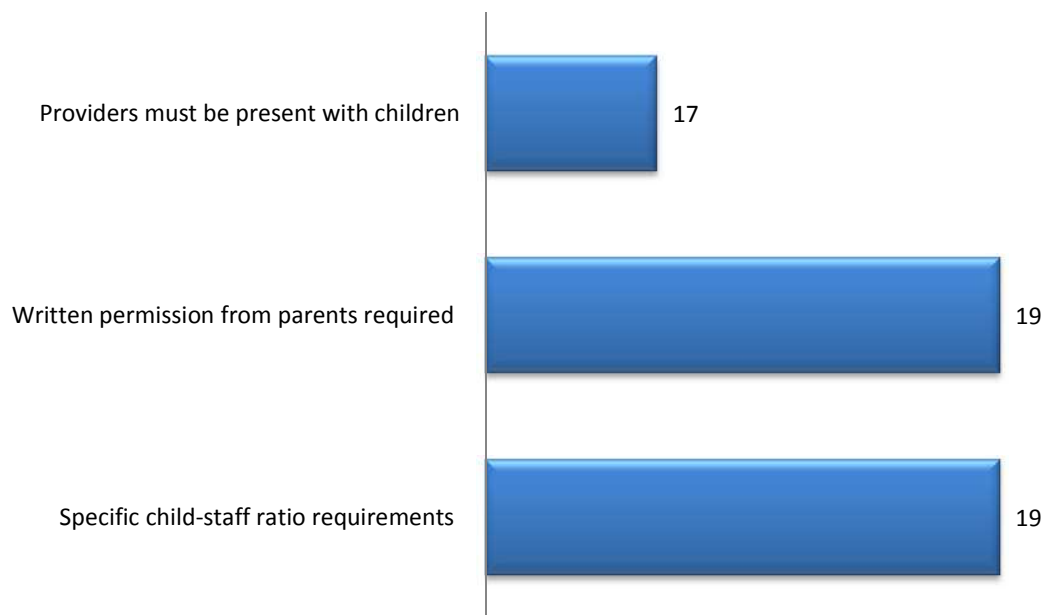
State:	Providers must be awake until children are asleep	Providers must be awake at all time	Other requirements about providers being awake	Staff must be able to hear children	Staff must be able to see children
SD		•			
TN					
TX	•				
UT					
VA					
VT					
WA					
WI					
WV			•		
WY			•	•	

Requirements for the Supervision of Children during Outdoor Play

State:	Staff must be present with children	Staff must be able to see children	Requirements specifically for supervision of school-age children playing outdoors
Total:	5	2	3
AK			
AL			
AR			
AZ		•	
CA			
CO			
CT			
DC			
DE			
FL			
GA			
HI			
IA			
ID			
IL	•		
IN			
KS			•
KY			
LA			
MA	•		•
MD	•		
ME			
MI			
MN			
MO			•
MS			
MT			
NC			
ND			
NE			
NH			
NJ			
NM			
NV			
NY			
OH	•	•	
OK			
OR			
PA			
RI			
SC	•		
SD			
TN			

State:	Staff must be present with children	Staff must be able to see children	Requirements specifically for supervision of school-age children playing outdoors
TX			
UT			
VA			
VT			
WA			
WI			
WV			
WY			

Requirements for the Supervision of Children during Water Activities



Requirements for the Supervision of Children during Water Activities

State:	Specific child-staff ratio requirements	Written permission from parents required	Providers must be present with children
Total:	19	19	17
AK			
AL	•	•	
AR			
AZ		•	
CA			
CO			
CT	•		
DC			
DE	•		
FL			
GA	•	•	
HI			
IA		•	•
ID			
IL		•	•
IN			•
KS	•		
KY			
LA			
MA			•
MD		•	•
ME			
MI	•	•	•
MN		•	•
MO			•
MS	•		
MT	•		•
NC			
ND			
NE	•	•	•
NH	•	•	•
NJ			
NM		•	
NV	•		•
NY		•	
OH		•	
OK	•		•
OR	•	•	•
PA	•	•	
RI		•	
SC	•	•	
SD			
TN	•		

State:	Specific child-staff ratio requirements	Written permission from parents required	Providers must be present with children
TX	•		
UT			•
VA	•		•
VT			
WA			
WI			
WV		•	
WY	•	•	•

Requirements for the Supervision of Children when Traveling in Vehicles

State:	Children must not be left unattended in vehicle	Written permission from parents	Staff must supervise children enter and exit vehicle
Total:	28	25	15
AK			
AL	•	•	
AR			
AZ	•	•	•
CA			
CO	•	•	•
CT		•	
DC			
DE	•	•	
FL		•	
GA	•	•	•
HI			
IA			
ID			
IL	•	•	•
IN	•		
KS	•		
KY			
LA			
MA	•	•	
MD		•	
ME			
MI	•	•	
MN	•	•	
MO	•	•	•
MS			•
MT	•	•	
NC			
ND	•	•	
NE		•	
NH	•		
NJ			
NM			•
NV	•		•
NY	•	•	
OH	•	•	•
OK	•	•	•
OR	•	•	•
PA	•	•	•
RI	•		
SC	•	•	•
SD			
TN	•		•

State:	Children must not be left unattended in vehicle	Written permission from parents	Staff must supervise children enter and exit vehicle
TX	•	•	•
UT	•		
VA		•	
VT			
WA			
WI			
WV	•		
WY	•	•	

Requirements for the Supervision of Children when Traveling in Vehicles (continued)

State:	Specific child-staff ratio	Emergency contact information must be taken on vehicle	Staff/driver must ensure that children are received by parents or designees
Total:	16	13	11
AK			•
AL			•
AR			
AZ		•	
CA			
CO	•		•
CT			
DC			
DE		•	
FL			
GA	•	•	•
HI			
IA			
ID			
IL	•		•
IN			
KS	•		
KY			
LA			
MA			
MD	•		
ME			
MI		•	
MN			
MO	•	•	•
MS	•		•
MT			•
NC			
ND	•		
NE	•		

State:	Specific child-staff ratio	Emergency contact information must be taken on vehicle	Staff/driver must ensure that children are received by parents or designees
NH			
NJ			
NM			
NV	•		•
NY			
OH	•		
OK		•	
OR		•	•
PA	•	•	
RI			
SC	•	•	
SD			
TN	•		•
TX	•	•	
UT			
VA		•	
VT			
WA			
WI			
WV		•	
WY	•	•	

Requirements for the Supervision of Children when Traveling in Vehicles (continued)

State:	Attendance records of children be kept	Additional checks for children remaining on board conducted once vehicle unloaded
Total:	9	5
AK		
AL	•	
AR		
AZ	•	
CA		
CO		
CT		
DC		
DE		
FL	•	•
GA	•	•
HI		
IA		
ID		
IL		
IN		
KS		

State:	Attendance records of children be kept	Additional checks for children remaining on board conducted once vehicle unloaded
KY		
LA		
MA		
MD		
ME		
MI		
MN		
MO	•	•
MS		
MT		
NC		
ND		
NE		
NH		
NJ		
NM		
NV	•	•
NY		
OH		
OK	•	
OR		
PA		
RI		
SC		
SD		
TN	•	•
TX	•	
UT		
VA		
VT		
WA		
WI		
WV		
WY		

Requirements for the Supervision of Children during Field Trips

State:	Written permission from parents	Emergency contact information must be taken on field trip	Specific child-staff ratio	Attendance records of children be kept	Children required to wear name tags or other identification
Total:	28	11	10	7	6
AK					
AL					
AR					
AZ	•	•		•	•
CA					
CO					
CT	•				
DC					
DE	•		•	•	•
FL	•	•	•		
GA	•	•			•
HI	•		•		
IA	•				
ID					
IL	•				
IN	•				
KS	•				
KY					
LA					
MA	•				•
MD	•				
ME					
MI	•	•			
MN					
MO	•			•	
MS	•				
MT					
NC					
ND					
NE					
NH	•	•	•	•	
NJ					
NM	•				
NV					
NY		•	•	•	
OH	•	•	•	•	•
OK					
OR	•				
PA	•	•	•		
RI	•				
SC	•	•			

State:	Written permission from parents	Emergency contact information must be taken on field trip	Specific child-staff ratio	Attendance records of children be kept	Children required to wear name tags or other identification
SD					
TN	•		•		
TX	•	•	•	•	•
UT	•	•	•		
VA	•				
VT					
WA					
WI					
WV	•				
WY	•				

Requirements for Children to Have Physical Examinations

State:	Home required to keep records of children's physical exams or health statements	Health records must be provided to home within specific timeframe
Total:	17	15
AK		
AL		
AR		
AZ		
CA		
CO	•	•
CT	•	
DC		
DE	•	•
FL	•	•
GA		
HI		
IA	•	•
ID		
IL	•	•
IN		•
KS		
KY		
LA		
MA	•	•
MD	•	•
ME		
MI	•	
MN		
MO		
MS		
MT		
NC		
ND	•	
NE		
NH	•	•
NJ		
NM		
NV	•	•
NY		
OH	•	•
OK		
OR		
PA		•
RI	•	
SC	•	
SD		
TN		

State:	Home required to keep records of children's physical exams or health statements	Health records must be provided to home within specific timeframe
TX		•
UT	•	
VA		•
VT		
WA		
WI		
WV	•	•
WY		

Child Immunization Requirements

State:	Parents can provide written statements to not have children immunized	Physicians can provide written statements to exempt children for medical reasons	Records must be provided within specific timeframes
Total:	26	25	12
AK	•	•	•
AL		•	•
AR			
AZ	•	•	•
CA	•	•	
CO	•		•
CT	•	•	
DC			
DE			•
FL	•	•	•
GA			
HI			
IA			
ID			
IL	•	•	
IN	•		
KS	•	•	
KY			
LA			
MA	•	•	
MD	•	•	
ME			
MI	•		
MN			
MO	•	•	
MS		•	
MT	•	•	
NC			
ND			
NE			
NH	•		•
NJ			
NM		•	
NV	•	•	
NY	•	•	
OH	•	•	•
OK	•	•	
OR			
PA	•	•	•
RI	•	•	
SC			
SD	•	•	•

State:	Parents can provide written statements to not have children immunized	Physicians can provide written statements to exempt children for medical reasons	Records must be provided within specific timeframes
TN	•	•	
TX			•
UT	•	•	
VA	•	•	•
VT			
WA			
WI			
WV	•	•	
WY			

Child Immunization Requirements (continued)

State:	Providers can exclude children until records or exemptions provided	Providers can accept children on conditional basis if immunizations are not complete	Providers can refuse enrollment to children not immunized
Total:	9	6	1
AK		•	
AL			
AR			
AZ	•		
CA	•		
CO			
CT	•	•	•
DC			
DE	•		
FL			
GA	•		
HI			
IA			
ID			
IL			
IN			
KS			
KY			
LA			
MA			
MD			
ME			
MI			
MN			
MO		•	
MS			
MT	•	•	
NC			

State:	Providers can exclude children until records or exemptions provided	Providers can accept children on conditional basis if immunizations are not complete	Providers can refuse enrollment to children not immunized
ND			
NE			
NH			
NJ			
NM			
NV			
NY		•	
OH			
OK			
OR			
PA	•		
RI			
SC			
SD			
TN	•		
TX		•	
UT	•		
VA			
VT			
WA			
WI			
WV			
WY			

Additional Health Requirements

State:	Require lead-level blood screenings prior to enrollment	Homes required to keep emergency contact information in children's records
Total:	6	29
AK		
AL		•
AR		
AZ		•
CA		•
CO		•
CT		•
DC		
DE	•	•
FL		
GA		•
HI		•
IA		•
ID		
IL	•	•
IN		•
KS		
KY		
LA		
MA	•	•
MD	•	•
ME		
MI		
MN		•
MO		•
MS		
MT		•
NC		
ND		
NE		
NH		•
NJ		
NM		•
NV		•
NY	•	
OH		
OK		•
OR		•
PA		•
RI	•	•
SC		•
SD		
TN		•

State:	Require lead-level blood screenings prior to enrollment	Homes required to keep emergency contact information in children's records
TX		
UT		•
VA		•
VT		
WA		
WI		
WV		•
WY		•

Child Nutrition Requirements

State:	Requirements about nutritional content of food served to children	Requirements about feeding infants	Requirements include an interval of time between meals/snacks being served	Parents/guardians allowed to provide food for own children
Total:	37	34	33	29
AK	•	•		•
AL	•	•	•	
AR				
AZ	•	•	•	•
CA				
CO	•	•	•	
CT	•		•	•
DC				
DE	•	•	•	•
FL	•			
GA	•	•		•
HI	•		•	•
IA	•		•	•
ID				
IL	•	•	•	•
IN				
KS	•	•	•	
KY				
LA				
MA	•	•	•	•
MD	•	•	•	•
ME				
MI	•	•	•	•
MN	•	•		•
MO	•	•	•	
MS	•	•	•	•
MT	•	•	•	
NC				
ND	•	•	•	•
NE	•	•	•	
NH	•	•	•	•
NJ				
NM	•	•	•	•
NV	•	•	•	•
NY		•	•	•
OH	•	•	•	•
OK	•	•	•	
OR	•	•	•	•
PA	•	•		•
RI	•	•	•	•
SC	•	•	•	•
SD	•	•	•	•

State:	Requirements about nutritional content of food served to children	Requirements about feeding infants	Requirements include an interval of time between meals/snacks being served	Parents/guardians allowed to provide food for own children
TN	•	•	•	
TX	•	•	•	•
UT	•	•	•	•
VA	•	•	•	•
VT				
WA				
WI				
WV	•	•	•	•
WY	•	•	•	•

Child Nutrition Requirements (continued)

State:	Provider required to provide supplemental food to meet nutritional requirements	Provider required to post menus of meals/snacks served	Provider required to provide all meals/snacks	Parents/guardians allowed to bring food for all children for special occasions
Total:	14	14	4	1
AK				
AL			•	
AR				
AZ	•	•		
CA				
CO				
CT		•		
DC				
DE				
FL				
GA	•	•		
HI	•			
IA				
ID				
IL	•			
IN				
KS				
KY				
LA				
MA	•			
MD				
ME				
MI				
MN				
MO			•	
MS	•	•		•
MT				

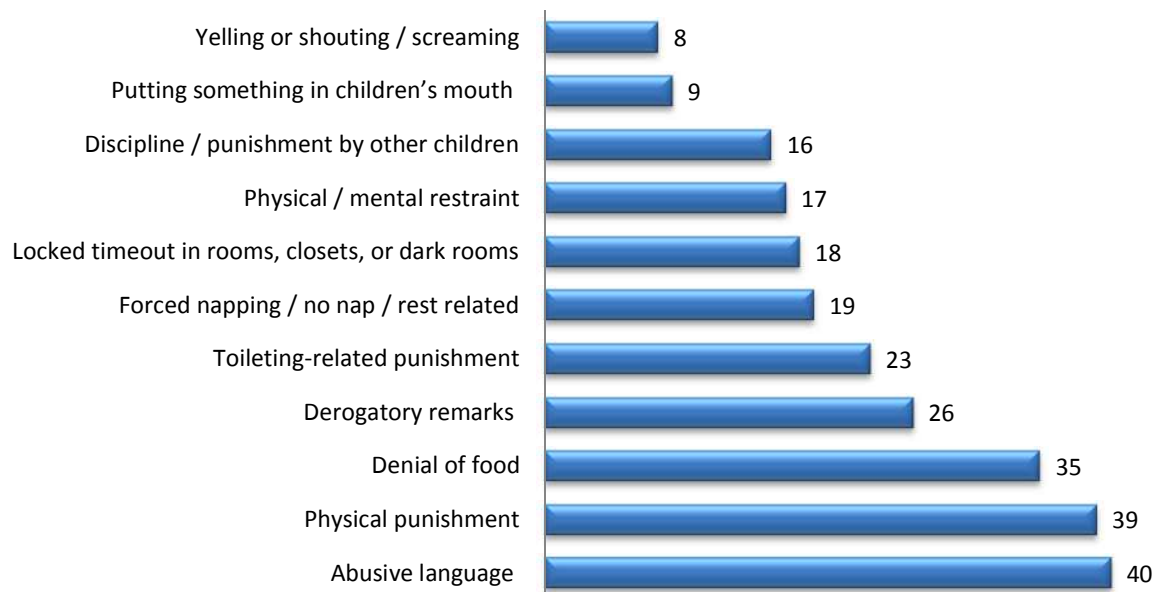
State:	Provider required to provide supplemental food to meet nutritional requirements	Provider required to post menus of meals/snacks served	Provider required to provide all meals/snacks	Parents/guardians allowed to bring food for all children for special occasions
NC				
ND	•			
NE				
NH	•			
NJ				
NM		•		
NV		•		
NY	•			
OH	•	•		
OK		•	•	
OR	•			
PA				
RI				
SC	•	•		
SD		•		
TN		•	•	
TX				
UT		•		
VA	•	•		
VT				
WA				
WI				
WV	•	•		
WY				

Regulations Pertaining to Discipline of Children

State:	Regulations specify types of discipline allowed	Supervised separation from groups permitted	Corporal punishment permitted
Total:	30	22	1
AK	•	•	
AL			
AR			
AZ	•	•	
CA			
CO	•	•	
CT	•		
DC			
DE	•	•	
FL			
GA			
HI			
IA	•		
ID			
IL	•	•	
IN			
KS			
KY			
LA			
MA	•	•	
MD			
ME			
MI	•		
MN	•	•	
MO	•	•	
MS	•	•	
MT	•		
NC			
ND	•	•	
NE			
NH	•	•	
NJ			
NM	•	•	
NV	•	•	
NY	•	•	
OH	•	•	
OK	•	•	
OR	•		
PA			
RI	•	•	
SC	•	•	•
SD	•		
TN	•		

State:	Regulations specify types of discipline allowed	Supervised separation from groups permitted	Corporal punishment permitted
TX	•	•	
UT	•		
VA	•	•	
VT			
WA			
WI			
WV	•	•	
WY	•	•	

Prohibited Forms of Discipline



Prohibited Forms of Discipline

State:	Abusive language	Physical punishment	Denial of food	Derogatory remarks	Toileting-related punishment	Forced napping / no nap / rest related
Total:	40	39	35	26	23	19
AK	•	•	•	•	•	•
AL	•	•	•	•	•	•
AR						
AZ	•	•	•	•	•	•
CA	•	•	•	•	•	•
CO	•	•	•	•	•	•
CT	•	•				
DC						
DE	•	•	•		•	
FL	•	•	•		•	•
GA	•	•	•	•	•	•
HI	•	•				
IA	•	•	•	•	•	
ID						
IL	•	•	•	•	•	
IN	•	•	•			
KS	•	•	•	•		
KY						
LA						
MA	•	•	•	•	•	
MD	•	•	•			
ME						
MI	•	•	•	•		
MN	•	•	•	•		
MO	•	•	•	•	•	•
MS	•	•	•			
MT	•	•				
NC						
ND	•	•	•	•	•	
NE	•	•	•	•	•	•
NH	•	•	•	•	•	•
NJ						
NM	•	•	•			
NV	•	•	•			•
NY	•	•	•			
OH	•	•	•	•	•	•
OK	•	•	•	•	•	
OR	•	•		•	•	•
PA	•	•		•		•
RI	•	•	•		•	
SC	•		•	•		
SD	•	•	•	•	•	•
TN	•	•	•	•		

State:	Abusive language	Physical punishment	Denial of food	Derogatory remarks	Toileting-related punishment	Forced napping / no nap / rest related
TX	•	•	•	•		•
UT	•	•	•			•
VA	•	•	•	•	•	•
VT						
WA						
WI						
WV	•	•	•	•	•	•
WY	•	•	•		•	

Prohibited Forms of Discipline (continued)

State:	Locked timeout in rooms, closets, or dark rooms	Physical / mental restraint	Discipline / punishment by other children	Putting something in children's mouths	Yelling or shouting / screaming
Total:	18	17	16	9	8
AK	•	•	•		
AL		•	•		•
AR					
AZ		•			
CA					
CO	•		•		
CT		•			
DC					
DE	•	•			
FL					
GA	•	•	•		
HI					
IA					
ID					
IL					
IN					
KS	•	•		•	
KY					
LA					
MA			•		
MD				•	
ME					
MI	•	•			
MN	•		•		
MO	•				
MS		•	•	•	•
MT					
NC					
ND	•		•		
NE					•

State:	Locked timeout in rooms, closets, or dark rooms	Physical / mental restraint	Discipline / punishment by other children	Putting something in children's mouths	Yelling or shouting / screaming
NH		•			•
NJ					
NM				•	•
NV			•		
NY	•			•	
OH	•	•	•		
OK		•	•	•	
OR	•				•
PA	•	•			
RI					
SC		•	•		
SD	•	•	•	•	
TN					
TX	•			•	•
UT	•	•			•
VA	•		•		
VT					
WA					
WI					
WV	•	•	•	•	
WY			•		

Activity Requirements

State:	Homes required to have written daily schedules of activities	Homes required to post schedules daily
Total:	13	8
AK		
AL	•	•
AR		
AZ		
CA		
CO		
CT	•	•
DC		
DE		
FL	•	•
GA	•	•
HI		
IA		
ID		
IL		
IN		
KS		
KY		
LA		
MA		
MD		
ME		
MI		
MN		
MO	•	
MS		
MT	•	
NC		
ND	•	
NE		
NH		
NJ		
NM	•	•
NV		
NY		
OH	•	•
OK		
OR	•	
PA	•	•
RI	•	
SC		
SD		
TN	•	•

State:	Homes required to have written daily schedules of activities	Homes required to post schedules daily
TX		
UT		
VA		
VT		
WA		
WI		
WV		
WY		

Types of Required Activities

State:	Outdoor play	Nap or rest period	Active play	Quiet play	Regular meal / snack times	Indoor play
Total:	32	28	24	24	21	20
AK	•	•	•	•	•	•
AL	•				•	
AR						
AZ	•	•			•	
CA						
CO	•	•			•	
CT	•	•	•	•	•	•
DC						
DE	•	•	•	•	•	
FL	•	•	•	•	•	•
GA	•	•		•	•	•
HI			•	•		
IA			•	•		
ID						
IL	•	•	•	•	•	•
IN	•	•	•	•	•	•
KS	•	•			•	
KY						
LA						
MA	•	•	•	•		
MD	•	•	•	•		
ME						
MI	•	•	•	•		•
MN	•		•	•		•
MO	•	•	•	•	•	•
MS	•	•	•	•	•	
MT	•	•	•	•		
NC						
ND	•	•	•	•		•
NE						
NH	•	•			•	•
NJ						
NM					•	
NV	•	•	•			
NY	•	•	•	•	•	•
OH	•		•	•		•
OK	•	•			•	•
OR	•	•	•	•	•	•
PA	•					
RI	•	•	•	•	•	•
SC	•	•				•
SD						
TN	•					

State:	Outdoor play	Nap or rest period	Active play	Quiet play	Regular meal / snack times	Indoor play
TX	•	•	•	•	•	•
UT		•				
VA	•	•	•	•		•
VT						
WA						
WI						
WV	•	•	•	•	•	•
WY						

Types of Required Activities (continued)

State:	Large group activities	Creative expression	Gross motor activities	Provider- initiated activities	Child- initiated activities	Free play
Total:	12	13	11	11	11	9
AK	•					
AL						
AR						
AZ		•				
CA						
CO	•					
CT	•	•	•	•	•	
DC						
DE	•			•	•	•
FL						
GA	•	•	•	•		•
HI					•	•
IA			•			
ID						
IL			•			
IN						
KS		•	•			
KY						
LA						
MA	•	•	•	•	•	
MD				•	•	
ME						
MI	•	•	•			
MN		•	•	•	•	
MO	•					•
MS						
MT		•				•
NC						
ND						
NE						
NH	•	•				

State:	Large group activities	Creative expression	Gross motor activities	Provider- initiated activities	Child- initiated activities	Free play
NJ						
NM						
NV						
NY						
OH		•	•			
OK						
OR	•	•	•			•
PA						•
RI				•	•	
SC						
SD						
TN				•	•	
TX	•	•	•	•	•	
UT						
VA				•	•	•
VT						
WA						
WI						
WV	•	•		•	•	•
WY						

Types of Required Activities (continued)

State:	Fine motor activities	Toileting and washing / hand washing	Small group activities
Total:	9	10	2
AK		•	
AL			
AR			
AZ			
CA			
CO			
CT	•	•	
DC			
DE			
FL			
GA	•	•	•
HI			
IA	•		
ID			
IL	•	•	
IN			
KS	•	•	
KY			
LA			

State:	Fine motor activities	Toileting and washing / hand washing	Small group activities
MA		•	
MD			
ME			
MI	•		
MN	•		
MO		•	
MS			
MT			•
NC			
ND			
NE			
NH			
NJ			
NM			
NV			
NY			
OH			
OK			
OR	•	•	
PA			
RI		•	
SC			
SD			
TN			
TX	•		
UT			
VA			
VT			
WA			
WI			
WV		•	
WY			

Developmental Domains Related to Required Activities

State:	Emotional development	Physical development	Cognitive / intellectual development	Language / literacy development	Social development	Cultural development
Total:	21	21	19	18	18	7
AK	•	•	•	•	•	
AL						
AR						
AZ						
CA						
CO						
CT	•	•	•	•		•
DC						
DE	•	•	•	•	•	
FL	•	•	•		•	
GA	•	•	•	•	•	
HI	•	•	•	•	•	•
IA	•					
ID						
IL	•	•		•	•	
IN						
KS		•		•		
KY						
LA						
MA	•	•	•	•	•	•
MD	•	•	•		•	
ME						
MI	•	•	•			
MN	•	•	•	•	•	
MO						
MS						
MT	•	•	•		•	
NC						
ND	•	•	•	•	•	•
NE						
NH				•		
NJ						
NM						
NV	•	•	•	•	•	•
NY	•	•	•	•	•	
OH				•		
OK						
OR						
PA	•	•	•	•	•	•
RI						•

State:	Emotional development	Physical development	Cognitive / intellectual development	Language / literacy development	Social development	Cultural development
SC						
SD						
TN						
TX	•	•	•	•	•	
UT	•	•	•	•	•	
VA	•	•	•		•	
VT						
WA						
WI						
WV	•	•	•	•	•	
WY						

Specific Activities Required to Address Developmental Domains

State:	Physical development	Cognitive / intellectual development	Language / literacy development	Social development	Emotional development	Cultural development
Total:	7	6	7	5	4	1
AK	•	•	•	•		
AL						
AR						
AZ						
CA						
CO						
CT	•	•	•		•	
DC						
DE						
FL						
GA			•			
HI	•	•		•	•	•
IA						
ID						
IL						
IN						
KS	•		•			
KY						
LA						
MA	•	•	•	•	•	
MD						
ME						
MI						
MN	•	•	•	•		
MO						
MS						
MT						
NC						
ND						
NE						
NH						
NJ						
NM						
NV						
NY						
OH						
OK						
OR						
PA						
RI						
SC						
SD						

State:	Physical development	Cognitive / intellectual development	Language / literacy development	Social development	Emotional development	Cultural development
TN						
TX	•	•	•	•	•	
UT						
VA						
VT						
WA						
WI						
WV						
WY						

Required Equipment and Materials

State:	Fine motor / manipulatives	Books and other literacy materials	Dramatic play/pretend materials	Art supplies / creative activities
Total:	18	17	17	17
AK	•	•	•	
AL	•	•		•
AR				
AZ	•	•	•	•
CA				
CO	•	•	•	•
CT		•		
DC				
DE				
FL				
GA	•	•	•	•
HI	•			
IA				
ID				
IL				
IN				
KS	•	•	•	•
KY				
LA				
MA	•	•	•	•
MD	•		•	•
ME				
MI	•	•	•	•
MN				
MO	•	•	•	•
MS	•	•	•	•
MT		•	•	•
NC				
ND				
NE				
NH				
NJ				
NM				
NV				
NY				
OH	•	•	•	•
OK	•	•	•	•
OR				
PA	•		•	•
RI	•	•	•	•
SC				
SD				
TN				

State:	Fine motor / manipulatives	Books and other literacy materials	Dramatic play/pretend materials	Art supplies / creative activities
TX	•	•	•	•
UT				
VA				
VT				
WA				
WI				
WV	•	•	•	•
WY				

Required Equipment and Materials (continued)

State:	Gross motor equipment (indoor and/or outdoor)	Music materials	Science / experimental / math materials	Sensory materials
Total:	16	14	9	6
AK				
AL	•	•	•	•
AR				
AZ	•	•	•	
CA				
CO	•	•	•	
CT				
DC				
DE				
FL				
GA	•	•	•	
HI	•			
IA				
ID				
IL				
IN				
KS	•	•	•	
KY				
LA				
MA	•	•		
MD	•	•	•	
ME				
MI	•	•		
MN				
MO	•	•	•	•
MS	•	•		•
MT		•		
NC				
ND				
NE				
NH				

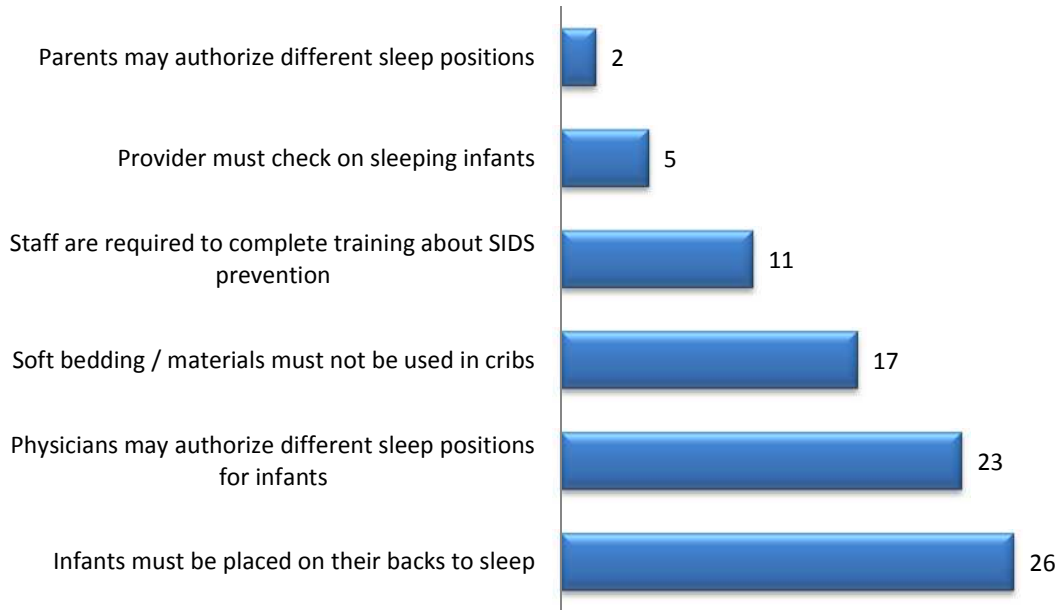
State:	Gross motor equipment (indoor and/or outdoor)	Music materials	Science / experimental / math materials	Sensory materials
NJ				
NM				
NV				
NY				
OH	•	•	•	•
OK	•	•		
OR				
PA	•			•
RI	•	•		
SC				
SD				
TN				
TX	•			•
UT				
VA				
VT				
WA				
WI				
WV			•	
WY				

Communicating with Parents

State:	Must provide written copies of policies and procedures	Must keep logs of children's care and communicate with parents	Must hold regularly scheduled meetings with parents	Must have resource areas for parents
Total:	29	2	1	1
AK	•			
AL	•			
AR				
AZ				
CA	•			
CO				
CT				
DC				
DE	•			
FL	•			
GA	•			
HI	•		•	
IA				
ID				
IL	•			
IN	•			
KS				
KY				
LA				
MA	•			
MD	•			
ME				
MI	•			
MN	•			
MO	•			
MS	•	•		
MT	•			
NC				
ND				
NE				
NH				
NJ				
NM	•			
NV	•			
NY	•			
OH	•			
OK	•			
OR	•			
PA				
RI		•		•
SC	•			

State:	Must provide written copies of policies and procedures	Must keep logs of children's care and communicate with parents	Must hold regularly scheduled meetings with parents	Must have resource areas for parents
SD				
TN	•			
TX	•			
UT	•			
VA	•			
VT				
WA				
WI				
WV	•			
WY	•			

Requirements Relating to the Risk of SIDS



Requirements Relating to the Risk of SIDS

State:	Infants must be placed on their backs to sleep	Physicians may authorize different sleep positions for infants	Soft bedding / materials must not be used in cribs	Staff are required to complete training about SIDS prevention	Provider must check on sleeping infants	Parents may authorize different sleep positions
Total:	26	23	17	11	5	2
AK	•	•	•	•		
AL	•	•	•			
AR						
AZ	•	•	•	•		
CA						
CO						
CT	•	•	•			
DC						
DE						
FL	•	•				
GA	•	•	•	•		
HI						
IA	•	•				
ID						
IL	•	•	•			
IN						
KS	•		•	•		
KY						
LA						
MA						
MD	•	•	•			
ME						
MI	•	•	•	•	•	
MN	•		•	•		•
MO	•	•				
MS	•	•	•		•	
MT	•	•	•	•		
NC						
ND						
NE			•			
NH						
NJ						
NM						
NV				•		
NY	•	•				
OH	•	•				
OK	•	•		•		
OR	•					
PA	•	•	•			
RI	•	•			•	•
SC	•	•	•			

State:	Infants must be placed on their backs to sleep	Physicians may authorize different sleep positions for infants	Soft bedding / materials must not be used in cribs	Staff are required to complete training about SIDS prevention	Provider must check on sleeping infants	Parents may authorize different sleep positions
SD						
TN					•	
TX	•	•	•	•		
UT	•	•		•	•	
VA						
VT						
WA						
WI						
WV	•	•	•			
WY	•	•				

Amount of Indoor Space Required per Child

State:	25 square feet	30 square feet	32 square feet	35 square feet	40 square feet
Total:	1	3	1	28	1
AK				•	
AL			•		
AR					
AZ		•			
CA					
CO				•	
CT				•	
DC					
DE				•	
FL				•	
GA				•	
HI				•	
IA				•	
ID					
IL				•	
IN					
KS	•				
KY					
LA					
MA				•	
MD					
ME					
MI				•	
MN				•	
MO				•	
MS				•	
MT					
NC					
ND				•	
NE				•	
NH				•	
NJ					
NM				•	
NV				•	
NY					
OH				•	
OK				•	
OR				•	
PA					•
RI				•	
SC				•	
SD				•	
TN		•			
TX		•			

State:	25 square feet	30 square feet	32 square feet	35 square feet	40 square feet
UT				•	
VA					
VT					
WA					
WI					
WV				•	
WY				•	

Amount of Outdoor Space Required per Child

State:	38 square feet	40 square feet	50 square feet	60 square feet
Total:	1	1	8	1
AK				
AL				
AR				
AZ			•	
CA				
CO				
CT				
DC				
DE			•	
FL				
GA				
HI				
IA			•	
ID				
IL				
IN				
KS				
KY				
LA				
MA				
MD				
ME				
MI				
MN			•	
MO				
MS				
MT				
NC				
ND				
NE			•	
NH			•	
NJ				
NM				
NV	•			
NY				
OH				•
OK				
OR				
PA				
RI				
SC				
SD			•	

State:	38 square feet	40 square feet	50 square feet	60 square feet
TN			•	
TX				
UT		•		
VA				
VT				
WA				
WI				
WV				
WY				

Amounts of Outdoor Space Required per Child (continued)

State:	65 square feet	75 square feet	80 square feet	100 square feet
Total:	1	13	1	1
AK		•		
AL				
AR				
AZ				
CA				
CO		•		
CT		•		
DC				
DE				
FL				
GA				•
HI				
IA				
ID				
IL		•		
IN				
KS				
KY				
LA				
MA		•		
MD		•		
ME				
MI				
MN				
MO		•		
MS		•		
MT				
NC				
ND		•		
NE				
NH				
NJ				

State:	65 square feet	75 square feet	80 square feet	100 square feet
NM				
NV				
NY				
OH				
OK		•		
OR		•		
PA	•			
RI				
SC				
SD				
TN				
TX			•	
UT				
VA				
VT				
WA				
WI				
WV		•		
WY		•		

Other Amounts of Outdoor Space Required

Minimum Requirement	State
270 square feet	FL
500 square feet	AZ
600 square feet	AL, MI
750 square feet	MO

Requirements Relating to Interior Conditions and Equipment

State:	Requirement about condition of indoor area of the building / facility	Requirements about the condition of indoor equipment	Requirements about conditions of stairs in home	Requirements about the basement used for the care of children
Total:	35	31	25	17
AK				
AL	•			
AR				
AZ	•	•	•	
CA	•		•	
CO	•	•	•	•
CT	•	•	•	
DC				
DE	•	•	•	•
FL	•	•		
GA		•		
HI	•	•		
IA		•		•
ID				
IL	•	•	•	•
IN	•			•
KS	•	•	•	•
KY				
LA				
MA	•	•	•	•
MD	•	•		
ME				
MI	•	•	•	•
MN		•	•	•
MO	•	•	•	•
MS	•	•	•	
MT	•	•	•	•
NC				
ND	•	•	•	
NE	•	•		
NH	•	•	•	•
NJ				
NM	•			
NV	•			
NY	•	•	•	•
OH	•	•		
OK	•	•	•	•
OR	•	•	•	
PA	•	•	•	
RI	•	•	•	•
SC	•	•	•	

State:	Requirement about condition of indoor area of the building / facility	Requirements about the condition of indoor equipment	Requirements about conditions of stairs in home	Requirements about the basement used for the care of children
SD				
TN	•	•		
TX	•	•		•
UT	•	•	•	
VA	•		•	
VT				
WA				
WI				
WV	•	•	•	
WY	•		•	•

Requirements Relating to Exterior Conditions and Equipment

State:	Requirement about condition of outdoor area of the building / facility	Requirements about the condition of outdoor equipment	Requirement about condition of materials and toys for children
Total:	31	29	23
AK			
AL	•		
AR			
AZ	•	•	•
CA			
CO	•	•	
CT	•	•	•
DC			
DE	•	•	
FL	•	•	•
GA	•	•	•
HI	•	•	•
IA	•	•	
ID			
IL	•	•	•
IN	•		
KS	•	•	•
KY			
LA			
MA	•		
MD	•	•	
ME			
MI		•	•
MN	•		
MO	•	•	•
MS	•	•	•
MT	•	•	•
NC			
ND	•	•	•
NE			•
NH	•		•
NJ			
NM			•
NV	•	•	
NY		•	•
OH	•	•	
OK	•	•	•
OR	•	•	•
PA	•	•	•
RI	•	•	
SC	•	•	•
SD			

State:	Requirement about condition of outdoor area of the building / facility	Requirements about the condition of outdoor equipment	Requirement about condition of materials and toys for children
TN	•	•	
TX		•	
UT	•	•	•
VA	•		
VT			
WA			
WI			
WV	•	•	•
WY		•	•

Indoor Equipment Safety Requirements

State:	Requirement about sleeping equipment (e.g., cots and cribs)	Requirement about safety of indoor equipment	Prohibition of the use of stackable cribs	Requirements about the surfaces under indoor equipment
Total:	29	26	12	3
AK	•			
AL	•			
AR		•		
AZ	•		•	
CA				
CO	•			
CT	•	•	•	
DC				
DE	•	•		
FL	•			
GA		•	•	
HI	•	•		
IA				
ID				
IL	•	•		
IN				
KS	•		•	
KY				
LA				
MA	•	•		
MD	•	•	•	
ME				
MI	•	•		
MN	•	•		
MO	•	•	•	
MS	•	•	•	
MT	•	•		
NC				
ND		•		
NE				
NH	•	•	•	•
NJ				
NM	•			
NV				
NY				
OH	•	•	•	•
OK	•	•		
OR	•	•		
PA	•	•	•	
RI	•	•		
SC	•	•	•	
SD				

State:	Requirement about sleeping equipment (e.g., cots and cribs)	Requirement about safety of indoor equipment	Prohibition of the use of stackable cribs	Requirements about the surfaces under indoor equipment
TN		•		
TX	•	•		•
UT	•	•		
VA	•			
VT				
WA				
WI				
WV	•	•		
WY		•	•	

Indoor / Outdoor Equipment Safety Requirements

State:	Requirement about safety of outdoor equipment	Requirement about surfaces under outdoor equipment	Requirement about indoor and outdoor equipment be free of components that can pinch, sheer, or crush body tissues
Total:	30	26	8
AK			
AL	•	•	
AR			
AZ	•	•	
CA			
CO		•	
CT	•	•	
DC			
DE	•	•	•
FL	•	•	
GA	•	•	
HI	•	•	
IA			
ID			
IL	•		
IN			
KS	•	•	
KY			
LA			
MA	•		
MD	•		
ME			
MI	•	•	
MN			
MO	•	•	•
MS	•		
MT	•	•	
NC			
ND	•		
NE			
NH		•	
NJ			
NM	•	•	
NV	•	•	
NY	•		
OH	•	•	
OK	•	•	•
OR	•	•	
PA	•	•	•
RI	•	•	
SC	•	•	•
SD			

State:	Requirement about safety of outdoor equipment	Requirement about surfaces under outdoor equipment	Requirement about indoor and outdoor equipment be free of components that can pinch, shear, or crush body tissues
TN	•	•	
TX	•	•	•
UT	•	•	•
VA			
VT			
WA			
WI			
WV	•	•	
WY	•	•	•

Outdoor Fencing Height Requirements

State:	At least 4 feet	At least 42 inches	Height not specified
Total:	15	3	3
AK			
AL	•		
AR			
AZ	•		
CA			
CO		•	
CT	•		
DC			
DE			•
FL	•		
GA	•		
HI			
IA			
ID			
IL			
IN			
KS			
KY			
LA			
MA			
MD			
ME			
MI			
MN			
MO		•	
MS	•		
MT	•		
NC			
ND			
NE			
NH			
NJ			
NM	•		
NV			•
NY			
OH			
OK	•		
OR	•		
PA			
RI			•
SC	•		
SD			
TN			
TX	•		

State:	At least 4 feet	At least 42 inches	Height not specified
UT	•		
VA			
VT			
WA			
WI			
WV			
WY	•		

Requirements Relating to Swimming Pools / Bodies of Water

State:	Requirement about safety of swimming pools	Requirements to protect children from bodies of water
Total:	37	24
AK		•
AL	•	•
AR		
AZ	•	•
CA	•	•
CO	•	•
CT	•	•
DC		
DE	•	
FL	•	•
GA	•	
HI	•	
IA	•	
ID		
IL	•	
IN	•	
KS	•	
KY		
LA		
MA	•	•
MD	•	•
ME		
MI	•	•
MN	•	•
MO	•	
MS	•	
MT	•	•
NC		
ND	•	
NE	•	•
NH	•	•
NJ		
NM	•	•
NV		•
NY	•	•
OH	•	•
OK	•	•
OR	•	•
PA	•	
RI	•	•
SC	•	•
SD		
TN	•	

State:	Requirement about safety of swimming pools	Requirements to protect children from bodies of water
TX	•	•
UT	•	•
VA	•	
VT		
WA		
WI		
WV	•	
WY	•	

Frequency of Fire-Safety Inspections

State:	Once a year	Once every 2 years	Once every 3 years	Other frequency
Total:	16	2	1	6
AK		•		
AL				•
AR				
AZ				
CA				•
CO				
CT	•			
DC				
DE				•
FL	•			
GA	•			
HI				
IA				
ID				
IL	•			
IN				
KS	•			
KY				
LA				
MA				
MD				
ME				
MI				
MN				
MO	•			
MS	•			
MT				
NC				
ND	•			
NE				•
NH			•	
NJ				
NM	•			
NV	•			
NY				
OH	•			
OK				
OR				
PA				•
RI	•			
SC		•		
SD	•			
TN	•			
TX				

State:	Once a year	Once every 2 years	Once every 3 years	Other frequency
UT				•
VA				
VT				
WA				
WI				
WV	•			
WY	•			

Frequency of Fire Drills

State:	At least once a month	More than four times a year	At least four times a year	Less than four times a year	Time interval not specified
Total:	22	4	3	1	3
AK					
AL					
AR					
AZ	•				
CA				•	
CO					•
CT					
DC					
DE	•				
FL		•			
GA	•				
HI					
IA	•				
ID					
IL	•				
IN			•		
KS	•				
KY					
LA					
MA	•				
MD	•				
ME					
MI	•				
MN	•				
MO	•				
MS	•				
MT		•			
NC					
ND					•
NE		•			
NH	•				
NJ					
NM	•				
NV	•				
NY	•				
OH	•				
OK	•				
OR					
PA		•			
RI	•				
SC					
SD			•		
TN					

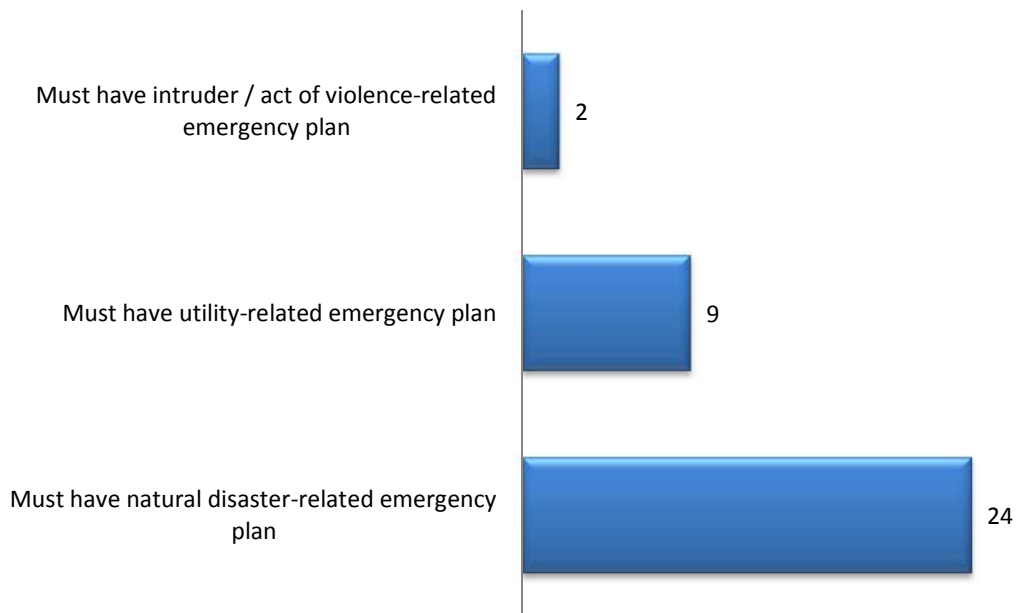
State:	At least once a month	More than four times a year	At least four times a year	Less than four times a year	Time interval not specified
TX	•				
UT			•		
VA	•				
VT					
WA					
WI					
WV	•				
WY					•

Requirements for Smoke Detectors, Fire Extinguishers, and General Emergency Plans

State:	Must have smoke detectors	Must have fire extinguishers	Require evacuation plans for general emergencies	Required to perform general emergency drill
Total:	27	24	6	3
AK				
AL				
AR				
AZ	•	•		
CA	•	•		
CO	•	•		
CT				
DC				
DE	•	•		
FL	•	•	•	•
GA	•	•		
HI				
IA	•	•		
ID				
IL	•	•		
IN	•	•		
KS	•	•		
KY				
LA				
MA	•			
MD			•	•
ME				
MI	•	•		
MN	•	•		
MO	•	•		
MS		•		
MT	•	•	•	•
NC				
ND	•	•		
NE	•			
NH	•			
NJ				
NM	•	•		
NV				
NY	•		•	
OH				
OK	•	•		
OR	•	•		
PA			•	
RI	•	•		
SC				
SD			•	
TN				

State:	Must have smoke detectors	Must have fire extinguishers	Require evacuation plans for general emergencies	Required to perform general emergency drill
TX	•	•		
UT	•	•		
VA	•	•		
VT				
WA				
WI				
WV				
WY	•	•		

Emergency Preparedness Plans



Emergency Preparedness Plans

State:	Must have natural disaster-related emergency plan	Must have utility-related emergency plan	Must have intruder / act of violence-related emergency plan
Total:	24	9	2
AK			
AL		•	
AR	•		
AZ	•		
CA			
CO	•		
CT	•		
DC			
DE			
FL			
GA	•	•	
HI	•		
IA	•		
ID			
IL	•		
IN			
KS	•		
KY			
LA			
MA	•	•	
MD			
ME			
MI	•	•	
MN	•		
MO	•	•	•
MS	•		
MT			
NC			
ND			
NE			
NH			
NJ			
NM			
NV	•		
NY			
OH	•	•	•
OK	•	•	
OR	•	•	
PA			
RI			
SC	•		
SD	•		
TN			

State:	Must have natural disaster-related emergency plan	Must have utility-related emergency plan	Must have intruder / act of violence-related emergency plan
TX	•		
UT	•	•	
VA	•		
VT			
WA			
WI			
WV	•		
WY			

Monitoring Children's Whereabouts

State:	Must keep daily attendance records of children	Procedures for accepting and releasing children	Procedure for signing children in and out when they enter and exit facilities
Total:	26	14	9
AK	•	•	
AL			•
AR			
AZ		•	•
CA			
CO		•	•
CT	•		
DC			
DE	•	•	
FL			
GA	•		
HI	•		
IA			
ID			
IL	•	•	
IN	•		
KS			
KY			
LA			
MA	•		
MD	•		
ME			
MI	•	•	
MN			
MO	•	•	
MS	•	•	•
MT	•		
NC			
ND	•		
NE			
NH	•		•
NJ			
NM	•		•
NV	•		•
NY	•	•	
OH		•	
OK	•		
OR	•	•	
PA		•	
RI			
SC	•	•	
SD			
TN	•		

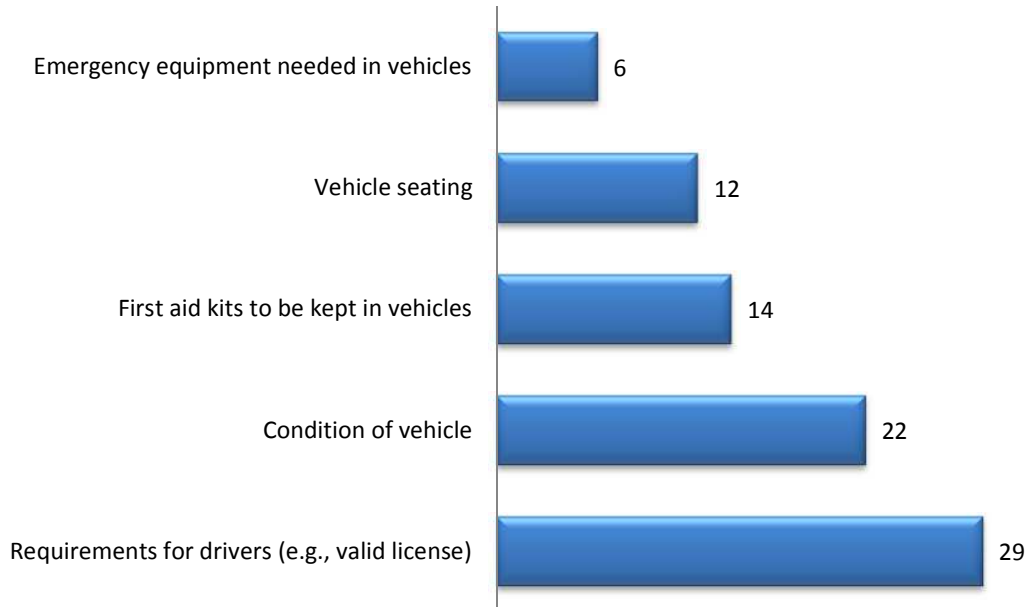
State:	Must keep daily attendance records of children	Procedures for accepting and releasing children	Procedure for signing children in and out when they enter and exit facilities
TX	•		
UT	•	•	•
VA			
VT			
WA			
WI			
WV	•		•
WY	•		

Monitoring Children's Whereabouts (continued)

State:	Policy about monitoring visitors	Requirements about use of video surveillance cameras for parents to view children	Must have security / surveillance cameras
Total:	5	1	0
AK			
AL			
AR			
AZ			
CA			
CO			
CT			
DC			
DE			
FL			
GA	•		
HI			
IA			
ID			
IL			
IN			
KS			
KY			
LA			
MA			
MD			
ME			
MI			
MN			
MO			
MS			
MT			
NC			
ND			
NE			
NH			

State:	Policy about monitoring visitors	Requirements about use of video surveillance cameras for parents to view children	Must have security / surveillance cameras
NJ			
NM			
NV			
NY	•	•	
OH			
OK			
OR	•		
PA			
RI	•		
SC			
SD			
TN			
TX			
UT	•		
VA			
VT			
WA			
WI			
WV			
WY			

Transportation Requirements



Transportation Requirements

State:	Requirements for drivers (e.g., valid license)	Condition of vehicle	First aid kits to be kept in vehicles	Vehicle seating	Emergency equipment needed in vehicles
Total:	29	22	14	12	6
AK		•			•
AL	•				
AR					
AZ	•	•	•	•	•
CA	•	•			
CO	•	•	•	•	
CT					
DC					
DE		•	•		
FL	•			•	
GA	•	•	•	•	•
HI	•				
IA			•		
ID					
IL	•	•			
IN	•	•			
KS	•	•	•		
KY					
LA					
MA	•	•			•
MD		•		•	
ME					
MI	•	•	•		
MN	•				
MO	•			•	
MS					
MT	•			•	
NC					
ND	•	•			
NE	•			•	
NH	•	•			
NJ					
NM		•	•		•
NV	•				
NY	•				
OH	•	•		•	
OK	•	•	•		
OR	•	•		•	
PA	•		•	•	
RI					
SC	•				

State:	Requirements for drivers (e.g., valid license)	Condition of vehicle	First aid kits to be kept in vehicles	Vehicle seating	Emergency equipment needed in vehicles
SD					
TN	•	•	•	•	•
TX					
UT	•	•	•		
VA			•		
VT					
WA					
WI					
WV	•	•			
WY	•	•	•		

Vehicle Safety Restraint Requirements

State:	Requirement about car seats / child restraint system	Requirements about seatbelts for children
Total:	28	25
AK	•	•
AL	•	•
AR		
AZ	•	•
CA	•	
CO	•	•
CT		
DC		
DE		
FL	•	•
GA	•	•
HI		
IA		
ID		
IL	•	
IN		
KS	•	•
KY		
LA		
MA	•	•
MD	•	•
ME		
MI	•	•
MN	•	•
MO	•	•
MS	•	•
MT	•	•
NC		
ND		
NE	•	•
NH	•	•
NJ		
NM	•	•
NV		
NY	•	•
OH	•	•
OK	•	•
OR	•	•
PA		
RI		
SC		
SD		
TN	•	•

State:	Requirement about car seats / child restraint system	Requirements about seatbelts for children
TX	•	
UT	•	•
VA		
VT		
WA		
WI		
WV	•	•
WY	•	•

Liability and Automobile Insurance Requirements

State:	Require automobile insurance	Require general liability insurance
Total:	19	11
AK		
AL		
AR		
AZ	•	•
CA		
CO		
CT		
DC		
DE	•	•
FL	•	
GA		
HI	•	
IA		
ID		
IL	•	•
IN	•	
KS	•	
KY		
LA		
MA		•
MD		•
ME		
MI	•	
MN		
MO		
MS	•	
MT		•
NC		
ND	•	
NE		
NH	•	
NJ		
NM	•	
NV	•	•
NY		
OH	•	•
OK	•	
OR		
PA	•	•
RI	•	
SC		
SD		
TN	•	•
TX		

State:	Require automobile insurance	Require general liability insurance
UT		
VA		
VT		
WA		
WI		
WV	•	•
WY		

Administration of Medication to Children

State:	Must obtain permission from parent / guardian	Must obtain written instructions	Must maintain records of medications administered to children	Must have training about administration of medication
Total:	37	33	29	5
AK				
AL	•	•	•	
AR				
AZ	•	•	•	
CA				
CO	•	•	•	•
CT	•	•	•	•
DC				
DE	•			•
FL	•	•	•	
GA	•		•	
HI	•	•		
IA	•	•		
ID				
IL	•	•	•	
IN	•	•		
KS	•	•	•	
KY				
LA				
MA	•	•	•	
MD	•	•	•	•
ME				
MI	•	•	•	
MN	•	•		
MO	•	•	•	
MS	•		•	
MT	•	•	•	
NC				
ND	•	•	•	
NE	•	•	•	
NH				
NJ				
NM	•	•	•	
NV	•		•	•
NY	•	•		
OH	•	•	•	
OK	•	•	•	
OR	•	•	•	
PA	•	•	•	
RI	•	•	•	
SC	•	•	•	
SD	•	•		

State:	Must obtain permission from parent / guardian	Must obtain written instructions	Must maintain records of medications administered to children	Must have training about administration of medication
TN	•	•	•	
TX	•	•	•	
UT	•	•	•	
VA	•	•	•	
VT				
WA				
WI				
WV	•	•		
WY	•	•	•	

Requirements for Medical Procedures

State:	Requirements relating to performing medical procedures (e.g., blood glucose tests)	Require provider to keep records of medical procedures
Total:	7	3
AK		
AL	•	•
AR		
AZ		
CA		
CO		
CT	•	•
DC		
DE		
FL		
GA		
HI		
IA		
ID		
IL		
IN		
KS		
KY		
LA		
MA	•	
MD		
ME		
MI		
MN		
MO		
MS		
MT		
NC		
ND		
NE		
NH		
NJ		
NM		
NV		
NY	•	
OH	•	
OK	•	•
OR		
PA		
RI		
SC	•	
SD		
TN		

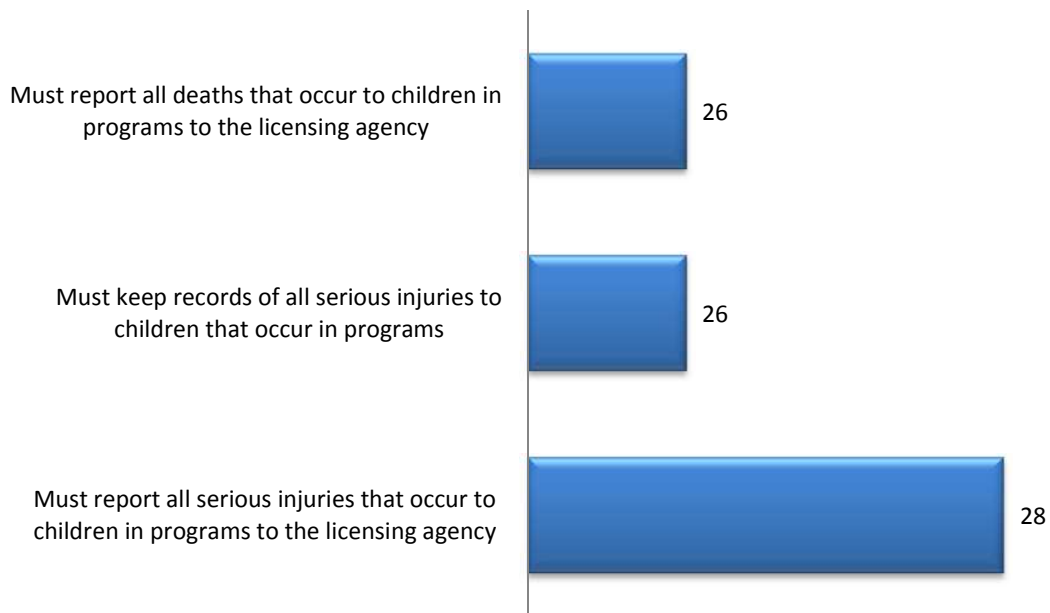
State:	Requirements relating to performing medical procedures (e.g., blood glucose tests)	Require provider to keep records of medical procedures
TX		
UT		
VA		
VT		
WA		
WI		
WV		
WY		

Caring for Children who are Mildly Ill

State:	Allow homes to exclude children who are mildly ill	Allow homes to admit children who are mildly ill
Total:	18	9
AK		
AL	•	
AR		
AZ	•	
CA		
CO		
CT	•	
DC		
DE		•
FL		•
GA		
HI	•	•
IA	•	
ID		
IL		•
IN		
KS		
KY		
LA		
MA		•
MD	•	
ME		
MI		
MN		
MO	•	•
MS		
MT	•	
NC		
ND	•	
NE		
NH		
NJ		
NM		
NV		
NY		
OH	•	
OK		
OR	•	
PA	•	•
RI	•	
SC	•	
SD		•
TN		

State:	Allow homes to exclude children who are mildly ill	Allow homes to admit children who are mildly ill
TX	•	
UT		•
VA	•	
VT		
WA		
WI		
WV	•	
WY	•	

Incident Reporting Requirements



Incident Reporting Requirements

State:	Must report all serious injuries that occur to children in programs to the licensing agency	Must keep records of all serious injuries to children that occur in programs	Must report all deaths that occur to children in programs to the licensing agency
Total:	28	26	26
AK			
AL	•	•	•
AR			
AZ		•	•
CA			
CO	•	•	•
CT	•	•	•
DC			
DE	•	•	•
FL		•	
GA	•	•	•
HI			
IA		•	
ID			
IL	•		•
IN	•		
KS			
KY			
LA			
MA	•	•	•
MD	•	•	•
ME			
MI	•		•
MN	•		
MO		•	•
MS	•	•	•
MT	•	•	
NC			
ND	•		•
NE	•		•
NH			
NJ			
NM	•	•	•
NV	•	•	•
NY	•	•	•
OH	•	•	•
OK	•	•	•
OR	•	•	•
PA	•	•	•
RI		•	
SC	•		•
SD			

State:	Must report all serious injuries that occur to children in programs to the licensing agency	Must keep records of all serious injuries to children that occur in programs	Must report all deaths that occur to children in programs to the licensing agency
TN		•	
TX	•	•	•
UT	•	•	
VA	•	•	•
VT			
WA			
WI			
WV	•		•
WY	•	•	•

Health Consultants and First Aid Kits

State:	Health consultants required to be available to staff	Require first aid kits to be kept in facility	Specific items have been identified to be contained in first aid kits
Total:	5	30	21
AK			
AL		•	
AR			
AZ		•	•
CA			
CO		•	
CT	•	•	•
DC			
DE	•	•	•
FL		•	•
GA		•	•
HI	•	•	
IA		•	
ID			
IL		•	•
IN		•	
KS			
KY			
LA			
MA		•	•
MD		•	
ME			
MI			
MN		•	•
MO			
MS			
MT		•	•
NC			
ND	•	•	
NE		•	•
NH			
NJ			
NM			•
NV		•	
NY	•	•	
OH		•	•
OK			
OR		•	•
PA		•	•
RI		•	•
SC		•	
SD			

State:	Health consultants required to be available to staff	Require first aid kits to be kept in facility	Specific items have been identified to be contained in first aid kits
TN		•	•
TX		•	•
UT		•	•
VA		•	•
VT			
WA			
WI			
WV		•	•
WY		•	•

Staff Requirements for Hand Washing

State:	Requirement about locations and/or amount of hand washing facilities for staff	Required after diapering children	Before and/or after preparing, serving, and eating food
Total:	19	31	30
AK		•	•
AL		•	
AR			
AZ	•	•	•
CA			
CO		•	•
CT	•	•	•
DC			
DE	•	•	•
FL			
GA	•	•	•
HI			
IA			
ID			
IL			
IN	•		
KS	•		•
KY			
LA			
MA		•	•
MD	•	•	•
ME			
MI	•	•	•
MN		•	•
MO	•	•	•
MS		•	•
MT	•	•	•
NC			
ND	•	•	•
NE	•	•	
NH		•	•
NJ			
NM		•	•
NV		•	•
NY		•	•
OH		•	•
OK	•	•	•
OR	•	•	•
PA	•	•	•
RI	•	•	•
SC	•	•	•
SD		•	•

State:	Requirement about locations and/or amount of hand washing facilities for staff	Required after diapering children	Before and/or after preparing, serving, and eating food
TN			
TX	•	•	•
UT		•	•
VA		•	•
VT			
WA			
WI			
WV			
WY	•	•	•

Staff Requirements for Hand Washing (continued)

State:	After toileting	After toileting children	After handling, feeding, and cleaning up after animals	After attending to ill children
Total:	27	23	20	4
AK	•	•	•	
AL		•		
AR				
AZ	•		•	
CA				
CO	•	•		
CT				
DC				
DE	•	•	•	•
FL				
GA	•	•	•	
HI				
IA				
ID				
IL				
IN				
KS	•	•	•	
KY				
LA				
MA	•		•	
MD	•		•	
ME				
MI	•	•	•	
MN		•		
MO	•	•		
MS	•	•	•	
MT	•			
NC				

State:	After toileting	After toileting children	After handling, feeding, and cleaning up after animals	After attending to ill children
ND	•			
NE		•		
NH	•	•		•
NJ				
NM	•	•	•	•
NV	•	•	•	•
NY	•	•	•	
OH	•	•	•	
OK	•		•	
OR	•	•	•	
PA	•			
RI	•	•	•	
SC	•	•	•	
SD	•	•		
TN				
TX	•	•	•	
UT	•	•	•	
VA		•	•	
VT				
WA				
WI				
WV				
WY	•			

Requirements for Washing Children's Hands

State:	Before and/or after eating food	After toileting	After diapering	After handling animals
Total:	27	25	16	16
AK				
AL		•	•	
AR				
AZ	•	•	•	•
CA				
CO	•	•	•	
CT	•		•	
DC				
DE	•	•	•	•
FL				
GA	•	•		
HI				
IA				
ID				
IL				
IN	•	•		
KS	•	•		•
KY				
LA				
MA	•	•	•	•
MD	•	•	•	•
ME				
MI	•	•	•	•
MN	•	•		
MO	•			
MS	•	•	•	•
MT	•	•		
NC				
ND				
NE				
NH	•	•	•	
NJ				
NM	•	•	•	•
NV	•	•		•
NY	•	•	•	•
OH	•	•		•
OK	•	•		•
OR	•	•	•	
PA	•	•	•	
RI	•	•	•	•
SC				
SD	•			
TN				

State:	Before and/or after eating food	After toileting	After diapering	After handling animals
TX	•	•	•	•
UT	•	•		•
VA	•	•		•
VT				
WA				
WI				
WV				
WY				

Diapering Requirements

State:	Changing table and areas must be sanitized after each use	Requirement about discarding soiled diapers	Diapering area must be separate from food preparation area
Total:	29	27	24
AK			
AL	•	•	•
AR			
AZ	•	•	•
CA			
CO	•		•
CT	•	•	•
DC			
DE	•	•	•
FL	•	•	•
GA	•		•
HI			
IA			
ID			
IL	•	•	
IN			
KS	•	•	
KY			
LA			
MA			•
MD		•	
ME			
MI	•	•	•
MN	•	•	•
MO	•	•	•
MS	•	•	
MT	•	•	•
NC			
ND	•	•	•
NE		•	
NH	•	•	•
NJ			
NM	•		
NV	•	•	•
NY	•	•	•
OH	•	•	
OK	•	•	
OR	•		•
PA	•	•	•
RI	•	•	•
SC			
SD			
TN		•	•

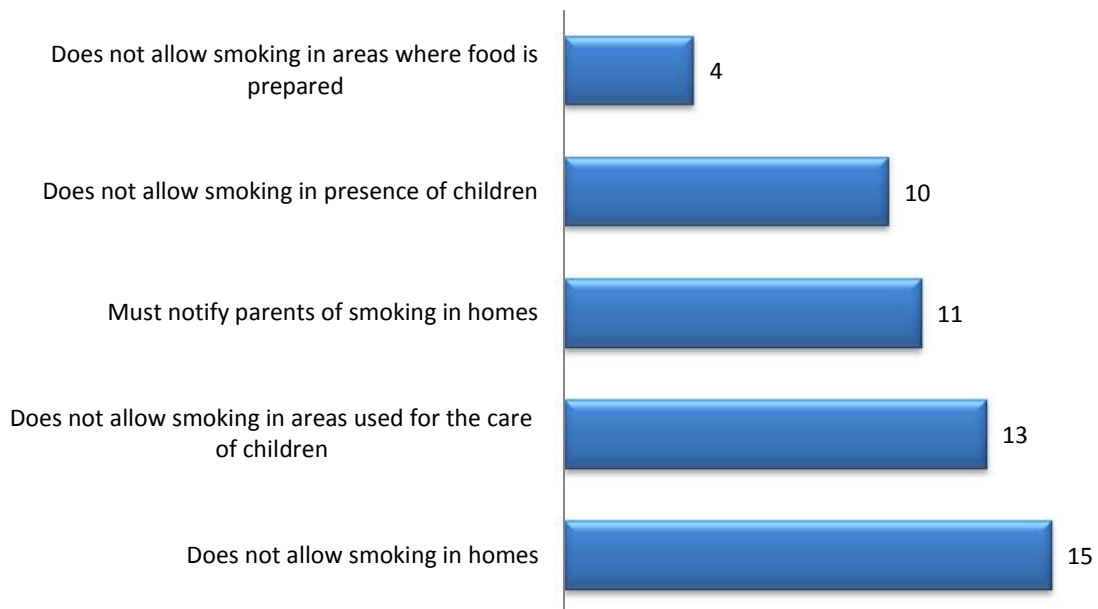
State:	Changing table and areas must be sanitized after each use	Requirement about discarding soiled diapers	Diapering area must be separate from food preparation area
TX	•	•	•
UT	•	•	•
VA	•	•	•
VT			
WA			
WI			
WV	•	•	
WY	•		•

Diapering Requirements (continued)

State:	Requirement that specifies when children's diaper must be changed	Staff changing diapers must wear gloves
Total:	19	5
AK		
AL	•	•
AR		
AZ	•	•
CA		
CO		
CT		
DC		
DE		
FL		
GA		
HI		
IA		
ID		
IL	•	
IN		
KS	•	
KY		
LA		
MA	•	
MD	•	
ME		
MI		
MN	•	
MO	•	
MS		•
MT	•	
NC		
ND	•	
NE	•	
NH	•	

State:	Requirement that specifies when children's diaper must be changed	Staff changing diapers must wear gloves
NJ		
NM	•	•
NV		
NY	•	
OH		
OK	•	
OR		
PA	•	
RI		•
SC		
SD		
TN	•	
TX		
UT	•	
VA	•	
VT		
WA		
WI		
WV		
WY		

Requirements Relating to Smoking



Requirements Relating to Smoking

State:	Does not allow smoking in homes	Does not allow smoking in areas used for the care of children	Does not allow smoking in presence of children
Total:	15	13	10
AK			
AL	•		
AR			
AZ		•	•
CA	•		
CO	•		
CT		•	
DC			
DE			
FL			
GA		•	
HI			•
IA			
ID			
IL			•
IN			
KS			•
KY			
LA			
MA			•
MD			
ME			
MI			
MN			
MO		•	
MS	•	•	
MT	•		
NC			
ND	•		
NE		•	
NH	•		•
NJ			
NM		•	•
NV	•		
NY	•	•	
OH	•		•
OK	•	•	•
OR	•		
PA		•	
RI	•	•	
SC		•	
SD			
TN			•

State:	Does not allow smoking in homes	Does not allow smoking in areas used for the care of children	Does not allow smoking in presence of children
TX	•		
UT			
VA		•	
VT			
WA			
WI			
WV	•		
WY			

Requirements Relating to Smoking (continued)

State:	Must notify parents of smoking in homes	Does not allow smoking in areas where food is prepared
Total:	11	4
AK		
AL		
AR		
AZ		
CA		
CO		
CT		
DC		
DE		
FL	•	
GA		
HI		
IA		
ID		
IL		
IN		
KS		
KY		
LA		
MA	•	
MD		
ME		
MI	•	
MN	•	
MO		•
MS		
MT		
NC		
ND		
NE	•	
NH		

State:	Must notify parents of smoking in homes	Does not allow smoking in areas where food is prepared
NJ		
NM		
NV	•	
NY		
OH	•	
OK	•	
OR		
PA		•
RI	•	
SC		•
SD		
TN	•	
TX		
UT		
VA		
VT		
WA		
WI		
WV	•	
WY		•

Storage of Hazardous Materials

State:	Requirements regarding accessibility of hazardous materials (e.g., cleaning products)	Must be kept out of children's reach
Total:	35	34
AK		
AL	•	•
AR		
AZ	•	•
CA	•	•
CO	•	•
CT	•	•
DC		
DE		
FL	•	•
GA	•	•
HI		
IA	•	•
ID		
IL	•	•
IN	•	•
KS	•	•
KY		
LA		
MA	•	•
MD	•	
ME		
MI	•	•
MN	•	•
MO	•	•
MS	•	•
MT	•	•
NC		
ND	•	•
NE	•	•
NH	•	•
NJ		
NM	•	•
NV		
NY	•	•
OH	•	•
OK	•	•
OR	•	•
PA	•	•
RI	•	•
SC	•	•
SD		

State:	Requirements regarding accessibility of hazardous materials (e.g., cleaning products)	Must be kept out of children's reach
TN	•	•
TX	•	•
UT	•	•
VA	•	•
VT		
WA		
WI		
WV	•	•
WY	•	•

Firearms

State:	Allows firearms in home, but must be locked in container or closet	Allows firearm in home, but ammunition must be separate	Requires homes to notify parents of the presence of firearms in facilities	Does not allow firearms in homes
Total:	31	22	3	2
AK				
AL	•	•		
AR				
AZ	•	•		
CA	•	•		
CO	•	•		
CT				•
DC				
DE	•	•		
FL	•			
GA				
HI				
IA				
ID				
IL	•	•		
IN	•			
KS	•	•		
KY				
LA				
MA	•	•	•	
MD	•	•		
ME				
MI	•	•	•	
MN	•	•		
MO	•			
MS	•			
MT	•	•		
NC				
ND	•	•		
NE	•	•		
NH	•			
NJ				
NM	•			
NV				
NY				
OH				•
OK	•	•		
OR	•	•		
PA	•	•	•	
RI	•	•		
SC	•			
SD				

State:	Allows firearms in home, but must be locked in container or closet	Allows firearm in home, but ammunition must be separate	Requires homes to notify parents of the presence of firearms in facilities	Does not allow firearms in homes
TN	•			
TX	•	•		
UT	•	•		
VA	•	•		
VT				
WA				
WI				
WV	•			
WY	•	•		

Animals Kept in the Home

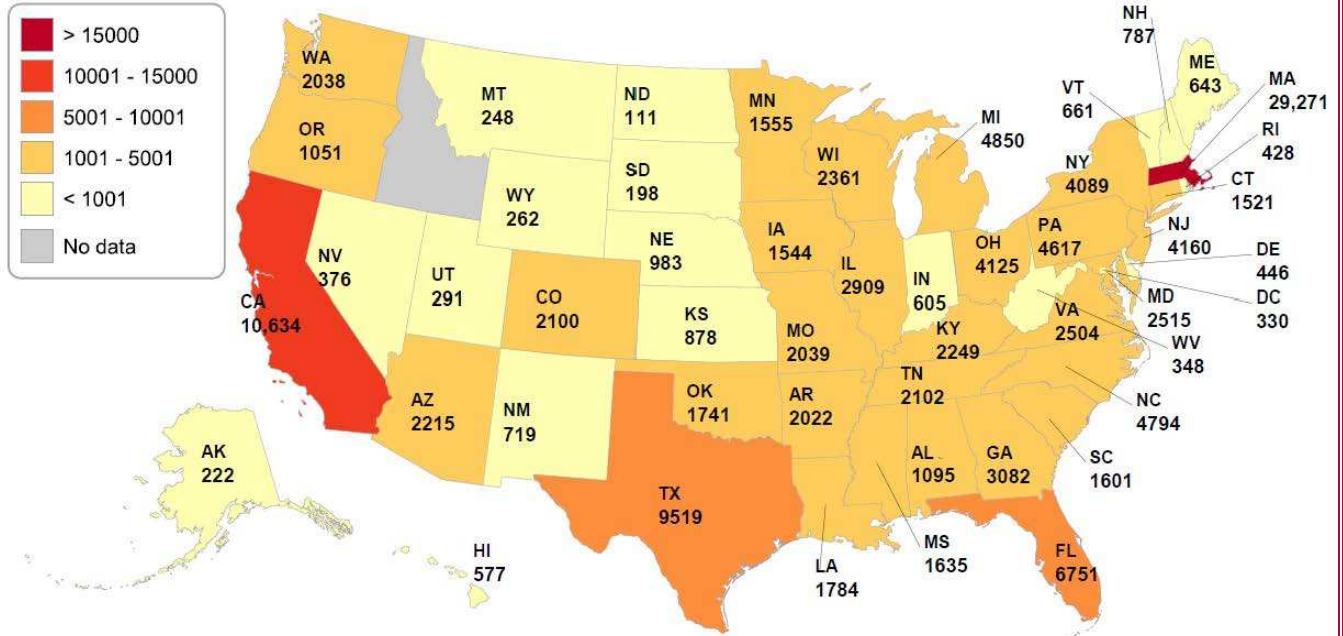
State:	Animals are allowed in homes	Requirement about the care and health of animal	Certain animals are prohibited	Provider must notify parents of the presence of animals
Total:	37	35	13	15
AK				
AL	•	•	•	•
AR				
AZ	•	•		
CA				
CO	•	•		
CT	•	•		
DC				
DE	•	•		
FL	•	•		•
GA	•	•	•	
HI	•	•		
IA	•	•		•
ID				
IL	•	•	•	
IN	•	•		
KS	•	•	•	•
KY				
LA				
MA	•	•		•
MD	•			•
ME				
MI	•			•
MN	•	•		•
MO	•	•		
MS	•	•		
MT	•	•		
NC				
ND	•	•	•	
NE	•	•	•	
NH	•	•	•	
NJ				
NM	•	•	•	•
NV	•	•	•	
NY	•	•		
OH	•	•		
OK	•	•	•	•
OR	•	•	•	•
PA	•	•		
RI	•	•		•
SC	•	•		
SD				
TN	•	•		

State:	Animals are allowed in homes	Requirement about the care and health of animal	Certain animals are prohibited	Provider must notify parents of the presence of animals
TX	•	•	•	•
UT	•	•		•
VA	•	•		
VT				
WA				
WI				
WV	•	•		•
WY	•	•	•	

Section 4: Child Care Centers

Part A: Survey Responses

Child Care Centers as of December 31, 2011

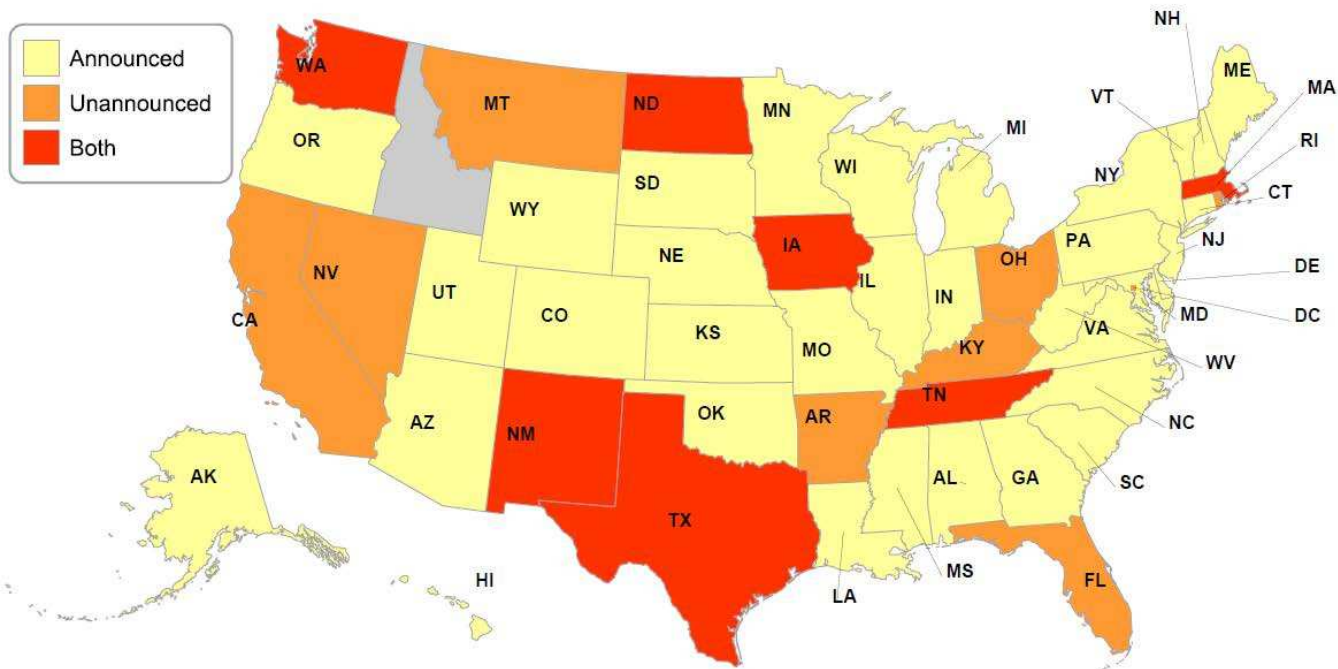


Question: Are inspections to assess compliance conducted of child care programs PRIOR TO ISSUING A LICENSE? Are the inspections conducted announced or unannounced?

Analysis: All states require an inspection before issuing a license to a child care center. Most inspections are announced.

State:	Inspections prior to issuing a license are conducted	Inspections are announced	Inspections are unannounced
Total:	50	41	16
AK	•	•	
AL	•	•	
AR	•		•
AZ	•	•	
CA	•		•
CO	•	•	
CT	•	•	
DC	•		•
DE	•	•	
FL	•		•
GA	•	•	
HI	•	•	
IA	•	•	•

State:	Inspections prior to issuing a license are conducted	Inspections are announced	Inspections are unannounced
ID			
IL	•	•	
IN	•	•	
KS	•	•	
KY	•		•
LA	•	•	
MA	•	•	•
MD	•	•	
ME	•	•	
MI	•	•	
MN	•	•	
MO	•	•	
MS	•	•	
MT	•		•
NC	•	•	
ND	•	•	•
NE	•	•	
NH	•	•	
NJ	•	•	
NM	•	•	•
NV	•		•
NY	•	•	
OH	•		•
OK	•	•	
OR	•	•	
PA	•	•	
RI	•		•
SC	•	•	
SD	•	•	
TN	•	•	•
TX	•	•	•
UT	•	•	
VA	•	•	
VT	•	•	
WA	•	•	•
WI	•	•	
WV	•	•	
WY	•	•	

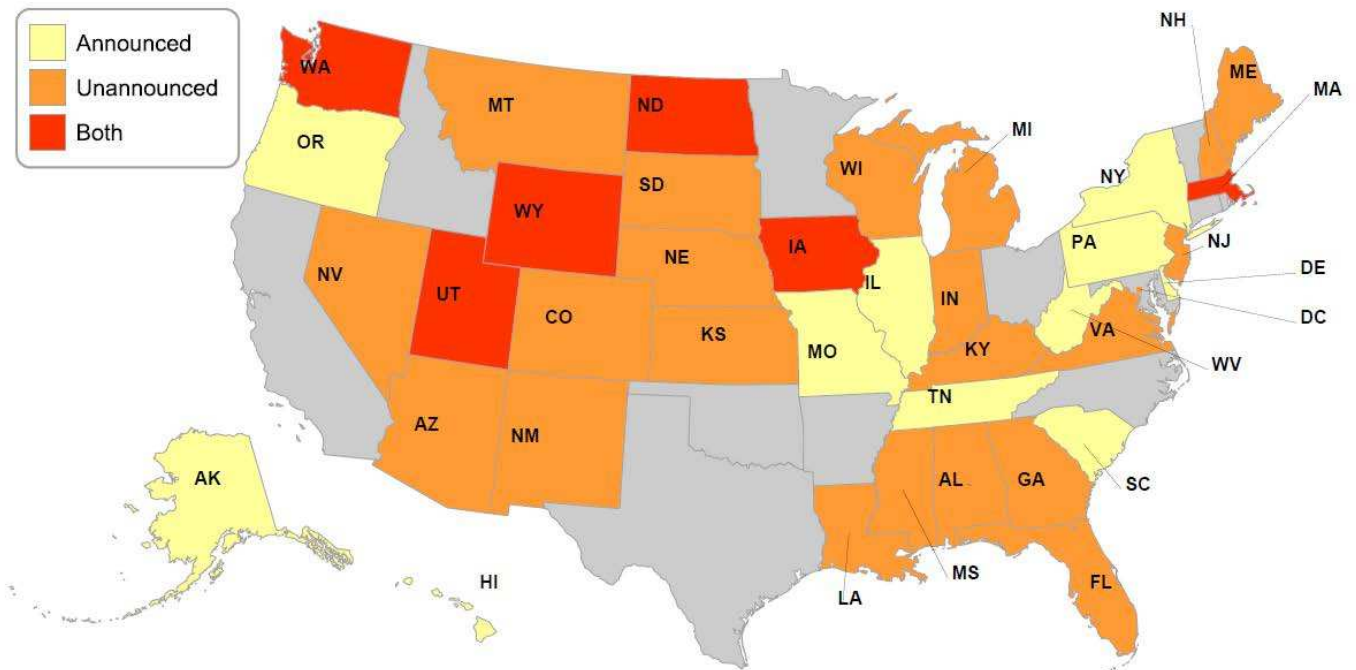


Question: Are inspections to assess compliance conducted of child care programs for LICENSE RENEWAL? Are inspections conducted announced or unannounced?

Analysis: Nearly every state requires licenses to be renewed.

State:	Licenses are renewed	Inspections for license renewal are conducted	Inspections are announced	Inspections are unannounced
Total:	40	37	17	28
AK	•	•	•	
AL	•	•		•
AR				
AZ	•	•		•
CA	•			
CO	•	•		•
CT				
DC	•	•		•
DE	•	•	•	
FL	•	•		•
GA	•	•		•
HI	•	•	•	
IA	•	•	•	•
ID				
IL	•	•	•	
IN	•	•		•
KS	•	•		•
KY	•	•		•
LA	•	•		•
MA	•	•	•	•
MD				
ME	•	•		•
MI	•	•		•
MN	•			
MO	•	•	•	
MS	•	•		•
MT	•	•		•
NC				
ND	•	•	•	•
NE		•		•
NH	•			•
NJ	•	•		•
NM	•	•		•
NV	•	•		•
NY	•	•	•	
OH				
OK				
OR	•	•	•	
PA	•	•	•	
RI	•			
SC	•	•	•	

State:	Licenses are renewed	Inspections for license renewal are conducted	Inspections are announced	Inspections are unannounced
SD		•		•
TN	•	•	•	
TX				
UT	•	•	•	•
VA	•	•		•
VT				
WA	•	•	•	•
WI	•			•
WV	•	•	•	
WY	•	•	•	•



Question: Are ROUTINE INSPECTIONS conducted on child care programs to review compliance with the regulations? Are inspections conducted announced or unannounced?

Analysis: Every state that requires licensure conducts unannounced renewal inspections in centers.

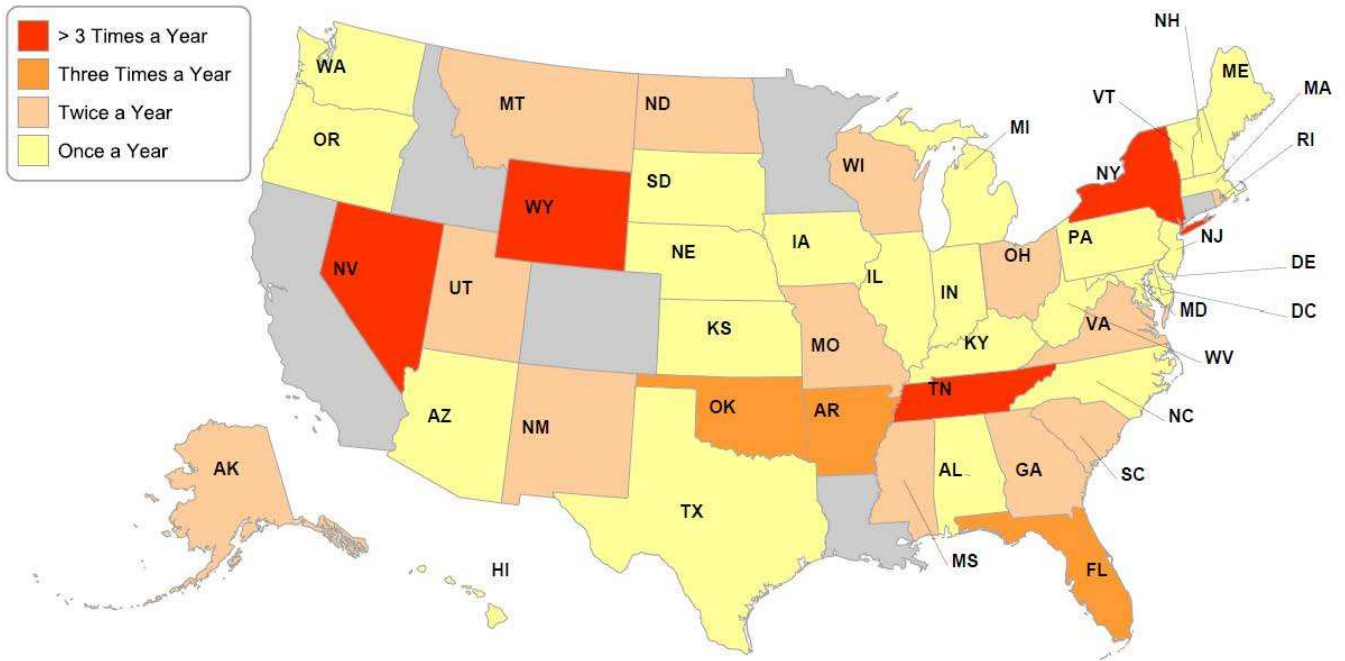
State:	Routine inspections for compliance are conducted	Inspections are announced	Inspections are unannounced
Total:	49	10	49
AK	•	•	•
AL	•		•
AR	•		•
AZ	•		•
CA	•		•
CO	•		•
CT	•		•
DC	•		•
DE	•	•	•
FL	•		•
GA	•		•
HI	•	•	•
IA	•	•	•
ID			
IL	•		•
IN	•		•
KS			
KY	•		•
LA	•		•
MA	•	•	•
MD	•		•
ME	•		•
MI	•		•
MN	•		•
MO	•		•
MS	•		•
MT	•		•
NC	•		•
ND	•	•	•
NE	•		•
NH	•		•
NJ	•		•
NM	•		•
NV	•		•
NY	•	•	•
OH	•		•
OK	•		•
OR	•	•	•
PA	•		•
RI	•		•
SC	•		•

Question: Once a license is issued, how often are licensing inspections conducted for each facility type?

Analysis: Most states conduct licensing inspections once a year.

State:	More than three times a year	Three times a year	Twice a year	Once a year	Once every two years	Once every three years	Less than once every three years
Total:	5	3	14	25	2	0	1
AK	•		•				
AL				•			
AR		•					
AZ				•			
CA							•
CO							
CT					•		
DC				•			
DE				•			
FL		•					
GA			•				
HI				•			
IA				•			
ID							
IL				•			
IN				•			
KS				•			
KY				•			
LA							
MA				•			
MD				•			
ME				•			
MI				•			
MN					•		
MO			•				
MS			•				
MT			•				
NC				•			
ND			•				
NE			•	•			
NH				•			
NJ				•			
NM			•				
NV	•						
NY	•						
OH			•				
OK		•					
OR				•			
PA				•			

State:	More than three times a year	Three times a year	Twice a year	Once a year	Once every two years	Once every three years	Less than once every three years
RI			•				
SC			•				
SD				•			
TN	•						
TX				•			
UT			•				
VA			•				
VT				•			
WA				•			
WI			•				
WV				•			
WY	•						

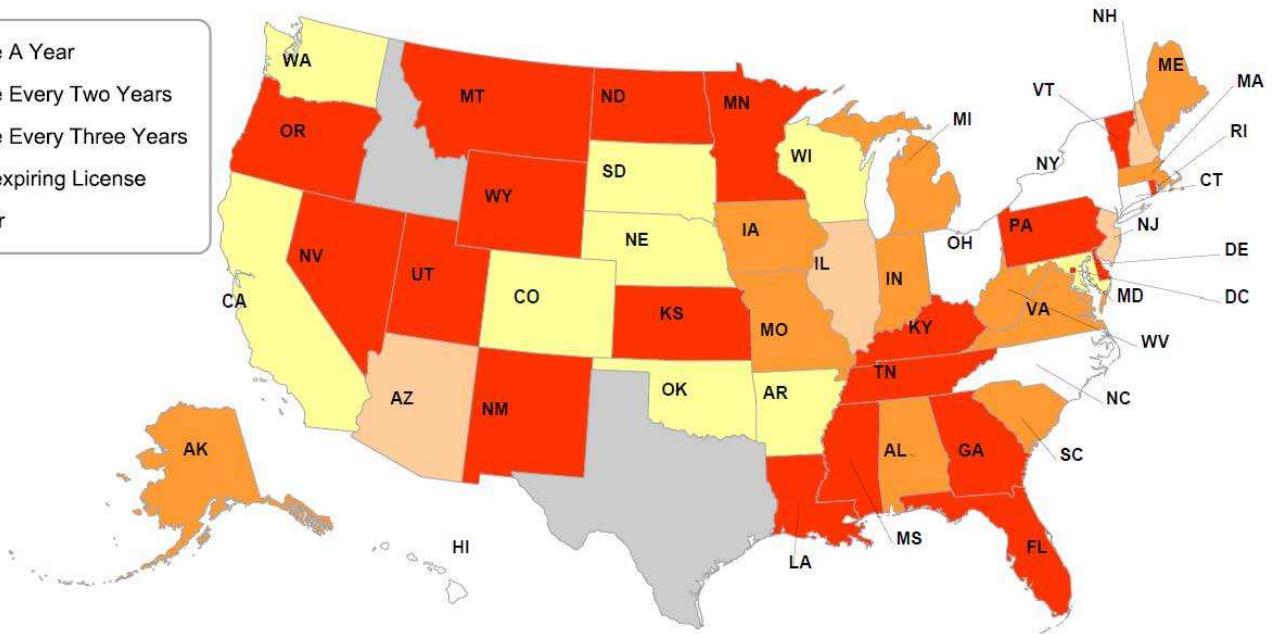


Question: After initial licensure, how often are licenses renewed?

Analysis: Most states renew licenses once a year.

State:	Once a year	Once every two years	Once every three years	Nonexpiring license	Other frequency
Total:	20	12	4	9	5
AK		•			
AL		•			
AR				•	
AZ			•		
CA				•	
CO				•	
CT					•
DC	•				
DE	•				
FL	•				
GA	•				
HI					•
IA		•			
ID					
IL			•		
IN		•			
KS	•				
KY	•				
LA	•				
MA		•			
MD				•	
ME		•			
MI		•			
MN	•				
MO		•			
MS	•				
MT	•				
NC					•
ND	•	•			
NE				•	
NH			•		
NJ			•		
NM	•				
NV	•				
NY					•
OH					•
OK				•	
OR	•				
PA	•				
RI	•				
SC		•			
SD				•	

State:	Once a year	Once every two years	Once every three years	Nonexpiring license	Other frequency
TN	•				
TX					
UT	•				
VA		•			
VT	•				
WA				•	
WI				•	
WV		•			
WY	•				

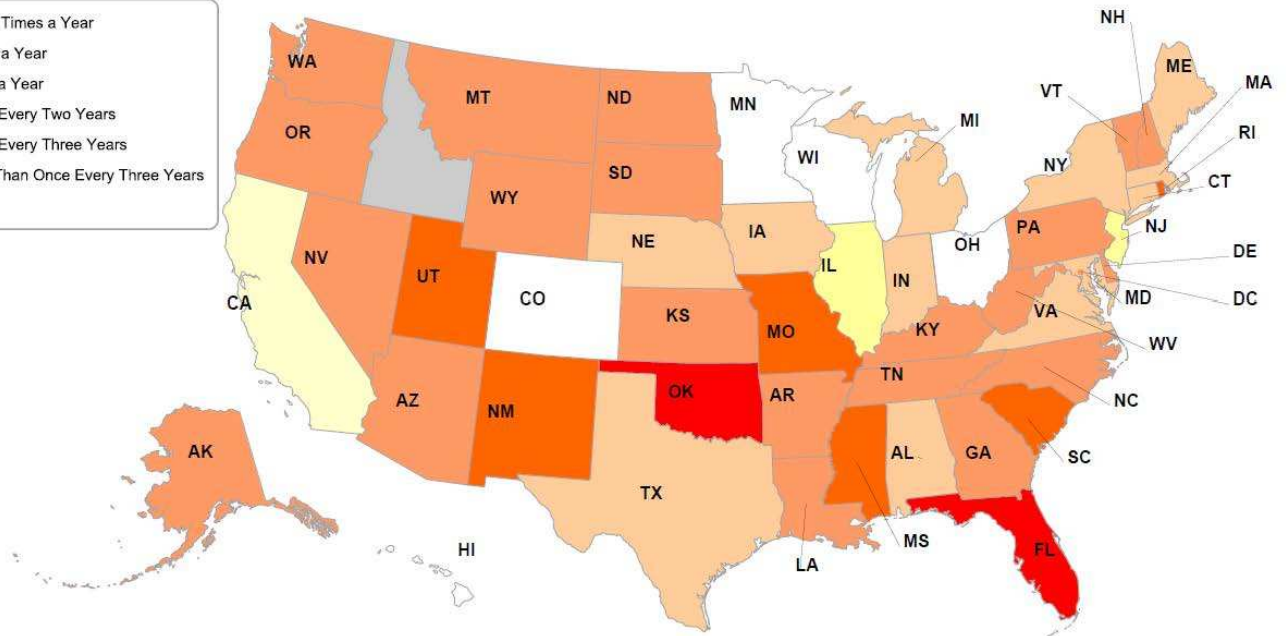


Question: How often does the state conduct a FULL COMPLIANCE REVIEW of child care facilities?

Analysis: Full compliance reviews are usually conducted once a year.

State:	Three times a year	Twice a year	Once a year	Once every two years	Once every three years	Less than once every three years	Other frequency
Total:	2	7	23	12	2	1	7
AK			•				
AL				•			
AR			•				
AZ			•				
CA						•	
CO							•
CT				•			
DC			•				
DE			•				
FL	•						
GA			•				
HI							•
IA				•			
ID							
IL					•		
IN				•			
KS			•				
KY			•				
LA			•				
MA				•			
MD				•			
ME				•			
MI				•			
MN							•
MO		•					
MS		•					
MT			•				
NC			•				
ND			•				
NE			•	•			
NH			•				
NJ					•		
NM		•					
NV			•				
NY				•			•
OH		•					•
OK	•						
OR			•				
PA			•				
RI		•					
SC		•					

State:	Three times a year	Twice a year	Once a year	Once every two years	Once every three years	Less than once every three years	Other frequency
SD			•				
TN			•				
TX				•			
UT		•					
VA				•			
VT			•				
WA			•				•
WI							•
WV			•				
WY			•				



Question: Does the state have policies on determining when to switch from an abbreviated compliance review to a full compliance review? Does the state have a system of weighted licensing requirements where rules are ranked for relative risk of harm? Does the state have a system of differential monitoring based on compliance records for each type of child care facility?

Analysis: More than half of all states use some type of differential monitoring.

State:	State has policies on when to switch type of review	State has system of weighted licensing requirements where rules are ranked for relative risk of harm	Differential monitoring used
Total:	27	13	26
AK	•		•
AL			•
AR	•		•
AZ	•		
CA	•	•	•
CO		•	•
CT			
DC			
DE			•
FL	•	•	•
GA		•	
HI	•		•
IA	•		
ID			
IL	•		
IN			
KS			•
KY			
LA	•		
MA	•		•
MD	•		
ME	•	•	
MI	•		
MN	•		
MO			
MS			
MT	•		
NC	•	•	•
ND			•
NE			
NH			
NJ	•		
NM			
NV	•		•
NY	•		•
OH	•	•	•
OK		•	•
OR	•	•	

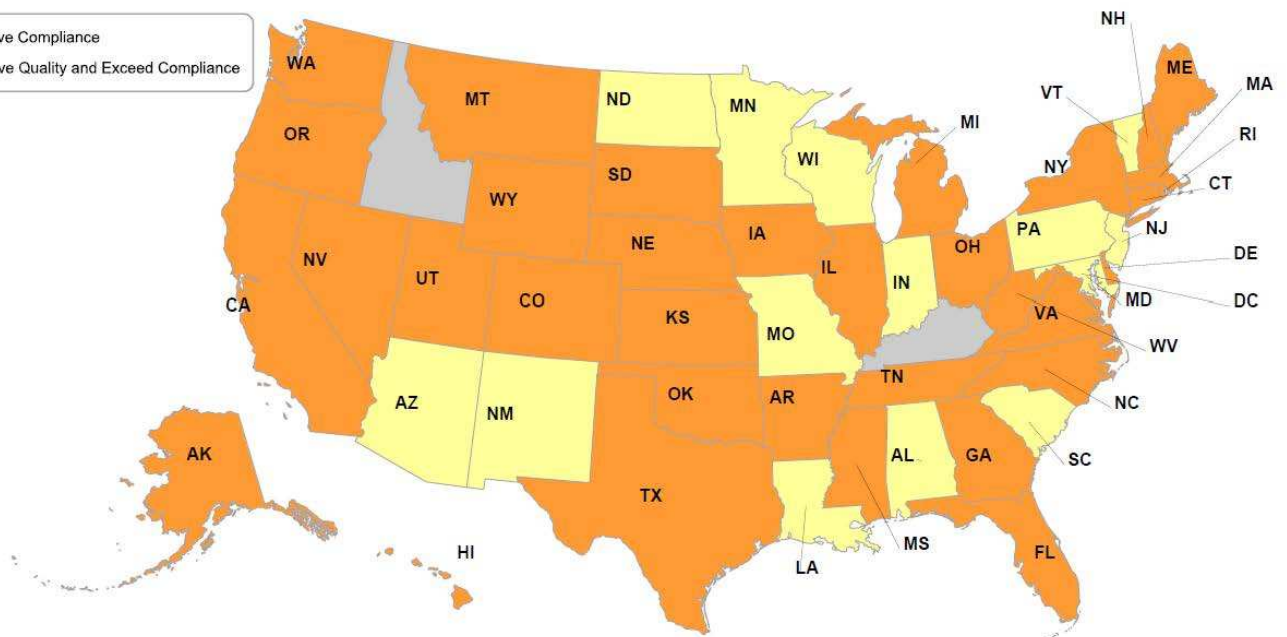
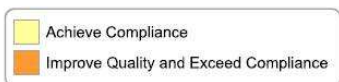
State:	State has policies on when to switch type of review	State has system of weighted licensing requirements where rules are ranked for relative risk of harm	Differential monitoring used
PA	•		•
RI	•	•	•
SC			•
SD			
TN	•		•
TX		•	•
UT	•	•	•
VA		•	•
VT			
WA	•		•
WI	•		•
WV			
WY			•

Question: Do child care licensors provide technical assistance (TA), e.g., guidance, resources, training, and/or consultation to licensees? When do licensors provide technical assistance (TA) and/or consultation? Do licensors ever refer licensees to other agencies, organizations, or people for TA and/or consultation?

Analysis: Technical assistance is frequently provided in a variety of ways.

State:	Licensors provide TA to licensees to help them achieve compliance with the regulations	Licensors provide TA/consultation to licensees to help them improve quality and exceed minimum licensing regulations	During application inspection visits	During routine inspection visits	During renewal inspection visits	On the telephone	Referrals made to other agencies, organizations, or people
Total:	49	34	49	48	39	49	50
AK	•	•	•	•	•	•	•
AL	•		•	•	•	•	•
AR	•	•	•	•		•	•
AZ	•		•	•	•	•	•
CA	•	•	•	•		•	•
CO	•	•	•	•	•	•	•
CT	•	•	•	•		•	•
DC	•		•	•	•	•	•
DE	•	•	•	•	•	•	•
FL	•	•	•	•	•	•	•
GA	•	•	•	•	•	•	•
HI	•	•	•	•	•	•	•
IA	•	•	•	•	•	•	•
ID							
IL	•	•	•	•	•	•	•
IN	•		•	•	•	•	•
KS	•	•	•		•	•	•
KY							•
LA	•		•	•	•	•	•
MA	•	•	•	•	•	•	•
MD	•		•	•		•	•
ME	•	•	•	•	•	•	•
MI	•	•	•	•	•	•	•
MN	•		•	•	•	•	•
MO	•		•	•	•	•	•
MS	•	•	•	•	•	•	•
MT	•	•	•	•	•	•	•
NC	•	•	•	•	•	•	•
ND	•		•	•	•	•	•
NE	•	•	•	•	•	•	•
NH	•	•	•	•	•	•	•
NJ	•		•	•	•	•	•

State:	Licensors provide TA to licensees to help them achieve compliance with the regulations	Licensors provide TA/consultation to licensees to help them improve quality and exceed minimum licensing regulations	During application inspection visits	During routine inspection visits	During renewal inspection visits	On the telephone	Referrals made to other agencies, organizations, or people
NM	•		•	•	•	•	•
NV	•	•	•	•	•	•	•
NY	•	•	•	•	•	•	•
OH	•	•	•	•		•	•
OK	•	•	•	•		•	•
OR	•	•	•	•	•	•	•
PA	•		•	•	•	•	•
RI	•	•	•	•		•	•
SC	•		•	•	•	•	•
SD	•	•	•	•	•	•	•
TN	•	•	•	•	•	•	•
TX	•	•	•	•		•	•
UT	•	•	•	•	•	•	•
VA	•	•	•	•	•		•
VT	•		•	•		•	•
WA	•	•	•	•	•	•	•
WI	•		•	•		•	•
WV	•	•	•	•	•	•	•
WY	•	•	•	•	•	•	•

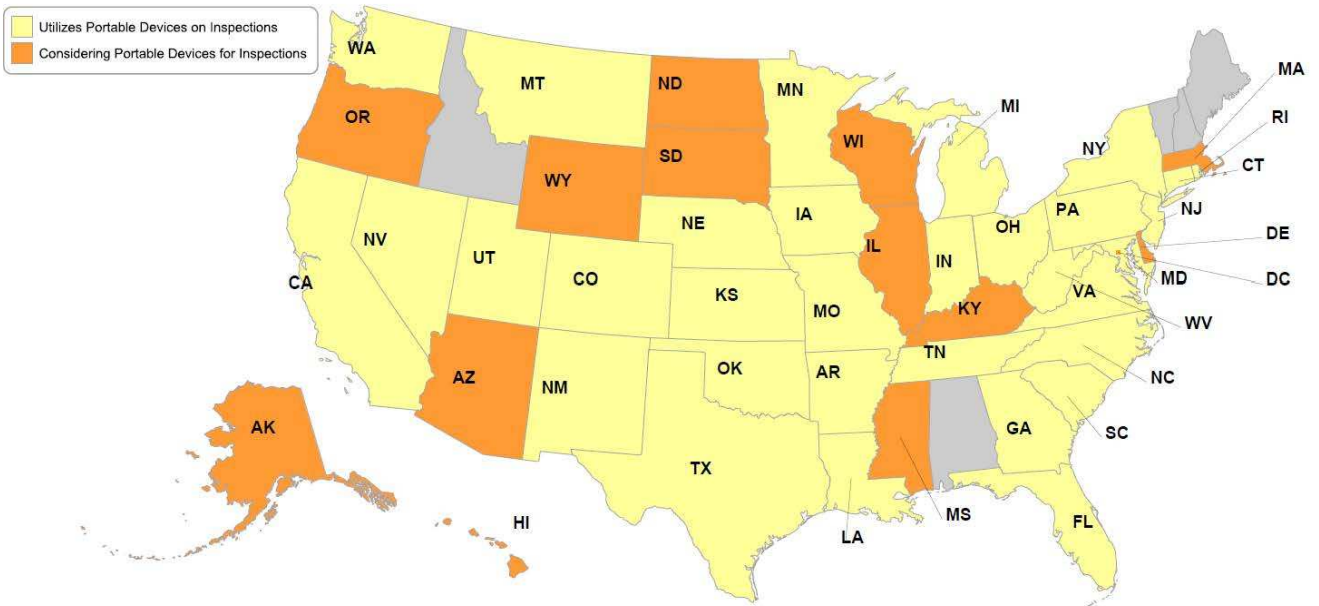


Question: Is your state using, or considering using, portable, handheld devices such as "smart" phones, laptops, portable digital assistants, and/or computer tablets with specific software for capturing information during licensing inspections? Is the state using, or considering using, any other tools/devices (such as laser measuring devices) for inspections and monitoring?

Analysis: More than half of the states use portable devices, and many states are considering using technology during the inspection process.

State:	Portable devices used for inspections	State is considering the use of portable devices for inspections	State is using, or considering using, any other tools/devices (such as laser measuring devices) for inspections and monitoring
Total:	32	17	28
AK		•	
AL			
AR	•		•
AZ		•	•
CA	•	•	
CO	•		•
CT	•		•
DC		•	•
DE		•	•
FL	•		•
GA	•		
HI		•	•
IA	•		
ID			
IL		•	•
IN	•		•
KS	•		•
KY		•	
LA	•		•
MA		•	
MD	•		•
ME			
MI	•		
MN	•	•	
MO	•		
MS		•	•
MT	•		
NC	•		
ND		•	•
NE	•		•
NH			
NJ	•		
NM	•		•
NV	•		
NY	•		•
OH	•		•

State:	Portable devices used for inspections	State is considering the use of portable devices for inspections	State is using, or considering using, any other tools/devices (such as laser measuring devices) for inspections and monitoring
OK	•	•	
OR		•	•
PA	•		
RI	•		•
SC	•		•
SD		•	
TN	•		•
TX	•		•
UT	•		•
VA	•		•
VT			
WA	•		•
WI		•	
WV	•		•
WY		•	



Question: Does the state licensing agency conduct investigations on licensing complaints? If the licensing agency conducts complaint investigations, what staff are used to conduct the investigations? Does the state investigate licensing complaints filed anonymously?

Analysis: In most states, the licensing agency conducts complaint investigations. The investigations are usually performed by the same staff who inspect for routine compliance.

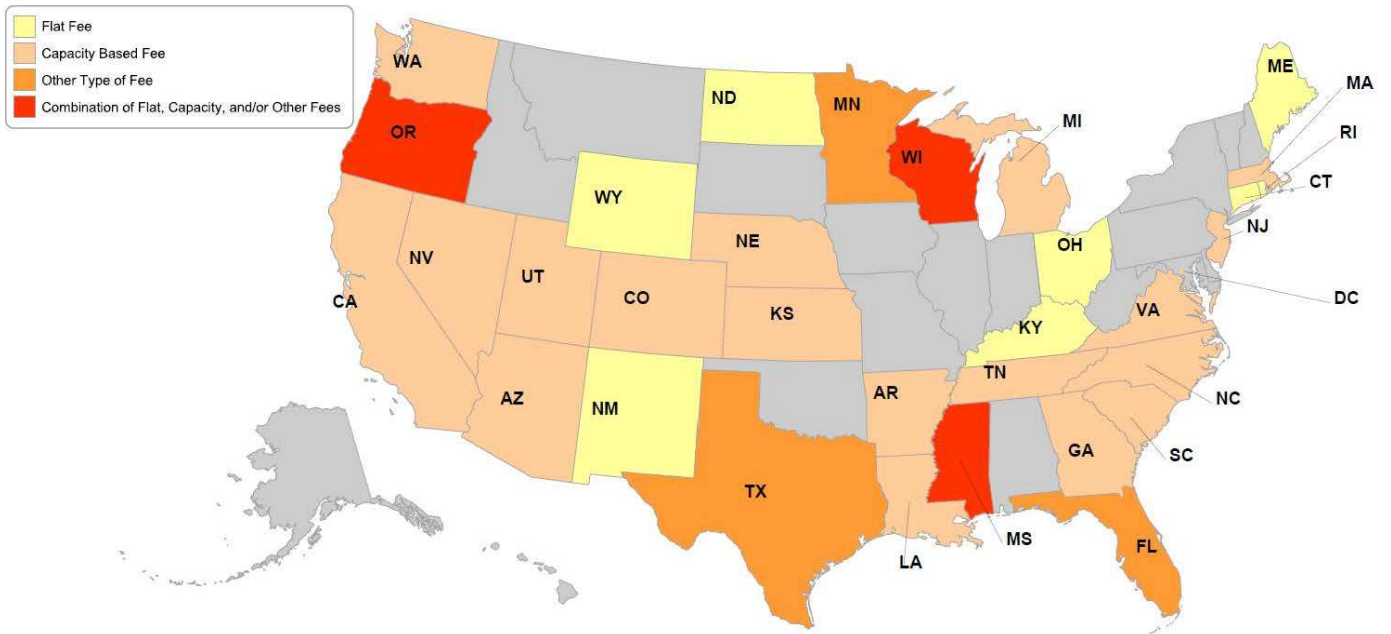
State:	Licensing agency conducts complaint investigations	Same staff who conduct inspections for initial licensure and routine compliance	Separate staff who only work on complaint investigations	Staff from another agency	Other	State investigates anonymous complaints
Total:	50	47	12	2	6	48
AK	•	•				•
AL	•	•				•
AR	•	•				•
AZ	•	•				•
CA	•	•	•		•	•
CO	•	•				
CT	•		•			•
DC	•	•				•
DE	•	•	•			•
FL	•	•	•			•
GA	•	•	•			•
HI	•	•			•	•
IA	•	•				•
ID						
IL	•	•				•
IN	•	•				•
KS	•	•				•
KY	•	•				•
LA	•	•				•
MA	•	•	•			•
MD	•	•				•
ME	•	•			•	•
MI	•	•				•
MN	•	•				•
MO	•	•		•		•
MS	•	•	•			•
MT	•	•			•	•
NC	•	•	•			•
ND	•	•				•
NE	•	•		•		•
NH	•	•				•
NJ	•	•				•
NM	•	•				•
NV	•	•				•
NY	•				•	•
OH	•	•				•

Question: Does the state charge a fee for a license? If fees are charged, how is the fee assessed? Are the revenues collected from licensing fees used to support the licensing agency?

Analysis: Slightly more than half of the states charge a fee for licensure.

State:	Fee charged for license	Flat fee amount for all facilities	Fee is based on the maximum number of children allowed in the facility	Other Fee	Yes, revenues from fees are used to support the licensing agency	No, revenues from fees are put into the state's general fund	Other Use
Total:	33	10	22	4	18	13	8
AK							
AL							
AR	•		•				•
AZ	•		•		•		
CA	•		•		•		
CO	•		•		•		
CT	•	•				•	
DC	•		•		•		
DE							
FL	•			•	•		
GA	•		•			•	
HI							
IA					•		
ID							
IL							
IN					•		
KS	•		•				•
KY	•	•			•		
LA	•		•			•	
MA	•		•			•	
MD							
ME	•	•				•	
MI	•		•		•		
MN	•			•	•		•
MO							
MS	•		•	•	•		
MT							
NC	•		•		•		
ND	•	•			•		
NE	•		•		•		
NH							
NJ	•		•			•	•
NM	•	•				•	
NV	•		•		•		
NY							
OH	•	•				•	
OK							
OR	•	•	•		•		•

State:	Fee charged for license	Flat fee amount for all facilities	Fee is based on the maximum number of children allowed in the facility	Other Fee	Yes, revenues from fees are used to support the licensing agency	No, revenues from fees are put into the state's general fund	Other Use
PA							•
RI	•	•				•	
SC	•		•		•		
SD							
TN	•		•				•
TX	•			•		•	
UT	•		•			•	
VA	•		•				•
VT							
WA	•		•			•	
WI	•	•	•		•		
WV							
WY	•	•				•	



Question: Are inspections for fire safety required for child care facilities? If yes, what is the frequency of fire safety inspections?

Analysis: Fire inspections are required in all states.

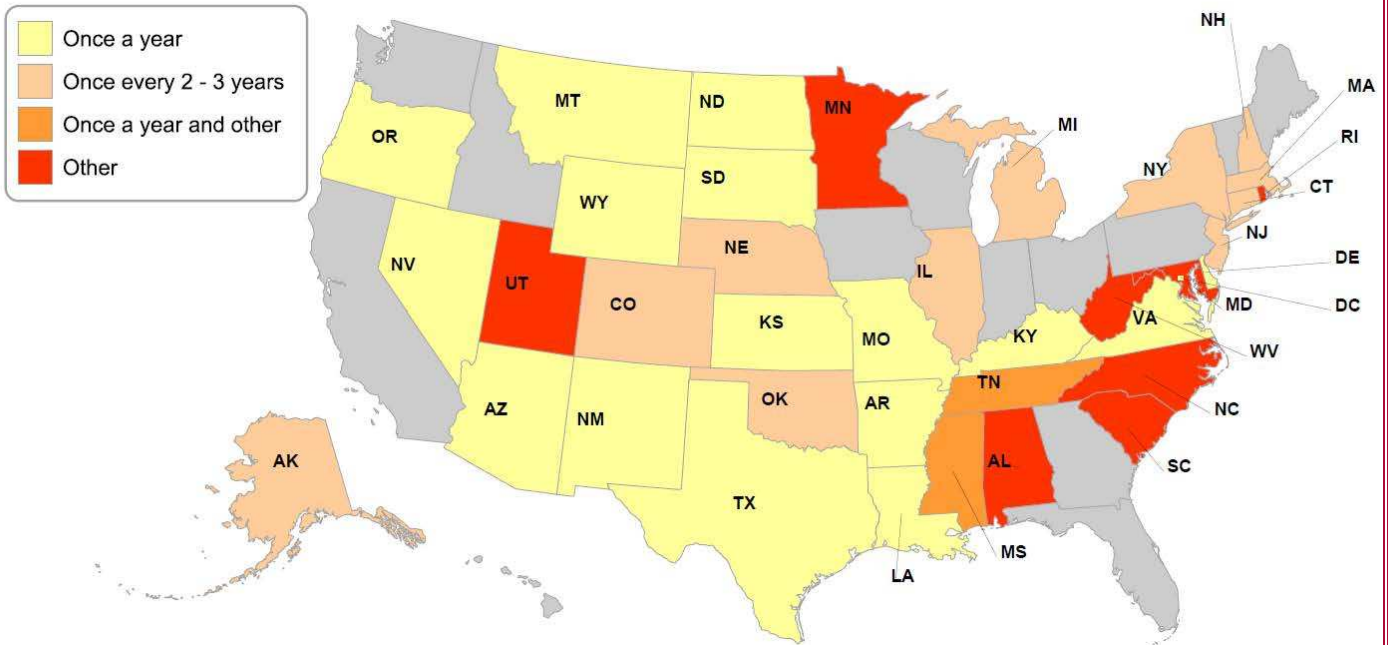
State:	Fire inspections required	Once a year	Once every two years	Once every three years	Less than once every three years	Other frequency
Total:	50	28	11	1	0	13
AK	•	•	•			
AL	•					•
AR	•	•				
AZ	•	•				•
CA	•					•
CO	•		•			
CT	•					
DC	•					
DE	•	•				
FL	•					
GA	•	•				
HI	•	•	•			
IA	•	•	•			
ID						
IL	•					
IN	•	•				
KS	•					
KY	•					
LA	•	•				
MA	•		•			
MD	•	•	•			
ME	•		•			
MI	•					•
MN	•					•
MO	•	•				
MS	•	•				•
MT	•					
NC	•	•				
ND	•					
NE	•		•			
NH	•	•		•		
NJ	•	•				
NM	•					
NV	•	•				
NY	•	•				•
OH	•	•				
OK	•	•	•			
OR	•	•				
PA	•					•
RI	•	•				

Question: Are environmental health inspections required for child care facilities? If yes, what is the frequency of environmental health inspections?

Analysis: Most states require environmental health inspections.

State:	Health inspections required	Once a year	Once every two years	Once every three years	Less than once every three years	Other frequency
Total:	39	19	8	3	0	10
AK	•		•			
AL	•					•
AR	•	•				
AZ	•	•				
CA	•					
CO	•		•			
CT	•		•			
DC	•	•				
DE		•				
FL						
GA						
HI						
IA						
ID						
IL	•			•		
IN						
KS	•	•				
KY	•	•				
LA	•	•				
MA	•		•			
MD	•					•
ME						
MI	•		•			
MN	•					•
MO	•	•				
MS	•	•				•
MT	•	•				
NC	•					•
ND	•	•				
NE	•		•			
NH	•			•		
NJ	•			•		
NM	•	•				
NV	•	•				
NY	•		•			
OH	•					
OK	•		•			
OR	•	•				
PA						
RI	•					•

State:	Health inspections required	Once a year	Once every two years	Once every three years	Less than once every three years	Other frequency
SC	•					•
SD	•	•				
TN	•	•				•
TX	•	•				
UT	•					•
VA	•	•				
VT						
WA						
WI						
WV	•					•
WY	•	•				



Question: Does the state require background checks for family child care providers?

Analysis: Nearly every state requires background checks.

State:	Background checks required	CRIMINAL HISTORY RECORD (CHR) CHECKS ARE REQUIRED	State CHR required	Federal CHR required
Total:	49	47	47	33
AK	•	•	•	•
AL	•	•	•	•
AR	•	•	•	•
AZ	•	•	•	•
CA	•	•	•	•
CO	•	•	•	•
CT	•	•	•	•
DC	•	•		
DE	•	•	•	•
FL	•	•	•	•
GA	•	•	•	•
HI	•	•	•	•
IA	•	•	•	
ID				
IL	•	•	•	•
IN	•	•	•	•
KS	•	•	•	
KY	•	•	•	
LA	•	•	•	
MA	•	•	•	
MD	•	•	•	•
ME	•	•	•	
MI	•	•	•	•
MN	•		•	
MO	•	•	•	
MS	•	•	•	•
MT	•	•	•	
NC	•	•	•	•
ND	•	•	•	•
NE				
NH	•	•	•	•
NJ	•	•	•	•
NM	•	•	•	•
NV	•	•	•	•
NY	•	•	•	
OH	•	•	•	•
OK	•	•	•	
OR	•	•	•	•
PA	•	•	•	•
RI	•	•	•	•
SC	•	•	•	•

State:	Background checks required	CRIMINAL HISTORY RECORD (CHR) CHECKS ARE REQUIRED	State CHR required	Federal CHR required
SD	•	•	•	•
TN	•	•	•	•
TX	•	•	•	•
UT	•	•	•	•
VA	•	•	•	
VT	•	•	•	
WA	•	•	•	•
WI	•	•	•	
WV	•	•	•	•
WY	•			

Question: Are criminal history record checks required?

Analysis: Nearly every state requires criminal history checks.

State:	CHR required for all staff in facility	CHR required only for staff having contact with children	CHR required for volunteers	CHR required for household members (for FCC homes)	CHR required under certain conditions (e.g., those who have lived in state for a certain period of time)
Total:	41	11	38	18	6
AK	•	•	•	•	
AL	•		•	•	
AR	•		•		
AZ	•		•		
CA		•	•	•	
CO	•		•		•
CT	•		•		
DC	•				
DE	•		•	•	
FL	•		•	•	
GA	•				
HI	•	•	•		
IA	•		•		
ID					
IL	•			•	•
IN	•		•		
KS	•		•	•	
KY		•	•	•	
LA	•		•		
MA	•		•		
MD	•				
ME		•	•		
MI	•				
MN		•			
MO	•		•		
MS	•		•	•	
MT	•		•		•
NC	•				
ND	•	•	•	•	•
NE					
NH		•	•		
NJ	•		•		
NM	•		•		
NV	•	•	•	•	•
NY	•		•		
OH	•		•	•	
OK	•		•		
OR	•		•		
PA		•			
RI	•		•		

State:	CHR required for all staff in facility	CHR required only for staff having contact with children	CHR required for volunteers	CHR required for household members (for FCC homes)	CHR required under certain conditions (e.g., those who have lived in state for a certain period of time)
SC	•				
SD	•		•	•	
TN	•		•	•	
TX	•		•		
UT	•		•	•	
VA		•	•		
VT	•		•	•	
WA	•		•	•	
WI	•			•	
WV	•		•		
WY					•

Question: Are fingerprint checks required?

Analysis: More than half of all states require fingerprint checks.

State:	FINGERPRINT CHECK (FR) REQUIRED	State FR required	Federal FR required	FR required for all staff in facility	FR required only for staff having contact with children
Total:	33	26	32	25	7
AK	•	•	•	•	•
AL	•	•	•	•	
AR			•		
AZ	•	•	•	•	
CA	•	•	•		•
CO	•	•	•	•	
CT	•	•	•	•	
DC	•			•	
DE	•	•	•	•	
FL	•		•	•	
GA	•	•	•		
HI	•	•	•	•	•
IA	•		•	•	
ID					
IL	•	•	•	•	
IN	•		•		
KS					
KY					
LA					
MA					
MD	•	•	•	•	
ME					
MI	•	•	•		
MN					
MO					
MS	•	•	•	•	
MT					
NC	•	•	•	•	
ND	•	•	•		
NE					
NH	•		•		•
NJ	•	•	•	•	
NM	•	•	•	•	
NV	•	•	•	•	•
NY	•	•		•	
OH	•	•	•	•	
OK					
OR					
PA	•		•		•
RI	•		•		•

State:	FINGERPRINT CHECK (FR) REQUIRED	State FR required	Federal FR required	FR required for all staff in facility	FR required only for staff having contact with children
SC	•	•	•	•	
SD	•	•	•	•	
TN	•	•	•	•	
TX	•	•	•	•	
UT					
VA					
VT					
WA	•	•	•	•	
WI					
WV	•	•	•	•	
WY					

State:	FR required for volunteers	FR required under certain conditions (e.g., those who have lived in state for a certain period of time)	Electronic fingerprint imaging (LIVE SCAN) required	FBI check required
Total:	21	10	15	20
AK	•			•
AL	•			
AR		•		
AZ	•			
CA	•		•	
CO	•		•	•
CT	•			•
DC				
DE	•			•
FL	•		•	•
GA			•	
HI	•			
IA	•			
ID				
IL		•	•	•
IN			•	•
KS				
KY				
LA				
MA				
MD				
ME				
MI			•	•
MN		•		
MO				
MS	•			•
MT		•		

State:	FR required for volunteers	FR required under certain conditions (e.g., those who have lived in state for a certain period of time)	Electronic fingerprint imaging (LIVE SCAN) required	FBI check required
NC				
ND		•		•
NE				
NH	•			•
NJ	•		•	
NM	•			•
NV	•	•		
NY	•		•	
OH			•	•
OK				
OR		•		
PA			•	•
RI				•
SC			•	•
SD	•			
TN	•		•	•
TX	•		•	•
UT		•		
VA				
VT				
WA	•		•	•
WI		•		
WV	•			•
WY		•		

Question: Are child abuse and neglect registry checks required?

Analysis: Nearly all states require child abuse registry checks.

State:	CHILD ABUSE AND NEGLECT REGISTRY CHECK (CAN) REQUIRED	CAN required for all staff in facility	CAN required only for staff having contact with children	CAN required for volunteers	CAN required under certain conditions (e.g., those who have lived in state for a certain period of time)
Total:	44	34	11	36	1
AK	•	•	•	•	
AL	•	•		•	
AR	•	•		•	
AZ					
CA	•		•	•	
CO	•	•		•	
CT	•	•		•	
DC	•				
DE	•	•		•	
FL	•				
GA					
HI	•	•	•	•	
IA	•	•		•	
ID					
IL	•	•		•	
IN	•	•		•	
KS	•	•		•	
KY	•		•	•	
LA					
MA	•	•		•	
MD	•	•			
ME	•		•	•	
MI	•		•	•	
MN					
MO	•	•		•	
MS	•	•		•	
MT	•	•		•	
NC					
ND	•	•	•	•	
NE	•	•		•	
NH	•	•	•	•	
NJ	•	•		•	
NM	•	•		•	
NV	•	•	•	•	•
NY	•	•		•	
OH					
OK	•				
OR	•	•		•	
PA	•		•		
RI	•	•		•	

State:	CHILD ABUSE AND NEGLECT REGISTRY CHECK (CAN) REQUIRED	CAN required for all staff in facility	CAN required only for staff having contact with children	CAN required for volunteers	CAN required under certain conditions (e.g., those who have lived in state for a certain period of time)
SC	•	•		•	
SD	•	•		•	
TN	•	•		•	
TX	•	•		•	
UT	•				
VA	•		•	•	
VT	•	•		•	
WA	•	•		•	
WI	•	•			
WV	•	•		•	
WY	•	•			

Question: Are sex offender registry checks required?

Analysis: About half of all states require sex offender registry checks.

State:	SEX OFFENDER REGISTRY CHECK (SOR) REQUIRED	SOR required for all staff in facility	SOR required only for staff having contact with children	SOR required for volunteers	SOR required under certain conditions (e.g., those who have lived in state for a certain period of time)
Total:	24	18	9	18	1
AK	•	•	•	•	
AL					
AR					
AZ					
CA	•		•	•	
CO	•	•			
CT					
DC					
DE					
FL	•				
GA	•	•			
HI	•	•	•	•	
IA	•	•		•	
ID					
IL	•	•	•	•	
IN	•	•		•	
KS					
KY	•		•	•	
LA					
MA	•	•			
MD					
ME	•		•	•	
MI	•				
MN					
MO	•	•		•	
MS	•	•		•	
MT					
NC					
ND	•	•	•	•	
NE					
NH	•		•	•	
NJ					
NM					
NV					
NY					
OH					
OK	•	•	•	•	
OR		•		•	
PA					
RI					

State:	SEX OFFENDER REGISTRY CHECK (SOR) REQUIRED	SOR required for all staff in facility	SOR required only for staff having contact with children	SOR required for volunteers	SOR required under certain conditions (e.g., those who have lived in state for a certain period of time)
SC	•	•		•	
SD	•	•		•	
TN	•	•		•	
TX					
UT					
VA					
VT					
WA	•	•		•	
WI	•	•			
WV					
WY	•				•

Question: Are facility staff required to sign a statement about criminal status?

Analysis: Signed statements about criminal status are usually required prior to working in a center.

State:	SIGNED STATEMENT ABOUT CRIMINAL STATUS	Prior to licensure/working in a child care facility	Annually	Every two years	Every three years
Total:	40	46	8	7	6
AK	•	•			
AL	•	•			
AR	•	•		•	
AZ	•	•			
CA	•	•			
CO	•	•			
CT	•	•			
DC		•			
DE	•	•			
FL	•	•			
GA	•	•			
HI	•	•	•		
IA	•	•		•	
ID					
IL	•	•			
IN	•	•	•		
KS	•	•			•
KY		•			
LA		•			
MA	•	•		•	
MD		•			
ME	•	•			
MI		•		•	
MN		•			
MO		•		•	
MS					
MT		•	•		
NC	•	•			•
ND	•	•	•		
NE	•				
NH		•			•
NJ	•	•			•
NM	•	•			
NV	•				
NY	•	•			
OH	•	•			
OK	•	•	•		
OR	•	•			
PA	•	•			
RI	•	•			

State:	SIGNED STATEMENT ABOUT CRIMINAL STATUS	Prior to licensure/working in a child care facility	Annually	Every two years	Every three years
SC	•	•		•	
SD	•	•			
TN	•	•			
TX	•	•		•	
UT	•	•	•		
VA	•	•			•
VT	•		•		
WA	•	•			•
WI	•	•	•		
WV	•	•			
WY	•	•			

State:	Every four years	Every five years	Less than every five years	Other frequency
Total:	1	3	0	10
AK				•
AL				
AR				
AZ				•
CA				•
CO				•
CT				
DC				
DE				
FL		•		
GA				
HI				
IA				
ID				
IL				
IN				
KS				
KY				
LA				
MA				
MD				
ME				
MI				
MN				
MO				
MS		•		
MT				
NC				

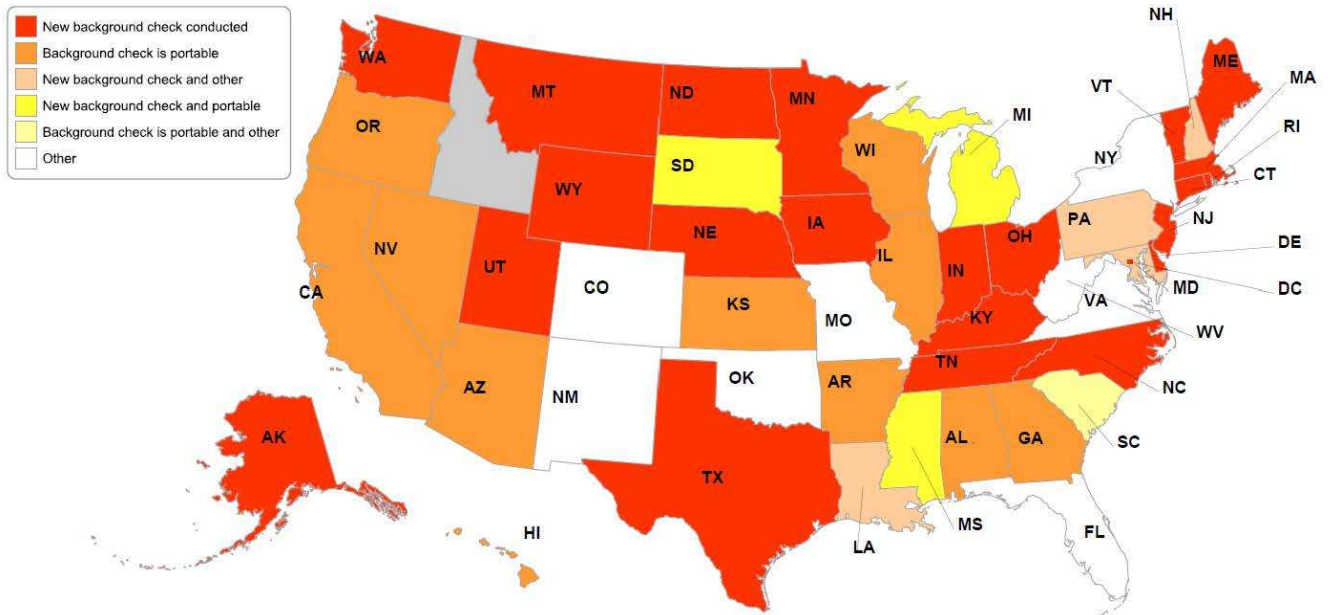
State:	Every four years	Every five years	Less than every five years	Other frequency
ND				
NE				
NH				
NJ				
NM				
NV				•
NY				•
OH	•			
OK				
OR				•
PA				
RI				
SC				•
SD				
TN				
TX				
UT				
VA				
VT				•
WA				
WI				
WV		•		•
WY				

Question: What is the state’s policy on background checks if child care facility staff change jobs, move to another facility, or have a break in employment? Does the background check system in your state notify your agency when an individual's criminal record has changed?

Analysis: Background checks are usually not portable.

State:	New background check is conducted	Current background check is portable and moves with the individual	Other policy
Total:	30	15	13
AK	•		
AL		•	
AR		•	
AZ		•	
CA		•	
CO			•
CT	•		
DC	•		
DE	•		
FL			•
GA		•	
HI		•	
IA	•		
ID			
IL		•	
IN	•		
KS		•	
KY	•		
LA	•		•
MA	•		
MD	•		•
ME	•		
MI	•	•	
MN	•		
MO			•
MS	•	•	
MT	•		
NC	•		
ND	•		
NE	•		
NH	•		•
NJ	•		
NM			•
NV		•	
NY			•
OH	•		
OK			•
OR		•	
PA	•		•
RI	•		

State:	New background check is conducted	Current background check is portable and moves with the individual	Other policy
SC		•	•
SD	•	•	
TN	•		
TX	•		
UT	•		
VA			•
VT	•		
WA	•		
WI		•	
WV			•
WY	•		



Part B: Regulatory Requirements

States with Separate Regulations by Population Served

State:	School-Age Care	Infant and Toddler Care	Care for Mildly Ill Children	Drop-in Child Care
Total:	23	11	9	5
AK				
AL				
AR				
AZ				
CA	•	•	•	
CO	•			
CT				
DC				
DE			•	
FL			•	
GA				
HI	•	•		
IA				
ID				
IL				
IN	•			
KS	•			
KY				
LA				
MA	•			
MD				
ME				
MI				
MN				
MO				
MS				
MT		•	•	•
NC	•			
ND	•			
NE				
NH				
NJ	•	•	•	
NM	•	•		
NV		•	•	
NY	•			
OH	•			
OK	•	•	•	•
OR	•	•		•
PA	•			
RI	•			

State:	School-Age Care	Infant and Toddler Care	Care for Mildly Ill Children	Drop-in Child Care
SC				
SD	•			
TN			•	•
TX	•	•	•	
UT	•	•		
VA				
VT	•			•
WA	•			
WI	•	•		
WV	•			
WY				

Elements Included in Licensing Definitions

State:	Minimum number of children in facility	Services provided for less than 24 hours or any part of a 24-hour day	Services provided on an ongoing/regular or scheduled basis	Ages of children in facility (minimum/maximum)
Total:	38	35	24	21
AK		•	•	•
AL	•	•		
AR				
AZ	•	•	•	
CA		•		•
CO	•	•		•
CT	•	•	•	
DC		•	•	
DE	•	•	•	
FL	•	•	•	
GA	•	•		•
HI	•	•		
IA	•	•	•	
ID				
IL	•	•	•	
IN	•	•		
KS	•	•		•
KY	•		•	
LA	•		•	
MA			•	•
MD				
ME	•	•	•	•
MI	•	•		
MN				
MO	•			
MS	•	•		•
MT	•	•	•	•
NC	•	•	•	•
ND	•		•	
NE	•	•	•	•
NH	•			•
NJ	•	•		•
NM		•		
NV	•		•	•
NY	•		•	•
OH	•	•		
OK				
OR	•	•	•	
PA	•			•
RI		•		•
SC	•	•	•	
SD	•	•	•	

State:	Minimum number of children in facility	Services provided for less than 24 hours or any part of a 24-hour day	Services provided on an ongoing/regular or scheduled basis	Ages of children in facility (minimum/maximum)
TN	•			
TX	•	•		•
UT	•	•	•	
VA	•	•		•
VT		•	•	•
WA		•	•	•
WI	•	•		
WV	•			
WY	•	•		

Elements Included in Licensing Definitions (continued)

State:	Services provided for payment or compensation	Provider and children are not related by blood, marriage, and/or adoption; children are not from one family	Number of hours services are provided (minimum/maximum)	Services not provided in child's home
Total:	20	17	16	14
AK				
AL	•	•	•	
AR			•	
AZ	•	•		•
CA			•	
CO	•		•	
CT				•
DC				
DE	•			
FL	•	•		
GA	•		•	
HI				•
IA		•		
ID				
IL	•	•		
IN	•	•	•	
KS			•	
KY				•
LA			•	
MA		•		
MD				
ME				
MI			•	
MN			•	
MO	•			
MS		•		
MT		•		•

State:	Services provided for payment or compensation	Provider and children are not related by blood, marriage, and/or adoption; children are not from one family	Number of hours services are provided (minimum/maximum)	Services not provided in child's home
NC		•	•	•
ND	•			
NE	•	•	•	
NH				
NJ				
NM				
NV	•			
NY	•	•	•	•
OH	•	•		•
OK			•	
OR	•	•		•
PA		•		•
RI	•			
SC	•	•	•	•
SD				
TN				
TX				•
UT	•			
VA				•
VT	•			•
WA				
WI	•	•	•	
WV				

Elements Included in Licensing Definitions (continued)

State:	Services provided are either for profit or not for profit	Services provided in a nonresidential setting	Services provided during before- or after-school hours	Services provided in a public facility
Total:	7	6	5	4
AK				
AL				
AR	•			•
AZ				
CA				
CO			•	
CT				
DC				
DE				•
FL	•			
GA				
HI			•	
IA				

State:	Services provided are either for profit or not for profit	Services provided in a nonresidential setting	Services provided during before- or after-school hours	Services provided in a public facility
ID				
IL				
IN		•		
KS			•	
KY				
LA				
MA				
MD				
ME				
MI		•		
MN				
MO				
MS	•			
MT				
NC	•			
ND				
NE				
NH				
NJ				
NM		•		
NV				
NY		•		
OH				
OK				
OR				
PA	•		•	•
RI		•		
SC	•			
SD				
TN				
TX			•	
UT				
VA				
VT				
WA				
WI				
WV		•		•
WY	•			

Elements Included in Licensing Definitions (continued)

State:	Services provided in provider's/licensee's home	Services provided in a private facility	Services provided at the parent's work site
Total:	4	3	2
AK			
AL			

State:	Services provided in provider's/licensee's home	Services provided in a private facility	Services provided at the parent's work site
AR		•	
AZ			
CA			
CO			
CT			
DC			
DE			
FL			
GA			
HI			
IA			
ID			
IL			
IN			
KS			
KY			
LA			
MA			
MD			
ME			
MI			
MN			
MO			
MS			
MT			
NC			
ND			
NE			
NH			
NJ			
NM			
NV			
NY			
OH	•		
OK			
OR			
PA		•	•
RI			•
SC			
SD			
TN			
TX	•		
UT	•		
VA	•		
VT			
WA			

State:	Services provided in provider's/licensee's home	Services provided in a private facility	Services provided at the parent's work site
WI			
WV		•	
WY			

Facilities that are Exempt from Licensure

State:	Facilities that provide services where parents are on the premises and accessible	Facilities with small numbers of children in care	Recreation programs, instructional classes for children, and/or club programs	Facilities operating part-day or for a small number of hours per day/week
Total:	27	26	27	24
AK	•	•	•	•
AL	•		•	•
AR				•
AZ			•	
CA	•		•	•
CO	•			•
CT	•		•	
DC				
DE	•			
FL	•		•	•
GA	•		•	•
HI		•		
IA	•	•	•	
ID				
IL	•	•	•	•
IN				•
KS		•		•
KY	•	•	•	
LA				•
MA				
MD	•		•	
ME		•		
MI				•
MN	•		•	•
MO		•		•
MS		•	•	
MT		•		
NC	•		•	•
ND	•	•		•
NE		•	•	•
NH	•		•	
NJ	•	•	•	
NM	•		•	
NV		•		
NY		•	•	•
OH		•		
OK			•	•
OR	•		•	•
PA	•	•	•	
RI				•
SC	•	•		•

State:	Facilities that provide services where parents are on the premises and accessible	Facilities with small numbers of children in care	Recreation programs, instructional classes for children, and/or club programs	Facilities operating part-day or for a small number of hours per day/week
SD		•		
TN	•	•	•	
TX	•	•	•	
UT		•		
VA	•	•	•	
VT			•	
WA	•			•
WI	•	•	•	•
WV	•	•		
WY		•		

Facilities that are Exempt from Licensure (continued)

State:	Day camps	Preschool programs operated by public schools or systems	Facilities that provide services during religious services	Programs that offer religious instruction
Total:	22	20	18	17
AK	•			
AL		•		
AR	•			
AZ			•	•
CA				
CO			•	•
CT		•		•
DC			•	
DE	•	•		
FL	•	•		
GA	•			
HI				
IA	•	•	•	•
ID				
IL		•	•	•
IN				
KS				
KY	•		•	
LA	•			
MA		•	•	•
MD	•			•
ME	•			
MI			•	•
MN	•	•	•	•
MO	•	•	•	•
MS				
MT				
NC		•		

State:	Day camps	Preschool programs operated by public schools or systems	Facilities that provide services during religious services	Programs that offer religious instruction
ND	•		•	•
NE	•	•		•
NH		•	•	
NJ	•	•	•	•
NM	•	•	•	•
NV				
NY	•	•		•
OH	•			
OK		•		
OR		•		
PA			•	
RI	•			
SC	•			
SD				
TN	•		•	
TX	•	•		•
UT				
VA				•
VT			•	
WA				
WI				
WV		•	•	
WY		•		

Facilities that are Exempt from Licensure (continued)

State:	Preschool programs approved by state departments of education	Arrangements in which services are provided by relatives or parents/guardians	Facilities operated by religious organizations	Facilities that provide services irregularly/occasionally
Total:	18	16	12	11
AK	•	•		•
AL			•	
AR			•	
AZ		•		
CA		•		
CO				•
CT	•	•		
DC				•
DE	•			
FL	•		•	
GA				
HI				
IA	•			

State:	Preschool programs approved by state departments of education	Arrangements in which services are provided by relatives or parents/guardians	Facilities operated by religious organizations	Facilities that provide services irregularly/occasionally
ID				
IL	•		•	
IN			•	
KS				
KY	•			
LA			•	
MA		•		•
MD			•	
ME	•			
MI				
MN				
MO			•	
MS	•			
MT		•		
NC				
ND				
NE	•	•		•
NH		•		
NJ	•			•
NM				
NV		•		•
NY				
OH				
OK		•		
OR	•	•		•
PA		•		
RI	•			
SC			•	
SD				
TN	•		•	
TX	•			
UT			•	•
VA	•		•	
VT	•	•		•
WA		•		
WI				
WV	•	•		
WY		•		•

Facilities that are Exempt from Licensure (continued)

State:	Preschool programs operated by private schools or systems	Facilities operated by local, state, or federal government agencies	Facilities that provide services on military installations/bases
Total:	12	9	5
AK			•
AL	•	•	
AR			
AZ			
CA			
CO		•	
CT	•		
DC			
DE	•		
FL	•		
GA			
HI			
IA	•		
ID			
IL	•	•	
IN			
KS			
KY			•
LA			
MA			
MD			
ME			
MI			
MN			
MO			
MS			
MT			
NC	•		
ND			
NE			
NH	•		
NJ	•	•	•
NM		•	
NV			
NY	•		
OH			
OK	•		
OR		•	
PA			
RI			
SC			
SD			
TN			

State:	Preschool programs operated by private schools or systems	Facilities operated by local, state, or federal government agencies	Facilities that provide services on military installations/bases
TX	•	•	•
UT			
VA			
VT			
WA		•	•
WI			
WV			
WY		•	

Regulation of Staff Roles

State:	Director	Master teacher	Teacher	Assistant teacher
Total:	50	19	50	28
AK	•	•	•	
AL	•		•	•
AR	•		•	
AZ	•		•	•
CA	•	•	•	
CO	•		•	•
CT	•	•	•	•
DC	•		•	•
DE	•		•	•
FL	•	•	•	
GA	•		•	•
HI	•		•	•
IA	•		•	
ID				
IL	•		•	•
IN	•	•	•	
KS	•		•	•
KY	•		•	
LA	•		•	•
MA	•	•	•	•
MD	•		•	
ME	•		•	•
MI	•	•	•	
MN	•		•	•
MO	•		•	
MS	•		•	•
MT	•		•	
NC	•	•	•	
ND	•		•	
NE	•		•	•
NH	•	•	•	•
NJ	•	•	•	
NM	•		•	
NV	•		•	
NY	•	•	•	
OH	•		•	
OK	•	•	•	•
OR	•	•	•	•
PA	•	•	•	•
RI	•	•	•	
SC	•		•	•
SD	•		•	•
TN	•		•	
TX	•		•	•

State:	Director	Master teacher	Teacher	Assistant teacher
UT	•		•	•
VA	•	•	•	
VT	•	•	•	•
WA	•	•	•	•
WI	•		•	•
WV	•	•	•	•
WY	•		•	

Regulation of Staff Roles (continued)

State:	Aide	Substitute	Volunteer	Other Role
Total:	21	34	45	38
AK		•	•	
AL	•	•		•
AR			•	•
AZ	•		•	•
CA	•	•	•	
CO	•	•	•	•
CT				
DC	•		•	
DE	•	•	•	
FL				•
GA		•	•	•
HI	•	•	•	•
IA		•	•	•
ID				
IL	•	•	•	•
IN		•	•	•
KS		•	•	•
KY		•	•	
LA		•	•	•
MA			•	
MD	•	•	•	•
ME	•	•	•	•
MI			•	•
MN	•		•	
MO			•	•
MS		•	•	•
MT	•		•	•
NC	•	•	•	•
ND		•	•	
NE	•	•	•	•
NH		•	•	•
NJ		•	•	•
NM		•	•	•
NV		•	•	
NY			•	•

State:	Aide	Substitute	Volunteer	Other Role
OH		•	•	•
OK	•	•	•	•
OR	•		•	•
PA		•	•	•
RI	•	•	•	•
SC		•	•	
SD			•	•
TN		•	•	•
TX	•	•	•	•
UT				•
VA	•		•	•
VT	•	•		
WA			•	•
WI		•	•	•
WV	•	•	•	•
WY		•	•	•

Requirements for a High School Diploma or GED

State:	Director	Master teacher	Teacher	Assistant Teacher	Aide
Total:	48	15	30	11	6
AK	•	•			
AL	•		•		
AR	•		•		
AZ	•		•	•	
CA	•	•	•		•
CO	•				
CT	•	•			
DC	•		•	•	
DE	•		•	•	•
FL	•				
GA	•		•		
HI	•		•	•	
IA	•				
ID					
IL	•		•	•	
IN	•	•	•		
KS	•		•		
KY	•				
LA	•				
MA	•	•	•	•	
MD	•		•		
ME	•		•		
MI	•	•			
MN	•		•	•	
MO	•				
MS	•				
MT					
NC	•	•	•		
ND	•				
NE	•				
NH	•	•	•		
NJ	•	•	•		
NM	•				
NV	•				
NY	•	•	•		
OH	•		•		
OK	•	•	•	•	
OR	•	•			
PA	•	•	•		
RI	•	•	•		•
SC	•		•		
SD					
TN	•		•		
TX	•		•	•	•

State:	Director	Master teacher	Teacher	Assistant Teacher	Aide
UT	•				
VA	•		•		
VT	•	•	•	•	•
WA	•		•		
WI	•		•		
WV	•			•	•
WY	•				

Pre-service Qualification Requirement

State:	Director	Master teacher	Teacher	Assistant Teacher	Aide
Total:	49	17	39	18	10
AK	•	•	•		
AL	•		•	•	•
AR	•		•		
AZ	•			•	•
CA	•	•	•		•
CO	•		•	•	
CT	•	•	•		
DC	•		•	•	
DE	•		•	•	
FL	•	•	•		
GA	•		•		
HI	•		•	•	•
IA	•				
ID					
IL	•		•	•	
IN	•	•	•		
KS	•		•		
KY	•				
LA	•				
MA	•	•	•	•	
MD	•		•		
ME	•		•	•	•
MI	•	•			
MN	•		•	•	
MO	•				
MS	•		•		
MT	•		•		•
NC	•	•	•		
ND	•				
NE	•		•	•	
NH	•	•	•		
NJ	•	•	•		
NM	•		•		
NV	•				
NY	•	•	•		
OH	•				
OK	•	•	•	•	
OR	•	•	•	•	
PA	•	•	•	•	
RI	•	•	•		•
SC	•		•		
SD					
TN	•		•		
TX	•		•	•	•

State:	Director	Master teacher	Teacher	Assistant Teacher	Aide
UT	•		•		
VA	•		•		
VT	•	•	•	•	•
WA	•		•		
WI	•		•	•	
WV	•	•	•	•	•
WY	•				

Ongoing Training Hours Requirement

State:	Director	Master teacher	Teacher	Assistant Teacher	Aide
Total:	46	16	48	23	13
AK	•	•	•		
AL	•		•	•	•
AR	•		•		
AZ	•		•	•	•
CA					
CO	•		•	•	•
CT	•	•	•	•	
DC	•		•	•	•
DE	•		•	•	
FL	•	•	•		
GA	•		•	•	
HI					
IA	•		•		
ID					
IL	•		•	•	•
IN	•	•	•		
KS	•		•		
KY	•		•		
LA	•		•		
MA	•	•	•	•	
MD	•		•		
ME	•		•	•	•
MI	•	•	•		
MN	•		•	•	•
MO	•		•		
MS	•		•	•	
MT	•		•		•
NC	•	•	•		•
ND	•		•		
NE			•	•	•
NH	•	•	•		
NJ	•	•	•		
NM	•		•		
NV	•		•		
NY	•	•	•		
OH			•		
OK	•	•	•	•	•
OR	•	•	•	•	
PA	•	•	•	•	
RI	•	•	•		•
SC	•		•	•	
SD	•		•	•	
TN	•		•		
TX	•		•		

State:	Director	Master teacher	Teacher	Assistant Teacher	Aide
UT	•		•	•	
VA	•		•	•	
VT	•	•	•	•	
WA	•		•		
WI	•		•	•	
WV	•	•	•	•	•
WY	•		•		

Staff Health Requirements

State:	Tuberculosis screening	Physical exam or health statement from physician	Proof of suitability to provide care to children	Proof of immunizations
Total:	38	31	23	8
AK	•	•	•	
AL	•	•	•	
AR	•			
AZ	•			•
CA	•	•	•	
CO		•	•	•
CT	•	•		
DC	•	•		
DE	•	•	•	
FL				
GA			•	
HI	•	•	•	
IA	•	•		
ID				
IL	•	•	•	
IN	•	•		
KS	•	•	•	
KY	•	•		
LA	•	•		
MA	•	•		•
MD	•	•		
ME				•
MI	•	•		
MN				
MO	•	•		
MS				•
MT	•		•	•
NC	•	•	•	
ND	•		•	
NE		•		
NH	•	•		
NJ	•	•	•	
NM	•			
NV			•	
NY	•	•	•	
OH		•	•	•
OK	•		•	
OR			•	
PA	•	•		
RI	•	•		
SC	•	•	•	•
SD	•	•		

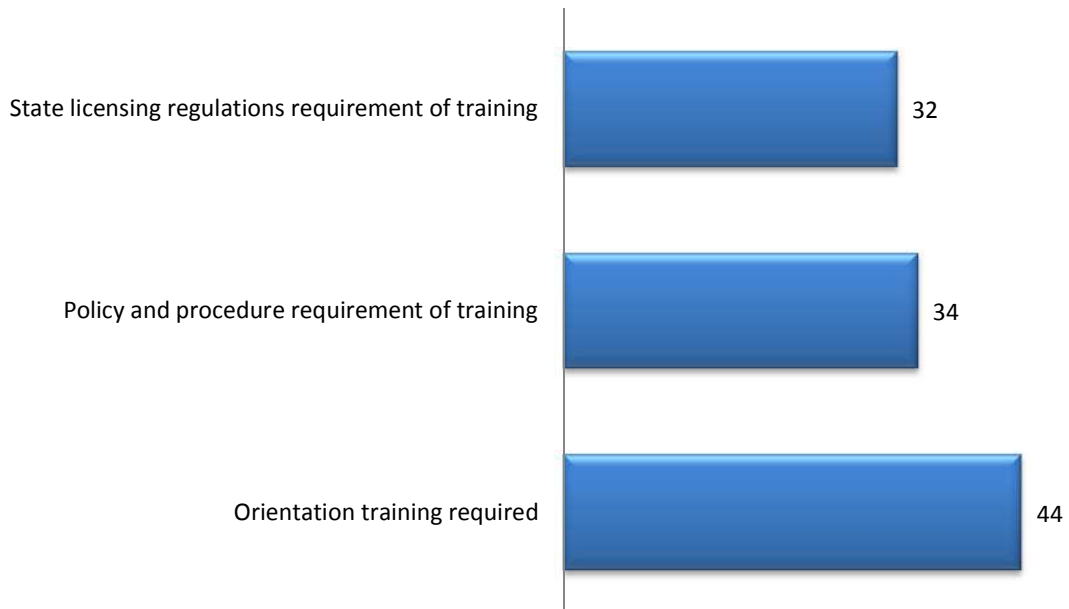
State:	Tuberculosis screening	Physical exam or health statement from physician	Proof of suitability to provide care to children	Proof of immunizations
TN	•	•	•	
TX	•			
UT				
VA	•		•	
VT				
WA	•			
WI	•	•	•	
WV	•	•	•	
WY	•			

References Required Prior to Hire

State:	For center directors and/or other staff	Center director references must be obtained in written and/or verbal form	Other staff references must be obtained in written and/or verbal form
Total:	25	15	17
AK	•		•
AL	•		•
AR			
AZ			
CA			
CO			
CT			
DC			
DE	•	•	•
FL			
GA			
HI			
IA			
ID			
IL	•	•	•
IN			
KS	•		
KY			
LA	•	•	•
MA	•		
MD			
ME	•	•	•
MI			
MN			
MO			
MS	•		
MT	•		•
NC			
ND			
NE	•	•	•
NH	•		•
NJ	•	•	•
NM			
NV			
NY	•		
OH	•	•	•
OK	•		
OR			
PA	•	•	•
RI			
SC	•	•	
SD	•	•	•

State:	For center directors and/or other staff	Center director references must be obtained in written and/or verbal form	Other staff references must be obtained in written and/or verbal form
TN	•	•	•
TX			
UT			
VA	•	•	•
VT	•	•	•
WA	•	•	
WI			
WV	•	•	•
WY	•		

Orientation Training Requirements



Orientation Training Requirements

State:	Orientation training required	Policy and procedure requirement of training	State licensing regulations requirement of training
Total:	44	34	32
AK	•	•	•
AL	•	•	•
AR	•	•	•
AZ			
CA	•		
CO	•	•	•
CT	•	•	
DC	•	•	
DE	•	•	•
FL	•		•
GA	•	•	•
HI			
IA	•	•	•
ID			
IL	•		•
IN	•	•	•
KS	•	•	•
KY	•		
LA	•	•	
MA	•	•	•
MD	•	•	
ME	•	•	•
MI			
MN	•	•	•
MO	•		•
MS	•	•	•
MT	•		
NC	•	•	•
ND	•	•	
NE	•		
NH			
NJ	•	•	•
NM	•	•	•
NV	•	•	•
NY			
OH	•	•	•
OK	•	•	•
OR	•	•	•
PA	•		
RI	•	•	•
SC	•		
SD	•	•	•
TN	•	•	

State:	Orientation training required	Policy and procedure requirement of training	State licensing regulations requirement of training
TX	•	•	•
UT	•	•	•
VA	•	•	•
VT			
WA	•	•	•
WI	•	•	•
WV	•	•	•
WY	•		•

Health and Safety Training Requirements

State:	First aid	CPR	Child abuse and neglect prevention	Prevention of spread of communicable disease
Total:	47	46	26	25
AK	•	•		•
AL	•	•		•
AR	•	•		
AZ	•	•	•	•
CA	•	•	•	•
CO	•	•		•
CT	•	•	•	•
DC	•	•	•	•
DE	•	•	•	•
FL	•	•	•	•
GA	•	•	•	•
HI	•	•		
IA	•	•	•	•
ID				
IL	•	•		•
IN	•	•	•	•
KS	•	•	•	
KY	•	•		
LA				
MA	•	•		•
MD	•	•		•
ME	•	•		
MI	•	•		
MN	•	•		
MO				
MS	•	•	•	
MT	•	•		
NC	•	•	•	
ND	•	•		
NE	•	•		
NH	•	•		
NJ	•	•	•	
NM	•	•	•	•
NV	•	•	•	
NY			•	•
OH	•	•	•	•
OK	•	•		•
OR	•	•	•	
PA	•			
RI	•	•		
SC	•	•	•	•
SD	•	•	•	•
TN	•	•	•	•

State:	First aid	CPR	Child abuse and neglect prevention	Prevention of spread of communicable disease
TX	•	•	•	•
UT	•	•	•	
VA	•	•		
VT	•	•		
WA	•	•	•	•
WI	•	•		•
WV	•	•	•	
WY	•	•	•	

Health and Safety Training Requirements (continued)

State:	Lifeguard training	Medication administration	Care of sick children	Reducing risk of SIDS
Total:	12	10	9	11
AK	•			•
AL				
AR				
AZ				
CA			•	
CO	•	•		
CT		•	•	
DC				•
DE		•		
FL	•			
GA	•		•	
HI	•			
IA				
ID				
IL			•	
IN	•	•		
KS				•
KY				
LA				
MA				
MD			•	
ME				
MI				
MN				•
MO				
MS	•			
MT				
NC				•
ND				
NE				
NH				
NJ				

State:	Lifeguard training	Medication administration	Care of sick children	Reducing risk of SIDS
NM				
NV		•		•
NY		•		
OH	•		•	
OK	•			•
OR				
PA	•			
RI				
SC	•			
SD				
TN			•	•
TX			•	•
UT		•		•
VA				
VT	•	•		
WA				
WI			•	•
WV		•		
WY		•		

Health and Safety Training Requirements (continued)

State:	Fire safety	Water safety	Prevention of HIV/AIDS and blood borne pathogens
Total:	7	5	6
AK			
AL			
AR			
AZ			
CA			
CO	•		
CT			
DC		•	
DE			
FL			
GA			
HI			
IA			
ID			
IL			
IN		•	•
KS			
KY			
LA			
MA			
MD			

State:	Fire safety	Water safety	Prevention of HIV/AIDS and blood borne pathogens
ME			
MI			•
MN	•		
MO			
MS			
MT			
NC			
ND			
NE			
NH			
NJ			
NM	•		
NV			•
NY			
OH		•	
OK			
OR		•	
PA	•	•	
RI			
SC			•
SD			
TN			
TX			
UT			
VA			
VT			
WA	•		•
WI			
WV	•		
WY	•		•

Requirements for the Supervision of Children

State:	Must be able to see children at all times	Must be able to hear children at all times	Staff must be free of other duties	Staff must be alert / awake
Total:	16	13	12	7
AK	•	•		
AL	•		•	•
AR				
AZ	•	•	•	
CA			•	
CO				
CT				
DC				
DE				•
FL				
GA				•
HI				
IA				
ID				
IL				
IN			•	
KS				
KY	•	•	•	
LA				
MA				
MD				
ME				•
MI				
MN				
MO				
MS				
MT	•	•		
NC		•	•	•
ND			•	
NE				
NH	•	•		
NJ				
NM			•	
NV				
NY				
OH	•	•	•	•
OK	•	•		
OR	•	•		
PA				
RI				
SC				
SD				
TN				

State:	Must be able to see children at all times	Must be able to hear children at all times	Staff must be free of other duties	Staff must be alert / awake
TX	•	•	•	
UT	•	•		
VA	•	•		
VT	•			
WA	•	•	•	
WI			•	
WV	•			•
WY	•			

Requirements for the Supervision of Children during Specific Times/Activities

State:	Transportation in vehicles	Field trips	Naptime	Swimming / water activities
Total:	48	45	41	42
AK	•	•	•	•
AL	•	•	•	•
AR	•	•	•	•
AZ	•	•		•
CA	•	•	•	•
CO	•	•	•	•
CT	•	•	•	•
DC	•	•	•	•
DE	•	•	•	•
FL	•	•	•	•
GA	•	•	•	•
HI	•	•	•	
IA	•	•	•	•
ID				
IL	•		•	•
IN	•	•	•	•
KS	•	•		•
KY	•			
LA	•	•	•	
MA	•	•		
MD	•	•		•
ME	•	•	•	•
MI	•	•	•	•
MN	•	•	•	
MO	•	•	•	•
MS	•	•	•	•
MT	•	•		•
NC	•	•	•	•
ND	•			
NE	•		•	•
NH	•	•	•	•
NJ	•	•	•	•
NM	•	•	•	•
NV	•	•	•	•
NY	•	•		•
OH	•	•	•	•
OK	•	•	•	•
OR	•	•	•	•
PA	•	•	•	•
RI		•	•	
SC	•	•	•	•
SD				
TN	•	•	•	•

State:	Transportation in vehicles	Field trips	Naptime	Swimming / water activities
TX	•	•	•	•
UT	•	•	•	•
VA	•	•	•	•
VT	•	•	•	•
WA	•	•	•	•
WI	•	•	•	•
WV	•	•	•	•
WY	•	•	•	•

Requirements for the Supervision of Children during Specific Times/Activities (continued)

State:	Evening / overnight care	Outdoor play	Large group activities
Total:	33	31	17
AK	•	•	•
AL	•		
AR	•	•	•
AZ	•		
CA			
CO	•		
CT	•	•	
DC	•		
DE	•		
FL	•	•	
GA	•	•	•
HI	•		
IA	•	•	
ID			
IL	•		
IN	•	•	
KS		•	
KY	•	•	
LA		•	
MA		•	
MD		•	•
ME		•	
MI		•	
MN	•	•	•
MO	•		
MS			•
MT	•	•	
NC		•	
ND	•		
NE			
NH			
NJ		•	•

State:	Evening / overnight care	Outdoor play	Large group activities
NM	•		
NV	•		
NY		•	
OH	•	•	•
OK	•	•	•
OR	•	•	•
PA	•	•	
RI		•	•
SC	•	•	
SD	•		
TN	•	•	•
TX	•	•	•
UT			•
VA		•	
VT		•	
WA	•	•	•
WI	•	•	
WV	•		•
WY	•		•

Requirements for the Supervision of Children during Naptime

State:	Specific child-staff ratio	Staff must be present in room where children are napping	Staff must be able to see children	Staff must be able to hear children
Total:	30	18	16	11
AK		•	•	•
AL	•	•	•	
AR	•	•	•	
AZ				
CA	•			
CO				•
CT	•			
DC	•			
DE	•	•		
FL			•	•
GA	•	•		
HI	•			
IA	•	•		
ID				
IL	•			
IN	•	•		
KS				
KY				
LA	•		•	
MA				
MD				
ME				
MI				
MN				
MO		•	•	•
MS	•		•	
MT				
NC	•	•	•	
ND				
NE	•	•		
NH				•
NJ	•	•		
NM				
NV			•	•
NY				
OH	•	•		
OK	•	•	•	•
OR	•	•		
PA	•			
RI	•			
SC	•			
SD				

State:	Specific child-staff ratio	Staff must be present in room where children are napping	Staff must be able to see children	Staff must be able to hear children
TN	•	•		
TX	•	•		
UT	•			
VA	•		•	•
VT		•	•	
WA	•		•	•
WI	•		•	•
WV	•	•	•	•
WY	•		•	

Requirements for the Supervision of Children during Naptime (continued)

State:	Specific group size	Centers must have written supervision plans	Staff must be free of other duties
Total:	10	2	1
AK	•		
AL			
AR			
AZ			
CA			
CO			
CT		•	
DC			
DE			
FL			
GA			
HI			
IA			
ID			
IL			
IN			
KS			
KY			
LA			•
MA			
MD			
ME			
MI			
MN	•		
MO			
MS			
MT			
NC			
ND			

State:	Specific group size	Centers must have written supervision plans	Staff must be free of other duties
NE			
NH			
NJ	•		
NM			
NV			
NY			
OH	•		
OK			
OR	•		
PA			
RI			
SC			
SD			
TN	•		
TX	•		
UT		•	
VA			
VT			
WA			
WI	•		
WV	•		
WY	•		

Requirements for the Supervision of Children during Naptime by Age of Child

State:	Infants and toddlers	Pre-school age children	School-age children
Total:	14	8	4
AK	•		
AL			
AR	•		
AZ			
CA			
CO	•		
CT			
DC			
DE			
FL	•		
GA			
HI			
IA	•		
ID			
IL			
IN	•	•	
KS			
KY			
LA			
MA			
MD			
ME			
MI	•		
MN			
MO	•	•	•
MS			
MT			
NC		•	
ND			
NE			
NH			
NJ			
NM			
NV			
NY			
OH			
OK	•	•	
OR			
PA	•	•	
RI			
SC			
SD			
TN	•		
TX			•

State:	Infants and toddlers	Pre-school age children	School-age children
UT		•	•
VA	•		
VT	•		
WA			
WI		•	•
WV	•	•	
WY			

Requirements for the Supervision of Children during Evening/Overnight Care

State:	Specific child-staff ratio	Specific group size	Staff must be able to see children	Staff must be able to hear children
Total:	16	9	7	7
AK				
AL	•		•	
AR			•	
AZ				
CA				•
CO	•			
CT				
DC				
DE				
FL			•	•
GA	•			
HI				
IA				
ID				
IL	•	•		
IN	•	•		
KS				
KY				
LA				
MA				
MD				
ME				
MI				
MN	•			
MO				•
MS				
MT				
NC				
ND				•
NE				
NH				
NJ				
NM				
NV				
NY				
OH		•		
OK	•	•		
OR	•		•	•
PA	•			
RI				
SC	•			
SD	•	•		
TN	•	•		

State:	Specific child-staff ratio	Specific group size	Staff must be able to see children	Staff must be able to hear children
TX	•			
UT				
VA				
VT				
WA	•		•	•
WI		•		
WV	•	•	•	•
WY	•	•	•	

Requirements for the Supervision of Children during Evening/Overnight Care (continued)

State:	Staff must be present in room where children are sleeping	Staff must be free of other duties	Center must have written supervision plan
Total:	5	1	1
AK			
AL	•		
AR			
AZ			
CA			
CO			
CT			•
DC			
DE		•	
FL			
GA			
HI			
IA	•		
ID			
IL	•		
IN			
KS			
KY			
LA			
MA			
MD			
ME			
MI			
MN			
MO			
MS			
MT			
NC			
ND			
NE			
NH			

State:	Staff must be present in room where children are sleeping	Staff must be free of other duties	Center must have written supervision plan
NJ			
NM			
NV			
NY			
OH			
OK			
OR			
PA			
RI			
SC	•		
SD			
TN	•		
TX			
UT			
VA			
VT			
WA			
WI			
WV			
WY			

Requirements for the Supervision of Children during Outdoor Play

State:	Specific child-staff ratio	Specific group size	Staff must be able to see children
Total:	9	11	8
AK		•	
AL			
AR	•		
AZ			
CA			
CO			
CT			
DC			
DE			
FL			
GA	•	•	
HI			
IA	•		
ID			
IL			
IN			•
KS			
KY			•
LA			
MA			
MD		•	
ME			•
MI	•		
MN		•	
MO			
MS			
MT			•
NC			•
ND			
NE			
NH			
NJ		•	
NM			
NV			
NY			
OH		•	
OK		•	
OR	•	•	
PA			
RI			
SC			
SD			
TN	•		•

State:	Specific child-staff ratio	Specific group size	Staff must be able to see children
TX		•	
UT			
VA	•		
VT			•
WA	•	•	•
WI	•	•	
WV			
WY			

Requirements for the Supervision of Children during Outdoor Play (continued)

State:	Staff must be present with children	Center must have written supervision plan	Staff must be able to hear children
Total:	4	3	2
AK		•	
AL			
AR			
AZ			
CA			
CO			
CT		•	
DC			
DE			
FL	•		
GA			
HI			
IA			
ID			
IL			
IN			
KS			
KY			
LA	•		
MA			
MD			
ME			
MI			
MN			
MO			
MS			
MT			
NC			•
ND			
NE			
NH			

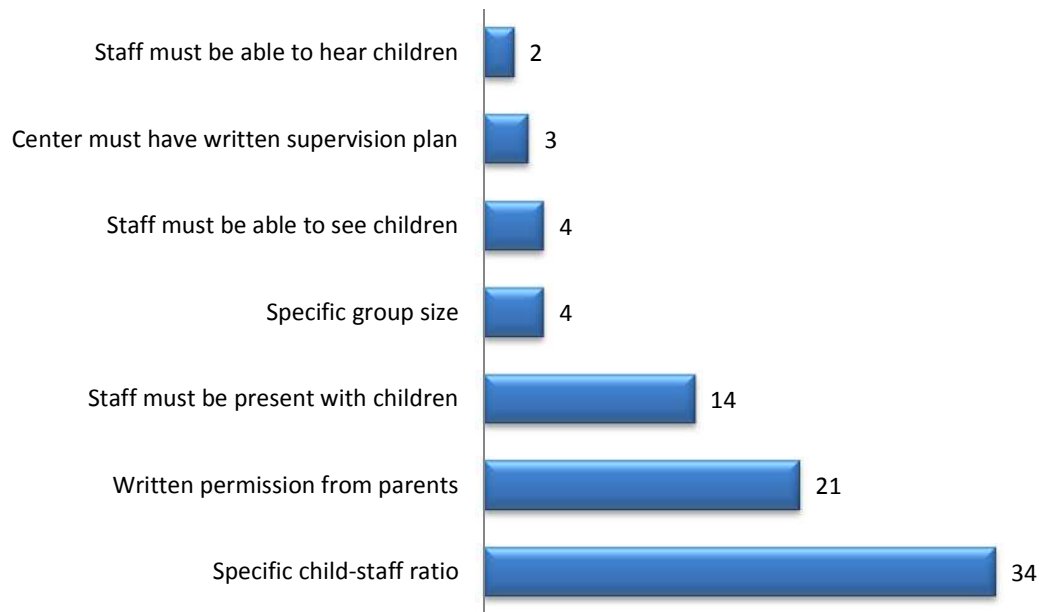
State:	Staff must be present with children	Center must have written supervision plan	Staff must be able to hear children
NJ			
NM			
NV			
NY			
OH			
OK			
OR			
PA	•		
RI			
SC			
SD			
TN		•	
TX			
UT			
VA			
VT			
WA	•		•
WI			
WV			
WY			

Requirements for the Supervision of Children during Large Group Activities

State:	Specific group size	Specific child-staff ratio
Total:	15	8
AK	•	
AL		
AR	•	
AZ		
CA		
CO		
CT		
DC		
DE		
FL		
GA	•	•
HI		
IA		
ID		
IL		
IN		
KS		
KY		
LA		
MA		
MD	•	
ME		
MI		
MN	•	
MO		
MS	•	•
MT		
NC		
ND		
NE		
NH		
NJ	•	
NM		
NV		
NY		
OH	•	
OK	•	
OR	•	•
PA		
RI	•	•
SC		
SD		
TN		•
TX	•	•

State:	Specific group size	Specific child-staff ratio
UT		
VA		
VT		
WA	•	•
WI		
WV	•	•
WY	•	

Requirements for the Supervision of Children during Water Activities



Requirements for the Supervision of Children during Water Activities

State:	Specific child-staff ratio	Written permission from parents	Staff must be present with children
Total:	34	21	14
AK		•	
AL	•	•	•
AR	•	•	
AZ	•	•	
CA	•		
CO	•		•
CT			•
DC	•	•	
DE			
FL	•		
GA	•	•	
HI			
IA			
ID			
IL	•	•	
IN	•	•	•
KS	•		
KY			
LA			
MA			
MD	•	•	•
ME	•		•
MI	•	•	
MN			
MO			•
MS	•		
MT	•		
NC	•		•
ND			
NE	•		
NH	•	•	
NJ			
NM	•	•	•
NV	•		•
NY			
OH	•	•	
OK	•	•	•
OR	•	•	
PA	•	•	
RI			
SC	•	•	
SD			
TN	•		

State:	Specific child-staff ratio	Written permission from parents	Staff must be present with children
TX	•		
UT	•		•
VA	•	•	
VT	•	•	
WA			
WI	•		
WV	•	•	•
WY	•	•	•

Requirements for the Supervision of Children during Water Activities (continued)

State:	Specific group size	Staff must be able to see children	Center must have written supervision plan	Staff must be able to hear children
Total:	4	4	3	2
AK				
AL				
AR				
AZ				
CA				
CO				
CT				
DC				
DE				
FL				
GA			•	
HI				
IA				
ID				
IL				
IN				
KS	•			
KY				
LA				
MA				
MD				
ME		•		•
MI				
MN				
MO				
MS				
MT				
NC		•	•	•
ND				
NE				
NH	•			

State:	Specific group size	Staff must be able to see children	Center must have written supervision plan	Staff must be able to hear children
NJ				
NM				
NV	•			
NY				
OH		•		
OK				
OR				
PA				
RI				
SC				
SD				
TN				
TX		•		
UT				
VA				
VT			•	
WA				
WI	•			
WV				
WY				

Requirements for the Supervision of Children when Traveling in Vehicles

State:	Specific child-staff ratio	Driver is counted as part of the child-staff ratio	Children must not be left unattended in vehicle	Written permission from parents
Total:	38	21	35	19
AK				•
AL	•		•	•
AR	•	•		
AZ	•	•		
CA			•	
CO			•	•
CT				•
DC			•	•
DE			•	•
FL	•	•		
GA	•	•	•	
HI	•			
IA	•	•		
ID				
IL	•	•	•	•
IN	•		•	•
KS			•	
KY	•	•	•	
LA	•	•	•	
MA	•			
MD	•	•		
ME	•		•	
MI	•	•	•	•
MN	•	•		
MO	•		•	•
MS	•			
MT	•	•	•	•
NC	•		•	
ND	•	•	•	
NE				•
NH			•	
NJ	•		•	
NM	•	•		
NV	•		•	
NY	•		•	•
OH	•	•	•	•
OK	•	•	•	•
OR	•	•	•	
PA	•		•	•
RI				
SC	•		•	•
SD				
TN	•	•	•	•

State:	Specific child-staff ratio	Driver is counted as part of the child-staff ratio	Children must not be left unattended in vehicle	Written permission from parents
TX	•	•	•	•
UT			•	
VA	•		•	
VT	•	•	•	
WA	•		•	
WI	•	•	•	
WV	•		•	
WY	•		•	

Requirements for the Supervision of Children when Traveling in Vehicles (continued)

State:	Staff must supervise children enter and exit vehicle	Staff/driver must ensure that children are received by parents or designees	Emergency contact information must be taken on vehicle	Attendance records of children be kept
Total:	23	20	17	16
AK		•		
AL	•	•		•
AR	•			•
AZ	•		•	
CA				
CO	•			
CT				
DC			•	
DE	•		•	
FL	•			•
GA	•	•	•	•
HI				
IA			•	
ID				
IL	•	•		
IN	•		•	
KS		•	•	
KY		•		•
LA	•	•	•	•
MA		•	•	
MD				
ME				
MI	•	•		•
MN				
MO	•	•	•	
MS	•			
MT	•	•		
NC	•	•	•	
ND				
NE				

State:	Staff must supervise children enter and exit vehicle	Staff/driver must ensure that children are received by parents or designees	Emergency contact information must be taken on vehicle	Attendance records of children be kept
NH				
NJ		•		•
NM	•			
NV	•	•		•
NY				
OH	•			•
OK	•	•	•	•
OR		•		
PA	•			
RI				
SC	•	•		•
SD				
TN	•	•		•
TX	•	•	•	•
UT			•	
VA			•	•
VT				
WA			•	
WI		•	•	
WV				•
WY				

Requirements for the Supervision of Children when Traveling in Vehicles (continued)

State:	Additional checks for children remaining on board conducted once vehicle unloaded	Specific group size	Center must have written supervision plan
Total:	7	1	1
AK			
AL	•		
AR	•		
AZ			
CA			
CO			
CT			
DC			
DE			
FL	•		
GA	•		
HI			
IA			
ID			
IL			
IN			

State:	Additional checks for children remaining on board conducted once vehicle unloaded	Specific group size	Center must have written supervision plan
KS			
KY			
LA			
MA			
MD			
ME			
MI			
MN			
MO			
MS			
MT			
NC			
ND			
NE			
NH			
NJ			
NM			
NV	•		
NY			
OH			•
OK			
OR			
PA		•	
RI			
SC			
SD			
TN	•		
TX			
UT			
VA			
VT			
WA			
WI	•		
WV			
WY			

Requirements for the Supervision of Children during Field Trips

State:	Written permission from parents	Specific child-staff ratio	Emergency contact information must be taken on field trip	Attendance records of children be kept
Total:	37	24	14	13
AK				
AL				•
AR	•			•
AZ	•	•	•	•
CA				
CO	•	•	•	•
CT				
DC	•		•	
DE		•	•	•
FL	•	•		
GA	•	•	•	•
HI		•		
IA	•	•	•	
ID	•			
IL				
IN			•	•
KS	•			
KY	•			
LA	•			
MA	•		•	
MD	•	•		
ME	•			
MI	•	•		
MN	•	•	•	
MO	•			
MS	•	•		
MT	•			
NC	•			
ND				
NE				
NH	•	•	•	•
NJ	•	•		
NM	•			
NV		•	•	•
NY	•			
OH	•	•		•
OK				
OR	•	•		
PA		•		
RI	•			
SC	•			
SD				

State:	Written permission from parents	Specific child-staff ratio	Emergency contact information must be taken on field trip	Attendance records of children be kept
TN	•	•		
TX	•	•	•	•
UT	•		•	
VA	•	•		•
VT	•	•		
WA	•	•		
WI	•	•		
WV	•	•	•	•
WY	•			

Requirements for the Supervision of Children during Field Trips (continued)

State:	Specific group size	Children required to wear name tags or other identification	Additional staff/adults must attend under certain conditions	Center must have written supervision plan
Total:	12	7	5	4
AK	•			•
AL				
AR				
AZ		•		
CA	•			
CO				•
CT	•			
DC		•		
DE		•		
FL			•	
GA		•	•	
HI				
IA			•	
ID				
IL				
IN				
KS				
KY				
LA				
MA				
MD	•			
ME				
MI				
MN	•			
MO				
MS				
MT				
NC				

State:	Specific group size	Children required to wear name tags or other identification	Additional staff/adults must attend under certain conditions	Center must have written supervision plan
ND				
NE				
NH	•			
NJ				
NM				
NV				
NY				
OH	•	•	•	•
OK	•			
OR	•			•
PA				
RI				
SC				
SD				
TN				
TX	•	•	•	
UT		•		
VA				
VT				
WA				
WI	•			
WV	•			
WY				

Requirements for Children to Have Physical Examinations

State:	Center required to keep records of children's physical exams	Health records must be provided to center within specific timeframe
Total:	26	19
AK		
AL		
AR		
AZ		
CA	•	•
CO	•	•
CT	•	•
DC	•	
DE	•	•
FL	•	•
GA		
HI	•	
IA	•	•
ID		
IL	•	
IN	•	•
KS	•	
KY		
LA		
MA	•	•
MD	•	
ME		
MI	•	•
MN	•	•
MO		•
MS		
MT		
NC		
ND	•	
NE		
NH	•	•
NJ	•	•
NM		
NV		
NY		
OH	•	•
OK		
OR		
PA	•	•
RI		
SC	•	
SD		
TN		

State:	Center required to keep records of children's physical exams	Health records must be provided to center within specific timeframe
TX	•	•
UT	•	
VA	•	•
VT		
WA		
WI	•	•
WV	•	•
WY		

Child Immunization Requirements

State:	Parents can provide written statements to not have children immunized	Physicians can provide written statements to exempt children for medical reasons	Records must be provided within specific timeframes
Total:	36	34	19
AK	•	•	
AL		•	•
AR	•	•	•
AZ	•	•	
CA	•	•	
CO	•	•	•
CT	•	•	•
DC			
DE	•		•
FL	•	•	•
GA			•
HI			
IA			
ID			
IL	•	•	
IN	•	•	•
KS	•	•	
KY	•	•	•
LA			
MA	•	•	
MD	•	•	
ME	•	•	•
MI	•		•
MN	•	•	
MO	•	•	
MS			
MT	•	•	
NC			
ND			
NE	•	•	•
NH	•	•	•
NJ	•	•	•
NM			
NV	•	•	
NY	•	•	
OH	•	•	•
OK	•	•	
OR			
PA	•	•	•
RI	•	•	
SC			
SD	•	•	

State:	Parents can provide written statements to not have children immunized	Physicians can provide written statements to exempt children for medical reasons	Records must be provided within specific timeframes
TN	•	•	
TX	•	•	•
UT	•		
VA	•	•	
VT	•	•	
WA	•	•	•
WI			
WV	•	•	•
WY			

Child Immunization Requirements (continued)

State:	Centers can exclude children until records or exemptions provided	Centers can accept children on conditional basis if immunizations are not complete	Centers can refuse enrollment to children not immunized
Total:	16	7	2
AK		•	
AL			
AR	•		
AZ	•	•	
CA	•	•	
CO	•		•
CT	•	•	
DC	•		
DE	•		
FL			
GA	•		
HI			
IA			
ID			
IL			
IN	•		
KS			
KY			
LA			
MA			
MD	•		
ME			
MI			
MN			
MO			
MS			
MT	•	•	
NC			

State:	Centers can exclude children until records or exemptions provided	Centers can accept children on conditional basis if immunizations are not complete	Centers can refuse enrollment to children not immunized
ND			
NE	•		
NH			
NJ			
NM			
NV			
NY			
OH			
OK	•		
OR			
PA			
RI	•		
SC			
SD			
TN			
TX		•	
UT	•		
VA	•		
VT			
WA		•	•
WI			
WV			
WY			

Additional Health Requirements

State:	Require lead-level blood screenings prior to enrollment	Centers required to keep emergency contact information in children's records
Total:	6	42
AK		•
AL		•
AR		•
AZ		•
CA		•
CO		•
CT		•
DC	•	•
DE		•
FL		•
GA		•
HI		•
IA		•
ID		
IL	•	•
IN		•
KS		•
KY		•
LA		
MA	•	•
MD	•	•
ME		•
MI		
MN		•
MO		•
MS		•
MT		•
NC		•
ND		•
NE		
NH		•
NJ		
NM		•
NV		
NY	•	•
OH		
OK		•
OR		•
PA		•
RI	•	
SC		•
SD		
TN		•

State:	Require lead-level blood screenings prior to enrollment	Centers required to keep emergency contact information in children's records
TX		•
UT		•
VA		•
VT		•
WA		•
WI		•
WV		•
WY		•

Child Nutrition Requirements

State:	Requirements about nutritional content of food served to children	Requirements about feeding infants	Requirements include an interval of time between meals/snacks being served	Centers required to post menus of meals/snacks served
Total:	50	49	48	40
AK	•	•		•
AL	•	•	•	•
AR	•	•	•	
AZ	•	•	•	•
CA	•	•	•	•
CO	•	•	•	•
CT	•	•	•	•
DC	•	•	•	•
DE	•	•	•	•
FL	•	•		•
GA	•	•	•	•
HI	•	•	•	
IA	•	•	•	
ID				
IL	•	•	•	•
IN	•	•	•	•
KS	•	•	•	•
KY	•	•	•	•
LA	•	•	•	•
MA	•	•	•	•
MD	•	•	•	•
ME	•	•	•	
MI	•	•	•	•
MN	•	•	•	
MO	•	•	•	
MS	•	•	•	•
MT	•	•	•	•
NC	•	•	•	•
ND	•		•	
NE	•	•	•	
NH	•	•	•	
NJ	•	•	•	
NM	•	•	•	•
NV	•	•	•	•
NY	•	•	•	•
OH	•	•	•	•
OK	•	•	•	•
OR	•	•	•	•
PA	•	•	•	•
RI	•	•	•	•
SC	•	•	•	•
SD	•	•	•	•

State:	Requirements about nutritional content of food served to children	Requirements about feeding infants	Requirements include an interval of time between meals/snacks being served	Centers required to post menus of meals/snacks served
TN	•	•	•	•
TX	•	•	•	•
UT	•	•	•	•
VA	•	•	•	•
VT	•	•	•	•
WA	•	•	•	•
WI	•	•	•	•
WV	•	•	•	•
WY	•	•	•	•

Child Nutrition Requirements (continued)

State:	Parents/guardians allowed to provide food for own children	Centers required to provide supplemental food to meet nutritional requirements	Centers required to provide all meals/snacks	Parents/guardians allowed to bring food for all children for special occasions
Total:	36	11	5	4
AK	•			
AL	•		•	
AR				
AZ	•			
CA				
CO	•	•		
CT	•			
DC				
DE	•	•		
FL				
GA				
HI	•			
IA	•			•
ID				
IL			•	•
IN			•	
KS				
KY	•	•		
LA	•			
MA	•			
MD	•			
ME	•			
MI			•	
MN	•			
MO			•	
MS	•			•
MT	•			

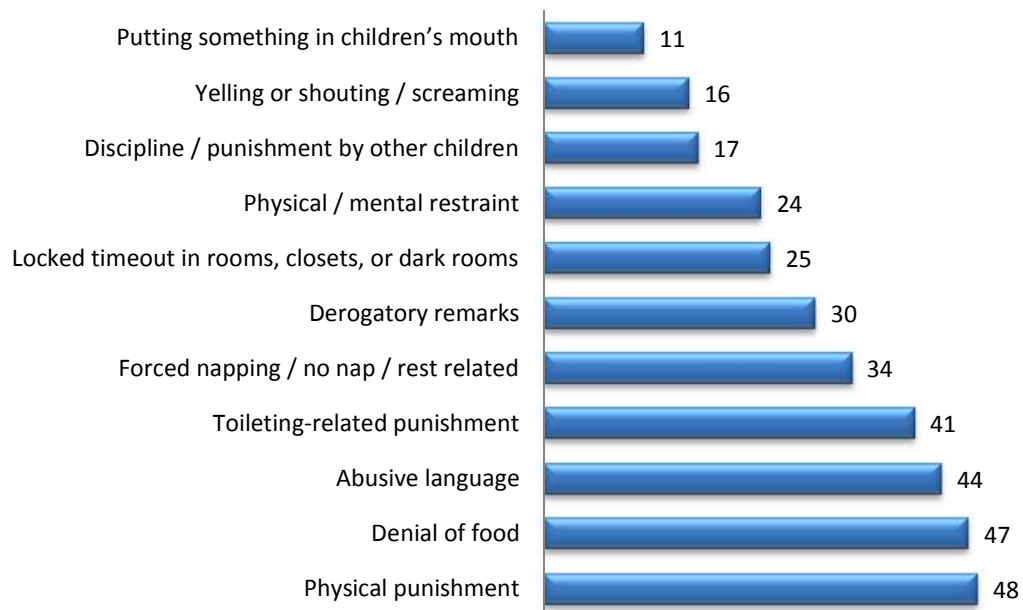
State:	Parents/guardians allowed to provide food for own children	Centers required to provide supplemental food to meet nutritional requirements	Centers required to provide all meals/snacks	Parents/guardians allowed to bring food for all children for special occasions
NC	•	•		
ND	•	•		
NE				
NH	•			
NJ	•			
NM				
NV	•			
NY		•		
OH	•	•		
OK	•	•		
OR	•	•		
PA	•			
RI	•	•		
SC	•			
SD	•			
TN				
TX	•			
UT	•			
VA	•	•		
VT	•			
WA	•			•
WI	•			
WV	•			
WY	•			

Regulations Pertaining to Discipline of Children

State:	Regulations specify types of discipline allowed	Supervised separation from groups permitted	Corporal punishment permitted
Total:	42	24	2
AK	•		
AL			
AR	•	•	
AZ	•	•	
CA			
CO	•	•	
CT	•		
DC	•		
DE	•	•	
FL			
GA			
HI	•		
IA	•		
ID			
IL	•	•	
IN	•		
KS			
KY			
LA	•	•	•
MA	•		
MD			
ME	•		
MI	•		
MN	•	•	
MO	•	•	
MS	•	•	
MT	•		
NC	•		
ND	•	•	
NE	•	•	
NH	•	•	
NJ	•	•	
NM	•	•	
NV	•	•	
NY	•		
OH	•	•	
OK	•	•	
OR	•		
PA			
RI	•		
SC	•	•	•
SD	•		
TN	•		

State:	Regulations specify types of discipline allowed	Supervised separation from groups permitted	Corporal punishment permitted
TX	•	•	
UT	•	•	
VA	•	•	
VT	•		
WA	•		
WI	•	•	
WV	•	•	
WY	•	•	

Prohibited Forms of Discipline



Prohibited Forms of Discipline

State:	Physical punishment	Denial of food	Abusive language	Toileting-related punishment	Forced napping / no nap / rest related	Derogatory remarks
Total:	48	47	44	41	34	30
AK	•	•	•	•	•	•
AL	•	•	•	•	•	•
AR	•	•	•	•		•
AZ	•	•	•	•	•	
CA	•	•	•		•	•
CO	•	•	•	•	•	•
CT	•		•			
DC	•	•	•	•		
DE	•	•	•	•		
FL	•	•		•	•	
GA	•	•	•	•	•	•
HI	•					
IA	•	•	•	•	•	•
ID						
IL	•	•	•	•	•	•
IN	•	•	•	•	•	
KS	•	•	•			
KY	•	•	•	•	•	
LA		•				•
MA	•	•	•	•		•
MD	•	•	•			
ME	•	•	•	•	•	
MI	•	•	•	•	•	•
MN	•	•	•	•		•
MO	•	•	•	•	•	•
MS	•	•	•	•	•	
MT	•					
NC	•	•		•	•	
ND	•	•	•	•		•
NE	•	•	•	•	•	•
NH	•	•	•	•	•	
NJ	•	•	•	•	•	
NM	•	•	•	•	•	•
NV	•	•	•	•	•	•
NY	•	•		•	•	
OH	•	•	•	•	•	•
OK	•	•	•	•	•	•
OR	•	•	•	•	•	
PA	•	•	•			•
RI	•	•	•	•		
SC		•	•	•	•	•
SD	•	•	•	•	•	•

State:	Physical punishment	Denial of food	Abusive language	Toileting-related punishment	Forced napping / no nap / rest related	Derogatory remarks
TN	•	•	•	•	•	•
TX	•	•	•	•	•	•
UT	•	•	•	•	•	•
VA	•	•	•	•	•	
VT	•	•	•	•		•
WA	•	•	•			•
WI	•	•	•	•	•	•
WV	•	•	•	•	•	•
WY	•	•	•	•	•	•

Prohibited Forms of Discipline (continued)

State:	Locked timeout in rooms, closets, or dark rooms	Physical / mental restraint	Discipline / punishment by other children	Yelling or shouting / screaming	Putting something in children's mouth
Total:	25	24	17	16	11
AK	•	•		•	
AL		•	•	•	•
AR		•		•	•
AZ			•		
CA					
CO	•				
CT		•			
DC	•	•	•		
DE					
FL					
GA	•	•	•		
HI					
IA	•	•			
ID					
IL					
IN	•	•		•	
KS	•	•			•
KY				•	
LA			•		
MA					
MD		•		•	•
ME		•			•
MI	•	•			
MN		•			
MO	•			•	
MS		•	•	•	
MT					
NC	•		•		

State:	Locked timeout in rooms, closets, or dark rooms	Physical / mental restraint	Discipline / punishment by other children	Yelling or shouting / screaming	Putting something in children's mouth
ND	•		•	•	
NE				•	
NH		•		•	
NJ					
NM				•	•
NV			•		
NY	•		•		•
OH	•	•	•		
OK	•	•	•		•
OR	•		•	•	
PA					
RI					
SC	•	•	•		
SD	•	•			•
TN					
TX	•			•	•
UT	•	•		•	
VA	•	•	•		
VT	•				
WA	•	•		•	
WI	•	•			
WV	•	•	•		•
WY	•		•		

Activity Requirements

State:	Centers required to have written daily schedules of activities	Centers required to post schedules daily
Total:	39	25
AK	•	
AL	•	•
AR	•	
AZ	•	•
CA		
CO	•	
CT	•	
DC		
DE	•	
FL	•	•
GA	•	•
HI		
IA	•	•
ID		
IL	•	•
IN	•	•
KS	•	•
KY	•	•
LA	•	•
MA	•	•
MD	•	•
ME	•	•
MI	•	•
MN		
MO	•	
MS		
MT	•	
NC	•	•
ND	•	
NE		•
NH	•	
NJ	•	
NM	•	•
NV		
NY		
OH	•	•
OK	•	
OR	•	•
PA	•	•
RI	•	
SC	•	
SD	•	
TN		

State:	Centers required to have written daily schedules of activities	Centers required to post schedules daily
TX	•	•
UT	•	•
VA	•	•
VT		
WA	•	•
WI	•	
WV	•	•
WY		

Types of Required Activities

State:	Outdoor play	Active play	Quiet play	Nap or rest period	Group activities	Individual activities
Total:	46	39	39	38	33	33
AK	•	•	•	•	•	•
AL	•		•	•	•	
AR	•	•	•	•		
AZ	•	•	•	•	•	
CA		•	•	•		
CO	•					
CT	•	•	•	•	•	•
DC	•	•	•	•		
DE	•	•	•	•	•	•
FL	•	•	•	•		
GA	•	•	•	•	•	•
HI		•	•			
IA	•	•	•	•	•	•
ID						
IL	•	•	•	•		•
IN	•	•	•	•	•	•
KS	•	•	•	•	•	•
KY	•	•	•		•	•
LA	•	•	•	•		•
MA	•		•	•	•	•
MD	•	•	•	•	•	•
ME	•			•		
MI	•	•	•	•	•	•
MN	•	•	•			
MO	•	•	•	•	•	•
MS	•	•	•	•		
MT		•	•		•	•
NC	•	•	•	•	•	•
ND	•	•	•		•	•
NE	•	•	•	•	•	•
NH	•			•	•	•
NJ	•	•	•	•	•	•
NM	•			•	•	•
NV	•	•		•		
NY	•			•	•	
OH	•	•	•			•
OK	•	•		•	•	•
OR	•	•	•	•	•	•
PA	•					
RI	•	•	•	•	•	•
SC	•	•	•		•	•
SD	•	•	•		•	•
TN	•	•	•	•		

State:	Outdoor play	Active play	Quiet play	Nap or rest period	Group activities	Individual activities
TX	•	•	•	•	•	•
UT	•			•		
VA	•	•	•	•	•	•
VT	•				•	•
WA	•	•	•	•	•	•
WI	•	•	•	•	•	•
WV	•	•	•	•	•	•
WY						

Types of Required Activities (continued)

State:	Indoor play	Regular meal / snack times	Child-initiated activities	Gross motor activities	Staff-initiated activities	Free play
Total:	33	29	22	22	20	19
AK	•	•		•		
AL	•	•				•
AR						
AZ	•	•	•	•	•	
CA		•				
CO						
CT	•	•	•		•	
DC		•	•		•	
DE	•					•
FL	•	•				
GA	•	•	•	•	•	•
HI			•			•
IA	•		•	•	•	
ID						
IL	•	•	•	•	•	•
IN	•	•		•	•	
KS		•		•		
KY	•			•		•
LA	•	•				
MA	•	•		•		•
MD			•		•	
ME	•	•				
MI			•	•	•	
MN	•		•	•	•	
MO	•	•				•
MS		•				
MT						•
NC	•	•	•		•	•
ND	•		•			•
NE		•				
NH	•	•				•

State:	Indoor play	Regular meal / snack times	Child-initiated activities	Gross motor activities	Staff-initiated activities	Free play
NJ	•		•	•	•	•
NM	•	•				
NV				•		
NY	•	•	•		•	
OH	•		•	•		
OK	•	•		•		
OR	•	•		•		•
PA						•
RI	•		•	•	•	
SC	•					
SD	•					
TN		•	•		•	•
TX	•	•	•	•	•	
UT		•				
VA		•	•	•	•	•
VT	•		•	•	•	
WA	•		•	•	•	•
WI	•	•				
WV	•	•	•	•	•	•
WY						

Types of Required Activities (continued)

State:	Fine motor activities	Toileting and washing / hand washing	Small group activities	Creative expression	Large group activities
Total:	19	17	16	14	13
AK		•		•	•
AL		•	•		
AR					
AZ	•		•		•
CA		•			
CO					
CT		•	•	•	
DC					
DE					
FL					
GA	•	•	•	•	•
HI					
IA	•			•	
ID					
IL	•	•	•		•
IN	•		•	•	•
KS	•	•			
KY	•				

State:	Fine motor activities	Toileting and washing / hand washing	Small group activities	Creative expression	Large group activities
LA					
MA	•	•	•	•	•
MD					
ME					
MI	•		•		•
MN	•			•	
MO		•	•		
MS					
MT					
NC		•	•		•
ND			•		
NE		•			
NH		•		•	
NJ	•		•		•
NM					
NV		•			
NY			•		•
OH				•	
OK	•		•		•
OR	•	•		•	
PA					
RI	•		•		•
SC					
SD					
TN					
TX	•				
UT		•			
VA	•			•	
VT	•		•	•	•
WA	•			•	
WI		•		•	
WV	•	•			
WY					

Developmental Domains Related to Required Activities

State:	Social development	Physical development	Cognitive / intellectual development	Emotional development	Language / literacy development	Cultural development
Total:	33	33	32	32	31	19
AK	•	•	•	•	•	
AL						
AR				•		
AZ	•	•	•	•	•	•
CA						
CO						
CT		•	•	•	•	•
DC	•	•	•	•	•	•
DE	•	•	•	•	•	
FL	•		•	•		
GA		•			•	
HI	•	•	•	•	•	•
IA	•		•	•	•	•
ID						
IL	•	•	•	•	•	•
IN	•	•	•	•	•	•
KS	•	•	•	•	•	
KY	•	•	•	•		•
LA						
MA	•					•
MD	•	•	•	•	•	
ME						
MI	•	•	•	•	•	
MN	•	•	•	•		•
MO						
MS					•	
MT	•	•	•	•	•	
NC	•	•	•	•		
ND	•	•	•	•	•	•
NE						
NH					•	
NJ	•	•	•	•	•	•
NM	•			•		
NV	•	•	•	•	•	•
NY	•	•	•	•	•	•
OH					•	
OK	•	•	•	•		
OR		•	•			
PA	•	•	•	•	•	•
RI	•	•	•	•	•	•
SC		•			•	
SD	•	•	•	•	•	

TN						
TX	•	•	•	•	•	
UT	•	•	•	•		
VA	•	•			•	
VT	•	•	•	•	•	•
WA	•	•	•	•	•	•
WI	•	•	•	•	•	
WV	•	•	•		•	•
WY						

Specific Activities Required to Address Developmental Domains

State:	Social development	Physical development	Cognitive / intellectual development	Emotional development	Language / literacy development	Cultural development
Total:	5	7	7	4	12	6
AK		•	•		•	
AL						
AR						
AZ						
CA						
CO						
CT		•	•	•	•	
DC						
DE						
FL						
GA		•			•	
HI	•	•	•	•		•
IA						•
ID						
IL						
IN					•	
KS						
KY						
LA						
MA	•					•
MD						
ME						
MI					•	
MN						
MO						
MS					•	
MT					•	
NC						
ND						
NE						
NH						
NJ						
NM						
NV						
NY						
OH						
OK						
OR						
PA						
RI						
SC						
SD						

State:	Social development	Physical development	Cognitive / intellectual development	Emotional development	Language / literacy development	Cultural development
TN						
TX	•	•	•	•	•	•
UT						
VA					•	
VT	•	•	•	•	•	•
WA			•		•	
WI						
WV	•	•	•		•	•
WY						

Required Equipment and Materials

State:	Gross motor equipment (indoor and/or outdoor)	Fine motor / manipulatives	Books and other literacy materials	Art supplies / creative activities	Blocks / construction materials
Total:	31	30	29	29	20
AK		•	•		
AL	•	•	•	•	•
AR			•		
AZ	•	•	•	•	
CA					
CO	•	•	•	•	
CT			•		
DC	•		•		
DE					
FL					
GA	•	•		•	
HI	•	•			
IA					
ID					
IL	•	•	•	•	
IN	•	•	•	•	•
KS					
KY					
LA	•		•	•	
MA	•	•	•	•	•
MD	•	•		•	•
ME	•	•	•	•	•
MI	•	•		•	
MN	•	•	•	•	•
MO	•	•	•	•	•
MS	•	•	•	•	•
MT	•	•	•	•	•
NC	•	•	•		
ND					
NE					
NH					•
NJ	•	•	•	•	
NM					
NV	•				
NY	•				
OH	•	•	•	•	•
OK		•	•	•	•
OR	•	•	•	•	
PA	•	•		•	
RI	•	•	•	•	•
SC		•	•	•	•
SD	•			•	

State:	Gross motor equipment (indoor and/or outdoor)	Fine motor / manipulatives	Books and other literacy materials	Art supplies / creative activities	Blocks / construction materials
TN	•	•	•	•	•
TX	•	•	•	•	•
UT					
VA		•	•	•	•
VT	•	•	•	•	•
WA			•		
WI	•	•		•	•
WV	•	•	•	•	•
WY					

Required Equipment and Materials (continued)

State:	Dramatic play / pretend materials	Music materials	Science / experimental / math (counting / sorting) materials	Sensory materials	Technology / computers
Total:	29	23	20	18	2
AK					
AL	•	•	•		
AR					
AZ	•	•	•		
CA					
CO	•	•	•		
CT					
DC					
DE					
FL					
GA	•				
HI					
IA					
ID					
IL	•	•	•		
IN	•	•	•	•	
KS					
KY					
LA	•	•		•	
MA	•	•	•	•	
MD	•	•	•		
ME	•	•	•	•	
MI	•	•		•	
MN	•	•	•	•	
MO	•	•	•	•	
MS	•	•		•	
MT	•			•	

State:	Dramatic play / pretend materials	Music materials	Science / experimental / math (counting / sorting) materials	Sensory materials	Technology / computers
NC	•	•	•	•	•
ND					
NE					
NH					
NJ	•	•	•	•	
NM					
NV					
NY					
OH	•	•	•	•	
OK	•		•		
OR	•		•	•	
PA	•				
RI	•	•	•		
SC		•			
SD	•				
TN	•	•	•	•	
TX	•	•		•	
UT					
VA	•	•		•	
VT	•	•	•	•	
WA					
WI	•		•		
WV	•	•	•	•	•
WY					

Communicating with Parents

State:	Requirement regarding parental involvement	Require centers to provide opportunities for parental involvement	Require centers to encourage parental involvement	Require centers to provide access to centers at all times (including without prior notice)
Total:	24	13	10	46
AK	•		•	•
AL				•
AR				•
AZ	•			•
CA				•
CO				•
CT	•	•	•	•
DC	•		•	•
DE	•	•		•
FL	•	•	•	•
GA				•
HI				
IA				•
ID				
IL	•		•	•
IN				•
KS				•
KY				
LA				
MA	•	•		•
MD				•
ME	•	•		•
MI	•			•
MN				•
MO				•
MS				•
MT				•
NC				•
ND	•		•	•
NE				•
NH				•
NJ	•	•		•
NM				
NV	•			•
NY				•
OH	•	•	•	•
OK	•	•		•
OR				•
PA	•		•	•
RI	•	•		•
SC				•

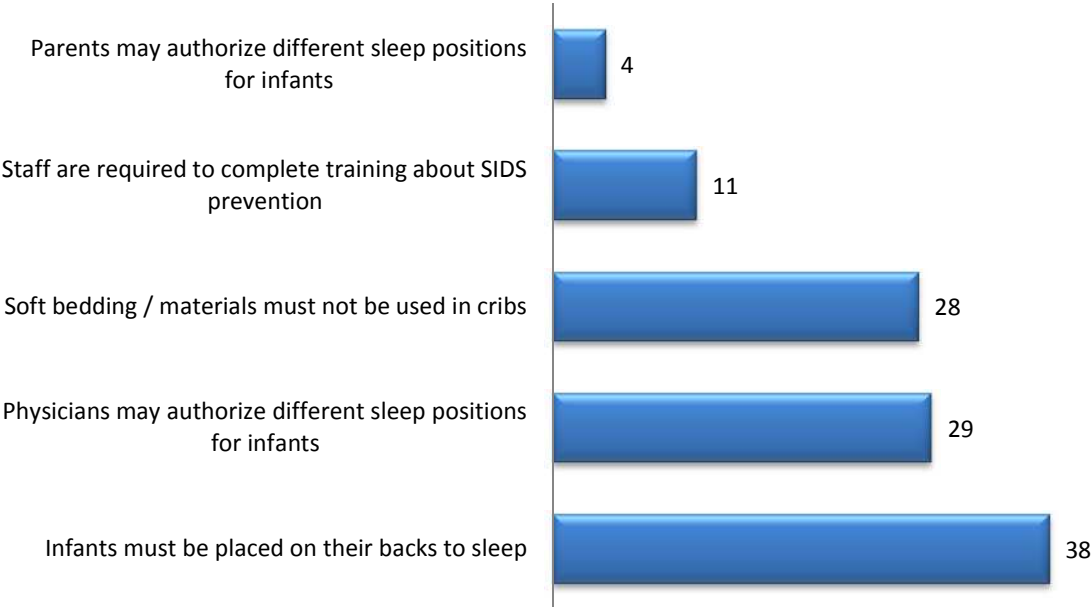
State:	Requirement regarding parental involvement	Require centers to provide opportunities for parental involvement	Require centers to encourage parental involvement	Require centers to provide access to centers at all times (including without prior notice)
SD				•
TN	•			•
TX	•	•		•
UT				•
VA	•	•		•
VT	•		•	•
WA	•		•	•
WI				•
WV	•	•		•
WY	•	•		•

Communicating with Parents (continued)

State:	Centers must provide written copies of policies and procedures	Centers must keep logs of children's care and communicate with parents	Centers must hold regularly scheduled meetings with parents	Centers must have resource areas for parents
Total:	41	24	16	3
AK	•			•
AL	•			
AR		•		
AZ	•	•		
CA	•			
CO	•		•	
CT				
DC	•			
DE	•	•	•	
FL	•	•		
GA	•			
HI	•		•	
IA	•	•		
ID				
IL	•	•		
IN	•	•	•	
KS		•		
KY				
LA	•			
MA	•	•	•	
MD		•		
ME		•		
MI	•			
MN	•	•	•	
MO	•			

State:	Centers must provide written copies of policies and procedures	Centers must keep logs of children's care and communicate with parents	Centers must hold regularly scheduled meetings with parents	Centers must have resource areas for parents
MS	•			
MT	•			
NC	•	•		•
ND	•	•	•	
NE				
NH				
NJ	•		•	
NM	•			
NV	•			
NY	•		•	
OH	•		•	
OK	•	•	•	•
OR	•	•		
PA	•			
RI	•	•	•	
SC	•			
SD				
TN	•	•		
TX	•	•		
UT	•			
VA	•	•	•	
VT	•	•	•	
WA	•	•		
WI	•	•	•	
WV	•	•		
WY	•		•	

Requirements Relating to the Risk of SIDS



Requirements Regarding the Risk of SIDS

State:	Infants must be placed on their backs to sleep	Physicians may authorize different sleep positions for infants	Soft bedding / materials must not be used in cribs	Staff are required to complete training about SIDS prevention	Parents may authorize different sleep positions for infants
Total:	38	29	28	11	4
AK	•	•	•	•	
AL	•	•	•		
AR	•	•			
AZ	•		•		
CA					
CO	•		•		
CT	•	•	•		
DC	•		•	•	
DE					
FL	•	•			
GA	•	•	•		
HI					
IA	•	•			•
ID					
IL	•	•	•		
IN	•				
KS	•		•	•	
KY	•	•	•		
LA			•		
MA			•		
MD	•	•	•		
ME	•	•	•		
MI	•	•	•		
MN	•		•	•	•
MO	•	•			
MS	•	•	•		
MT	•	•	•		
NC	•	•		•	•
ND					
NE					
NH					
NJ	•	•	•		
NM	•	•			
NV	•			•	
NY	•	•			
OH	•	•	•		
OK	•	•	•	•	
OR	•				
PA	•	•	•		
RI					
SC					

State:	Infants must be placed on their backs to sleep	Physicians may authorize different sleep positions for infants	Soft bedding / materials must not be used in cribs	Staff are required to complete training about SIDS prevention	Parents may authorize different sleep positions for infants
SD					
TN	•		•	•	
TX	•	•	•	•	
UT	•	•		•	
VA	•	•	•		
VT	•	•	•		
WA	•	•	•		•
WI	•	•	•	•	
WV	•	•			
WY					

Amount of Indoor Space Required per Child

State:	25 square feet	30 square feet	32 square feet	35 square feet	40 square feet
Total:	3	3	1	42	1
AK				•	
AL			•		
AR				•	
AZ	•				
CA				•	
CO		•			
CT				•	
DC				•	
DE				•	
FL				•	
GA				•	
HI				•	
IA				•	
ID					
IL				•	
IN				•	
KS				•	
KY				•	
LA				•	
MA				•	
MD				•	
ME				•	
MI				•	
MN				•	
MO				•	
MS				•	
MT				•	
NC	•				
ND				•	
NE				•	
NH				•	
NJ				•	
NM				•	
NV				•	
NY				•	
OH				•	
OK				•	
OR				•	
PA					•
RI				•	
SC				•	
SD				•	
TN		•			
TX		•			

State:	25 square feet	30 square feet	32 square feet	35 square feet	40 square feet
UT				•	
VA	•				
VT				•	
WA				•	
WI				•	
WV				•	
WY				•	

State:	Infants	Toddlers	Preschool-age Children	School-age Children
AZ	35 square feet	35 square feet	25 square feet	N/A
CO	50 square feet	45 square feet	30 square feet	30 square feet
IL	55 square feet	55 square feet	35 square feet	N/A
IN	50 square feet	N/A	N/A	N/A
MI	50 square feet	50 square feet	35 square feet	N/A
MO	35 square feet	35 square feet	35 square feet	35 square feet
MS	40 square feet	45 square feet	35 square feet	35 square feet
NY	35 square feet	35 square feet	N/A	N/A
OR	N/A	N/A	N/A	50 square feet
PA	40 square feet	50 square feet	N/A	N/A
RI	45 square feet	45 square feet	35 square feet	N/A
VA	25 square feet	N/A	N/A	N/A
WA	50 square feet	35 square feet	35 square feet	N/A
WY	35 square feet	35 square feet	N/A	N/A

N=14 states, excluding AK, AL, AR, CA, CT, DC, DE, FL, GA, HI, IA, ID, KS, KY, LA, MA, MD, ME, MN, MT, NC, ND, NE, NH, NJ, NM, NV, OH, OK, SC, SD, TN, TX, UT, VT, WI, WV

N/A=Not addressed; state does not specify square footage for age group

Amount of Outdoor Space Required per Child

State:	37.5 square feet	40 square feet	45 square feet	50 square feet	60 square feet
Total:	1	1	1	4	4
AK					
AL					•
AR					
AZ					
CA					
CO					
CT					
DC					•
DE					
FL			•		
GA					
HI					
IA					
ID					
IL					
IN					
KS					
KY					•
LA					
MA					
MD					
ME					
MI					
MN					
MO					
MS					
MT					
NC					
ND					
NE				•	
NH				•	
NJ					
NM					
NV	•				
NY					
OH					•
OK					
OR					
PA					
RI					
SC					
SD				•	
TN				•	
TX					

State:	37.5 square feet	40 square feet	45 square feet	50 square feet	60 square feet
UT		•			
VA					
VT					
WA					
WI					
WV					
WY					

Amount of Outdoor Space Required per Child (continued)

State:	65 square feet	75 square feet	80 square feet	100 square feet
Total:	1	31	1	1
AK		•		
AL				
AR		•		
AZ		•		
CA		•		
CO		•		
CT		•		
DC				
DE		•		
FL				
GA				•
HI				
IA		•		
ID				
IL		•		
IN		•		
KS		•		
KY				
LA		•		
MA		•		
MD		•		
ME				
MI		•		
MN		•		
MO		•		
MS		•		
MT				
NC		•		
ND		•		
NE				
NH				
NJ				
NM		•		
NV				

State:	65 square feet	75 square feet	80 square feet	100 square feet
NY				
OH				
OK		•		
OR		•		
PA	•			
RI		•		
SC		•		
SD				
TN				
TX			•	
UT				
VA		•		
VT		•		
WA		•		
WI		•		
WV		•		
WY		•		

Other Amounts of Outdoor Space Required

Minimum Requirement	State
150 square feet	NJ
750 square feet	KS, MO, WI
1,200 square feet	MI
1,500 square feet	CO, MN
1,800 square feet	OK

Requirements Relating to Interior Conditions and Equipment

State:	Requirement about condition of indoor area of the building / facility	Requirements about the condition of indoor equipment
Total:	47	45
AK		•
AL	•	•
AR	•	•
AZ	•	•
CA	•	•
CO	•	•
CT	•	•
DC	•	
DE	•	
FL	•	•
GA	•	•
HI	•	•
IA	•	•
ID		
IL	•	•
IN		
KS	•	•
KY	•	•
LA		•
MA	•	•
MD	•	•
ME	•	•
MI	•	•
MN	•	•
MO	•	•
MS	•	•
MT	•	•
NC	•	•
ND	•	•
NE	•	•
NH	•	•
NJ	•	•
NM	•	•
NV	•	•
NY	•	•
OH	•	•
OK	•	•
OR	•	•
PA	•	•
RI	•	•
SC	•	•
SD	•	
TN	•	•

State:	Requirement about condition of indoor area of the building / facility	Requirements about the condition of indoor equipment
TX	•	
UT	•	•
VA	•	•
VT	•	•
WA	•	•
WI	•	•
WV	•	•
WY	•	•

Requirements Relating to Exterior Conditions and Equipment

State:	Requirement about condition of outdoor area of the building / facility	Requirements about the condition of outdoor equipment	Requirement about condition of materials and toys for children
Total:	46	46	40
AK		•	
AL	•	•	
AR	•	•	•
AZ	•	•	•
CA	•	•	•
CO	•	•	•
CT	•	•	•
DC			•
DE	•	•	•
FL	•	•	•
GA	•	•	•
HI	•	•	•
IA	•	•	•
ID			
IL	•	•	•
IN			
KS	•	•	
KY	•	•	•
LA	•	•	•
MA	•	•	•
MD	•	•	•
ME	•	•	•
MI	•	•	•
MN	•	•	•
MO	•	•	•
MS	•		•
MT	•	•	•
NC	•	•	•
ND	•	•	•
NE	•	•	•
NH	•	•	•
NJ	•	•	•
NM	•	•	•
NV	•	•	•
NY	•	•	•
OH	•	•	•
OK	•	•	•
OR	•	•	•
PA	•	•	•
RI	•	•	•
SC	•	•	•
SD		•	

State:	Requirement about condition of outdoor area of the building / facility	Requirements about the condition of outdoor equipment	Requirement about condition of materials and toys for children
TN	•	•	
TX	•		
UT	•	•	•
VA	•	•	
VT	•	•	
WA	•	•	
WI	•	•	•
WV	•	•	•
WY	•	•	•

Indoor Equipment Safety Requirements

State:	Requirement about sleeping equipment (e.g., cots and cribs)	Requirement about safety of indoor equipment	Prohibition of the use of stackable cribs	Requirements about the surfaces under indoor equipment
Total:	48	44	26	12
AK	•	•		
AL	•		•	
AR	•	•		
AZ	•	•	•	
CA	•	•	•	
CO	•	•		
CT	•	•	•	
DC	•	•		
DE	•		•	
FL	•	•	•	
GA	•	•	•	
HI	•	•		
IA	•	•		
ID				
IL	•	•		
IN				
KS	•	•	•	
KY	•	•	•	
LA	•		•	•
MA	•	•		
MD	•	•		
ME	•	•	•	
MI	•	•	•	•
MN	•	•	•	
MO	•	•	•	
MS	•	•	•	•
MT	•	•		
NC				
ND	•	•		
NE	•	•	•	
NH	•	•	•	•
NJ	•	•	•	
NM	•	•		
NV	•	•		•
NY	•	•	•	
OH	•	•	•	•
OK	•	•		
OR	•	•	•	
PA	•	•	•	•
RI	•	•		
SC	•	•	•	
SD	•			

State:	Requirement about sleeping equipment (e.g., cots and cribs)	Requirement about safety of indoor equipment	Prohibition of the use of stackable cribs	Requirements about the surfaces under indoor equipment
TN	•	•		
TX	•	•		•
UT	•	•		•
VA	•	•	•	•
VT	•	•		•
WA	•	•	•	
WI	•	•		
WV	•	•		•
WY	•	•	•	

Indoor / Outdoor Equipment Safety Requirements

State:	Requirement about safety of outdoor equipment	Requirement about surfaces under outdoor equipment	Requirement about indoor and outdoor equipment be free of components that can pinch, sheer, or crush body tissues
Total:	46	40	30
AK	•	•	•
AL	•	•	•
AR	•	•	•
AZ	•	•	
CA	•	•	•
CO	•	•	
CT	•	•	
DC	•	•	•
DE	•	•	•
FL	•	•	•
GA	•	•	•
HI	•	•	
IA	•		
ID			
IL	•	•	•
IN			
KS	•		
KY	•	•	•
LA		•	
MA	•	•	•
MD	•		
ME	•	•	
MI	•	•	
MN	•		•
MO	•	•	•
MS	•	•	
MT	•		•
NC	•	•	•
ND	•		
NE	•	•	•
NH	•	•	
NJ	•	•	
NM	•	•	
NV	•	•	•
NY	•		•
OH	•	•	•
OK	•	•	•
OR		•	•
PA	•	•	•
RI	•	•	
SC	•	•	•
SD			

State:	Requirement about safety of outdoor equipment	Requirement about surfaces under outdoor equipment	Requirement about indoor and outdoor equipment be free of components that can pinch, sheer, or crush body tissues
TN	•	•	•
TX	•	•	•
UT	•	•	
VA	•	•	•
VT	•	•	
WA	•		•
WI	•	•	•
WV	•	•	•
WY	•	•	•

Outdoor Fencing Height Requirements

State:	At least 4 feet	At least 42 inches	At least 3 feet	Height not specified
Total:	29	1	1	8
AK				
AL	•			
AR	•			
AZ	•			
CA	•			
CO	•			
CT	•			
DC	•			
DE	•			
FL	•			
GA	•			
HI				•
IA				
ID				
IL	•			
IN				•
KS	•			
KY				•
LA				•
MA				
MD				
ME	•			
MI	•			
MN				
MO		•		
MS	•			
MT	•			
NC	•			
ND				
NE			•	
NH				
NJ				
NM	•			
NV				•
NY				
OH				•
OK	•			
OR	•			
PA	•			
RI	•			
SC	•			
SD				
TN	•			
TX	•			

State:	At least 4 feet	At least 42 inches	At least 3 feet	Height not specified
UT	•			
VA				
VT				•
WA				•
WI	•			
WV	•			
WY	•			

Requirements Relating to Swimming Pools / Bodies of Water

State:	Requirement about safety of swimming pools	Requirements to protect children from bodies of water
Total:	42	25
AK		•
AL	•	
AR	•	•
AZ	•	
CA	•	•
CO	•	•
CT	•	•
DC	•	•
DE	•	•
FL	•	•
GA	•	
HI	•	
IA		
ID		
IL	•	
IN	•	
KS	•	
KY		
LA	•	•
MA		
MD	•	•
ME	•	
MI	•	
MN	•	•
MO	•	
MS	•	•
MT	•	•
NC	•	•
ND	•	
NE	•	•
NH	•	•
NJ	•	•
NM	•	
NV		•
NY	•	
OH	•	•
OK	•	•
OR	•	•
PA	•	
RI		
SC	•	•
SD		
TN	•	

State:	Requirement about safety of swimming pools	Requirements to protect children from bodies of water
TX	•	•
UT	•	
VA	•	
VT	•	
WA	•	
WI	•	•
WV		•
WY	•	

Frequency of Fire Drills

State:	More than once a month	At least once a month	More than four times a year	At least four times a year	Other frequency	Time interval not specified
Total:	2	27	4	3	2	1
AK						
AL						
AR		•				
AZ		•				
CA						
CO					•	
CT						
DC			•			
DE						
FL					•	
GA						
HI						
IA		•				
ID						
IL		•				
IN		•				
KS		•				
KY		•				
LA		•				
MA						
MD		•				
ME		•				
MI				•		
MN		•				
MO		•				
MS		•				
MT			•			
NC		•				
ND						•
NE				•		
NH			•			
NJ		•				
NM		•				
NV		•				
NY		•				
OH		•				
OK		•				
OR		•				
PA			•			
RI	•					
SC						
SD				•		
TN						

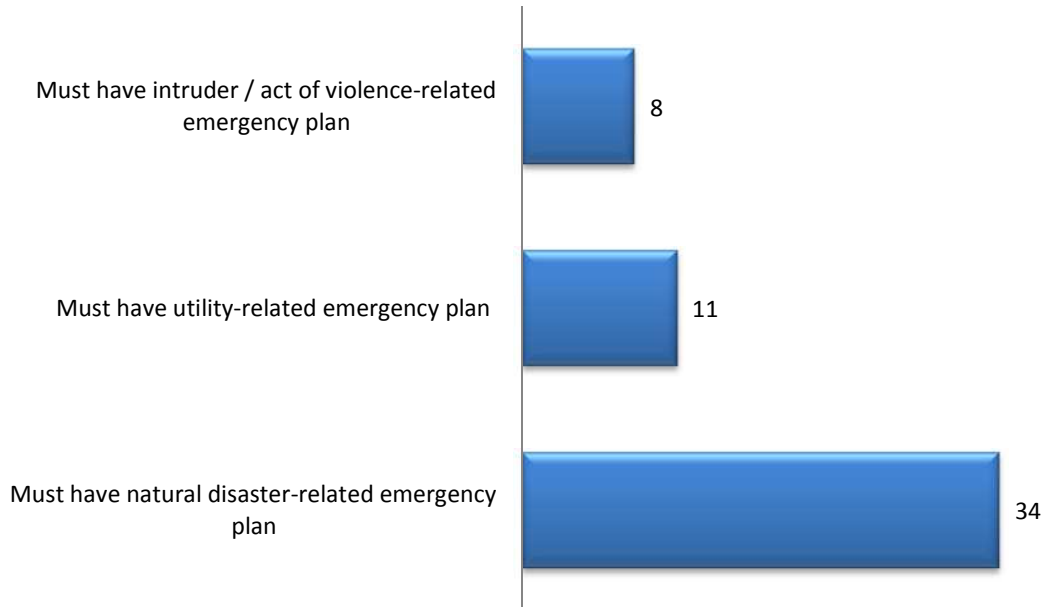
State:	More than once a month	At least once a month	More than four times a year	At least four times a year	Other frequency	Time interval not specified
TX		•				
UT		•				
VA		•				
VT						
WA		•				
WI		•				
WV	•					
WY		•				

Requirements for Smoke Detectors, Fire Extinguishers, and General Emergency Plans

State:	Must have smoke detectors	Must have fire extinguishers	Require evacuation plans for general emergencies	Required to perform general emergency drill
Total:	13	15	11	21
AK			•	
AL				
AR	•	•		•
AZ	•	•	•	
CA				•
CO				•
CT				
DC				
DE			•	•
FL		•	•	
GA				
HI		•		
IA				•
ID				
IL				•
IN				•
KS				•
KY			•	•
LA				
MA			•	
MD			•	
ME				
MI	•	•		•
MN				•
MO	•	•		•
MS	•		•	•
MT	•	•		
NC				
ND	•	•		
NE				•
NH				
NJ				
NM	•	•		
NV				•
NY	•	•		
OH				
OK	•	•		•
OR	•	•		•
PA			•	
RI				
SC				
SD				•
TN				

State:	Must have smoke detectors	Must have fire extinguishers	Require evacuation plans for general emergencies	Required to perform general emergency drill
TX		•		•
UT				•
VA				•
VT			•	
WA				
WI	•	•		
WV			•	
WY	•	•		

Emergency Preparedness Plans



Emergency Preparedness Plans

State:	Must have natural disaster-related emergency plan	Must have utility-related emergency plan	Must have intruder / act of violence-related emergency plan
Total:	34	11	8
AK			
AL	•	•	
AR	•	•	•
AZ			
CA	•		
CO	•		
CT	•		
DC			
DE	•	•	•
FL	•		
GA	•	•	
HI	•		
IA	•	•	•
ID			
IL	•		
IN	•		
KS	•		
KY	•		
LA	•	•	•
MA	•	•	
MD			
ME			
MI	•		
MN	•		
MO	•	•	•
MS	•		
MT			
NC			
ND			
NE	•		
NH			
NJ	•		
NM			
NV	•		
NY			
OH	•	•	•
OK	•	•	•
OR	•		
PA			
RI			
SC	•		
SD	•		
TN	•		

State:	Must have natural disaster-related emergency plan	Must have utility-related emergency plan	Must have intruder / act of violence-related emergency plan
TX	•		
UT	•	•	
VA	•		•
VT			
WA	•		
WI	•		
WV			
WY			

Monitoring Children's Whereabouts

State:	Must keep daily attendance records of children	Procedures for accepting and releasing children	Procedure for signing children in and out when they enter and exit facilities
Total:	34	27	14
AK	•		
AL	•	•	•
AR	•		
AZ	•	•	•
CA		•	•
CO	•	•	•
CT	•	•	
DC			
DE	•	•	
FL	•	•	
GA	•	•	
HI	•		
IA			
ID			
IL	•	•	
IN	•	•	•
KS	•		
KY	•		
LA			
MA	•		
MD			
ME	•		
MI	•		
MN			
MO	•	•	
MS		•	•
MT			
NC	•		
ND			
NE			
NH	•	•	•
NJ	•	•	
NM	•	•	•
NV			
NY	•	•	
OH	•	•	•
OK	•		
OR	•		
PA		•	
RI		•	
SC	•	•	
SD			
TN	•	•	•

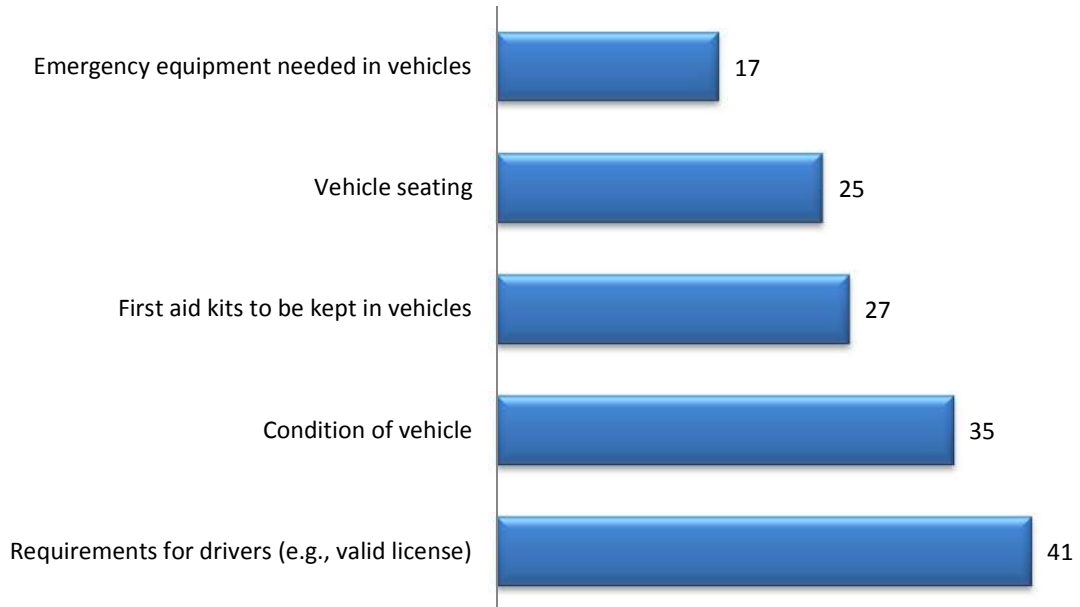
State:	Must keep daily attendance records of children	Procedures for accepting and releasing children	Procedure for signing children in and out when they enter and exit facilities
TX		•	•
UT	•	•	•
VA	•	•	
VT	•	•	
WA	•	•	•
WI	•		
WV	•	•	•
WY			

Monitoring Children's Whereabouts (continued)

State:	Policy about monitoring visitors	Requirements about use of video surveillance cameras for parents to view children	Must have security / surveillance cameras
Total:	4	1	0
AK			
AL			
AR			
AZ			
CA			
CO	•		
CT			
DC	•		
DE			
FL			
GA			
HI			
IA			
ID			
IL			
IN	•		
KS			
KY			
LA			
MA			
MD			
ME			
MI			
MN			
MO			
MS			
MT			
NC			
ND			
NE			
NH			

State:	Policy about monitoring visitors	Requirements about use of video surveillance cameras for parents to view children	Must have security / surveillance cameras
NJ			
NM			
NV			
NY	•	•	
OH			
OK			
OR			
PA			
RI			
SC			
SD			
TN			
TX			
UT			
VA			
VT			
WA			
WI			
WV			
WY			

Transportation Requirements



Transportation Requirements

State:	Requirements for drivers (e.g., valid license)	Condition of vehicle	First aid kits to be kept in vehicles	Vehicle seating	Emergency equipment needed in vehicles
Total:	41	35	27	25	17
AK					•
AL	•	•		•	
AR	•	•		•	•
AZ	•	•	•	•	
CA	•	•		•	
CO	•	•	•	•	
CT					
DC	•	•	•	•	•
DE	•	•	•		•
FL	•	•		•	
GA	•	•	•	•	•
HI					
IA	•	•			
ID					
IL	•	•	•		
IN	•	•	•		•
KS	•	•	•		
KY	•	•	•	•	•
LA	•	•	•		•
MA	•	•	•	•	
MD					
ME	•			•	
MI	•	•	•	•	•
MN	•				
MO	•			•	
MS	•	•	•		
MT	•				
NC	•	•	•	•	•
ND		•			
NE				•	
NH	•	•			
NJ	•	•	•	•	•
NM	•		•	•	•
NV	•				
NY	•	•			
OH	•	•	•		•
OK	•	•	•	•	
OR	•	•		•	
PA	•		•	•	
RI					
SC	•	•	•	•	

State:	Requirements for drivers (e.g., valid license)	Condition of vehicle	First aid kits to be kept in vehicles	Vehicle seating	Emergency equipment needed in vehicles
SD					
TN	•	•	•	•	•
TX	•		•		•
UT	•	•	•		
VA		•		•	
VT	•	•		•	
WA	•	•	•		•
WI	•	•	•	•	
WV	•	•	•		•
WY	•	•	•		

Vehicle Safety Restraint Requirements

State:	Requirement about car seats / child restraint system	Requirements about seatbelts for children
Total:	40	36
AK	•	•
AL	•	•
AR	•	•
AZ	•	•
CA		
CO	•	•
CT		
DC	•	•
DE	•	•
FL	•	•
GA	•	•
HI		
IA	•	•
ID		
IL	•	•
IN		
KS	•	•
KY	•	•
LA		
MA	•	•
MD	•	•
ME	•	•
MI	•	•
MN	•	
MO	•	•
MS	•	
MT	•	•
NC	•	•
ND		
NE	•	•
NH	•	•
NJ	•	•
NM	•	•
NV		
NY	•	•
OH	•	•
OK	•	•
OR	•	•
PA	•	
RI		
SC		
SD		
TN	•	•

State:	Requirement about car seats / child restraint system	Requirements about seatbelts for children
TX	•	•
UT	•	•
VA	•	•
VT	•	•
WA	•	
WI	•	•
WV	•	•
WY	•	•

Liability and Automobile Insurance Requirements

State:	Require automobile insurance	Require general liability insurance
Total:	27	24
AK		
AL		
AR	•	•
AZ	•	•
CA		
CO		
CT		
DC	•	•
DE	•	•
FL	•	
GA		
HI	•	
IA		
ID		
IL		•
IN	•	•
KS	•	•
KY	•	•
LA	•	•
MA	•	
MD		
ME	•	•
MI	•	
MN		
MO		
MS	•	
MT		•
NC	•	•
ND	•	•
NE		
NH	•	
NJ	•	•
NM	•	
NV	•	•
NY		•
OH		
OK	•	
OR		
PA		•
RI	•	•
SC		
SD	•	•
TN	•	•
TX		

State:	Require automobile insurance	Require general liability insurance
UT		
VA		•
VT	•	•
WA	•	
WI	•	•
WV		•
WY		

Administration of Medication to Children

State:	Must obtain permission from parent / guardian	Must maintain records of medications administered to children	Must obtain written instructions	Must have training about administration of medication
Total:	49	46	45	11
AK	•	•	•	
AL	•	•	•	
AR	•		•	
AZ	•	•	•	
CA	•	•	•	
CO	•	•	•	•
CT	•	•	•	•
DC	•	•	•	
DE	•	•	•	•
FL	•	•	•	
GA	•	•		
HI	•		•	
IA	•	•	•	
ID				
IL	•	•		
IN	•	•	•	•
KS	•	•	•	
KY	•	•	•	
LA	•	•	•	
MA	•	•	•	
MD	•	•	•	
ME	•	•	•	
MI	•	•	•	
MN	•	•	•	
MO	•	•	•	
MS	•	•		
MT				
NC	•	•	•	
ND	•	•	•	
NE	•	•	•	
NH	•	•	•	
NJ	•	•	•	•
NM	•	•	•	
NV	•	•		•
NY	•	•	•	•
OH	•	•	•	
OK	•	•	•	
OR	•	•	•	
PA	•	•	•	
RI	•	•	•	
SC	•	•	•	
SD	•		•	

State:	Must obtain permission from parent / guardian	Must maintain records of medications administered to children	Must obtain written instructions	Must have training about administration of medication
TN	•	•	•	
TX	•	•	•	
UT	•	•	•	•
VA	•	•	•	
VT	•	•	•	•
WA	•	•	•	
WI	•	•	•	
WV	•	•	•	•
WY	•	•	•	•

Requirements for Medical Procedures

State:	Requirements relating to performing medical procedures (e.g., blood glucose tests)	Requirement that permission be obtained from parents, and/or instructions obtained from parents or physicians to perform procedures
Total:	9	5
AK		
AL	•	•
AR		
AZ		
CA		
CO		
CT	•	•
DC	•	
DE		
FL		
GA		
HI		
IA		
ID		
IL		
IN	•	
KS		
KY		
LA		
MA		
MD		
ME		
MI	•	•
MN		
MO		
MS		
MT		
NC		
ND		
NE		
NH		
NJ	•	•
NM		
NV		
NY		
OH	•	
OK		
OR		
PA		
RI		
SC	•	
SD		

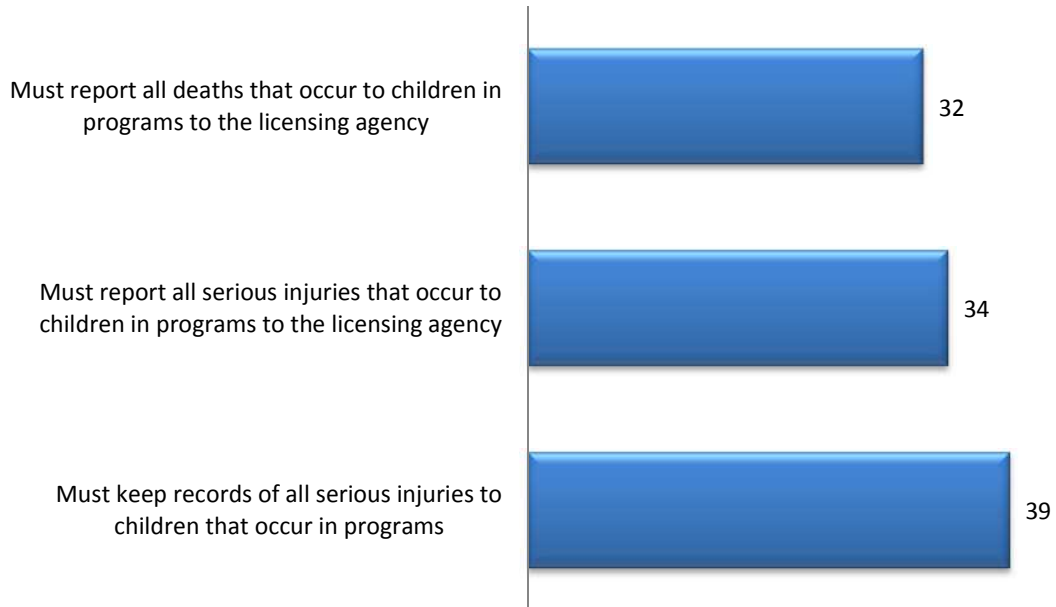
State:	Requirements relating to performing medical procedures (e.g., blood glucose tests)	Requirement that permission be obtained from parents, and/or instructions obtained from parents or physicians to perform procedures
TN		
TX	•	•
UT		
VA		
VT		
WA		
WI		
WV		
WY		

Caring for Children who are Mildly Ill

State:	Allow centers to exclude children who are mildly ill	Allow centers to admit children who are mildly ill
Total:	38	18
AK		•
AL	•	
AR	•	
AZ	•	
CA	•	
CO	•	
CT	•	
DC	•	
DE	•	
FL	•	
GA	•	
HI	•	•
IA		•
ID		
IL	•	•
IN	•	
KS		
KY	•	
LA	•	
MA	•	•
MD		
ME		
MI		
MN	•	
MO	•	•
MS	•	
MT	•	•
NC	•	•
ND		•
NE		
NH	•	
NJ	•	
NM	•	
NV		
NY	•	•
OH	•	
OK		
OR	•	•
PA	•	•
RI	•	•
SC	•	•
SD		
TN		

State:	Allow centers to exclude children who are mildly ill	Allow centers to admit children who are mildly ill
TX	•	
UT	•	•
VA	•	
VT	•	
WA	•	•
WI	•	•
WV	•	
WY	•	•

Incident Reporting Requirements



Incident Reporting Requirements

State:	Must keep records of all serious injuries to children that occur in programs	Must report all serious injuries that occur to children in programs to the licensing agency	Must report all deaths that occur to children in programs to the licensing agency
Total:	39	34	32
AK		•	•
AL	•	•	•
AR	•	•	
AZ			•
CA	•	•	•
CO	•	•	•
CT	•	•	•
DC			
DE	•	•	•
FL	•		
GA	•	•	•
HI			
IA	•		
ID			
IL	•	•	•
IN	•	•	•
KS	•		•
KY		•	•
LA	•		
MA	•	•	•
MD	•	•	•
ME	•		
MI		•	•
MN	•	•	•
MO	•		•
MS	•	•	
MT	•	•	
NC	•	•	
ND	•	•	•
NE			
NH	•		•
NJ	•	•	•
NM	•	•	•
NV	•	•	•
NY	•	•	•
OH	•	•	•
OK		•	•
OR	•	•	•
PA	•	•	•
RI	•		
SC		•	•
SD			

State:	Must keep records of all serious injuries to children that occur in programs	Must report all serious injuries that occur to children in programs to the licensing agency	Must report all deaths that occur to children in programs to the licensing agency
TN	•		
TX	•	•	
UT	•		
VA	•		
VT		•	
WA	•	•	•
WI	•	•	•
WV	•	•	•
WY	•	•	•

Health Consultants and First Aid Kits

State:	Health consultants required to be available to staff	Require first aid kits to be kept in facility	Specific items have been identified to be contained in first aid kits
Total:	19	47	27
AK		•	•
AL			
AR		•	•
AZ		•	•
CA		•	•
CO	•	•	
CT	•	•	•
DC		•	
DE	•	•	•
FL		•	•
GA		•	•
HI	•	•	
IA		•	
ID			
IL		•	•
IN	•	•	•
KS		•	
KY		•	•
LA		•	
MA	•	•	•
MD	•	•	
ME	•	•	
MI		•	•
MN	•	•	•
MO			
MS		•	•
MT		•	•
NC	•	•	
ND	•	•	
NE		•	•
NH		•	
NJ	•	•	
NM		•	•
NV	•	•	
NY	•	•	
OH		•	
OK	•	•	•
OR		•	•
PA		•	•
RI	•	•	
SC		•	
SD			
TN		•	

State:	Health consultants required to be available to staff	Require first aid kits to be kept in facility	Specific items have been identified to be contained in first aid kits
TX		•	•
UT		•	
VA		•	•
VT		•	•
WA	•	•	•
WI	•	•	
WV	•	•	•
WY		•	•

Staff Requirements for Hand Washing

State:	Requirement about locations and/or amount of hand washing facilities for staff	Required after diapering children	Before and/or after preparing, serving, and eating food
Total:	18	45	41
AK	•	•	•
AL		•	•
AR	•	•	•
AZ	•	•	
CA	•		
CO			
CT	•	•	•
DC		•	•
DE	•	•	•
FL		•	
GA		•	•
HI			
IA		•	•
ID			
IL	•	•	•
IN	•	•	•
KS	•	•	
KY	•	•	•
LA			
MA		•	•
MD	•	•	•
ME		•	•
MI		•	•
MN		•	•
MO	•	•	•
MS		•	•
MT	•	•	•
NC		•	•
ND		•	•
NE		•	
NH		•	•
NJ		•	•
NM	•	•	•
NV	•	•	•
NY		•	•
OH		•	•
OK		•	•
OR		•	•
PA		•	•
RI	•	•	•
SC	•	•	•
SD			

State:	Requirement about locations and/or amount of hand washing facilities for staff	Required after diapering children	Before and/or after preparing, serving, and eating food
TN		•	•
TX		•	•
UT		•	•
VA	•	•	•
VT		•	•
WA		•	•
WI		•	•
WV		•	•
WY		•	•

Staff Requirements for Hand Washing (continued)

State:	After toileting	After toileting children	After handling, feeding, and cleaning up after animals	After attending to ill children
Total:	39	34	22	9
AK	•	•	•	
AL	•	•	•	
AR	•	•		
AZ	•			
CA				
CO				
CT	•			
DC	•	•		•
DE	•	•	•	•
FL		•		
GA	•	•		
HI				
IA	•	•	•	
ID				
IL	•	•	•	•
IN	•	•	•	
KS		•		
KY	•	•	•	•
LA				
MA	•	•	•	
MD	•	•	•	
ME				
MI				
MN	•			
MO	•	•		
MS	•	•	•	
MT	•			
NC		•		

State:	After toileting	After toileting children	After handling, feeding, and cleaning up after animals	After attending to ill children
ND	•			
NE	•			
NH	•			
NJ	•	•	•	•
NM	•	•	•	•
NV	•	•	•	•
NY	•	•	•	
OH	•	•	•	
OK	•	•	•	
OR	•	•		
PA	•			
RI	•			
SC	•	•	•	
SD				
TN	•	•		
TX	•	•	•	
UT	•	•	•	
VA	•	•		
VT	•	•	•	•
WA	•	•	•	•
WI		•		
WV	•	•	•	
WY	•	•		

Requirements for Washing Children’s Hands

State:	Requirement about locations and/or amount of hand washing facilities for children	After toileting	Before and/or after eating food	After diapering	After handling animals
Total:	42	42	39	27	19
AK					
AL	•	•	•	•	•
AR		•	•	•	
AZ	•	•	•	•	
CA	•	•			
CO					
CT	•	•	•	•	
DC		•	•		
DE	•	•	•	•	•
FL	•	•		•	
GA	•	•	•		
HI	•				
IA	•	•	•	•	•
ID					
IL	•	•	•	•	•
IN	•	•	•	•	•
KS	•	•	•		
KY	•	•	•	•	•
LA					
MA	•	•	•	•	•
MD	•	•	•	•	•
ME	•		•	•	
MI	•	•	•		
MN	•	•	•	•	
MO	•	•	•		
MS	•	•	•	•	•
MT	•	•	•	•	
NC		•		•	
ND	•				
NE	•	•			
NH	•	•	•		
NJ	•	•	•	•	•
NM	•	•	•	•	•
NV	•	•	•		•
NY	•	•	•	•	•
OH		•	•		
OK	•	•	•	•	•
OR	•	•	•		
PA	•	•	•	•	
RI	•	•	•		
SC	•	•	•		

State:	Requirement about locations and/or amount of hand washing facilities for children	After toileting	Before and/or after eating food	After diapering	After handling animals
SD					
TN	•	•	•		
TX	•	•	•	•	•
UT	•	•	•		•
VA	•	•	•		
VT	•	•	•	•	•
WA	•	•	•	•	•
WI	•	•	•	•	
WV	•	•	•	•	•
WY	•				

Diapering Requirements

State:	Requirement about discarding soiled diapers	Changing table and areas must be sanitized after each use	Requirements specify when staff change children's diapers
Total:	44	42	32
AK			
AL	•	•	•
AR	•	•	•
AZ	•	•	
CA	•	•	•
CO			
CT	•	•	
DC	•	•	
DE	•	•	•
FL	•	•	
GA	•	•	
HI	•		•
IA	•		•
ID			
IL	•	•	
IN	•	•	
KS	•	•	•
KY	•	•	•
LA			
MA	•	•	•
MD	•		•
ME	•	•	•
MI			•
MN	•		
MO	•	•	•
MS	•	•	
MT	•	•	
NC	•	•	•
ND	•	•	•
NE		•	•
NH	•	•	•
NJ	•	•	•
NM	•	•	•
NV	•	•	
NY	•	•	•
OH	•	•	•
OK	•	•	•
OR	•	•	
PA	•	•	•
RI	•	•	•
SC	•	•	•
SD	•	•	

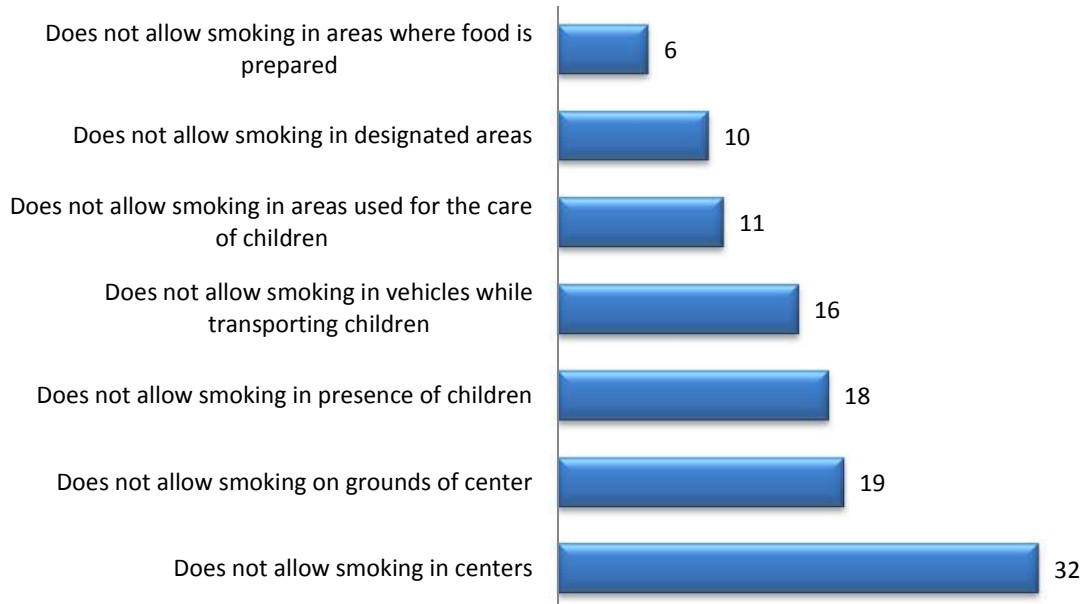
State:	Requirement about discarding soiled diapers	Changing table and areas must be sanitized after each use	Requirements specify when staff change children's diapers
TN	•	•	•
TX	•	•	•
UT	•	•	•
VA	•	•	•
VT	•	•	•
WA	•	•	•
WI	•	•	•
WV	•	•	•
WY		•	•

Diapering Requirements (continued)

State:	Sinks must be available exclusively for diapering areas	Diapering sinks may not be used for food preparation	Staff changing diapers must wear gloves
Total:	12	13	6
AK			
AL			•
AR			
AZ	•		•
CA		•	
CO			
CT	•		
DC			•
DE	•		
FL		•	
GA	•		
HI			
IA			
ID			
IL			•
IN			
KS			
KY			
LA			
MA			
MD			
ME			
MI	•		
MN			
MO		•	
MS	•	•	•
MT		•	
NC			
ND			

State:	Sinks must be available exclusively for diapering areas	Diapering sinks may not be used for food preparation	Staff changing diapers must wear gloves
NE			
NH			
NJ		•	
NM			•
NV		•	
NY	•		
OH			
OK			
OR	•	•	
PA			
RI	•	•	
SC			
SD	•	•	
TN			
TX			
UT	•	•	
VA			
VT		•	
WA			
WI	•	•	
WV			
WY			

Requirements Relating to Smoking



Requirements Relating to Smoking

State:	Does not allow smoking in centers	Does not allow smoking on grounds of center	Does not allow smoking in presence of children	Does not allow smoking in vehicles while transporting children
Total:	32	19	18	16
AK	•			
AL	•	•		
AR	•		•	
AZ				
CA	•	•		
CO				•
CT	•	•		
DC	•			•
DE			•	
FL	•	•		•
GA		•		•
HI			•	
IA	•	•		•
ID				
IL	•		•	
IN	•	•		
KS	•			•
KY			•	
LA	•	•		
MA				
MD	•	•		
ME	•	•	•	
MI	•	•		•
MN				
MO				
MS	•	•	•	
MT	•	•	•	
NC			•	
ND	•			
NE			•	
NH	•		•	
NJ	•			
NM	•	•		•
NV	•			
NY	•			•
OH			•	
OK	•			
OR				•
PA				
RI	•			
SC				
SD				

State:	Does not allow smoking in centers	Does not allow smoking on grounds of center	Does not allow smoking in presence of children	Does not allow smoking in vehicles while transporting children
TN			•	
TX	•	•		•
UT	•	•		•
VA	•		•	
VT	•	•	•	
WA	•		•	•
WI	•	•	•	•
WV	•	•	•	•
WY				•

Requirements Relating to Smoking (continued)

State:	Does not allow smoking in areas used for the care of children	Does not allow smoking in designated areas	Does not allow smoking in areas where food is prepared
Total:	11	10	6
AK			
AL			
AR		•	
AZ		•	
CA			
CO	•		
CT		•	
DC			
DE	•		•
FL			
GA		•	
HI			
IA			
ID			
IL			
IN			
KS			
KY		•	
LA			
MA	•		
MD			
ME			
MI			
MN			
MO	•		•
MS		•	
MT			
NC	•		
ND			

State:	Does not allow smoking in areas used for the care of children	Does not allow smoking in designated areas	Does not allow smoking in areas where food is prepared
NE			
NH			
NJ			
NM	•		•
NV		•	
NY	•		
OH		•	
OK			
OR	•		
PA	•		•
RI			
SC	•	•	•
SD			
TN			
TX			
UT			
VA			
VT			
WA		•	
WI			
WV			
WY	•		•

Storage of Hazardous Materials

State:	Requirements regarding accessibility of hazardous materials (e.g., cleaning products)	Must be kept out of children's reach
Total:	46	40
AK	•	•
AL	•	
AR	•	•
AZ	•	•
CA	•	
CO	•	•
CT	•	
DC	•	•
DE	•	•
FL	•	•
GA	•	•
HI		
IA		
ID		
IL	•	•
IN	•	•
KS	•	
KY	•	•
LA	•	•
MA	•	•
MD	•	•
ME	•	•
MI	•	•
MN	•	•
MO	•	•
MS	•	
MT	•	•
NC	•	•
ND	•	•
NE	•	•
NH	•	•
NJ	•	•
NM	•	•
NV		
NY	•	•
OH	•	•
OK	•	•
OR	•	•
PA	•	•
RI	•	•

State:	Requirements regarding accessibility of hazardous materials (e.g., cleaning products)	Must be kept out of children's reach
SC	•	•
SD		
TN	•	
TX	•	•
UT	•	•
VA	•	•
VT	•	•
WA	•	•
WI	•	•
WV	•	•
WY	•	•

Firearms

State:	Does not allow firearms in centers	Allows firearms in center, but must be locked in container or closet	Allows firearm in center, but ammunition must be separate	Requires centers to notify parents of the presence of firearms in facilities
Total:	21	8	4	2
AK	•			
AL	•			
AR				
AZ	•			
CA	•			
CO	•			
CT	•			
DC	•			
DE	•			
FL	•			
GA				
HI				
IA				
ID				
IL				
IN	•			
KS				
KY		•	•	
LA				
MA				
MD				
ME	•			
MI				
MN				
MO		•		
MS				
MT		•	•	
NC	•	•		
ND				
NE		•		
NH		•		
NJ				
NM	•			
NV				
NY				
OH	•			
OK		•	•	•
OR	•			
PA	•			
RI				
SC	•			
SD				

State:	Does not allow firearms in centers	Allows firearms in center, but must be locked in container or closet	Allows firearm in center, but ammunition must be separate	Requires centers to notify parents of the presence of firearms in facilities
TN	•			
TX				
UT		•	•	
VA				
VT				
WA	•			
WI	•			
WV	•			
WY				•

Animals Kept in the Home

State:	Animals are allowed in centers	Requirement about the care and health of animal	Certain animals are prohibited	Centers must notify parents of the presence of animals
Total:	43	38	22	11
AK	•			
AL	•	•	•	
AR	•		•	
AZ	•	•	•	
CA				
CO				
CT	•	•		
DC	•	•	•	
DE	•	•	•	
FL	•	•		•
GA	•	•	•	
HI	•	•		
IA	•	•	•	
ID				
IL	•	•	•	
IN	•	•	•	
KS	•	•	•	•
KY	•	•	•	•
LA				
MA	•	•		
MD	•			
ME	•	•		
MI				
MN				
MO	•	•		
MS	•	•		
MT	•	•		
NC				
ND	•	•	•	
NE	•			
NH	•	•		
NJ	•	•		
NM	•	•	•	•
NV	•	•	•	
NY	•	•		
OH	•	•		
OK	•	•	•	•
OR	•	•	•	•
PA	•	•		
RI	•	•		
SC	•	•		
SD				
TN	•	•	•	

State:	Animals are allowed in centers	Requirement about the care and health of animal	Certain animals are prohibited	Centers must notify parents of the presence of animals
TX	•	•	•	•
UT	•	•	•	•
VA	•	•		
VT	•	•		
WA	•	•		•
WI	•	•	•	•
WV	•	•	•	•
WY	•		•	

Appendix A:
NCCCQI Child
Care Trend
Research Briefs



Research Brief #1: Trends in Child Care Center Licensing Regulations and Policies for 2011

This research brief is a joint effort between the Office of Child Care's National Center on Child Care Quality Improvement (NCCCQI) and the National Association for Regulatory Administration (NARA). This is the first in a series of briefs from this collaboration to collect and analyze data about child care licensing in the United States.

Introduction

Ensuring the health and safety of children in child care is a top priority for the Office of Child Care, Administration for Children and Families, U.S. Department of Health and Human Services (OCC). In *Pathways and Partnerships for Child Care Excellence* (2010), OCC states:

Health and safety is the foundation of quality in child care. It is the paramount concern of every parent. Under the Child Care and Development Block Grant Act, every State must operate a system of child care licensing, but in many States, those standards are not high enough to ensure the health and safety of children, they do not apply to many settings in which young children are cared for, and monitoring to ensure compliance with standards is not adequate. OCC is stepping up our work with States, Territories, and Tribes to strengthen standards to ensure safety, health, and well-being, while supporting child care providers to meet standards.

OCC has made a commitment to work with States to strengthen licensing systems. These systems, which include child care licensing regulations and monitoring and enforcement policies, provide a baseline of protection for the health and safety of children in out-of-home care. Licensing helps prevent various forms of harm to children—risks from the spread of disease, fire and other building safety hazards, injury, and developmental impairment from the lack of healthy relationships with adults, adequate supervision, and/or developmentally appropriate activities.

Licensing is a process administered by State governments that sets a baseline of requirements below which it is illegal for facilities to operate.¹ States have regulations that include the requirements facilities must comply with and policies to support the enforcement of those regulations. Some States may call their regulatory processes “certification” or “registration;” for purposes of this research brief, the terms “licensing” or “licensed” are used to represent all regulatory processes.

Scope and Purpose

The purpose of this research brief is to report on the licensing requirements and policies of **child care center** licensing for all 50 States and the District of Columbia².

¹ “Licensing/licensed” is defined as permission from a State that is required to operate a child care facility, which includes meeting specific program standards.

² For this study, the District of Columbia (DC) is treated as a State. It was beyond the scope of this research to include information about States that have child care licensing programs at the city or county levels, such as Idaho (ID); Anchorage, AK; New York City; and the counties in Florida that have their own licensing programs.

States may define child care centers differently in their licensing requirements. For the purpose of categorizing the types of center-based child care settings States regulate, the following definition from the Child Care and Development Fund (CCDF) Final Rule³ is used:

Child care services for fewer than 24 hours per day per child in a non-residential setting, unless care in excess of 24 hours is due to the nature of the parent(s)' work.

In other research briefs in this series, licensing requirements and policies for family child care home and group child care homes are addressed.

Using data compiled from state child care licensing regulations and the result of NARA's survey of state licensing agencies, the Office of Child Care's National Center on Child Care Quality Improvement (NCCCQI) conducted an analysis that examines the state of licensing in 2011 and identifies trends that have become apparent during several years of data collection.

Compilation of State Licensing Requirements

State licensing requirements are regulatory requirements, including registration or certification requirements, established under State law necessary for a provider to legally operate and provide child care services in a State.

For this research, all data regarding **child care center requirements** were compiled by the NCCCQI from the regulations posted on the National Resource Center for Health and Safety in Child Care and Early Education (NRC) Web site between January 1, 2009 and December 31, 2011 at <http://nrckids.org/STATES/states.htm>.⁴

The licensing requirements data presented in this research brief only includes information from state child care licensing regulations. Additional requirements for child care facilities may be in state statutes, administrative codes, or other local, state, or Federal laws. It was beyond the scope of this work to review all laws that pertain to child care programs.

NARA Survey of Licensing Programs and Policies

The data about States' **licensing policies**, including, facility monitoring, enforcement of licensing regulations, and licensing program staffing, were gathered by the National Association for Regulatory Administration (NARA) in the *2011 NARA Child Care Licensing Programs and Policies Survey*. NARA sent the survey via SurveyMonkey®, an online survey tool, to all state child care licensing agencies in April 2012. Respondents submitted their answers via the Internet, and by July 2012, all States had responded. NARA shared the results of the survey with the NCCCQI, which conducted the analysis included in this research brief.

³ The Child Care and Development Fund (CCDF) Final Rule is available at <http://www.gpo.gov/fdsys/pkg/CFR-2011-title45-vol1/pdf/CFR-2011-title45-vol1-part98.pdf>

⁴ NRC is located at the University of Colorado Denver and is funded by the Maternal and Child Health Bureau, Health Resources and Services Administration, U.S. Department of Health and Human Services (DHHS).

Comparative Analysis

This brief also includes a comparison of data collected for 2011 with data collected on licensing regulations and policies in previous years. For the most part, *The 2005 Child Care Licensing Study* was used for this analysis, since it was the first study to use these same methodologies—a compilation of data from regulations and a survey of the state licensing agencies. *The 2005 Child Care Licensing Study* was conducted through a collaboration of the National Child Care Information and Technical Assistance Center (NCCIC) and NARA. NCCIC was a previous contract with the Office of Child Care, Administration for Children and Families, DHHS. The collaboration of NCCIC and NARA also produced comparable licensing studies in 2007 and 2008. Some of those data are also used for analysis in this research brief. The reports from the 2005, 2007, and 2008 Child Care Licensing Studies are available at http://www.naralicensing.org/Licensing_Study.

Summary of Key Findings

In the period of 2009 through 2011, more than half of States made changes to their **licensing regulations** for child care centers. A number of significant trends have emerged in the types of regulations that have changed since 2005.

- The largest increase is in the number of States that have requirements about safe sleep practices (i.e., putting infants on their backs to sleep).
- States have increased the preservice training requirements for center directors, and increased the number of ongoing training hours for all center staff roles. The median number of ongoing training hours has increased from 12 to 15 hours per year.
- The number of States requiring fingerprint checks of federal records and checks of the sex offender registry has increased dramatically.
- A small number of States lowered the child-staff ratios for at least one age group of children since 2005. In that same time period, a few States lowered the group size for at least one age group.
- States have added requirements about fences for outdoor space, transportation, and emergency preparedness, and more States prohibit firearms in child care centers.

There are several positive trends found when looking at child care **licensing policies** about monitoring and enforcement in child care centers from 2005 to 2011.

- There has been a large increase in the frequency of inspections in child care centers. Several more States now inspect at least once a year.
- The largest increase is in the number of States that put licensing information on the Internet.
- The number of States using differential monitoring has more than doubled.
- The number of States using handheld devices during licensing inspections has more than doubled.

Trends in State Child Care Center Licensing Regulations

The information in this section was compiled and analyzed from the licensing regulations posted on the National Resource Center for Health and Safety in Child Care and Early Education (NRC) Web site between January 1, 2009 and December 31, 2011 at <http://nrckids.org/STATES/states.htm>.

Child Care Centers Licensed

- Fifty (50) States, including the District of Columbia, license child care centers. One State, Idaho, does not have child care licensing at the state level.⁵

Dates and Types of Regulations

- There is a wide range of effective dates for child care center licensing regulations.
 - ◆ Twenty-seven (27) States made changes to their child care center licensing regulations from 2009 through 2011 (i.e., since data were collected for *The 2008 Child Care Licensing Study*).
 - ◆ There is one State (Nebraska) that has not changed its regulations since 1998. Rhode Island, which last updated its regulations in 1993, is currently in the process of revising its regulations.
- In addition to their center regulations, many States have separate sets of regulations for specific types of care, such as
 - ◆ School-age care in 13 States—California, Colorado, Hawaii, Indiana, Kansas, New Mexico, New York, North Dakota, Oklahoma, Rhode Island, South Dakota, Vermont, and Washington; and
 - ◆ Infant and toddler care in three (3) States—California, Hawaii, and Montana.

Definition of Licensed Child Care Centers

- There are several common elements in States' definitions of center-based facilities that are required to be licensed.
 - ◆ Three-quarters of States define a center by the minimum number of children in the facility.
 - ◆ Most States define a center as a facility that operates for less than 24 hours or any part of a 24-hour day.
 - ◆ Half of States also define a center as operating on an ongoing/regular or scheduled basis.
 - ◆ Other common definition elements include services provided for compensation, ages of the children in the facility, and the number of hours services are provided.
- State child care licensing regulations include definitions of the types of center-based facilities that are exempt from licensing.
 - ◆ At least half of States have these common types of exemptions from licensing:
 - Facilities where parents are on the premises (e.g., child care services in a shopping mall or health club);

⁵ Idaho enacted state requirements for child care centers after the data for this research brief were collected.

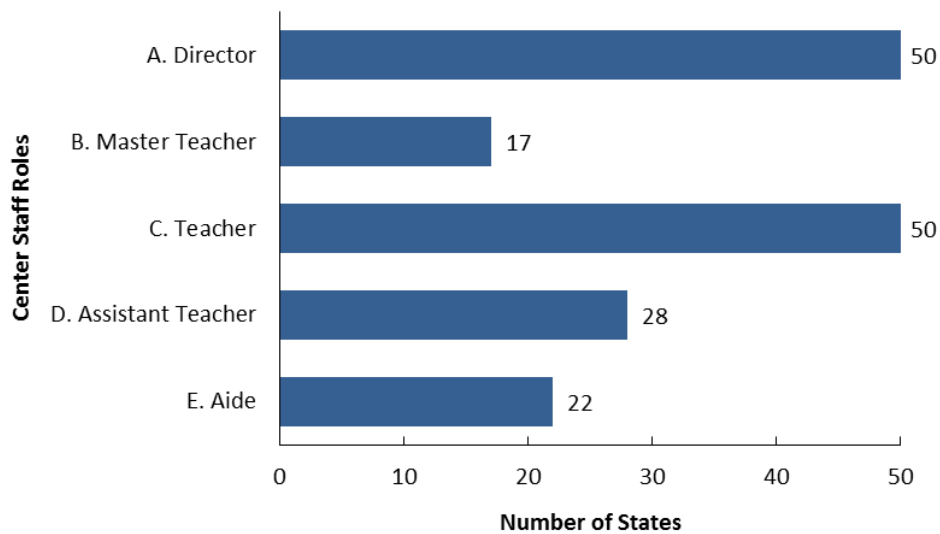
- Facilities with a small number of children in care;
- Recreation programs, instructional classes, and/or club programs; and
- Facilities operating a small number of hours per day or week.
- ◆ Twelve (12) States have various licensing exemptions for child care programs operated by religious organizations:
 - Seven (7) States exempt these programs from all licensing requirements and processes—Alabama, Arkansas, Florida, Indiana, Missouri, South Carolina, Virginia.
 - Three (3) States exempt child care programs operated by educational institutions affiliated with religious organizations—Illinois, Tennessee, Texas.
 - Two (2) States exempt these programs from some licensing requirements and processes—Maryland and North Carolina.

Staff Roles and Age Requirements

- All States that license child care centers have requirements in their regulations for the director and teacher staff roles, as shown in Figure 1.
 - ◆ Since 2005, three (3) States (Alaska, Delaware, and New Hampshire) have added the role of aide to their regulations. One State (Michigan) has added the role of master teacher.

Figure 1

Center Staff Roles Regulated



N = 50 states, including DC and excluding ID.

- The most common minimum age requirements are 21 years of age for directors, 18 for master teachers and teachers, and 16 for assistant teachers and aides.

Staff Qualifications and Ongoing Training Requirements

- Nearly all States require center directors to have a high school diploma or equivalent, have preservice training or experience, and complete a minimum number of hours of training each year, as shown in Table 1. The same is true for States with requirements for the master teacher role. Since 2005,
 - ◆ Two States (Colorado and Connecticut) have added a requirement for a high school diploma for teachers; and
 - ◆ Five (5) States have added ongoing training requirements for at least one center staff role—District of Columbia, Michigan, Montana, New Hampshire, and North Carolina.

Table 1: States With High School Diploma and/or GED, Preservice Qualifications, and Ongoing Training Requirements

Center Staff Role	Number of States			
	Role Regulated	High School Diploma or GED*	Preservice Qualifications	Ongoing Training
Director	50	47	49	46
Master teacher	17	15	17	16
Teacher	50	32	39	48
Assistant teacher	28	11	17	23
Aide	22	6	9	13

N=50 States, including DC and excluding ID

*It varies by role and State whether a high school diploma or GED is required in addition to other preservice qualifications, such as training, credentials, or experience, or as the only qualification. In most cases, it is required in addition to other qualifications.

- The most common minimum qualification for both center directors and master teachers is the Child Development Associate (CDA) Credential™. For States that have minimum qualifications for teachers, the most common type is experience—either alone or with a high school diploma or General Educational Development (GED) credential. Many States have requirements for the type of experience needed.⁶
 - ◆ Seven (7) States have changed the amount of preservice training required for directors since 2005—Connecticut, District of Columbia, Illinois, Iowa, Missouri, New Hampshire, and New Mexico.
 - ◆ One State (Colorado) changed the amount of preservice training required for teachers in the same time period.
- The number of ongoing training hours required annually ranges from 3 to 30. The median number of required training hours for most roles is 15. Many States specify the content and approved delivery methods for ongoing training.

⁶ For directors, in most States the high school diploma is required in addition to other preservice qualifications, such as training, credentials, or experience. For teachers, more often high school diplomas are the only qualification or may be paired with experience.

- ◆ The median number of required training hours has increased from 12 to 15 since 2005.
- ◆ Ten (10) States have increased the number of training hours for at least one center role since 2005—Arizona, Arkansas, Colorado, Delaware, District of Columbia, Maryland, Michigan, Montana, New Hampshire, and North Carolina.

Health and Safety Training

- Forty-seven (47) States require center staff to complete first aid training, and 46 States require CPR training. Thirty-two (32) States specify that CPR training must focus on infants and children. More than half of States require center staff to complete training on child abuse and neglect (27 States) or the prevention of communicable diseases (25 States).
 - ◆ The number of States that require health and safety training has risen since 2005. Three (3) States (District of Columbia, Maryland, and Mississippi) added requirements for training about child abuse and neglect and three (3) States (Arizona, District of Columbia, and Delaware) added training about the prevention of communicable diseases.

Orientation Training

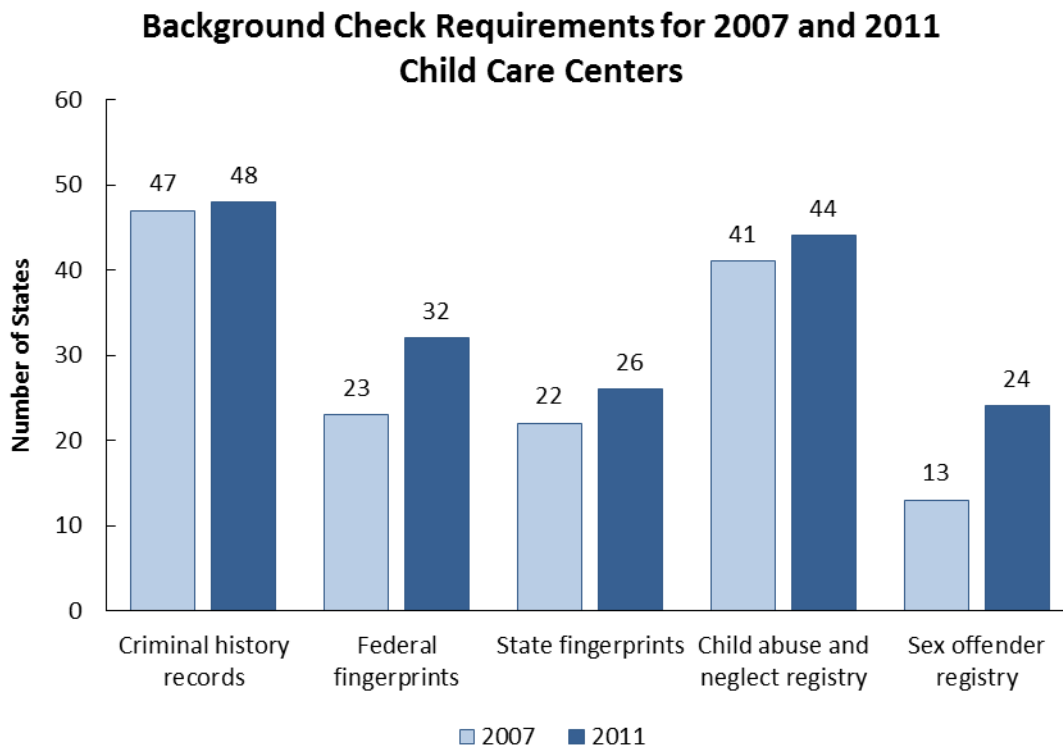
- More than three-quarters of States that license child care centers require staff to complete some type of orientation training to work in a center.
- Twenty-eight (28) States require centers to provide orientation training to new employees and volunteers. Orientation training is often the responsibility of the center director.

Background Checks

- All States require at least one type of background check for center staff prior to hiring. As shown in Figure 2, the number of States requiring fingerprint checks of federal records and checks of the sex offender registry has increased dramatically since 2007⁷.

⁷ The data on background checks were not collected in *The 2005 Child Care Licensing Study*. The first time they were collected were in the 2007 study.

Figure 2



N = 50 states, including DC and excluding ID.

- Twelve (12) States conduct a comprehensive background check and require checks of criminal history records, fingerprints (state and federal), child abuse and neglect registries, and the sex offender registry for center staff—Alaska, California, Colorado, Hawaii, Illinois, Michigan, Mississippi, North Dakota, South Carolina, South Dakota, Tennessee, and Washington.
- Forty (40) States require center staff to sign criminal status statements.

Staff Hiring Requirements

- Thirty-two (32) States require center staff to have a physical exam or provide a health statement from a physician prior to working with children.
- Thirty-eight (38) States require center staff to have a tuberculosis screening.
- Half of States require references when hiring center directors and/or other staff.

Child-Staff Ratios and Group Size

- All States that license child care centers have requirements for child-staff ratios. Eleven (11) States do not regulate group size for any age groups. An additional 10 States do not regulate group size for at least one age group.
 - ◆ Five (5) States lowered the child-staff ratios for at least one age group of children since 2005— Delaware, Maine, Michigan, South Carolina, and Virginia.
 - ◆ Four (4) States lowered the group size for at least one age group since 2005— Maine, Ohio, Utah, Wisconsin. Michigan added a requirement for group size for infants and toddlers.
- Tables 2 and 3 show that only the few States with the lowest child-staff ratios and group sizes meet or exceed the recommendations in *Caring for Our Children: National Health and Safety Performance Standards: Guidelines for Out-of-home Child Care Programs, 3rd Edition* (CFOC)⁸.

Table 2: Range of State Child-staff Ratio Requirements for Child Care Centers

Age of Children	Lowest Required Ratio	Number of States	Highest Required Ratio	Number of States	Most Common Ratio	Number of States	CFOC Guidelines
Infants							
6 weeks	3:1	3	6:1	4	4:1	33	3:1
9 months	3:1	3	6:1	5	4:1	32	3:1
Toddlers							
18 months	3:1	1	9:1	3	6:1	14	4:1
27 months	4:1	4	12:1	2	8:1	10	4:1
Preschool-age children							
3 years	7:1	2	15:1	4	10:1	23	7:1
4 years	8:1	1	20:1	2	10:1	17	8:1
School-age children							
5 years	9:1	1	25:1	2	15:1	14	8:1
10 years	10:1	1	26:1	1	15:1	16	12:1

N=50 States, including DC and excluding ID

⁸ American Academy of Pediatrics, American Public Health Association, National Resource Center for Health and Safety in Child Care and Early Education. 2011. *Caring for our children: National health and safety performance standards; Guidelines for early care and education programs. 3rd Edition.* <http://nrckids.org/CFOC3/index.html>.

Table 3: Range of State Group Size Requirements for Child Care Centers

Age of Children	Lowest Required Group Size	Number of States	Highest Required Group Size	Number of States	Most Common Group Size	Number of States	CFOC Guidelines
Infants							
6 weeks	6	1	20	1	8	20	6
9 months	6	1	20	1	8	20	6
Toddlers							
18 months	8	8	20	2	12	12	8
27 months	8	2	22	1	12, 14	8, 8	8
Preschool-age children							
3 years	14	2	30	2	20	18	14
4 years	20	18	36	1	20	18	16
School-age children							
5 years	20	9	40	2	30	11	16
10 years	20	2	50	1	30	15	24

N=50 States, including DC and excluding ID

- Forty-seven (47) States allow child care centers to have mixed-age groups of children. All of these States have requirements about child-staff ratios for mixed-age groups, and more than half have requirements about group size for mixed-age groups. Most States base mixed-age group ratios and groups on the age of the youngest child in the group.

Supervision of Children

- More than 90 percent of States have requirements for staff on the supervision of children in care. Among those States, some specify that staff must be able to see or hear children at all times or that staff must be free of other duties while supervising children.
- All States that license child care centers have specific requirements about the supervision of children during at least one of the times/activities listed in Table 4.

Table 4: Supervision Requirements for Child Care Centers

Time/Activities	Number of States
Transportation in vehicles	48
Field trips	44
Naptime	41
Swimming/water activities	41
Evening/overnight care	35
Outdoor play	31
Large group activities	17

N=50 States, including DC and excluding ID

Health Requirements and Medical Care

- All States that license centers require children to have immunizations to enroll in centers. However, most States allow exemptions from immunization requirements if written statements are provided from either a physician or parent.
- Thirty (30) States require children to have a physical exam when enrolling in a center.
- All States that license centers have requirements about the administration of medication to children. Centers in nearly all States must obtain permission from parents to administer medications, keep records of medications given to children, and get written instructions about how to give the medication to children.
 - ◆ Ten (10) States require center staff to complete training about the administration of medication— Colorado, Connecticut, Delaware, Indiana, Maryland, New York, Utah, Vermont, West Virginia, Wyoming.
 - ◆ Two (2) States (Delaware and Maryland) have added this requirement since 2005.
- Three-quarters of States require centers to exclude children who are mildly ill, meaning that they must be kept at home until they are well enough to return to programs.

Nutrition and Maintaining Healthy Weight

- All States that license centers have requirements about the nutritional content of meals and snacks served to children.
- Table 5 shows that a growing number of States are adding requirements to their licensing regulations to help with preventing obesity and maintaining healthy weight in young children.

Table 5: Requirements for Child Care Centers About Maintaining Healthy Weight in Children

Healthy Weight Requirement*	Number of States
Nutrition	
Drinking water must be freely available to children throughout the day	40
Requirements about breastfeeding or feeding breast milk to children in care	37
Fruit or vegetables must be served at every meal	19
Soft drinks or other sugary drinks are prohibited	6
Limit servings of 100% juice to one 4 to 6 ounce serving per day	3
Low-fat or nonfat milk must be served to children age two and older	2
Meals are eaten family style	1
No fried foods are served	0
Physical Activity	
Daily outdoor play is required when weather permits	46
Duration of daily physical activity is specified	8
Screen Time	
State has rules about children's use of television, computers, and/or other electronic media	22
Content of electronic media is age-appropriate, educational, non-violent, etc.	16
State sets limits on the amount of screen time	11
Use of electronic media is prohibited with children under age 2	5

N=50 States, including DC and excluding ID.

*The table shows the number of States that have licensing requirements about nutrition, physical activity, and screen time similar to the elements in the Let's Move! Child Care initiative's goal areas. Additional information about Let's Move! Child Care is available at <http://www.healthykidshealthyfuture.org>.

Behavior Guidance and Discipline

- Forty-two (42) States specify the types of discipline or behavior guidance that centers are allowed to use with children, and all States specify forms of discipline centers are not allowed to use with children.
 - ◆ Two (2) States (Louisiana and South Carolina) allow corporal punishment by specifically listing it as a form of acceptable discipline in their licensing regulations. In 2007, the District of Columbia changed its regulations to add a prohibition of corporal punishment. Until then, DC had allowed corporal punishment by not specifically prohibiting it.

Activities and Equipment/Materials

- Forty-nine (49) States specify the types of activities, such as outdoor play, active play, quiet play, naptime, and group activities that must be included in the daily schedule for children.
- Forty-one (41) States specify that the domains of children's development must be addressed in activities. Most of these States require centers to address children's social, physical, language/literacy, cognitive/intellectual, and emotional development. Nearly half of States require centers to address cultural development.

- Eighty (80) percent of States have requirements for the types of equipment/materials centers must have for children, such as indoor/outdoor gross motor equipment, fine motor manipulatives, books and other literacy materials, and art supplies.

Child Assessment

- Three (3) States require centers to use observation and/or assessment methods to document children’s development and to share the results of assessments with families—Massachusetts, Nevada, and Vermont.

Parent Involvement

- Nearly half of States have parent involvement requirements for centers, including requiring centers to provide opportunities for parents to be involved in program activities.
- Forty-five (45) States have requirements about communication with parents, with half of States requiring centers to keep logs of children’s care and communicate with parents, and more than a third requiring centers to hold regularly scheduled meetings with parents.
- Forty-seven (47) States require centers to provide parents with access to the facility at all times when their child is present.
 - ◆ Four (4) States added this requirement since 2005—District of Columbia, Kentucky, South Carolina, and Utah.

Transportation

- Forty-eight (48) States have requirements about transporting children in vehicles.
 - ◆ As shown in Table 6, there has been a small increase in the number of States with these requirements since 2005.

Table 6: Transportation Requirements for Child Care Centers

Transportation Requirements	Number of States	
	2005	2011
Requirements for transporting children in vehicles	48	49
Safety restraints for children (e.g., seat belts, car seats)	41	43
Driver requirements (e.g., driver’s license, minimum age requirements)	38	42
Specific child-staff ratio requirements for transporting children in vehicles	37	38
Supervision of children when they board and exit vehicles	23	23
Attendance records of children being transported	14	19
Additional checks for children remaining on board are conducted once vehicles are unloaded	4	7

N=50 States, including DC and excluding ID.

Care of Infants and Toddlers

- The number of States requiring centers to place infants on their backs to sleep to prevent Sudden Infant Death Syndrome (SIDS) has increased by 18 States since 2005, as shown in Table 7. Large numbers of States have also added requirements about physician authorization for a different sleep position and prohibited the use of soft bedding in cribs.

Table 7: SIDS Prevention Requirements for Child Care Centers

SIDS Prevention Requirements	Number of States	
	2005	2011
Infants must be placed on their backs to sleep	24	42
Physicians may authorize different sleep positions for infants	20	35
Soft bedding/materials must not be used in cribs	17	25
Staff are required to complete training about SIDS prevention	7	7
Parents can authorize a different sleep position for infants	5	5

N=50 States, including DC and excluding ID.

- Among the 49 States that have requirements for infant and toddler care, 23 require that centers assign a primary, consistent caregiver to each child. This has not changed since 2005.
- Forty-eight (48) States have requirements about how to feed infants; and 37 have requirements about breastfeeding or feeding breast milk to children in care.
- Seventeen (17) States have specific qualifications for staff that work with infants and toddlers that include training on how to care for these age groups.

Care of School-age Children

- Thirteen (13) States have separate sets of regulations for facilities that care for only school-age children. However, 47 States have requirements for the care of school-age children incorporated into the regulations for child care centers.
 - ◆ Only one State (Alabama) has added requirements for school-age children to its center regulations since 2005.
- Of the 47 States with requirements in center regulations, 28 States have specific qualifications for staff that work with school-age children.
- Forty-three (43) States specify the types of activities centers should provide for school-age children. Twenty-eight States require centers to have specific types of equipment for school-age children.
- Twenty (20) States have requirements specifically for the supervision of children in this age group.

Care of Children with Disabilities or Other Special Needs

- Thirty-five (35) States have requirements about the care of children with special needs in their child care center regulations.
- Of the 35 States, the largest number of States—26—have requirements related to facility records and policies. The following table includes some of the most common requirements for child care centers.

Table 8: State Requirements About the Care of Children with Disabilities or Special Needs for Child Care Centers

Requirements About the Care of Children with Disabilities	Number of States
Facility must keep information about disabilities or special needs in children’s records	19
Facility must obtain information from parents about children’s disabilities or special needs	18
For children identified as having a disability or special need, facility must keep IEP’s or IFSP’s in records	10

N=50 States, including DC and excluding ID.
 IEP = Individual Education Plan
 IFSP = Individual Family Services Plan

- Seventeen (17) States require centers to develop activity plans or accommodate existing plans for children with disabilities or special needs.
- Ten (10) States require centers to communicate with families about children’s progress concerning special needs, while nine States require centers to develop plans for caring for the children with disabilities or special needs.

Facility Health and Safety Requirements

- As shown in Table 9, between 2005 and 2011, there has been an increase in the number of States that have some common health and safety requirements for child care centers.
 - ◆ Six (6) States have added requirements about fences or other enclosures around outdoor spaces—Arkansas, Delaware, Maine, Massachusetts, Michigan, and South Carolina.
 - ◆ Five (5) States have added requirements about emergency preparedness—Delaware, District of Columbia, Florida, New Hampshire, and South Carolina.
 - ◆ Since 2005, five (5) more States have specifically prohibited firearms in child care centers—Arkansas, Connecticut, District of Columbia, Delaware, and North Carolina.

Table 9: State Requirements About Health and Safety for Child Care Centers

State Health and Safety Requirements for Center-Based Facilities	Number of States	
	2005	2011
Environmental Tests and Inspections		
Environmental tests (i.e., lead paint, lead in water, asbestos, radon)	12	13
Environmental inspections (i.e., fire, health, building code)	39	39
Indoor and Outdoor Space		
Amount of indoor space per child is 35 square feet	42	41
Amount of outdoor space per child is 75 square feet	29	31
Fence or other enclosure around outdoor space	34	40
Fire Safety and Emergency Preparedness		
Requirements for fire safety	45	46
Fire drills	37	39
Emergency preparedness (i.e., weather, utility-related, acts of terrorism)	33	38
Security		
Daily attendance records kept	34	37
Procedures for accepting and releasing children (i.e., signing in and out)	27	27
Insurance		
Liability insurance	21	25
Automobile insurance	26	28
Reporting to the Licensing Agency		
All serious injuries that occur to children in programs	34	34
All deaths that occur to children in programs	31	33
Hand Washing		
Hand washing for staff	44	46
Hand washing for children	41	45
Diapering		
Requirements for diapering	47	49
Sanitation of diapering area	39	42
Specify when diapers are changed	30	32
Smoking Policies		
Smoking not allowed in facility	31	33
Firearms		
Firearms not allowed in facility	17	22

N=50 States, including DC and excluding ID

Trends in State Child Care Center Licensing Policies

The information in this section was compiled and analyzed from the *NARA Child Care Licensing Programs and Policies Survey*.⁹

Number of Licensed Child Care Centers

- There are a total of 107,286 licensed child care centers in the United States, with a total of 312,254 licensed facilities, as shown in Table 10.¹⁰
 - ◆ The number of child care centers has increased just slightly since 2008. However, there has been a six (6) percent decrease in the total number of licensed facilities.
 - ◆ Many States reported that the economy has been a factor in this decrease of licensed facilities.

Licensed Capacity in Centers

- There are more than 10 million licensed child care slots in the United States as shown in Table 10.¹¹ Licensed capacity has decreased by one percent since 2008.
- More than three-quarters of licensed child care slots are in center-based programs.
 - ◆ The number of licensed slots in child care centers has decreased slightly (0.6 percent).

**Table 10: Number of Licensed Facilities and Licensed Capacity
Child Care Centers and Total**

	2008	2011	Difference
Number of Facilities			
Child care centers	107,199	107,286	+87
Total number of licensed facilities	329,882	312,254	-17,628
Licensed Capacity			
Licensed capacity in child care centers	7,760,044	7,715,981	-44,063
Total licensed capacity	10,199,106	10,085,606	-113,500

⁹ For the comparative analysis, data on the number of facilities and licensed capacity were compared to the NARA survey results from 2008. All remaining data in this section are compared to the NARA survey results from 2005.

¹⁰ The total number of licensed facilities and licensed capacity includes child care centers, family and group child care homes, and other types of facilities reported by States (such as, part-day preschools and nursery schools, school-age care facilities, registered FCC homes, Head Start programs, child placing agencies, residential facilities, and others).

¹¹ See note #7.

Licensing Staff Caseloads

- Based on information reported from state licensing agencies, there is an average caseload of 103 centers and homes for licensing line staff.¹² Most state licensing agencies assign licensing line staff to inspect both child care centers and homes. Across States, the reported caseloads range from 21.73 facilities in Tennessee to 231 facilities in Vermont.
 - ◆ NARA recommends that licensing agencies calculate workload standards to account for local variables but the average workload for inspectors should not exceed 50–60 facilities.¹³

Frequency of Licensing

- Child care center licenses are valid in most States for either one or two years. Non-expiring licenses are issued in 11 States—Arkansas, California, Colorado, Maryland, Nebraska, North Carolina, Oklahoma, South Dakota, Texas, Washington, and Wisconsin.
 - ◆ Since 2005, two (2) States (Georgia and Kansas) have changed their laws from a non-expiring license to a license that is valid for one year, and one State (Washington) has adopted a non-expiring license.

Types of Inspections

- All States that license child care centers conduct an inspection prior to issuing a license. More than 80 percent of States conduct an announced inspection prior to issuing a license.
- Most States conduct unannounced inspections for license renewal and other routine compliance visits.

Frequency of Inspections

- As shown in Table 11, most States inspect child care facilities once a year.
 - ◆ Since 2005, at least 20 percent of States report an increase in the number of inspections conducted each year. A large percentage of these States now conduct inspections more than once a year.
 - ◆ Four (4) States that inspected less than once a year in 2005 report that they inspect at least once a year in 2011—Alabama, Alaska, Massachusetts, and New York.

¹² Homes in the caseloads include family child care homes and group child care homes if the State has both types of home-based facilities.

¹³ NARA and Amie Lapp-Payne. (May 2011). *Strong Licensing: The Foundation for a Quality Early Care and Education System: Preliminary Principles and Suggestions to Strengthen Requirements and Enforcement for Licensed Child Care*. http://www.naralicensing.drivehq.com/publications/Strong_CC_Licensing_2011.pdf

Table 11: Frequency of Licensing Inspections in Child Care Centers

Frequency of Inspections	Number of States	
	2005	2011
More than three times a year	3	4
Three times a year	3	3
Twice a year	7	14
Once a year	27	25
Once every 2 years	6	2
Once every 3 years	0	0
Less than once every 3 years	1	1
Facility not inspected	0	0
Other frequency of inspection	3	1

N = 50 States, including DC and excluding ID.

“Other frequency of inspection” includes monitoring based on compliance history or size of the facility.

Monitoring Tools

- More than 55 percent of States report using abbreviated compliance forms that shorten the list of requirements that are checked in programs during inspections.
 - ◆ More than 85 percent of these States report that the selection of rules for inclusion in abbreviated compliance forms is based on those rules considered most critical to protecting children’s health and safety.
- More than 50 percent of States report having a method for determining the frequency and/or depth of monitoring based on an assessment of a facility’s level of compliance with regulations, also known as “differential monitoring.”¹⁴
 - ◆ The number of States using differential monitoring has increased significantly from 11 States in 2005 to 26 States in 2011.
- Thirteen (13) States report having a system of weighted licensing requirements in which rules are ranked for relative risk of harm.
- Thirty-two (32) States report using portable devices to help staff efficiently inspect and monitor licensed facilities, such as laptops, portable digital assistants, and tablets with specific software for capturing information during licensing inspections.
 - ◆ Since 2005, 19 additional States have begun using these devices.

¹⁴ “Differential monitoring” is defined as a method for determining the frequency and/or depth of monitoring based on an assessment of a facility’s level of compliance with regulations. This process may also be called “risk assessment monitoring” or “risk-based monitoring” and can be used to determine the number of inspections needed for a particular facility and the content of inspections.

- Nearly all States report providing technical assistance and consultation during monitoring activities to help facilities achieve compliance with regulations; 45 percent of States that provide technical assistance report that they assist facilities to improve quality and exceed minimum licensing regulations.

Enforcement Actions

- The most common enforcement actions used with facilities that are not in compliance with the regulations are revocation of a license, denial of a license, emergency/immediate closure of a facility, conditional license, non-renewal of a license, and civil fines. As shown in Table 12, all of these are reported to be used by at least 50 percent of States.
- Of the common enforcement actions listed above, States mostly imposed civil fines, conditional licenses, and license revocations against facilities that were out of compliance with licensing regulations.

Table 12: Use of Enforcement Actions in Child Care Facilities

Enforcement Actions	Number of States Using Enforcement Action in 2011	Number of Actions Taken Against Facilities by All States
Revocation of license	45	1,041
Denial of license	41	739
Emergency/immediate closure of facility	39	551
Conditional license	30	1,341
Civil fine	27	2,298
Nonrenewal of license	27	92
Consent agreement	21	185
Probation	20	571

N = 50 States, including DC and excluding ID.

Note: Not all States were able to provide data about the number of enforcement actions used with child care facilities. In addition, it is assumed that the number of actions does not show the number of facilities that were in violation with the licensing regulations. Facilities could have been subject to multiple actions during one year.

Licensing Information on the Internet

- Thirty (30) States report that they post licensing information about child care facilities on the Internet for parents and the general public to access.
 - ◆ Ten (10) States posted licensing information on the Internet in 2005, with eight of those States posting information about complaints, as shown in Table 13.

Table 13: Licensing Information Posted on the Internet

Licensing Information Posted on the Internet	Number of States	
	2005	2011
Licensing Inspection Reports		
Full report	7	16
Inspection summary	2	13
Licensing Complaints		
All complaints	2	6
Substantiated complaints	6	19
Complaints not posted	2	5

N = 50 States, including DC and excluding ID.

Note: In some cases, States only post information about complaints on the Internet; they do not include inspection reports.

Types of Licensing Complaints

- As reported by State licensing agencies, the most common types of licensing complaints are about issues of: (1) supervision; (2) staff-child ratios; (3) health/safety/cleanliness/nutrition; and (4) discipline/behavior management.

Licensing Fees

- Two-thirds of States charge child care centers a fee to obtain a license. Licensing fees for child care centers are most often based on the number of children in a facility.
 - ◆ Slightly more than half of the States that charge a licensing fee use the revenue to support the licensing agency. In the remaining States, the revenue from licensing fees goes into the States' general funds.

Licensing Staff Requirements

- Thirty-eight (38) States report that they require licensing line staff to have a bachelor's degree. In 16 States, the content and/or major of the degree or coursework must be early childhood education, child development, or a related topic. Twenty-two States also require experience working in a setting with children.
- Twenty-five (25) States require licensing line staff to complete additional training each year. Almost all States make training available to licensing staff through the licensing agency, local and state conferences, and community-based organizations. Half of States receive training from outside consultants and/or national conferences.
- Most States use multiple sources of funds to support licensing functions. More than 85 percent of States use the Child Care and Development Fund (CCDF) to hire and support child care licensing staff. Two-thirds of States also use general state funds for this purpose.

Conclusion

The role of licensing in the early care and education system is to provide a mandatory floor of program standards that will protect children from physical harm and enhance learning and development. Within the early care and education system, licensing covers the broadest content, the largest number of children ages birth to school-age, and the largest population of providers. The positive changes uncovered in this analysis, show that States are committed to strengthening this vital piece of the system, despite the social, political, and economic challenges that can accompany regulatory change.

Many States look to licensing to be a foundation for building quality improvement systems. These systems—such as quality rating and improvement systems (QRIS)—often require compliance with state licensing requirements as a prerequisite or as the first level of standards. By relying on licensing to provide health and safety regulations and regular monitoring that will protect children from harm, the content of QRIS standards can focus on areas that have the most impact on the quality of the program, such as staff qualifications, curriculum and learning activities, family engagement, and business management.

This research brief shows that licensing is the foundation for child care quality and provides evidence that States are making positive changes in their licensing requirements and policies to protect the health and safety of children in out-of-home care.



Research Brief #2: Trends in Family Child Care Home Licensing Requirements and Policies for 2011

This research brief is a joint effort between the Office of Child Care's National Center on Child Care Quality Improvement (NCCCQI) and the National Association for Regulatory Administration (NARA). This is the second in a series of briefs from this collaboration to collect and analyze data about child care licensing in the United States.

Introduction

Ensuring the health and safety of children in child care is a top priority for the Office of Child Care, Administration for Children and Families, U.S. Department of Health and Human Services (OCC). In *Pathways and Partnerships for Child Care Excellence* (2010), OCC states:

Health and safety is the foundation of quality in child care. It is the paramount concern of every parent. Under the Child Care and Development Block Grant Act, every State must operate a system of child care licensing, but in many States, those standards are not high enough to ensure the health and safety of children, they do not apply to many settings in which young children are cared for, and monitoring to ensure compliance with standards is not adequate. OCC is stepping up our work with States, Territories, and Tribes to strengthen standards to ensure safety, health, and well-being, while supporting child care providers to meet standards.¹

OCC has made a commitment to work with States to strengthen licensing systems. These systems, which include child care licensing regulations and monitoring and enforcement policies, provide a baseline of protection for the health and safety of children in out-of-home care. Licensing helps prevent various forms of harm to children—risks from the spread of disease, fire and other building safety hazards, injury, and developmental impairment from the lack of healthy relationships with adults, adequate supervision, and/or developmentally appropriate activities.

Licensing is a process administered by State governments that sets a baseline of requirements below which it is illegal for facilities to operate.² States have regulations that include the requirements facilities must comply with and policies to support the enforcement of those regulations.

¹ Office of Child Care, Administration for Children and Families, U.S. Department of Health and Human Services. (2010). *Pathways and Partnerships for Child Care Excellence*. Retrieved April 1, 2013, http://www.acf.hhs.gov/sites/default/files/occ/pathways_partnerships_v1_0.pdf

² "Licensing/licensed" is defined as permission from a State that is required to operate a child care facility, which includes meeting specific program standards. Some States may call their regulatory processes "certification" or "registration;" for purposes of this research brief, the terms "licensing" or "licensed" are used to represent all regulatory processes.

Scope and Purpose

The purpose of this research brief is to report on the licensing requirements and policies of **family child care home** (FCCH) licensing for all 50 States and the District of Columbia³.

States may define family child care homes differently in their licensing requirements. For the purpose of categorizing the types of home-based child care settings States regulate, the following definition of **family child care home** from the Child Care and Development Fund (CCDF) Final Rule⁴ is used:

One individual who provides child care services for fewer than 24 hours per day, as the sole caregiver, in a private residence other than the child's residence, unless care in excess of 24 hours is due to the nature of the parent(s)'s work.

In another research brief in this series, licensing requirements and policies for group child care homes are addressed.

Using data compiled from state child care licensing regulations and the result of NARA's survey of state licensing agencies, the Office of Child Care's National Center on Child Care Quality Improvement (NCCCQI) conducted an analysis that examines the state of licensing in 2011 and identifies trends that have become apparent during several years of data collection.

Compilation of State Licensing Requirements

State licensing requirements are regulatory requirements, including registration or certification requirements, established under State law necessary for a provider to legally operate and provide child care services in a State.

For this research, all data regarding **FCCH requirements** were compiled by the NCCCQI from the regulations posted on the National Resource Center for Health and Safety in Child Care and Early Education (NRC) Web site between January 1, 2009 and December 31, 2011 at <http://nrckids.org/STATES/states.htm>.⁵

The licensing requirements data presented in this research brief only includes information from state child care licensing regulations. Additional requirements for child care facilities may be in state statutes, administrative codes, or other local, state, or Federal laws. It was beyond the scope of this work to review all laws that pertain to child care programs.

NARA Survey of Licensing Programs and Policies

The data about States' **licensing policies**, including, facility monitoring, enforcement of licensing regulations, and licensing program staffing, were gathered by the National Association for Regulatory Administration (NARA) in the *2011 NARA Child Care Licensing Programs and Policies Survey*. NARA sent the survey via SurveyMonkey®, an online survey tool, to all state child care licensing agencies in April 2012. Respondents submitted their answers via the Internet, and by July 2012, all States had responded. NARA shared the results of the survey with the NCCCQI, which conducted the analysis included in this research brief.

³ For this study, the District of Columbia (DC) is treated as a State. It was beyond the scope of this research to include information about States that have child care licensing programs at the city or county levels, such as Idaho (ID); Anchorage, AK; New York City; and the counties in Florida that have their own licensing programs.

⁴ The Child Care and Development Fund (CCDF) Final Rule is available at <http://www.gpo.gov/fdsys/pkg/CFR-2011-title45-vol1/pdf/CFR-2011-title45-vol1-part98.pdf>

⁵ NRC is located at the University of Colorado Denver and is funded by the Maternal and Child Health Bureau, Health Resources and Services Administration, U.S. Department of Health and Human Services (DHHS).

Comparative Analysis

This brief also includes a comparison of data collected for 2011 with data collected on licensing requirements and policies in previous years. For the most part, the 2005 and 2007 *Child Care Licensing Studies* were used for this analysis, since they used these same methodologies—a compilation of data from regulations and a survey of the state licensing agencies. The 2005 study was used for the comparison of data about licensing policies; however, that study did not include an examination of requirements for FCCHs. Therefore, *The 2007 Child Care Licensing Study* was used for the comparison of requirements. The *Child Care Licensing Studies* were conducted through a collaboration of the National Child Care Information and Technical Assistance Center (NCCIC) and NARA. NCCIC was a previous contract with the OCC. The collaboration of NCCIC and NARA also produced a comparable licensing study in 2008. Some of those data are also used for analysis in this research brief. The reports from the 2005, 2007, and 2008 Child Care Licensing Studies are available at http://www.naralicensing.org/Licensing_Study.

Summary of Key Findings

Just in the period of 2009 through 2011, more than half of States have made changes to their **licensing requirements** for FCCHs. When looking back to 2007, a number of significant trends have emerged in the types of regulations that have changed.

- The largest increase is in the number of States that have requirements about safe sleep practices (i.e., putting infants on their backs to sleep, providers must check on sleeping infants).
- States have increased the qualifications of FCCH providers, by adding requirements for a high school diploma or equivalent or training in early childhood education. The number of States that require training in the administration of medication has doubled since 2007.
- States have increased the number of ongoing training hours for FCCH providers. The median number of required training hours has increased from 12 to 15 since 2007.
- The number of States requiring fingerprint checks of federal records and checks of the sex offender registry has increased dramatically.
- States have added requirements about parent involvement, transportation, and the care of school-age children, and more States prohibit smoking in FCCHs.

There are several positive trends found when looking at child care **licensing policies** about monitoring and enforcement of FCCHs from 2005 to 2011.

- There is a large increase in the frequency of inspections in FCCHs. Several more States now inspect at least once a year.
- The largest increase is in the number of States that put licensing information on the Internet.
- The number of States using differential monitoring has more than doubled.
- The number of States using handheld devices during licensing inspections has more than doubled.

State FCCH Licensing Requirements

The information in this section was compiled and analyzed from the licensing regulations posted on the National Resource Center for Health and Safety in Child Care and Early Education (NRC) Web site between January 1, 2009 and December 31, 2011 at <http://nrckids.org/STATES/states.htm>.

Family Child Care Homes Licensed

- Forty-two (42) States, including the District of Columbia, license FCCHs, defined as one adult caring for a group of children in the provider's residence.
 - ◆ Nine (9) States do not license FCCHs as defined above—Arizona, Idaho, Indiana, Kansas⁶, Louisiana, New Jersey, Ohio, South Dakota, and Virginia.
 - Most of these States license home-based providers that meet the definition of a group child care home.⁷
 - Idaho, Louisiana, and New Jersey do not have mandatory licensing requirements for home-based providers.
 - ◆ Since 2007, two (2) States—Indiana and Kansas—have eliminated the category of licensed FCCHs from their regulations, but do license home-based settings that meet the definition of a group child care home.

Dates and Types of Regulations

- There is a wide range of effective dates for FCCH licensing regulations.
 - ◆ Twenty-three (23) States made changes to their FCCH licensing regulations from 2009 through 2011 (i.e., since data were collected for *The 2008 Child Care Licensing Study*).
 - ◆ Three (3) States have not changed their FCCH regulations since the 1990's: South Carolina (1993); Vermont (1996); and Nebraska (1998).

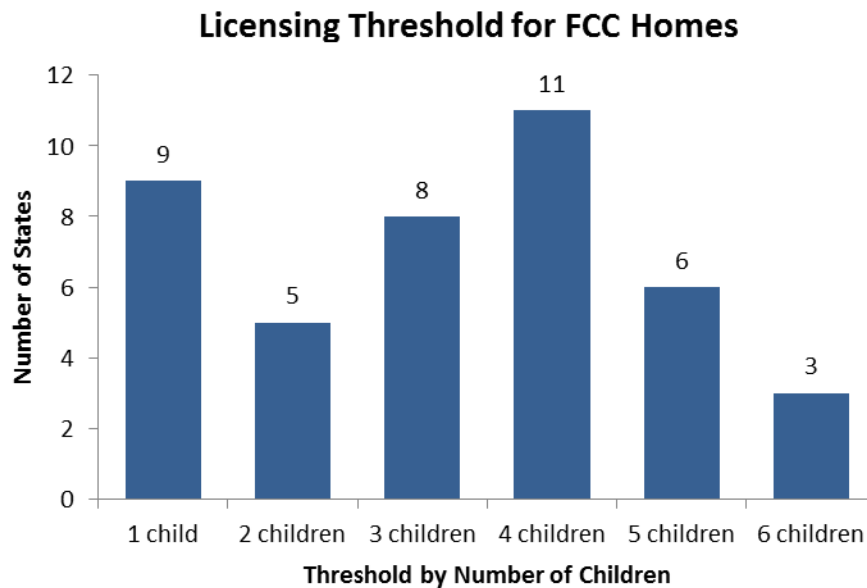
Licensing Threshold

- As shown in Figure 1, nine (9) States require FCCHs to be licensed if there is just one child in care that is not related to the provider—Alabama, Connecticut, Delaware, District of Columbia, Massachusetts, Maryland, Michigan, Oklahoma, and Washington.
- Most States set the licensing threshold for FCCHs at three or four children.

⁶ Legislation passed in Kansas during the 2010 legislative session which resulted in the elimination of Registered Family Day Care Homes with a maximum capacity of 6 as a licensing category. The law required all registered homes to transition to being licensed and inspected no later than June 30, 2011. Licensed homes can have a maximum of 10 children with one provider and 12 children with two providers. For the purposes of this study, all data about requirements for Kansas home-based providers will be reported in the research brief about group child care homes.

⁷ A group child care home is defined as two or more individuals who provide child care services for fewer than 24 hours per day, in a private residence other than the child's residence, unless care in excess of 24 hours is due to the nature of the parent(s)'s work. See *Research Brief #3: Trends in Family Child Care Home Licensing Regulations and Policies for 2011* for details about licensing requirements for group child care homes.

Figure 1



N = 42 States, including DC and excluding AZ, ID, IN, KS, LA, NJ, OH, SD, VA

Maximum Number of Children

Typically, FCCHs have one adult provider caring for a group of children.

- Of the 42 States that license FCCHs, 12 allow no more than six children in the home.
- Fourteen (14) States allow six preschool children plus additional school-age children. These States allow from two up to six additional school-age children in care during the before- and after-school hours or during school vacations. Most allow three or four additional children. In a few States, an additional adult is needed when additional school-age children are in care.
- Six (6) States allow 10 or more preschool-age children in FCCHs.
- Nearly all States set a limit on the number of infants and toddlers that can be in FCCHs.
- Thirty-nine (39) States count providers' children or other children living in homes in the maximum numbers allowed.

Staff Roles and Age Requirements

- All States that license FCCHs have requirements in their regulations for the provider role.
- Twenty-five (25) States have requirements for a FCCH assistant.
- The most common minimum age requirements are 18 years of age for providers. Ten (10) States require assistant providers to be at least 18 years of age; however, 12 of the remaining States allow assistants to be between 13- and 16-years-old.

Staff Qualifications and Ongoing Training Requirements

- Only one-third of States require FCCH providers to have a high school diploma or equivalent, as shown in Table 1.
 - ◆ Since 2007, four (4) States have added a requirement for a high school diploma for FCCH providers—Delaware, District of Columbia, Pennsylvania, and Illinois.
- One State (Utah) added preservice training requirements for FCCH providers since 2007. Two (2) States (Maryland and North Dakota) added preservice requirements for assistant providers.
- One State (Utah) added ongoing training requirements for FCCH providers since 2007. Three (3) States added ongoing training requirements for assistant providers—Arkansas, Massachusetts, and Utah.

Table 1: States With High School Diploma and/or GED, Preservice Qualifications, and Ongoing Training Requirements

FCCH Staff Role	Number of States			
	Role Regulated	High School Diploma or GED	Preservice Qualifications	Ongoing Training
Provider	42	14	24	39
Assistant provider	25	1	4	15

N=42 States, including DC and excluding AZ, ID, IN, KS, LA, NJ, OH, SD, VA.

- The most common minimum qualification for FCCH providers is clock hours of training in early childhood education.
 - ◆ Two (2) States (Georgia and Maryland) have changed the amount of preservice training required for FCCH providers since 2007.
- The number of ongoing training hours required annually ranges from 4 to 20. The median number of required training hours for FCCH providers is 15. Many States specify the content and approved delivery methods for ongoing training.
 - ◆ The median number of required training hours has increased from 12 to 15 since 2007.
 - ◆ Four (4) States have increased the number of training hours for FCCH providers since 2007—Arkansas, Massachusetts, New Hampshire, and Utah.

Health and Safety Training

- Thirty-seven (37) States require FCCH providers to complete first aid training, and 36 States require CPR training. Thirty-one (31) States specify that CPR training must focus on infants and children. Many States require FCCH providers to complete training on child abuse and neglect (14 States) or the prevention of communicable diseases (9 States).

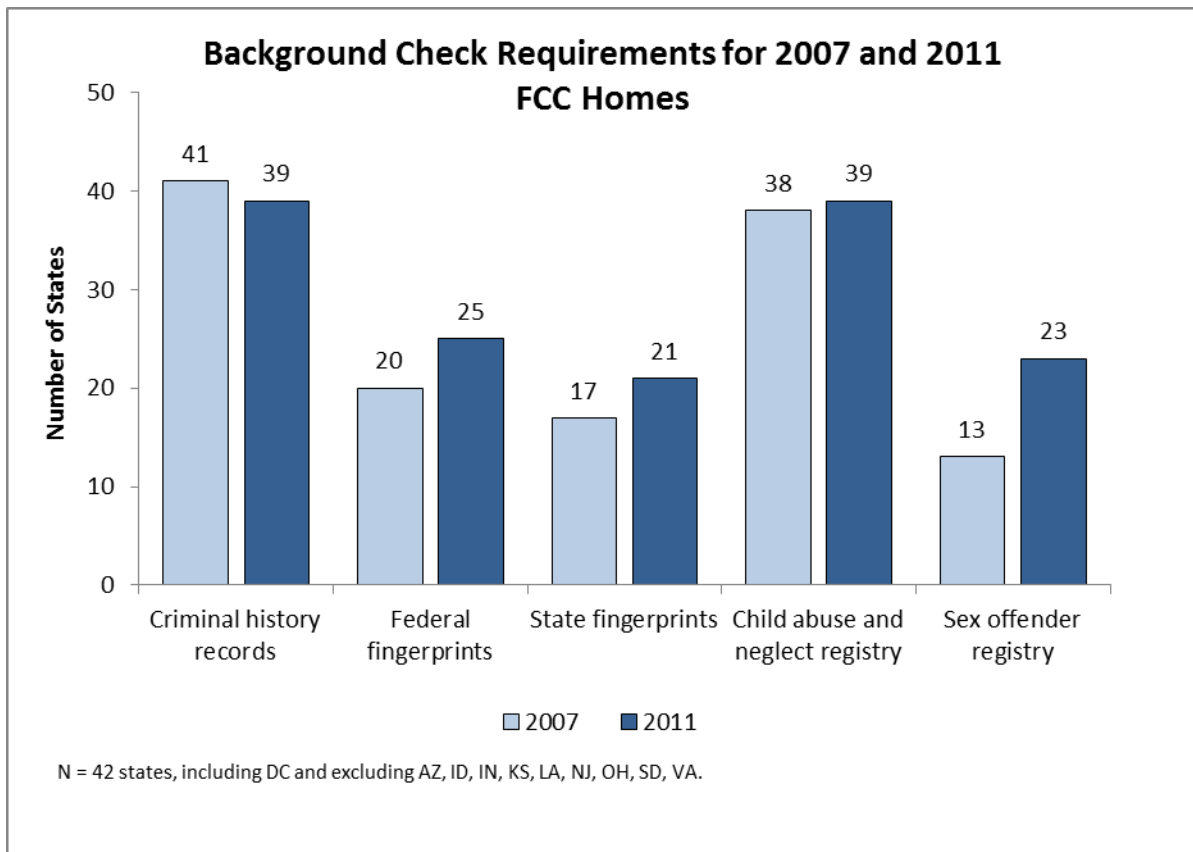
Orientation Training

- Twenty-three (23) States that license FCCHs require providers to complete some type of orientation training, with most requiring providers to complete an orientation to the licensing process.

Background Checks

- All 42 States that license FCCHs require at least one type of background check for providers prior to hiring.
- ◆ As shown in Figure 2, the number of States requiring fingerprint checks of federal records and checks of the sex offender registry has increased dramatically since 2007⁸.

Figure 2



- Twelve (12) States conduct a comprehensive background check and require checks of criminal history records, fingerprints (state and federal), child abuse and neglect registries, and the sex offender registry for FCCH providers—Alaska, California, Colorado, Hawaii, Illinois, Michigan, Mississippi, North Dakota, South Carolina, Tennessee, Washington, and West Virginia.
- Thirty-three (33) States also require FCCH providers to sign criminal status statements.

Additional Provider Requirements

- Twenty-nine (29) States require FCCH providers to have a physical exam or provide a health statement from a physician prior to working with children.

⁸ The data on background checks were not collected in The 2005 Child Care Licensing Study. The first time they were collected were in the 2007 study.

- Twenty (20) States require FCCH providers to have a tuberculosis screening.
- Twenty (20) States require references from providers at initial licensure.

Number of Children Allowed with One Provider

- All States that license FCCHs have requirements about the maximum number of children (including infants, toddlers, preschool, and school-age children) that can be cared for by one adult provider.
 - ◆ The largest number of States allows one provider to care for six children.
 - ◆ The average is one provider allowed to care for eight children.
- Table 2 shows that more than 65 percent of States that license FCCHs allow one provider to care for more than six children.
 - ◆ Eighteen (18) States allow the total number of children to increase for the care of school-age children for part of the day.
 - ◆ Forty-one (41) States set limits on the number of infant and toddlers allowed in the group.

Table 2: Maximum Number of Children Allowed with One Provider

Number of Children	Number of States
6 children	14
7 children	2
8 children	9
9 children	4
10 children	10
12 children	3

N=42 States, including DC and excluding AZ, ID, IN, KS, LA, NJ, OH, SD, VA.

Supervision of Children

- All 42 States that license FCCHs have requirements for providers about the supervision of children in care. Among those States, some specify that providers must be able to see or hear children at all times or that providers must be free of other duties while supervising children.
- Forty-one (41) States that license FCCHs have specific requirements about the supervision of children during at least one of the times/activities listed in Table 3.

Table 3: Supervision Requirements for FCCHs

Time/Activities	Number of States
Transportation	39
Swimming	34
Field Trips	27
Outdoor Play	26
Evening/Night Care	25
Naptime	20

N=41 States, including DC and excluding AZ, ID, IN, KS, LA, NJ, OH, SC, SD, VA.

Health Requirements and Medical Care

- All States that license FCCHs require children to have immunizations to enroll in home-based care. However, most States allow exemptions from immunization requirements if written statements are provided from either a physician or parent.
- Twenty-five (25) States require children to have a physical exam when enrolling in a FCCH.
- Thirty-nine (39) States that license FCCHs have requirements about the administration of medication to children. FCCHs in most States must obtain permission from parents to administer medications, keep records of medications given to children, and get written instructions about how to give the medication to children.
 - ◆ Eight (8) States require providers to complete training about the administration of medication—Colorado, Connecticut, Delaware, Massachusetts, New Hampshire, New York, Nevada, and Wyoming.
 - ◆ Four (4) States have added this requirement since 2007—Massachusetts, New Hampshire, Nevada, and Wyoming.
- Ten (10) States require FCCHs to exclude children who are mildly ill, meaning that they must be kept at home until they are well enough to return to care—Alabama, Arkansas, District of Columbia, Iowa, Maryland, Montana, Rhode Island, Texas, Wisconsin, and Wyoming.

Nutrition and Maintaining Healthy Weight

- More than 85 percent of States that license FCCHs have requirements about the nutritional content of meals and snacks served to children.
- Table 4 shows that a growing number of States are adding requirements to their licensing regulations to help with preventing obesity and maintaining healthy weight in young children.

Table 4: Requirements for FCCHs About Maintaining Healthy Weight in Children

Healthy Weight Requirement*	Number of States
Nutrition	
Drinking water must be freely available to children throughout the day	25
Requirements about breastfeeding or feeding breast milk to children in care	18
Fruit or vegetables must be served at every meal	11
Soft drinks or other sugary drinks are prohibited	4
Limit servings of 100% juice to one 4 to 6 ounce serving per day	3
Low-fat or nonfat milk must be served to children age two and older	1
Meals are eaten family style	0
No fried foods are served	0
Physical Activity	
Daily outdoor play is required when weather permits	34
Duration of daily physical activity is specified	6
Screen Time	
State has rules about children's use of television, computers, and/or other electronic media	17
Content of electronic media is age-appropriate, educational, non-violent, etc.	11
State sets limits on the amount of screen time	10
Use of electronic media is prohibited with children under age 2	1

N=42 States, including DC and excluding AZ, ID, IN, KS, LA, NJ, OH, SD, VA.

*The table shows the number of States that have licensing requirements about nutrition, physical activity, and screen time similar to the elements in the Let's Move! Child Care initiative's goal areas. Additional information about Let's Move! Child Care is available at <http://www.healthykidshealthyfuture.org>.

Behavior Guidance and Discipline

- Twenty-nine (29) States specify the types of discipline or behavior guidance that FCCH providers are allowed to use with children, and 41 States specify forms of discipline home providers are not allowed to use with children.
 - ◆ One State (South Carolina) has no requirements to prohibit the use of corporal punishment in in FCCHs. It can only be used if authorized in writing by parents/guardians.

Activities and Equipment/Materials

- Thirty-seven (37) States specify the types of activities, such as outdoor play, active play, quiet play, naptime, and group activities that must be included in the daily schedule for children.
- Twenty-seven (27) States specify that the domains of children's development must be addressed in activities. Most of these States require FCCHs to address children's social, physical, language/literacy, cognitive/intellectual, and emotional development. Eleven States require FCCHs to address cultural development in activities.

- Sixty (60) percent of States have requirements for the types of equipment/materials FCCHs must have for children, such as indoor/outdoor gross motor equipment, fine motor manipulatives, books and other literacy materials, and art supplies.

Parent Involvement

- Four (4) States have parent involvement requirements for FCCHs —District of Columbia, Kentucky, New Mexico and Pennsylvania. Two of these States—Kentucky and Pennsylvania—require FCCHs to provide opportunities for parents to be involved in activities
- Of the 42 States that license FCCHs, 37 have requirements about communication with parents.
 - ◆ Four (4) States added these requirements since 2007—Colorado, Georgia, Kentucky, and Utah.
- Five (5) States require homes to keep logs of children’s care and communicate with parents—Georgia, Kentucky, Massachusetts, Mississippi, and Rhode Island.
 - ◆ Three (3) States added these requirements since 2007—Georgia, Kentucky, and Massachusetts
- Two (2) States require FCCHs to hold regularly scheduled meetings with parents—Hawaii and Massachusetts.
- Thirty-five (35) States require FCCHs to provide parents with access to the facility at all times when their child is present.
 - ◆ Four (4) States added this requirement since 2007—Kentucky, Maryland, New Mexico, and Utah.

Transportation

- Forty-one (41) States have requirements about transporting children in vehicles.
 - ◆ As shown in Table 5, there have been small increases in the number of States with these requirements since 2007, especially driver requirements, supervision of children when they board and exit vehicles, and keeping attendance records of children being transported.
 - ◆ The number of States that have requirements for additional checks for children remaining on board has doubled—from three (3) States in 2007 (Arkansas, Missouri, and Tennessee) to six (6) States in 2011 (Arkansas, Delaware, Florida, Missouri, Nevada, and Tennessee).

Table 5: Transportation Requirements for FCCHs

Transportation Requirements	Number of States	
	2007 (N=44)	2011 (N=42)
Requirements for transporting children in vehicles	42	41
Safety restraints for children (e.g., seat belts, car seats)	39	38
Driver requirements (e.g., driver’s license, minimum age requirements)	30	32
Specific child-staff ratio requirements for transporting children in vehicles	14	12
Supervision of children when they board and exit vehicles	12	13
Attendance records of children being transported	7	10
Additional checks for children remaining on board are conducted once vehicles are unloaded	3	6

*Two States (IN and KS) eliminated the category of FCCHs since 2007. The total number of States that license FCCHs was 44 in 2007; it was 42 in 2011.

Care of Infants and Toddlers

- The number of States requiring FCCHs to place infants on their backs to sleep to prevent Sudden Infant Death Syndrome (SIDS) has increased by 11 States since 2007, as shown in Table 6. Large numbers of States have also added requirements about physician authorization for a different sleep position and prohibited the use of soft bedding in cribs.
 - ◆ Six (6) States have added the requirement that providers must check on sleeping infants—Colorado, Delaware, Maryland, North Dakota, New Hampshire, and Utah.

Table 6: SIDS Prevention Requirements for FCCHs

SIDS Prevention Requirements	Number of States*	
	2007 (N=44)	2011 (N=42)
Infants must be placed on their backs to sleep	22	33
Physicians may authorize different sleep positions for infants	21	30
Soft bedding/materials must not be used in cribs	15	21
Providers are required to complete training about SIDS prevention	9	11
Providers must check on sleeping infants	6	12
Parents can authorize a different sleep position for infants	3	3

*Two States (IN and KS) eliminated the category of FCCHs since 2007. The total number of States that license FCCHs was 44 in 2007; it was 42 in 2011.

- Thirty-five (35) States have requirements about how to feed infants; and 18 have requirements about breastfeeding or feeding breast milk to children in care.

Care of School-age Children

- Of the 42 States that license FCCHs, 18 have requirements for the care of school-age children incorporated into the regulations for FCCHs.
 - ◆ Three (3) States have added requirements for school-age children to their FCCH regulations since 2007—Massachusetts, North Dakota, and Utah.
- Twelve (12) States specify the types of activities FCCHs should provide for school-age children—Alaska, Arkansas, Delaware, District of Columbia, Illinois, Minnesota, Missouri, Nebraska, New York, Tennessee, Texas, and Wisconsin.
- Seven (7) States have requirements specifically for the supervision of children in this age group—Alaska, Illinois, Massachusetts, New Hampshire, New York, North Dakota, and Utah.
- Six (6) States require FCCHs to have specific types of equipment for school-age children—Arkansas, Illinois, Mississippi, Tennessee, Texas, and West Virginia.

Care of Children with Disabilities or Other Special Needs

- Of the 42 States that license FCCHs, 31 have requirements about the care of children with special needs in their regulations for FCCHs.
- Of the 31 States, the largest number of States—23—have requirements related to facility records and policies. Table 7 includes some of the most common requirements for FCCHs.

Table 7: State Requirements About the Care of Children with Disabilities or Special Needs for FCCHs

Requirements About the Care of Children with Disabilities	Number of States
FCCH provider must keep information about disabilities or special needs in children’s records	13
FCCH provider must obtain information from parents about children’s disabilities or special needs	10
FCCH provider must obtain information from physicians about children’s disabilities or special needs	8

N=42 States, including DC and excluding AZ, ID, IN, KS, LA, NJ, OH, SD, VA.

- Seven (7) States require FCCH providers to develop activity plans or accommodate existing plans for children with disabilities or special needs—Alaska, District of Columbia, Georgia, Illinois, Michigan, Tennessee, and Texas.
- Six (6) States require FCCH providers to develop plans for caring for the children with disabilities or special needs—Alaska, Connecticut, District of Columbia, Massachusetts, Mississippi, and New York.

Facility Health and Safety Requirements

- As shown in Table 8, between 2007 and 2011, there have been a few small increases in the number of States that have some common health and safety requirements for FCCHs.
 - ◆ Since 2007, four (4) more States do not allow smoking in FCCHs during the hours of care—Delaware, Iowa, Massachusetts, and Utah.⁹

Table 8: State Requirements About Health and Safety for FCCHs

Health and Safety Requirements	Number of States*	
	2007 (N=44)	2011 (N=42)
Environmental Tests and Inspections		
Environmental tests (i.e., lead paint, lead in water, asbestos, radon)	19	19
Environmental health inspections	14	12
Indoor and Outdoor Space		
Amount of indoor space per child is 35 square feet	25	25
Amount of outdoor space per child is 75 square feet	12	12
Fence or other enclosure around outdoor space	15	16
Fire Safety and Emergency Preparedness		
Requirements for fire safety	43	42
Fire drills	36	36
Emergency preparedness (i.e., weather, utility-related, acts of terrorism)	26	28
Security		
Daily attendance records kept	26	28
Procedures for accepting and releasing children (i.e., signing in and out)	18	19
Insurance		
Liability insurance	6	6
Automobile insurance	21	22
Reporting to the Licensing Agency		
All serious injuries that occur to children in programs	33	31
All deaths that occur to children in programs	27	25
Hand Washing		
Hand washing for staff	39	38
Hand washing for children	35	37

⁹ Kansas had this requirement in 2007, but no longer has a category of FCCHs.

Table 8: State Requirements About Health and Safety for FCCHs, con.

Health and Safety Requirements	Number of States*	
Diapering		
Requirements for diapering	37	36
Sanitation of diapering area	30	30
Specify when diapers are changed	22	23
Smoking Policies		
Smoking not allowed in facility	19	22
Firearms		
Firearms are allowed in homes, but must be in locked containers, closets, or other safe locations	34	36
Firearms not allowed in homes	1	1

* Two States (IN and KS) eliminated the category of FCCHs since 2007. The total number of States that license FCCHs was 44 in 2007; it was 42 in 2011.

Trends in State Family Child Care Home Licensing Policies

The information in this section was compiled and analyzed from the *NARA Child Care Licensing Programs and Policies Survey*.¹⁰

Number of Licensed Family Child Care Homes

- There are a total of 134,920 licensed FCCHs in the United States, with a total of 312,254 licensed facilities, as shown in Table 9.¹¹
 - ◆ The number of FCCHs has decreased by 13 percent since 2008. There has been a six percent decrease in the total number of licensed facilities.
 - ◆ Many States reported that the economy has been a factor in this decrease of licensed facilities.

Licensed Capacity in Family Child Care Homes

- There are more than 10 million licensed child care slots in the United States as shown in Table 9.¹² Licensed capacity has decreased by one percent since 2008.
- Approximately 12 percent of licensed child care slots are in FCCHs.
 - ◆ The number of licensed slots in FCCHs has decreased slightly (3 percent).

¹⁰ For the comparative analysis, data on the number of facilities and licensed capacity were compared to the NARA survey results from 2008. All remaining data in this section are compared to the NARA survey results from 2005. Data were collected in 2005 about FCCH licensing policies, but not about program requirements from regulations.

¹¹ The total number of licensed facilities and licensed capacity includes child care centers, family and group child care homes, and other types of facilities reported by States (such as, part-day preschools and nursery schools, school-age care facilities, registered FCCHs, Head Start programs, child placing agencies, residential facilities, and others).

¹² See note #7.

**Table 9: Number of Licensed Facilities and Licensed Capacity
FCCHs and Total**

	2008	2011	Difference
Number of Facilities			
FCCHs	155,230	134,920	-20,310
Total number of licensed facilities	329,882	312,254	-17,628
Licensed Capacity			
Licensed capacity in FCCHs	1,271,163	1,231,615	-39,548
Total licensed capacity	10,199,106	10,085,606	-113,500

Licensing Staff Caseloads

- Based on information reported from state licensing agencies, there is an average caseload of 103 centers and homes for licensing line staff.¹³ Most state licensing agencies assign licensing line staff to inspect both child care centers and homes. Across States, the reported caseloads range from 21.73 facilities in Tennessee to 231 facilities in Vermont.
 - ◆ NARA recommends that licensing agencies calculate workload standards to account for local variables but the average workload for inspectors should not exceed 50–60 facilities.¹⁴

Frequency of Licensing

- FCCH licenses are valid in most States for either one or two years. Non-expiring licenses are issued in 10 States—Arkansas, California, Colorado, Maryland, Nebraska, North Carolina, Oklahoma, Texas, Washington, and Wisconsin.

Types of Inspections

- Of the 42 States that license FCCHs, 37 conduct an inspection prior to issuing a license. More than 80 percent of States conduct an announced inspection prior to issuing a license.
- Most States conduct unannounced inspections for license renewal and other routine compliance visits.

¹³ Homes in the caseloads include family child care homes and group child care homes if the State has both types of home-based facilities.

¹⁴ NARA and Amie Lapp-Payne. (May 2011). *Strong Licensing: The Foundation for a Quality Early Care and Education System: Preliminary Principles and Suggestions to Strengthen Requirements and Enforcement for Licensed Child Care*. http://www.naralicensing.drivehq.com/publications/Strong_CC_Licensing_2011.pdf

Frequency of Inspections

- As shown in Table 10, most States inspect FCCHs once a year.
 - ◆ Since 2005, 24 percent of States report an increase in the number of inspections conducted each year. More than 70 percent of States conduct inspections more than once a year.
 - ◆ Five (5) States that inspected less than once a year in 2005 report that they inspect at least once a year in 2011—Alabama, Alaska, Georgia, Massachusetts, and New York.

Table 10: Frequency of Licensing Inspections in FCCHs

Frequency of Inspections	Number of States*	
	2005 (N=45)	2011 (N=42)
More than three times a year	2	4
Three times a year	2	2
Twice a year	7	9
Once a year	15	15
Once every 2 years	5	5
Once every 3 years	3	1
Less than once every 3 years	3	1
Facility not inspected	3	3
Other frequency of inspection	5	3

* The total number of States that license FCCHs was 45 in 2005; it was 42 in 2011.

"Other frequency of inspection" includes monitoring based on compliance history or size of the facility.

Monitoring Tools

- Fifty-five (55) percent of States that license FCCHs report using abbreviated compliance forms that shorten the list of requirements that are checked in programs during inspections.
 - ◆ More than 82 percent of these States report that the selection of rules for inclusion in abbreviated compliance forms is based on those rules considered most critical to protecting children's health and safety.
- Fifty (50) percent of States that license FCCHs report having a method for determining the frequency and/or depth of monitoring based on an assessment of a facility's level of compliance with regulations, also known as "differential monitoring."¹⁵
 - ◆ The number of States using differential monitoring has increased significantly from 8 States in 2005 to 21 States in 2011.
- Twelve (12) States that license FCCHs report having a system of weighted licensing requirements in which rules are ranked for relative risk of harm.

¹⁵ "Differential monitoring" is defined as a method for determining the frequency and/or depth of monitoring based on an assessment of a facility's level of compliance with regulations. This process may also be called "risk assessment monitoring" or "risk-based monitoring" and can be used to determine the number of inspections needed for a particular facility and the content of inspections.

- Thirty-two (32) States report using portable devices to help staff efficiently inspect and monitor licensed facilities, such as laptops, portable digital assistants, and tablets with specific software for capturing information during licensing inspections.
 - ◆ Since 2005, 19 additional States have begun using these devices.
- Nearly all States report providing technical assistance and consultation during monitoring activities to help FCCs achieve compliance with regulations; 73 percent of States that provide technical assistance report that they assist facilities to improve quality and exceed minimum licensing regulations.

Enforcement Actions

- The most common enforcement actions used with facilities (centers and homes) that are not in compliance with the regulations are revocation of a license, denial of a license, emergency/immediate closure of a facility, conditional license, non-renewal of a license, and civil fines. As shown in Table 11, all of these are reported to be used by at least 50 percent of States.
- Of the common enforcement actions listed above, States mostly imposed civil fines, conditional licenses, and license revocations against facilities that were out of compliance with licensing regulations.

Table 11: Use of Enforcement Actions in Child Care Facilities

Enforcement Actions	Number of States Using Enforcement Action in 2011	Number of Actions Taken Against Facilities by All States
Revocation of license	45	1,041
Denial of license	41	739
Emergency/immediate closure of facility	39	551
Conditional license	30	1,341
Civil fine	27	2,298
Nonrenewal of license	27	92
Consent agreement	21	185
Probation	20	571

N = 50 States, including DC and excluding ID.

Note: Not all States were able to provide data about the number of enforcement actions used with child care facilities. In addition, it is assumed that the number of actions does not show the number of facilities that were in violation with the licensing regulations. Facilities could have been subject to multiple actions during one year.

Licensing Information on the Internet

- Thirty (30) States report that they post licensing information about child care facilities on the Internet for parents and the general public to access.
 - ◆ Ten (10) States posted licensing information on the Internet in 2005, with eight of those States posting information about complaints, as shown in Table 12.

Table 12: Licensing Information Posted on the Internet

Licensing Information Posted on the Internet	Number of States	
	2005	2011
Licensing Inspection Reports		
Full report	7	16
Inspection summary	2	13
Licensing Complaints		
All complaints	2	6
Substantiated complaints	6	19
Complaints not posted	2	5

N = 50 States, including DC and excluding ID.

Note: In some cases, States only post information about complaints on the Internet; they do not include inspection reports.

Types of Licensing Complaints

- As reported by State licensing agencies, the most common types of licensing complaints are about issues of: (1) supervision; (2) staff-child ratios; (3) health/safety/cleanliness/nutrition; and (4) discipline/behavior management.

Licensing Fees

- More than 60 percent of States charge FCCHs a fee to obtain a license. Licensing fees for FCCHs are most often a flat fee.
 - ◆ Slightly more than half of the States that charge a licensing fee use the revenue to support the licensing agency. In the remaining States, the revenue from licensing fees goes into the States' general funds.

Licensing Staff Requirements

- Thirty-eight (38) States report that they require licensing line staff to have a bachelor's degree. In 16 States, the content and/or major of the degree or coursework must be early childhood education, child development, or a related topic. Twenty-two (22) States also require experience working in a setting with children.
- Twenty-five (25) States require licensing line staff to complete additional training each year. Almost all States make training available to licensing staff through the licensing agency, local and state conferences, and community-based organizations. Half of States receive training from outside consultants and/or national conferences.
- Most States use multiple sources of funds to support licensing functions. More than 85 percent of States use the Child Care and Development Fund (CCDF) to hire and support child care licensing staff. Two-thirds of States also use general state funds for this purpose.

Conclusion

The role of licensing in the early care and education system is to provide a mandatory floor of program standards that will protect children from physical harm and enhance learning and development. Within the early care and education system, licensing covers the broadest content, the largest number of children ages birth to school-age, and the largest population of providers. The positive changes uncovered in this analysis, show that States are committed to strengthening this vital piece of the system, despite the social, political, and economic challenges that can accompany regulatory change.

Many States look to licensing to be a foundation for building quality improvement systems. These systems—such as quality rating and improvement systems (QRIS)—often require compliance with state licensing requirements as a prerequisite or as the first level of standards. By relying on licensing to provide health and safety requirements and regular monitoring that will protect children from harm, the content of QRIS standards can focus on areas that have the most impact on the quality of the program, such as staff qualifications, curriculum and learning activities, family engagement, and business management.

This research brief shows that licensing is the foundation for child care quality and provides evidence that States are making positive changes in their licensing requirements and policies to protect the health and safety of children in out-of-home care.



Research Brief #3: Trends in Group Child Care Home Licensing Regulations and Policies for 2011

This research brief is a joint effort between the Office of Child Care's National Center on Child Care Quality Improvement (NCCCQI) and the National Association for Regulatory Administration (NARA). This is the third in a series of briefs from this collaboration to collect and analyze data about child care licensing in the United States.

Introduction

Ensuring the health and safety of children in child care is a top priority for the Office of Child Care, Administration for Children and Families, U.S. Department of Health and Human Services (OCC). In *Pathways and Partnerships for Child Care Excellence* (2010), OCC states:

Health and safety is the foundation of quality in child care. It is the paramount concern of every parent. Under the Child Care and Development Block Grant Act, every State must operate a system of child care licensing, but in many States, those standards are not high enough to ensure the health and safety of children, they do not apply to many settings in which young children are cared for, and monitoring to ensure compliance with standards is not adequate. OCC is stepping up our work with States, Territories, and Tribes to strengthen standards to ensure safety, health, and well-being, while supporting child care providers to meet standards.¹

OCC has made a commitment to work with States to strengthen licensing systems. These systems, which include child care licensing regulations and monitoring and enforcement policies, provide a baseline of protection for the health and safety of children in out-of-home care. Licensing helps prevent various forms of harm to children—risks from the spread of disease, fire and other building safety hazards, injury, and developmental impairment from the lack of healthy relationships with adults, adequate supervision, and/or developmentally appropriate activities.

Licensing is a process administered by State governments that sets a baseline of requirements below which it is illegal for facilities to operate.² States have regulations that include the requirements facilities must comply with and policies to support the enforcement of those regulations.

¹ Office of Child Care, Administration for Children and Families, U.S. Department of Health and Human Services. (2010). *Pathways and Partnerships for Child Care Excellence*. Retrieved April 1, 2013, http://www.acf.hhs.gov/sites/default/files/occ/pathways_partnerships_v1_0.pdf

² "Licensing/licensed" is defined as permission from a State that is required to operate a child care facility, which includes meeting specific program standards. Some States may call their regulatory processes "certification" or "registration;" for purposes of this research brief, the terms "licensing" or "licensed" are used to represent all regulatory processes.

Scope and Purpose

The purpose of this research brief is to report on the licensing requirements and policies of **group child care home** (GCCH) licensing for all 50 States and the District of Columbia³.

States may define group child care homes differently in their licensing requirements. For the purpose of categorizing the types of home-based child care settings States regulate, the following definition of **group child care home** from the Child Care and Development Fund (CCDF) Final Rule⁴ is used:

Two or more individuals who provide child care services for fewer than 24 hours per day per child, in a private residence other than the child's residence, unless care in excess of 24 hours is due to the nature of the parent(s)' work.

In another research brief in this series, licensing requirements and policies for family child care homes are addressed.

Using data compiled from state child care licensing regulations and the results of NARA's survey of state licensing agencies, the National Center on Child Care Quality Improvement has conducted an analysis that examines the state of licensing in 2011, and identifies trends that have become apparent during several years of data collection.

Compilation of State Licensing Requirements

State licensing requirements are regulatory requirements, including registration or certification requirements, established under State law necessary for a provider to legally operate and provide child care services in a State.

For this research, all data regarding **GCCH requirements** were compiled by the NCCCQI from the regulations posted on the National Resource Center for Health and Safety in Child Care and Early Education (NRC) Web site between January 1, 2009 and December 31, 2011 at <http://nrckids.org/STATES/states.htm>.⁵

The data presented in this research brief only includes information from state child care licensing regulations. Additional requirements for child care facilities may be in state statutes, administrative codes, or other local, state, or Federal laws. It was beyond the scope of this work to review all laws that pertain to child care programs.

NARA Survey of Licensing Programs and Policies

The data about States' **licensing policies**, including, facility monitoring, enforcement of licensing regulations, and licensing program staffing, were gathered by the National Association for Regulatory Administration (NARA) in the *2011 NARA Child Care Licensing Programs and Policies Survey*. NARA sent the survey via SurveyMonkey®, an online survey tool, to all state child care licensing agencies in April 2012. Respondents submitted their answers via

³ For purposes of this study, the District of Columbia (DC) is treated as a State. It was beyond the scope of this research to include information about States that have child care licensing programs at the city or county levels, such as Idaho (ID); Anchorage, AK; New York City; and the counties in Florida that have their own licensing programs.

⁴ The Child Care and Development Fund (CCDF) Final Rule is available at <http://www.gpo.gov/fdsys/pkg/CFR-2011-title45-vol1/pdf/CFR-2011-title45-vol1-part98.pdf>.

⁵ NRC is located at the University of Colorado Denver and is funded by the Maternal and Child Health Bureau, Health Resources and Services Administration, U.S. Department of Health and Human Services (DHHS).

the Internet, and by July 2012, all States had responded. NARA shared the results of the survey with the NCCCQI, which conducted the analysis included in this research brief.

Comparative Analysis

This brief also includes a comparison of data collected for 2011 with data collected on licensing requirements and policies in previous years. For the most part, the 2005 and 2007 *Child Care Licensing Studies* were used for this analysis, since they used these same methodologies—a compilation of data from regulations and a survey of the state licensing agencies. The 2005 study was used for the comparison of data about licensing policies; however, that study did not include an examination of requirements for GCCHs. Therefore, *The 2007 Child Care Licensing Study* was used for the comparison of requirements. The *Child Care Licensing Studies* were conducted through a collaboration of the National Child Care Information and Technical Assistance Center (NCCIC) and NARA. NCCIC was a previous contract with the OCC. The collaboration of NCCIC and NARA also produced a comparable licensing study in 2008. Some of those data are also used for analysis in this research brief. The reports from the 2005, 2007, and 2008 Child Care Licensing Studies are available at http://www.naralicensing.org/Licensing_Study.

Summary of Key Findings

Just in the period of 2009 through 2011, more than half of States have made changes to their **licensing regulations** for group child care homes. When looking back to 2007, a number of significant trends have emerged in the types of regulations that have changed.

- The largest increase is in the number of States that have requirements about safe sleep practices (i.e., putting infants on their backs to sleep, providers must check on sleeping infants).
- States have increased the qualifications of GCCH providers, by adding requirements for a high school diploma or equivalent or training in early childhood education. The number of States that require training in the administration of medication has doubled since 2007.
- States have increased the number of ongoing training hours for GCCH providers. The median number of required training hours has increased from 12 to 13.5 since 2007.
- The number of States requiring Federal fingerprints and checks of the sex offender registry has increased.
- States have added requirements about parent involvement, transportation, and the care of school-age children, and more States prohibit smoking in GCCHs.

There are several positive trends found when looking at child care **licensing policies** about monitoring and enforcement of GCCHs from 2005 to 2011.

- There is a large increase in the frequency of inspections in GCCHs. Several more States now inspect at least once a year.
- The largest increase is in the number of States that put licensing information on the Internet.
- The number of States using differential monitoring has more than doubled.
- The number of States using handheld devices during licensing inspections has more than doubled.

State Group Child Care Home Licensing Regulations

The information in this section was compiled and analyzed from the licensing regulations posted on the National Resource Center for Health and Safety in Child Care and Early Education (NRC) Web site between January 1, 2009 and December 31, 2011 at <http://nrckids.org/STATES/states.htm>.

Group Child Care Homes Licensed

- Thirty-eight (38) States license GCCHs, defined as two or more adults caring for a group of children in the provider's residence.⁶
 - ◆ Thirteen (13) States do not license GCCHs—Arkansas, District of Columbia, Idaho, Kentucky, Louisiana, Maine, Maryland, Massachusetts, New Jersey, North Carolina, Vermont, Washington, and Wisconsin.
 - ◆ Since 2007, Massachusetts has eliminated the category of licensed GCCHs from its regulations.

Dates and Types of Regulations

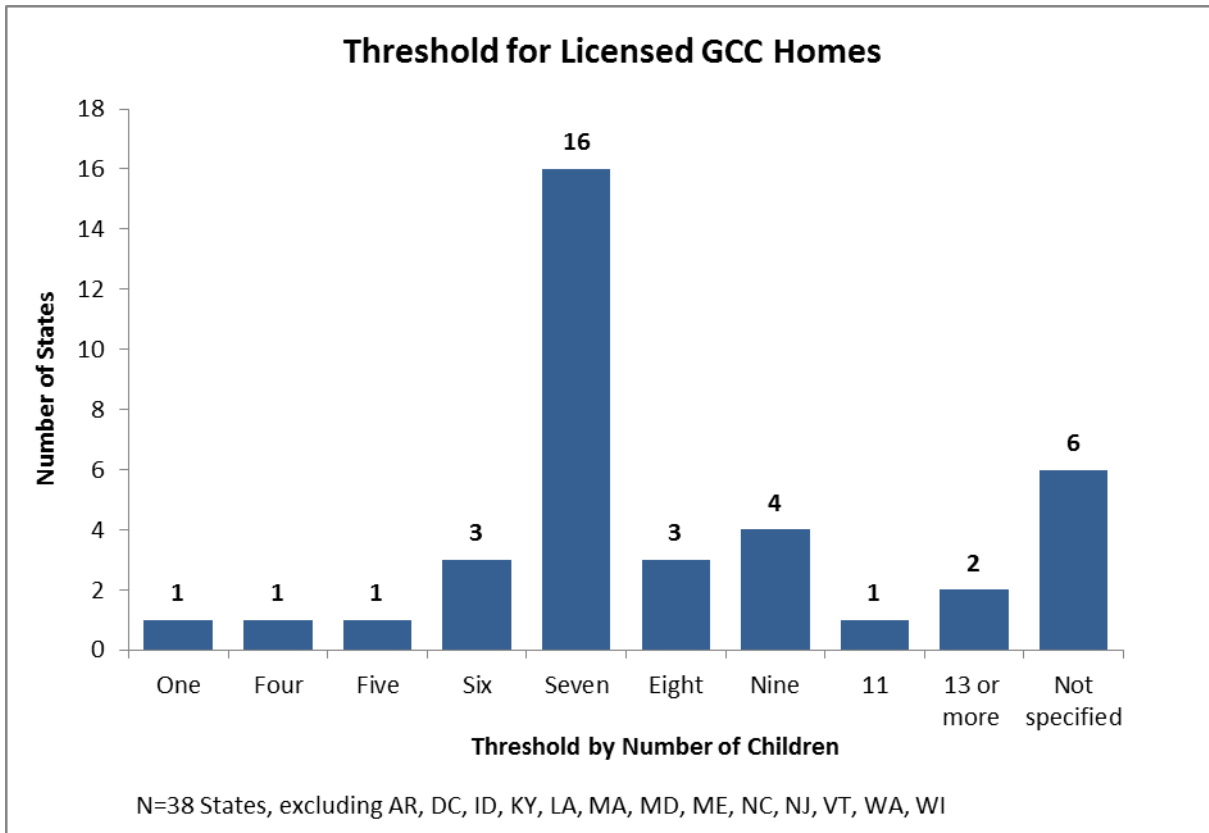
- There is a wide range of effective dates for GCCH licensing regulations.
 - ◆ Twenty-two (22) States made changes to their GCCH licensing regulations from 2009 through 2011 (i.e., since data were collected for The 2008 Child Care Licensing Study).
 - ◆ Nebraska has not changed its GCCH regulations since the 1998.

Licensing Threshold

- As shown in Figure 1, most States license a facility as a GCCH when 7 or more children are in care.
- Six (6) States do not specify the licensing threshold for GCCHs.

⁶ In regulations promulgated in 2010, Massachusetts eliminated large/group homes as a separate license category. There were 39 States that licensed large/group homes in 2007.

Figure 1



Maximum Number of Children

Typically, GCCHs have two adult providers caring for a group of children.

- Of the 38 States that license GCCHs, 21 allow no more than 12 children in the home.
- Eight (8) States allow 12 preschool children plus additional school-age children. These States allow from two up to five additional school-age children in care during the before- and after-school hours or during school vacations. Most allow three additional children.
- Eight (8) States allow 14 or more (up to 20 in two States) preschool-age children in GCCHs.
- Thirty-two (32) States count providers’ children or other children living in homes in the maximum numbers allowed.

Staff Roles and Age Requirements

- All States that license GCCHs have requirements in their regulations for the provider role.
- Thirty-three (33) States have requirements for a GCCH assistant.

- The most common minimum age requirements are 18 years of age for providers in 16 States and 21 years in 14 States. Twenty-one (21) States require assistant providers to be at least 18 years of age; however, 10 of the remaining States allow assistants to be between 13- and 16-years-old.

Staff Qualifications and Ongoing Training Requirements

- Half of the States that license GCCHs require providers to have a high school diploma or equivalent, as shown in Table 1.
 - ◆ Since 2007, three (3) States have added a requirement for a high school diploma for GCCH providers—Delaware, Missouri, and Virginia.
- Virginia added preservice requirements for providers since 2007. North Dakota added preservice requirements for assistant providers.
- One State (Utah) added ongoing training requirements for GCCH providers since 2007. Three States added ongoing training requirements for assistant providers—Arkansas, Massachusetts, and Utah.

Table 1: States With High School Diploma and/or GED, Preservice Qualifications, and Ongoing Training Requirements

GCCH Staff Role	Number of States			
	Role Regulated	High School Diploma or GED	Preservice Qualifications	Ongoing Training
Provider	38	19	31	35
Assistant provider	33	8	13	29

N=38 States, excluding AR, DC, ID, KY, LA, MA, MD, ME, NC, NJ, VT, WA, WI.

- The most common minimum qualification for GCCH providers is clock hours of training in early childhood education.
 - ◆ One State (Georgia) changed the amount of preservice training required for GCCH providers since 2007.
- The number of ongoing training hours required annually ranges from 2 to 20. The median number of required training hours for GCCH providers is 13.5. Many States specify the content and approved delivery methods for ongoing training.
 - ◆ The median number of required training hours has increased from 12 to 13.5 since 2007.
 - ◆ Three (3) States have increased the number of training hours for GCCH providers since 2007—Minnesota, New Hampshire, and Virginia.

Health and Safety Training

- Thirty-six (36) States require GCCH providers to complete first aid training, and 33 States require CPR training. Twenty-seven (27) States specify that CPR training must focus on infants and children. Many States require GCCH providers to complete training on child abuse and neglect (18 States) or the prevention of communicable diseases (12 States).

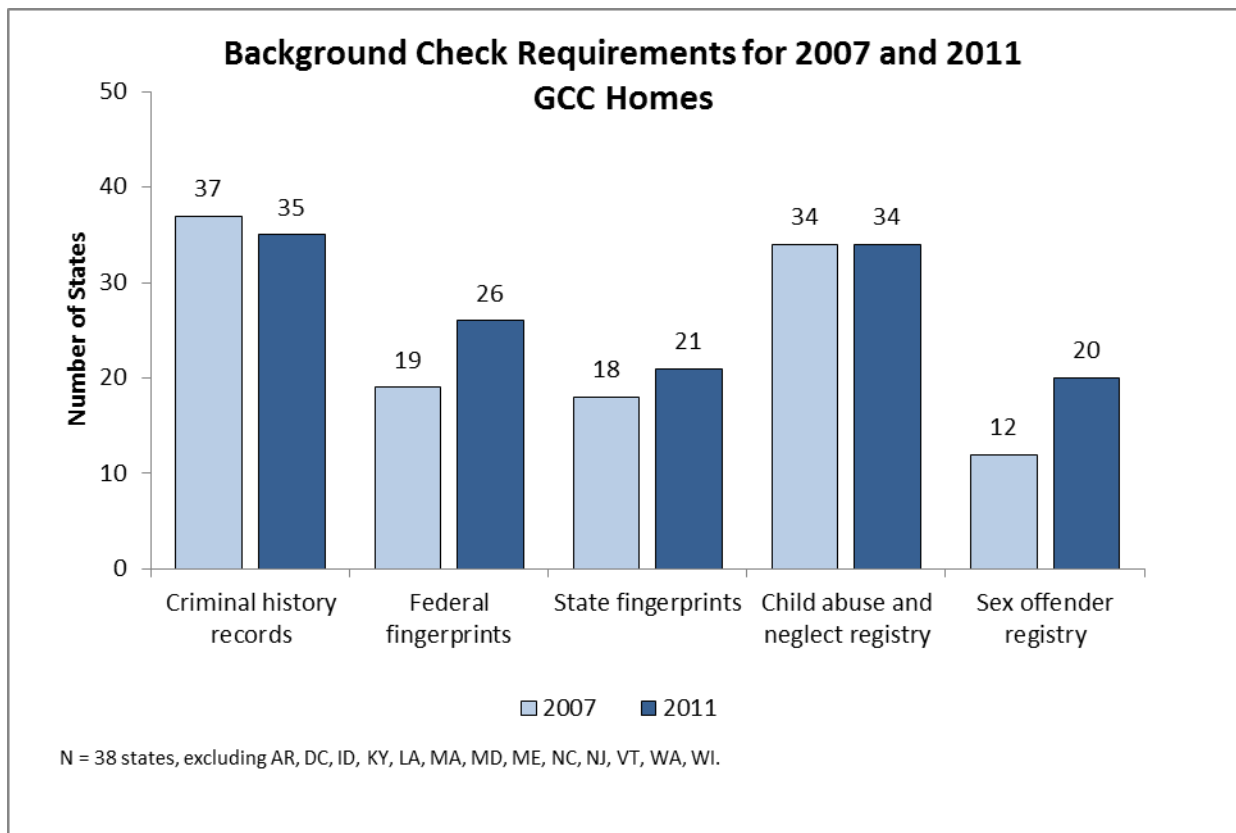
Orientation Training

- Twenty-seven (27) States that license GCCHs require providers to complete some type of orientation training, with most requiring providers complete an orientation to the licensing process.

Background Checks

- All 38 States that license GCCHs require at least one type of background check for providers prior to hiring.
 - ◆ As shown in Figure 2, the number of States requiring fingerprint checks from federal records and checks of the sex offender registry has increased since 2007⁷.

Figure 2



- Twelve (12) States conduct a comprehensive background check and require checks of criminal history records, fingerprints (state and federal), child abuse and neglect registries, and the sex offender registry for GCCH providers—Alaska, California, Colorado, Hawaii, Illinois, Michigan, Mississippi, North Dakota, South Carolina, South Dakota, Tennessee, and West Virginia.

⁷ The data on background checks were not collected in The 2005 Child Care Licensing Study. The first time they were collected were in the 2007 study.

- Thirty-two (32) States also require GCCH providers to sign criminal status statements about.

Additional Provider Requirements

- Twenty-eight (28) States require GCCH providers to have a physical exam or provide a health statement from a physician prior to working with children.
- Twenty-three (23) States require GCCH providers to have a tuberculosis screening.
- Eighteen (18) States require references from providers at initial licensure or when hired.

Child-Staff Ratios

For child care centers, all States have requirements for child-staff ratios, which is the number of children one staff member is allowed to supervise. Child-staff ratio requirements are based on the ages of children in care. For GCCHs, States have child-staff ratio requirements, however not all States base these requirements on ages of children, because home providers typically care for mixed-age groups.

There is great variability in these requirements across States, which makes it difficult to summarize these requirements. Some States have child-staff ratios for entire groups of children (e.g., 6:1 or 8:1), that equals two adults present when the maximum number of children allowed is present. Some States have ratios based on the ages of children in the group. For example, a State may require a child-staff ratio of 4:1 for children younger than 3 years and a ratio of 10:1 for children age 3 years and older.

Supervision of Children

- All 38 States that license GCCHs have requirements for providers about the supervision of children in care. Among those States, some specify that providers must be able to see or hear children at all times or that providers must be free of other duties while supervising children.
- Thirty-seven (37) States that license GCCHs have specific requirements about the supervision of children during at least one of the times/activities listed in Table 3.

Table 3: Supervision Requirements for GCCHs

Time/Activities	Number of States
Transportation	34
Swimming	31
Field Trips	29
Evening/Night Care	24
Outdoor Play	17
Naptime	16

N=37 States, excluding AR, CA, DC, ID, KY, LA, MA, MD, ME, NC, NJ, VT, WA, WI.

Health Requirements and Medical Care

- All States that license GCCHs require children to have immunizations to enroll in home-based care. However, most States allow exemptions from immunization requirements if written statements are provided from either a physician or parent.
- Twenty-three (23) States require children to have a physical exam when enrolling in a GCCH.
- Thirty-six (36) States that license GCCHs have requirements about the administration of medication to children. GCCHs in most States must obtain permission from parents to administer medications, keep records of medications given to children, and get written instructions about how to give the medication to children.
- Eight (8) States require providers to complete training about the administration of medication— Colorado, Connecticut, Delaware, Georgia, New Hampshire, Nevada, Virginia, and Wyoming.
 - ◆ Four (4) States have added this requirement since 2007—Georgia, New Hampshire, Virginia, and Wyoming.
- Eighteen (18) States require GCCHs to exclude children who are mildly ill, meaning that they must be kept at home until they are well enough to return to care.

Nutrition and Maintaining Healthy Weight

- More than 92 percent of States that license GCCHs have requirements about the nutritional content of meals and snacks served to children.
- Table 4 shows that a growing number of States are adding requirements to their licensing regulations to help with preventing obesity and maintaining healthy weight in young children.

Table 4: Requirements for GCCHs About Maintaining Health Weight in Children

Healthy Weight Requirement*	Number of States
Nutrition	
Drinking water must be freely available to children throughout the day	24
Requirements about breastfeeding or feeding breast milk to children in care	18
Fruit or vegetables must be served at every meal	11
Soft drinks or other sugary drinks are prohibited	5
Limit servings of 100% juice to one 4 to 6 ounce serving per day	4
Low-fat or nonfat milk must be served to children age two and older	2
Meals are eaten family style	0
No fried foods are served	0
Physical Activity	
Daily outdoor play is required when weather permits	32
Duration of daily physical activity is specified	5

Table 4: Requirements for GCCHs About Maintaining Health Weight in Children

Healthy Weight Requirement*	Number of States
Screen Time	
State has rules about children’s use of television, computers, and/or other electronic media	16
Content of electronic media is age-appropriate, educational, non-violent, etc.	16
State sets limits on the amount of screen time	9
Use of electronic media is prohibited with children under age 2	1

N=38 States, excluding AR, DC, ID, KY, LA, MA, MD, ME, NC, NJ, VT, WA, WI.

*The table shows the number of States that have licensing requirements about nutrition, physical activity, and screen time similar to the elements in the Let’s Move! Child Care initiative’s goal areas. Additional information about Let’s Move! Child Care is available at <http://www.healthykidshealthyfuture.org>.

Behavior Guidance and Discipline

- Twenty-nine (29) States specify the types of discipline or behavior guidance that GCCH providers are allowed to use with children, and 38 States specify forms of discipline home providers are not allowed to use with children.
 - ◆ One State (South Carolina) has no requirements to prohibit the use of corporal punishment in GCCHs. It can only be used if authorized in writing by parents/guardians.

Activities and Equipment/Materials

- Thirty-five (35) States specify the types of activities, such as outdoor play, active play, quiet play, naptime, and group activities that must be included in the daily schedule for children.
- Twenty-eight (28) States specify that the domains of children’s development must be addressed in activities. Most of these States require GCCHs to address children’s social, physical, language/literacy, cognitive/intellectual, and emotional development. Ten States require GCCHs to address cultural development in activities.
- Sixty (60) percent of States have requirements for the types of equipment/materials GCCHs must have for children, such as indoor/outdoor gross motor equipment, fine motor manipulatives, books and other literacy materials, and art supplies.

Parent Involvement

- Four (4) States have parent involvement for GCCHs —Connecticut, New Mexico, Pennsylvania, and West Virginia. In addition, Pennsylvania requires GCCHs to provide opportunities for parents to be involved in activities.
- Of the 38 States that license GCCHs, 32 have requirements about communication with parents.
 - ◆ Four (4) States added these requirements since 2007—Arizona, Colorado, Georgia, and Utah.
- Three (3) States require homes to keep logs of children’s care and communicate with parents—Georgia, Mississippi and Rhode Island.

- ◆ Georgia added these requirements for GCCHs since 2007.
- Hawaii requires GCCHs to hold regularly scheduled meetings with parents.
- Thirty-five (35) States require GCCHs to provide parents with access to the facility at all times when their child is present.

Transportation

- Thirty-seven (37) States have requirements about transporting children in vehicles.
 - ◆ As shown in Table 5, there has been little change in the number of States that have requirements about the driver, supervision of children when they board and exit vehicles, and keeping attendance records of children being transported.
 - ◆ The number of States that have requirements for additional checks for children remaining on board has doubled—from three (3) States in 2007 (Florida, Missouri, and Tennessee) to six (6) States in 2011 (Delaware, Florida, Georgia, Missouri, Nevada, and Tennessee).

Table 5: Transportation Requirements for GCCHs

Transportation Requirements	Number of States*	
	2007 (N=39)	2011 (N=38)
Requirements for transporting children in vehicles	38	37
Safety restraints for children (e.g., seat belts, car seats)	32	31
Driver requirements (e.g., driver’s license, minimum age requirements)	29	28
Specific child-staff ratio requirements for transporting children in vehicles	15	15
Supervision of children when they board and exit vehicles	15	16
Attendance records of children being transported	7	8
Additional checks for children remaining on board are conducted once vehicles are unloaded	3	6

N=38 States, excluding AR, DC, ID, KY, LA, MA, MD, ME, NC, NJ, VT, WA, WI.

Note: In regulations promulgated in 2010, Massachusetts eliminated large/group homes as a separate license category. There were 39 States that licensed large/group homes in 2007.

Care of Infants and Toddlers

- The number of States requiring GCCHs to place infants on their backs to sleep to prevent Sudden Infant Death Syndrome (SIDS) has increased by nine States since 2007, as shown in Table 6. Large numbers of States have also added requirements about physician authorization for a different sleep position and prohibited the use of soft bedding in cribs.
 - ◆ Five (5) States have added the requirement that providers must check on sleeping infants—Colorado, Delaware, North Dakota, New Hampshire, and Utah.

Table 6: SIDS Prevention Requirements for GCCHs

SIDS Prevention Requirements	Number of States*	
	2007 (N=39)	2011 (N=38)
Infants must be placed on their backs to sleep	20	29
Physicians may authorize different sleep positions for infants	18	26
Soft bedding/materials must not be used in cribs	11	17
Providers are required to complete training about SIDS prevention	6	9
Providers must check on sleeping infants	3	8
Parents can authorize a different sleep position for infants	1	1

N=38 States, excluding AR, DC, ID, KY, LA, MA, MD, ME, NC, NJ, VT, WA, WI.

Note: In regulations promulgated in 2010, Massachusetts eliminated large/group homes as a separate license category. There were 39 States that licensed large/group homes in 2007.

- Thirty-three (33) States have requirements about how to feed infants; and 18 have requirements about breastfeeding or feeding breast milk to children in care.

Care of School-age Children

- Of the 38 States that license GCCHs, 16 have requirements for the care of school-age children incorporated into the regulations for large/group homes.
 - ◆ Four (4) States have added requirements for school-age children to their GCCH regulations since 2007—Georgia, North Dakota, Utah, and Wyoming.
- Twelve (12) States specify the types of activities GCCHs should provide for school-age children—Alaska, Connecticut, Delaware, Georgia, Illinois, Minnesota, Missouri, Nebraska, New York, Tennessee, Texas, and West Virginia.
- Seven (7) States have requirements specifically for the supervision of children in this age group—Alaska, Illinois, New Hampshire, North Dakota, New York, Utah, and Wyoming.
 - ◆ Three (3) States added this requirement since 2007—North Dakota, Utah, and Wyoming.
- Five (5) States require GCCHs to have specific types of equipment for school-age children—Illinois, Mississippi, Tennessee, Texas, and West Virginia.

Care of Children with Disabilities or Other Special Needs

- Of the 38 States that license GCCHs, 31 have requirements about the care of children with special needs in their regulations for large/group homes.
- Of the 31 States, the largest number of States—22—have requirements related to facility records and policies. Table 7 includes some of the most common requirements for GCCHs.

Table 7: State Requirements About the Care of Children with Disabilities or Special Needs for GCCHs

Requirements About the Care of Children with Disabilities	Number of States
GCCH provider must keep information about disabilities or special needs in children's records	14
GCCH provider must obtain information from physicians about children's disabilities or special needs	10
GCCH provider must obtain information from parents about children's disabilities or special needs	9

N=38 States, excluding AR, DC, ID, KY, LA, MA, MD, ME, NC, NJ, VT, WA, WI.

- Five (5) States require GCCH providers to develop activity plans or accommodate existing plans for children with disabilities or special needs—Alaska, Illinois, Michigan, Tennessee, and Texas.
- Seven (7) States require GCCH providers to develop plans for caring for the children with disabilities or special needs—Alaska, Arizona, Connecticut, Illinois, Mississippi, New York, and Oregon.

Facility Health and Safety Requirements

- As shown in Table 8, between 2007 and 2011, there have been a few small increases in the number of States that have some common health and safety requirements for GCCHs.
 - ◆ Since 2007, eight (8) more States do not allow smoking in GCCHs during the hours of care—Arizona, Connecticut, Delaware, Iowa, Minnesota, New Mexico, Utah, and Virginia.

Table 8: State Requirements About Health and Safety for GCCHs

Health and Safety Requirements	Number of States*	
	2007 (N=39)	2011 (N=38)
Environmental Tests and Inspections		
Environmental tests (i.e., lead paint, lead in water, asbestos, radon)	18	17
Environmental health inspections	17	18
Indoor and Outdoor Space		
Amount of indoor space per child is 35 square feet	28	26
Amount of outdoor space per child is 75 square feet	12	11
Fence or other enclosure around outdoor space	18	20
Fire Safety and Emergency Preparedness		
Requirements for fire safety	37	37
Fire drills	32	31
Emergency preparedness (i.e., weather, utility-related, acts of terrorism)	26	27

Table 8: State Requirements About Health and Safety for GCCHs

Health and Safety Requirements	Number of States*	
	2007 (N=39)	2011 (N=38)
Security		
Daily attendance records kept	26	26
Procedures for accepting and releasing children (i.e., signing in and out)	16	17
Insurance		
Liability insurance	8	8
Automobile insurance	18	19
Reporting to the Licensing Agency		
All serious injuries that occur to children in programs	27	25
All deaths that occur to children in programs	24	24
Hand Washing		
Hand washing for staff	32	31
Hand washing for children	30	31
Diapering		
Requirements for diapering	32	31
Sanitation of diapering area	27	28
Specify when diapers are changed	17	19
Smoking Policies		
Smoking not allowed in facility	15	22
Firearms		
Firearms are allowed in homes, but must be in locked containers, closets, or other safe locations	30	30
Firearms not allowed in homes	1	2

N=38 States, excluding AR, DC, ID, KY, LA, MA, MD, ME, NC, NJ, VT, WA, WI.

Note: In regulations promulgated in 2010, Massachusetts eliminated large/group homes as a separate license category. There were 39 States that licensed large/group homes in 2007.

Trends in State Group Child Care Home Licensing Policies

The information in this section was compiled and analyzed from the *NARA Child Care Licensing Program and Policies Survey*.⁸

Number of Licensed Group Child Care Homes

- There are a total of 45,244 licensed GCCHs in the United States, with a total of 312,254 licensed facilities, as shown in Table 9.⁹
 - ◆ The number of GCCHs has increased by three percent since 2008. There has been a six percent decrease in the total number of licensed facilities.
 - ◆ Many States reported that the economy has been a factor in this decrease of licensed facilities.

Licensed Capacity in Group Child Care Homes

- There are more than 10 million licensed child care slots in the United States as shown in Table 9.¹⁰ Licensed capacity has decreased by one percent since 2008.
- Approximately five (5) percent of licensed child care slots are in GCCHs.
 - ◆ The number of licensed slots in GCCHs has increased by seven (7) percent.

**Table 9: Number of Licensed Facilities and Licensed Capacity
GCCHs and Total**

	2008	2011	Difference
Number of Facilities			
GCCHs	43,986	45,244	+1,258
Total number of licensed facilities	329,882	312,254	-17,628
Licensed Capacity			
Licensed capacity in GCCHs	504,331	544,012	39,681
Total licensed capacity	10,199,106	10,085,606	-113,500

⁸ For the comparative analysis, data on the number of facilities and licensed capacity were compared to the NARA survey results from 2008. All remaining data in this section are compared to the NARA survey results from 2005. Data were collected in 2005 about GCCH licensing policies, but not about program requirements from regulations.

⁹ The total number of licensed facilities and licensed capacity includes child care centers, family and group child care homes, and other types of facilities reported by States (such as, part-day preschools and nursery schools, school-age care facilities, registered family child care homes, Head Start programs, child placing agencies, residential facilities, and others).

¹⁰ See note #4.

Licensing Staff Caseloads

- Based on information reported from state licensing agencies, there is an average caseload of 103 centers and homes for licensing line staff.¹¹ Most state licensing agencies assign licensing line staff to inspect both child care centers and homes. Across States, the reported caseloads range from 21.7 facilities in Tennessee to 231 facilities in Vermont.
 - ◆ NARA recommends that licensing agencies calculate workload standards to account for local variables but the average workload for inspectors should not exceed 50–60 facilities.¹²

Frequency of Licensing

- GCCH licenses are valid in most States for either one or two years. Non-expiring licenses are issued in seven (7) States—Arkansas, California, Colorado, Nebraska, Oklahoma, South Dakota, and Texas.

Types of Inspections

- Of the 38 States that license GCCHs, 37 conduct an inspection prior to issuing a license. More than 86 percent of States conduct an announced inspection prior to issuing a license.
- Most States conduct unannounced inspections for license renewal and other routine compliance visits.

Frequency of Inspections

- As shown in Table 10, most States inspect GCCHs once or twice a year.
 - ◆ Since 2005, 18 percent of States report an increase in the number of inspections conducted each year. More than 84 percent of States conduct inspections more than once a year.
 - ◆ Six (6) States that inspected less than once a year in 2005 report that they inspect at least once a year in 2011—Alabama, Alaska, New Mexico, New York, North Dakota, and Wyoming.

¹¹ Homes in the caseloads include family child care homes and group child care homes if the State has both types of home-based facilities.

¹² NARA and Amie Lapp-Payne. (May 2011). *Strong Licensing: The Foundation for a Quality Early Care and Education System: Preliminary Principles and Suggestions to Strengthen Requirements and Enforcement for Licensed Child Care*. http://www.naralicensing.drivehq.com/publications/Strong_CC_Licensing_2011.pdf

Table 10: Frequency of Licensing Inspections in GCCHs

Frequency of Inspections	Number of States*	
	2005 (N=39)	2011 (N=38)
More than three times a year	2	3
Three times a year	2	1
Twice a year	9	13
Once a year	15	15
Once every 2 years	4	2
Once every 3 years	1	0
Less than once every 3 years	3	1
Facility not inspected	1	0
Other frequency of inspection	2	3

N=38 States, excluding AR, DC, ID, KY, LA, MA, MD, ME, NC, NJ, VT, WA, WI.

Note: In regulations promulgated in 2010, Massachusetts eliminated large/group homes as a separate license category. There were 39 States that licensed large/group homes in 2005.

Monitoring Tools

- Fifty-five (55) percent of States that license GCCHs report using abbreviated compliance forms that shorten the list of requirements that are checked in programs during inspections.
 - ◆ More than 82 percent of these States report that the selection of rules for inclusion in abbreviated compliance forms is based on those rules considered most critical to protecting children’s health and safety.
- Fifty-two (52) percent of States that license GCCHs report having a method for determining the frequency and/or depth of monitoring based on an assessment of a facility’s level of compliance with regulations, also known as “differential monitoring.”¹³
 - ◆ The number of States using differential monitoring has increased significantly from 8 States in 2005 to 20 States in 2011.
- Eleven (11) States that license GCCHs report having a system of weighted licensing requirements in which rules are ranked for relative risk of harm.
- Thirty-two (32) States report using portable devices to help staff efficiently inspect and monitor licensed facilities, such as laptops, portable digital assistants, and tablets with specific software for capturing information during licensing inspections.
 - ◆ Since 2005, 19 additional States have begun using these devices.

¹³ “Differential monitoring” is defined as a method for determining the frequency and/or depth of monitoring based on an assessment of a facility’s level of compliance with regulations. This process may also be called “risk assessment monitoring” or “risk-based monitoring” and can be used to determine the number of inspections needed for a particular facility and the content of inspections.

- All States report providing technical assistance and consultation during monitoring activities to help GCCHs achieve compliance with regulations; 76 percent of States that provide technical assistance report that they assist facilities to improve quality and exceed minimum licensing regulations.

Enforcement Actions

- The most common enforcement actions used with facilities (centers and homes) that are not in compliance with the regulations are revocation of a license, denial of a license, emergency/immediate closure of a facility, conditional license, non-renewal of a license, and civil fines. As shown in Table 11, all of these are reported to be used by at least 50 percent of States.
- Of the common enforcement actions listed above, States mostly imposed civil fines, conditional licenses, and license revocations against facilities that were out of compliance with licensing regulations.

Table 11: Use of Enforcement Actions in Child Care Facilities

Enforcement Actions	Number of States Using Enforcement Action in 2011	Number of Actions Taken Against Facilities by All States
Revocation of license	45	1,041
Denial of license	41	739
Emergency/immediate closure of facility	39	551
Conditional license	30	1,341
Civil fine	27	2,298
Nonrenewal of license	27	92
Consent agreement	21	185
Probation	20	571

N = 50 States, including DC and excluding ID.

Note: Not all States were able to provide data about the number of enforcement actions used with child care facilities. In addition, it is assumed that the number of actions does not show the number of facilities that were in violation with the licensing regulations. Facilities could have been subject to multiple actions during one year.

Licensing Information on the Internet

- Thirty States report that they post licensing information about child care facilities on the Internet for parents and the general public to access.
 - ◆ Ten (10) States posted licensing information on the Internet in 2005, with eight (8) of those States posting information about complaints, as shown in Table 12.

Table 12: Licensing Information Posted on the Internet

Licensing Information Posted on the Internet	Number of States	
	2005	2011
Licensing Inspection Reports		
Full report	7	16
Inspection summary	2	13
Licensing Complaints		
All complaints	2	6
Substantiated complaints	6	19
Complaints not posted	2	5

N = 50 States, including DC and excluding ID.

Note: In some cases, States only post information about complaints on the Internet; they do not include inspection reports.

Types of Licensing Complaints

- As reported by State licensing agencies, the most common types of licensing complaints are about issues of: (1) supervision; (2) staff-child ratios; (3) health/safety/cleanliness/nutrition; and (4) discipline/behavior management.

Licensing Fees

- More than 57 percent of States charge GCCHs a fee to obtain a license. Licensing fees for GCCHs are most often a flat fee.
 - ◆ Slightly more than half of the States that charge a licensing fee use the revenue to support the licensing agency. In the remaining States, the revenue from licensing fees goes into the States' general funds.

Licensing Staff Requirements

- Thirty-eight (38) States report that they require licensing line staff to have a bachelor's degree. In 16 States, the content and/or major of the degree or coursework must be early childhood education, child development, or a related topic. Twenty-two (22) States also require experience working in a setting with children.
- Twenty-five (25) States require licensing line staff to complete additional training each year. Almost all States make training available to licensing staff through the licensing agency, local and state conferences, and community-based organizations. Half of States receive training from outside consultants and/or national conferences.
- Most States use multiple sources of funds to support licensing functions. More than 85 percent of States use the Child Care and Development Fund (CCDF) to hire and support child care licensing staff. Two-thirds of States also use general state funds for this purpose.

Conclusion

The role of licensing in the early care and education system is to provide a mandatory floor of program standards that will protect children from physical harm and enhance learning and development. Within the early care and education system, licensing covers the broadest content, the largest number of children ages birth to school-age, and the largest population of providers. The positive changes uncovered in this analysis, show that States are committed to strengthening this vital piece of the system, despite the social, political, and economic challenges that can accompany regulatory change.

Many States look to licensing to be a foundation for building quality improvement systems. These systems—such as quality rating and improvement systems (QRIS)—often require compliance with state licensing requirements as a prerequisite or as the first level of standards. By relying on licensing to provide health and safety regulations and regular monitoring that will protect children from harm, the content of QRIS standards can focus on areas that have the most impact on the quality of the program, such as staff qualifications, curriculum and learning activities, family engagement, and business management.

This research brief shows that licensing is the foundation for child care quality and provides evidence that States are making positive changes in their licensing requirements and policies to protect the health and safety of children in out-of-home care.

Appendix B:
State Licensing
Agency Contact
Information

Alabama

Child Care Services Division
Alabama Department of Human Resources
50 North Ripley Street
Montgomery, AL 36130
Phone: 334-242-1425
Toll free: 866-528-1694
Website:
<http://dhr.alabama.gov/>

Arizona

Office of Child Care Licensing
Division of Licensing Services
Arizona Department of Health Services
150 North 18th Avenue, Suite 400
Phoenix, AZ 85007
Phone: 602-364-2539
Toll free: 800-615-8555
Web site:
www.azdhs.gov/als/childcare/

California

Child Care Program Office Community Care Licensing
Division California Department of Social Services
744 P Street, Mail Stop 9-14-48
Sacramento, CA 95814
Phone: 916-651-6040
Web site: <http://cclcd.ca.gov/PG411.htm>

Connecticut

Child Day Care Licensing Program
Connecticut Department of Public Health
410 Capitol Avenue Mail
Station 12 CBR P.O. Box 340308
Hartford, CT 06134-0308
Phone: 860-509-8045
Toll free: 800-282-6063
Web site:
www.ct.gov/dph/daycare

Alaska

Child Care Program Office
Division of Public Assistance
Alaska Department of Health and Social
Services
3601 C Street, Suite 140
Anchorage, AK 99524
Phone: 907-269-4500
Toll free: 888-268-4632 (within state)
Website:
<http://dhss.alaska.gov/dpa/Pages/ccare/default.aspx>

Arkansas

Licensing and Accreditation
Division of Child Care and Early Childhood
Education
Arkansas Department of Human Services
700 Main Street, Slot S-140
Little Rock, AR 72203-1437
Phone: 501-682-8590
Toll free: 800-445-3316
Web site:
<http://humanservices.arkansas.gov/dccece>

Colorado

Division of Child Care
Colorado Department of Human Services
1575 Sherman Street, First Floor
Denver, CO 80203-1714
Phone: 303-866-5958
Toll free: 800-799-5876
Web site: www.cdhs.state.co.us/childcare/

Delaware

Office of Child Care Licensing Delaware
Department of Services for Children, Youth
and Their Families
1825 Faulkland Road
Wilmington, DE 19805-1121
Phone: 302-892-5800 (New Castle) and
(302) 739- 5487 (Kent & Sussex)
Toll free: 800-822-2236
Web site:
<http://kids.delaware.gov/occl/occl.shtml>

District of Columbia

Office of the State Superintendent of
Education
Division of Early Childhood Education (DECE)
District of Columbia Department of Health
810 First Street NE, 4th Floor
Washington, DC 20002
Phone: 202-727-1839
Website: <http://osse.dc.gov/service/providers>

Georgia

Bright From the Start
Georgia Department of Early Care and
Learning
2 Martin Luther King Jr. Drive SE,
754 East Tower
Atlanta, GA 30334
Phone: 404-656-5957
Toll free: 888-442-7735 (888-4GA-PREK) Web site:
<http://dec.al.ga.gov/>

Idaho

IdahoSTARS
Idaho Department of Health and Welfare
211 Idaho Careline
P.O Box 83720
Boise, ID 83720-0036
Toll free: (2-1-1 Idaho CareLine) or 800-
926-2588
Web site:
<http://www.healthandwelfare.idaho.gov/>

Indiana

Bureau of Child Care
Division of Family Resources Indiana Family and
Social Services Administration
402 West Washington Street, Room W-361
Indianapolis, IN 46204
Toll free: 877-511-1144
Web site:
<http://www.in.gov/fssa/2552.htm>

Florida

Child Care Program Office
Child Care Services
Florida Department of Children and Families
1317 Winewood Boulevard
Building 6, Room 398-A
Tallahassee, FL 32399-0700
Phone: 888-352-2842
Web site:
<http://www.myflfamilies.com/>

Hawaii

Benefit, Employment, and Support Services
Division
Hawaii Department of Human Services
820 Mililani Street, Suite 606
Honolulu, HI 96813-2936
Web site: <http://humanservices.hawaii.gov/>

Illinois

Central Office of Licensing
Illinois Department of Children and Family
Services
406 East Monroe Street, Station 60
Springfield, IL 62701-1498
Phone: 312-328-2779
Toll free: 877-746-0829
Web site:
www.state.il.us/dcfs/daycare/index.shtml

Iowa

Bureau of Child Care and Community
Services
Division of Child and Family Services
Iowa Department of Human Services
1305 East Walnut Street, 5th Floor
Des Moines, IA 50319-0114
Phone: 515-281-0429
Web site:
<http://www.dhs.state.ia.us/>

Kansas

Child Care Licensing and Registration
Program
Bureau of Child Care and Health Facilities Kansas
Department of Health and Environment
Curtis State Office Building
1000 SW Jackson, Suite 200
Topeka, KS 66612-1274
Phone: 785-296-1270
Web site: <http://www.kdheks.gov/bcclr/>

Louisiana

Child Care Licensing and Regulatory Section
Division of Child Care and Early Childhood
Education
Louisiana Department of Social Services
P.O. Box 3078
Baton Rouge, LA 70821-3078
Phone: 225-342-9905
Web site:
www.dss.state.la.us

Maryland

Office of Child Care Licensing Branch Division of Early
Childhood Development Maryland State Department
of Education
200 West Baltimore Street, 10th Floor
Baltimore, MD 21201
Phone: 410-569-8071
Toll free: 800-332-6347
Web site: www.marylandpublicschools.org/MSDE/divisions/child_care/

Michigan

Bureau of Children and Adult Licensing
Michigan Department of Human Services
7109 West Saginaw, 2nd Floor
P.O. Box 30650
Lansing, MI 48909-8150
Phone: 517-241-2488
Toll free: 866-685-0006
Web site: <http://www.michigan.gov/dhs/>

Kentucky

Division of Regulated Child Care
Office of the Inspector General
Kentucky Cabinet for Health and Family
Services
275 East Main Street 5E-F
Frankfort, KY 40621
Phone: 502-564-7962
Web site:
<http://chfs.ky.gov/os/oig/drcc.htm>

Maine

Child Care Licensing Unit
Division of Licensing and Regulatory
Services
Maine Department of Health and Human
Services
State House Station #11
41 Anthony Avenue
Augusta, ME 04333
Phone: 207-287-9300
Toll free: 800-791-4080
Web site: www.maine.gov/dhhs/dlrs

Massachusetts

Massachusetts Department of Early
Education and Care
51 Sleeper Street, 4th Floor
Boston, MA 02210
Phone: 617-988-6600
Web site:
<http://www.mass.gov/edu/>

Minnesota

Division of Licensing
Minnesota Department of Human Services
P.O. Box 64242
St. Paul, MN 55164
Phone: 651-431-6500
Web site: <http://mn.gov/dhs/>

Mississippi

Child Care Facilities Licensure Mississippi State
Department of Health 143B LeFleur's Square
Jackson, MS 39215-1700
Phone: 601-364-2827
Toll free: 866-489-8734 (complaint line) Web site:
<http://msdh.ms.gov/index.htm>

Montana

Child Care Licensing Program
Quality Assurance Division
Montana Department of Public Health and
Human Services
P.O. Box 202953
Helena, MT 59620-2953
Phone: 406-444-2012
Web site: www.dphhs.mt.gov/earlychildhood

Nevada

Bureau of Services for Child Care Division of Child and
Family Services Nevada Department of Health and Human
Services
4126 Technology Way, 3rd Floor
Carson City, NV 89706
Phone: 775-684-4400
Web site:
www.dcms.state.nv.us/DCFS_ChildCare.htm

New Jersey

Office of Licensing
New Jersey Department of Children and
Families
P.O. Box 729
Trenton, NJ 08625-0717
Phone: 855-463-6323
Toll free: 877-667-9845
Web site:
www.state.nj.us/dcf/divisions/licensing

Missouri

Section for Child Care Regulation
Missouri Department of Health and Senior Services
323 Veteran's Lane
P.O. Box 570
Jefferson City, MO 65102
Phone: 573-751-2450
Web site: www.dhss.mo.gov/ChildCare/

Nebraska

Children's Services Licensing
Licensure Unit
Division of Public Health
Nebraska Department of Health and Human
Services
P.O. Box 94986
301 Centennial Mall South
Lincoln, NE 68509-4986
Phone: 402-471-1802
Toll free: 800-600-1289
Web site:
http://dhhs.ne.gov/children_family_services/Pages/children_family_services.aspx

New Hampshire

Bureau of Child Care Licensing
Office of Program Support
New Hampshire Department of Health and
Human Services
129 Pleasant Street
Concord, NH 03301-3852
Phone: 603-271-9025
Toll free: 800-852-3345, ext. 9025
Web site: <http://www.dhhs.nh.gov/oos/cclu/index.htm>

New Mexico

Office of Child Development
New Mexico Children, Youth and Families
Department
P.O. Drawer 5160
Phone: 505-827-7499
Toll free: 800-832-1321
Web site:
http://www.cyfd.org/pdf/child_care_licensing_offices.pdf

New York

Division of Child Care Services
New York State Office of Children & Family
Services
South Building, Room 309
52 Washington Street
Rensselaer, New York 12144-2796
Phone: 518-474-9454
Web site: www.ocfs.state.ny.us/main/childcare/

North Dakota

Children and Family Services
North Dakota Department of Human
Services
600 East Boulevard Ave., Department 325
State Capitol Building
Bismarck, ND 58505-0250
Phone: 701-328-2316
Toll free: 800-472-2622
Web site:
www.nd.gov/dhs/services/childcare/info

Oklahoma

Child Care Services Licensing Unit Oklahoma
Department of Human Services P.O. Box 25352
Oklahoma City, OK 73125-0352
Phone: 405-521-3561
Toll free: 800-347-2276
Web site: www.okdhs.org/programsandservices/cc/

Pennsylvania

Bureau of Certification Services
Office of Child Development and Early
Learning
Pennsylvania Department of Public Welfare
333 Market Street, 6th Floor
Harrisburg, PA 17101
Phone: 717-346-9320
Toll free: 877-4-PA-KIDS (877-472-5437, within state)
Web site:
<http://www.dpw.state.pa.us/provider/earlylearning/childcare/regulations/index.htm>

North Carolina

Regulatory Services Section
Division of Child Development
North Carolina Department of Health and
Human Services
2201 Mail Service Center
Raleigh, NC 27699-2201
Phone: 919-662-4499
Toll free: 800-859-0829 (within state) Web site:
<http://ncchildcare.dhhs.state.nc.us/general/home.asp>

Ohio

Bureau of Child Care and Development
Ohio Department of Job and Family Services
P.O. Box 182709
Columbus, OH 43218-2709
Phone: 614-466-1043
Toll free: 866-886-3537, option 4
Web site: <http://ifs.ohio.gov/CDC/childcare.stm>

Oregon

Child Care Division
Oregon Employment Department
875 Union Street NE
Salem, OR 97311
Phone: 503-947-1400
Toll free: 800-556-6616
Web site: <http://www.oregon.gov/EMPLOY/CCD>

Rhode Island

Day Care Licensing Unit
Rhode Island Department of Children, Youth,
and Families
101 Friendship Street
Providence, RI 02903-3716
Phone: 401-528-3624
Web site: www.dcyf.ri.gov/licensing.php

South Carolina

Child Care Licensing Program
Division of Child Care Services
South Carolina Department of Social
Services
2638 Two Notch Road, Suite 217
Columbia, SC 29204
Phone: 803-898-9020
Toll free: 800-556-7445
Web site: <http://childcare.sc.gov/>

Tennessee

Child and Adult Care Licensing
Tennessee Department of Human Services
400 Deaderick Street, 9th Floor
Nashville, TN 37243
Phone: 615-313-4778
Toll free: 800-462-8261 (complaints line) Web site:
www.tn.gov/humanserv/

Utah

Bureau of Child Care Licensing
Utah Department of Health
44 North Mario Capecchi Drive
P.O. Box 142003
Salt Lake City, UT 84114-2003
Phone: 801-584-8280
Web site: <http://health.utah.gov/licensing>

Virginia

Division of Licensing Programs
Virginia Department of Social Services
801 East Main Street, 9th Floor
Richmond, VA 23219-3301
Phone: 804-726-7165
Toll free: 800-543-7545
Web site:
www.dss.virginia.gov/

South Dakota

Division of Child Care Services
South Dakota Department of Social Services
910 East Sioux
Pierre, SD 57501
Phone: 605-773-4766
Toll free: 800-227-3020 (within state) Web site:
www.dss.sd.gov/childcare/licensing/

Texas

Child Care Licensing
Texas Department of Family and Protective
Services
P.O. Box 149030
Austin, TX 78714-9030
Toll free: 800-862-5252
Web site: www.dfps.state.tx.us/Child_Care/

Vermont

Child Care Licensing Unit
Child Development Division, Department for
Children and Families Vermont Agency of
Human Services
103 South Main Street
2 & 3 North
Waterbury, VT 05671
Phone: 802-241-3110
Toll free: 800-649-2642 (within state) Web
site:<http://dcf.vermont.gov/cdd/>

Washington

Washington State Department of Early
Learning
P.O. Box 40970
Olympia, WA 98504-0970
Phone: 360-725-4665
Toll free: 866-482-4325
Web site:
www.del.wa.gov/care/

West Virginia

Division of Early Care and Education
Bureau for Children and Families
West Virginia Department of Health and
Human Resources
350 Capitol Street, Room B-18
Charleston, WV 25301
Phone: 304-556-4619
Web site: www.wvdhhr.org/bcf/ece/

Wyoming

Division of Early Childhood
Wyoming Department of Family Services
2300 Capitol Avenue
Hathaway Building, 3rd Floor
Cheyenne, WY 82002-0490
Phone: 307-777-7564
Web site: <http://dfswapps.state.wy.us/>