

Licensing Agency Contact Information

1. What is your state?

State:

2. Please enter respondent contact information.

Name:

Agency:

City/Town:

Email Address:

Phone Number:

3. Additional agency information.

Toll Free Number

Website

Link to Administrative Policies and Procedures for Licensing Program, if available

Organizational Structure

4. Please highlight if the organizational structure for licensing has changed since the 2017 survey.

- No changes since 2017
- Moved to another department, agency, or authority
- State took over county level licensing activities
- Counties took on licensing activities
- Other (please specify):

2023 NARA Child Care Licensing Programs and Policies Survey

Licensed Programs

5. What was the TOTAL NUMBER of **LICENSED** child care programs* on December 31, 2023 (or most current count)?

Please report the number of licensed programs from the most current data available on December 31, 2023. Enter "0" if your state does not regulate a particular type of child care program (e.g., there is only one type of family child care [FCC] home).

Child Care Centers

Small FCC Homes

Large/Group FCC Homes

Other Licensed Child Care Programs (for example: afterschool programs, recreational programs, etc.)

***Definitions:**

Child Care Centers: A provider licensed or otherwise authorized to provide child care services for fewer than 24 hours per day per child in a non-residential setting, unless care in excess of 24 hours is due to the nature of the parent(s)' work.

Small FCC Homes: One individual who provides child care services for fewer than 24 hours per day, as the sole caregiver, in a private residence other than the child's residence, unless care in excess of 24 hours is due to the nature of the parent(s)'s work.

Large/Group FCC Homes: Two or more individuals who provide child care services for fewer than 24 hours per day per child, in a private residence other than the child's residence, unless care in excess of 24 hours is due to the nature of the parent(s)' work.

6. What was the total LICENSED CAPACITY for each type of child care program on December 31, 2023 (or most current count)?

Please report the licensed capacity from the most current data available on December 31, 2023 (or most current count). Enter "0" if your state does not regulate a particular type of child care program (e.g., there is only one type of FCC home).

Child Care Centers

Small FCC Homes

Large/Group FCC Homes

Other Licensed Child Care Programs

7. Please identify the types of child care programs included in "Other Licensed Programs" in questions.

- Out of school time-school age only
- Drop-in centers
- Parks and recreational programs
- Children's enrichment programs, such as dance, karate, etc.
- In-home child care provider, who provides child care services in the child's own home
- Children's resident camps, temporary shelter programs, programs serving teen parents, foster care placement and adoption agencies
- Child placing agencies and residential child care programs and day treatment programs
- Other (please specify):
- None of the above

8. Have the numbers of child care programs increased or decreased in the past year?

- Increased
- Decreased
- No change

License Capacity Increase/Decrease

9. What do you attribute to the increase or decrease?

- Impact of COVID-19
- Increased education/qualification requirements
- Increase in standards due to CCDF requirements
- Substantial increase in fees
- Recession and employment slowdowns
- Career changes
- Retirement
- Business not profitable
- Increased regulations
- Increased academic standards
- Seeking more flexible employment
- Increase in Public preschool programs
- Overall state population decreasing
- Overall state population increasing
- Increased state funding to support families and child care programs
- Increased federal funding to support families and child care programs
- Other (please specify):

Licensing Fees

10. What type of child care programs does the state charge a fee for a license? (Check all that apply)

- None
- Child Care Centers
- Small FCC Homes
- Large/Group FCC Homes

Comments

Licensing Fees - Policies

11. If licensing fees are charged, how is the fee assessed?

Check if YES for each program type:

	Child Care Centers	Small FCC Homes	Large/Group FCC Homes
Flat fee amount for all programs	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Fee is based on the maximum number of children allowed in the program	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Other	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Please specify other ways fees are assessed

12. If a fee is charged for a license, what is the amount of the fee for each type of child care program?

Please enter dollar amounts for each program type:

Child Care Centers

Small FCC Homes

Large/Group FCC Homes

13. Are the revenues collected from licensing fees used to support the licensing agency?
(Check all that apply)

- Yes, revenues from fees are used to support the licensing agency
- No, revenues from fees are put into the state's general fund
- Other (please specify how fees are used):

Types of Licensing Inspections

14. Are compliance inspections conducted PRIOR TO ISSUING A LICENSE? Are the inspections conducted announced or unannounced?

Check if YES for each program type:

	Child Care Centers	Small FCC Homes	Large/Group FCC Homes
Inspections prior to issuing a license are conducted	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Inspections are announced	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Inspections are unannounced	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Comments (please note any changes since 2017)

15. Are compliance inspections conducted of licensed for LICENSE RENEWAL? Are inspections conducted announced or unannounced?

Check if YES for each program type:

	Child Care Centers	Small FCC Homes	Large/Group FCC Homes
Inspections for license renewal are conducted.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Inspections are announced.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Inspections are unannounced.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Comments (please delineate any changes in policies or processes since 2017)

16. Are ROUTINE INSPECTIONS (not prior to issuance or renewal) conducted to review compliance with the regulations? Are inspections conducted announced or unannounced?

Check if YES for each program type:

	Child Care Centers	Small FCC Homes	Large/Group FCC Homes
Routine inspections for compliance are conducted	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Inspections are announced.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Inspections are unannounced.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Comments (please delineate any changes in policies or processes since 2017)

Frequency of Licensing Inspections

17. Once a license is issued, how often are LICENSING INSPECTIONS conducted for each program type?

This does not include complaint inspections.

Please check the row that best describes the frequency of licensing inspections, after a license is issued, as required by state policy and/or statute.

	Child Care Centers	Small FCC Homes	Large/Group FCC Homes
More than three times a year	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Three times a year	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Twice a year	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Once a year	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Once every two years	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Once every three years	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Not inspected on a regular basis	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Other frequency*	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

*Please specify other frequency and make any comments in this box (please note any changes since 2017)

18. Has the frequency of inspections changed over the past three years?

- Increased
- Decreased
- Remained the same

Frequency of Inspection - Change

19. What caused the change in frequency of licensing inspections?

- Legislative initiative
- Licensing office initiative
- Funding
- Other (please specify)

Inspections and Monitoring

20. How often does the state conduct a FULL COMPLIANCE REVIEW for each type of licensed child care program?

Check **ONE** for each program type:

	Child Care Centers	Small FCC Homes	Large/Group FCC Homes
More than 3 times a year	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Three times a year	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Twice a year	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Once a year	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Once every 2 years	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Once every 3 years	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Once every 4 or more years	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Not conducted	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Other frequency*	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

*Please specify other frequency and make any comments in this box (please note any changes since 2017)

21. Does the state ever use an abbreviated compliance inspection* during monitoring inspections of licensed child care programs?

- Yes for Child Care Centers
- Yes for Small FCC Homes
- Yes for Large/Group FCC Homes
- Not for any type of program

***Definition:**

Abbreviated Compliance Inspection: An abbreviated inspection utilizing a select set of rules to be reviewed. The use of abbreviated inspections can be a time saving technique to focus regulatory efforts on child care programs that require additional inspections or technical assistance. The forms or checklists used for abbreviated inspections may include those standards considered as most critical to children's safety and well-being standards that statistically indicate compliance with the entire set of standards. They also may be used as an indicator checklist to determine when a subsequent full compliance review is required.

Abbreviated Compliance Inspections

22. During which types of license inspections are abbreviated? (Check all that apply)

- Initial licensing inspections
- Routine compliance inspections
- License renewal inspections
- Other (please specify):

23. How did the state choose which requirements to include in the abbreviated compliance inspections? (Check all that apply)

- "Key indicator system" developed through a statistical methodology of requirements that would predict compliance with the full set of rules
- Selection of requirements based on a consensus of those considered most critical to include in all inspections
- Selection of requirements based on an assessment of risk of harm to children if not in compliance
- Child care program participates in the subsidy program
- Child care program has a quality rating
- Child care program operates a federally-funded Head Start program
- Other (please specify):

24. Does the state have policies on determining when to switch from an abbreviated compliance inspection to a full compliance inspection? (Check all that apply)

- No, the state does not have policies.
- Yes, the state has policies for Child Care Centers
- Yes, the state has policies for Small FCC Homes
- Yes, the state has policies for Large/Group FCC Homes

If yes, please describe policies

Differential Monitoring

25. Does the state have a system of differential frequency of monitoring* based on compliance records or quality rating level for each type of child care program? (Check all that apply)

Check if **YES** for each program type

- No
- Child Care Centers
- Small FCC Homes
- Large/Group FCC Homes

If yes, please describe how and when differential frequency of monitoring is used

***Definition:**

Differential Monitoring: A method for determining the frequency of monitoring based on an assessment of a program's level of complaint history and compliance with regulations. This process can be used to determine the number of inspections needed for a particular program and the content of inspections.

Complaint Investigations

26. What mechanisms are in place for parents to submit complaints about licensed child care providers? (Check all that apply)

- Telephone complaint hotline just for licensing (dedicated phone number)
- Telephone complaint hotline shared with another program, such as child protective services
- Telephone call to general licensing agency (no dedicated phone number)
- Text messaging system
- Form to submit on licensing website
- Other (please specify):

27. Please describe how parents/consumers are informed about their ability to file complaints.

- Agency and/or department website
- Public service announcement
- Agency partners
- Licensing staff
- Other (please specify):

28. Does the state licensing agency conduct investigations on licensing complaints?

Check if YES for each program type:

	Child Care Centers	Small FCC Homes	Large/Group FCC Homes
Licensing agency conducts complaint investigations	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Comments

29. Who conducts complaint investigations, excluding complaints of abuse and neglect?

Check if YES for each program type:

	Child Care Centers	Small FCC Homes	Large/Group FCC Homes
Licensing staff who conduct inspections and assigned to the program with the complaint	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Licensing staff who conduct inspections but not assigned to the program with the complaint	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Separate staff who only work on complaint investigations	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Staff from Child Production for all types of complaints	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Staff from another agency	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Please describe other individuals used, including staff not in the licensing agency

30. Which state agencies investigate child abuse and neglect complaints against licensed child care programs? (Check all that apply)

- Licensing agency
- Protective services
- Police/law enforcement agency
- Specialized unit for child abuse and neglect
- Other agency (please specify):

31. Which statement best describes the type of investigations of complaints? (Check all that apply)

- Unannounced visit is conducted for every complaint received
- Announced visit is conducted for every complaint received
- Unannounced visit only if an on-site visit is needed for the investigation
- Announced visit only if an on-site visit is needed for the investigation
- Unannounced visits are not routinely conducted
- Announced visits are not routinely conducted
- Other (please specify):

32. Does the state investigate licensing complaints filed anonymously?

Check if YES for each program type

- Does investigate anonymous complaints
- Child Care Centers
- Small FCC Homes
- Large/Group FCC Homes

Comments

33. Does the licensing agency investigate or make referrals when complaints are received on legally-exempt programs? (Check all that apply)

- Yes, all complaints are investigated by the licensing agency
- Yes, a referral is made on allegations of abuse or neglect to the child protective services agency
- Yes, if there are allegations of serious health and safety risks
- Yes, to determine or verify that the program is legally exempt (not subject to licensing)
- Yes, a referral is made to the subsidy program if the legally-exempt provider is receiving federal or state funding
- Yes, for legally-exempt programs that receive payment from the subsidy system
- No, the licensing agency does not investigate complaints filed against legally-exempt programs
- No, there is no mechanism for a complaint to be filed against a license-exempt program
- Other (please specify):

34. How many licensing complaints were filed in CALENDAR YEAR 2023? How many were substantiated?

Number of licensing complaints filed

Number of substantiated complaints

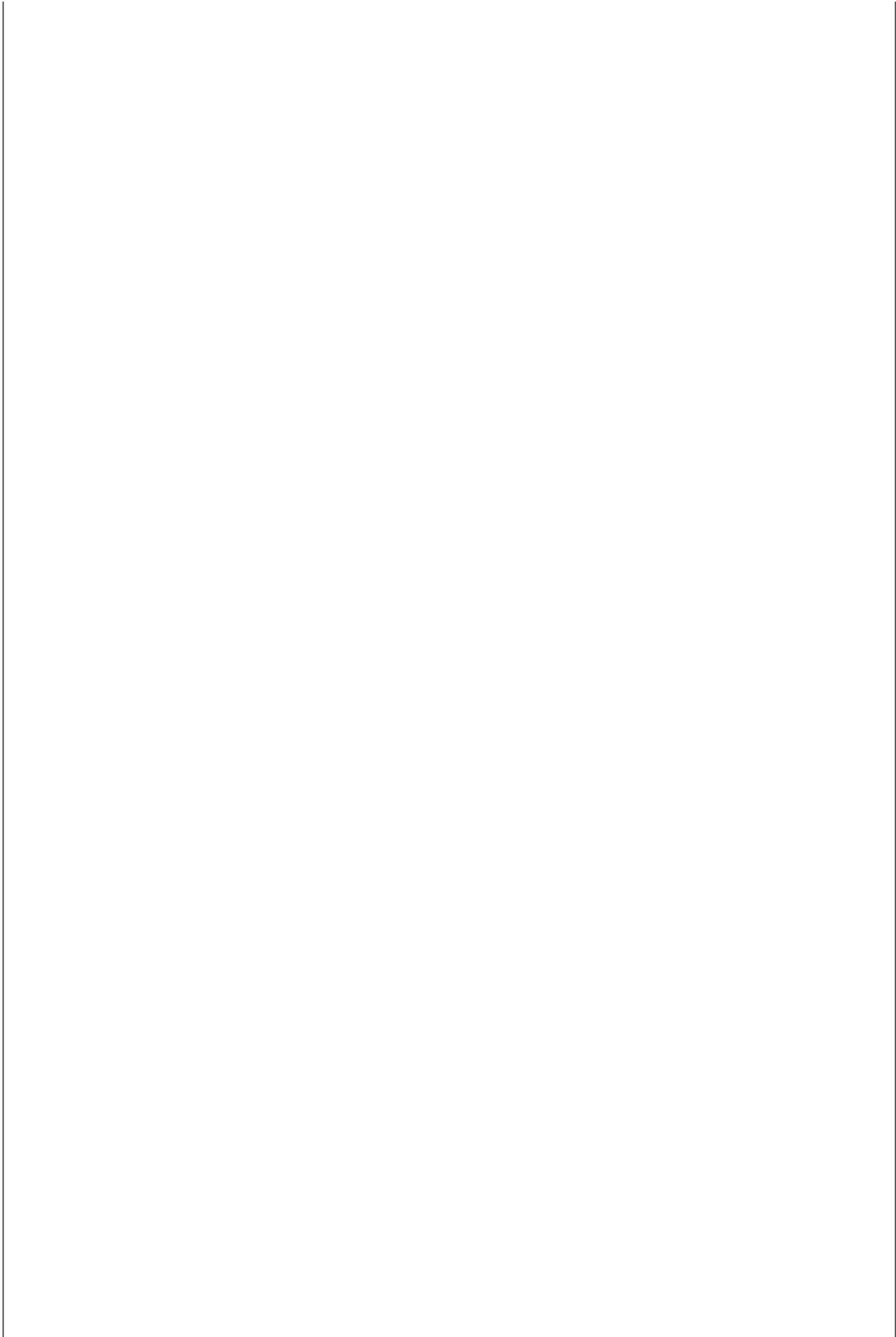
35. What was the nature of the licensing complaints that were filed in CALENDAR YEAR 2023? Please list the top 4 most frequently cited types of complaints in 2023, based on the areas of licensing violations (e.g. supervision, background screening, ratios, etc.).

1

2

3

4



Defining Compliance - Definition

36. Has the state defined “compliance” with licensing requirements in your policies, e.g., substantial compliance, a pattern of compliance, in good standing?

No

Yes, please provide the definition from your policies:

Defining Compliance

37. How is the designation of compliance used? (Check all that apply)

- Informing parents of the provider's status
- Determining enforcement actions including non-renewal of license
- Determining the frequency or depth of licensing inspections
- Determining eligibility for receipt of child care subsidy funding
- Determining eligibility for participation in the Child and Adult Care Food Program (CACFP)
- Determining eligibility for participation in quality initiatives, e.g., grants, incentives
- Determining eligibility for participation in QRIS or meeting a QRIS standard
- Other (please specify):

2023 NARA Child Care Licensing Programs and Policies Survey

Enforcement Actions

38. Please indicate which of the following corrective and adverse enforcement actions does policy allow you to use with licensed child care programs?

Please check ALL that apply for each program type:

	Child Care Centers	Small FCC Homes	Large/Group FCC Homes
Revocation of license	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Denial of license	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Emergency/immediate closure of program*	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Nonrenewal of license	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Probation	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Conditional license*	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Consent agreement	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Civil fine	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Criminal fine	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Imprisonment	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Other enforcement actions	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Please specify other enforcement actions used:

***Definitions:**

Emergency/Immediate Closure: Also known as emergency order, summary suspension, temporary closure

Conditional License: When used as an enforcement action, also known as restricted license, suspension of specific services, provisional license

39. If you state imposes civil or criminal fines for licensing violations, please describe the amounts of the fines that child care programs must pay.

40. Please indicate the number of enforcement actions that were taken in CALENDAR YEAR 2023?

Please enter "0" if the action was not used.

Revocation of license

Denial of license

Emergency/immediate closure of program

Nonrenewal of license

Probation

Conditional license

Consent agreement

Civil fine

Criminal fine

Other enforcement actions

41. How is the subsidy agency or department notified of licensing enforcement actions taken against a program? (Check all that apply)

- An electronic notice is automatically generated
- Personal contact is made between the licensing and subsidy agencies
- There is a link between the licensing and subsidy agency databases, and enforcement actions are monitored

Please describe the point at which the subsidy system is notified

42. If a licensing enforcement action is taken against a program, does that affect the provider's receipt of child care assistance/subsidy payment?

- Yes
- No
- Depends on the enforcement action taken

43. The receipt of subsidy payment is discontinued under these circumstances: (Check all that apply)

- An enforcement action is made effective
- The action is not appealed or is upheld
- Varies by type of enforcement action
- Receipt of subsidy payment is never discontinued
- Other (please specify):

2023 NARA Child Care Licensing Programs and Policies Survey

Provision of Technical Assistance to Licensees

44. Do licensing front line staff who conduct inspections (licensors) provide technical assistance (TA), e.g., guidance, resources, training, and/or consultation to licensees?

Check if **YES** for each program type:

	Child Care Centers	Small FCC Homes	Large/Group FCC Homes
Licensors provide TA/consultation to licensees to help them achieve compliance with the regulations	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Licensors provide TA/consultation to licensees to help them improve quality and exceed minimum licensing regulations	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Licensors provide individual program TA/consultation related to specific non compliance issues	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Licensors provide resources to individual programs related to specific non compliance issues	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Licensors provide training to multiple programs at the same time related to topics based on current early childhood research	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Licensors provide resources to multiple programs at the same time related to topics based on current early childhood research	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Licensors provide training to multiple programs at the same time related to topics based on specific non compliance issues	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Licensors provide resources to multiple programs at the same time related to topics based on specific non compliance issues	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Comments

45. When do licensors provide technical assistance (TA) and/or consultation?

Check if YES for each program type:

	Child Care Centers	Small FCC Homes	Large/Group FCC Homes
During application inspection visits	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
During routine inspection visits	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
During renewal inspection visits	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
On the telephone	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
As needed by licensee	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
As needed determined by licensor	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Other	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Please describe other times TA/consultation is provided

46. Do licensors ever refer licensees to other agencies, organizations, or people for TA and/or consultation?

Check if YES for each program type:

	Child Care Centers	Small FCC Homes	Large/Group FCC Homes
Referrals made to Child Care Resource and Referral	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Referrals made to Health Department	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Referrals made to Subsidy Program	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Referrals made to Abuse and Neglect Department	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Referrals made to Department of Education	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Referrals made to other agencies, organizations, or people	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Please describe when referrals are used and which agencies, organizations, or people are used for the referral

Sharing Licensing Information

47. Are licensing inspection reports available on a website for parent and public access?

- Yes, the full inspection report is available
- Yes, a summary of the report is available
- No, inspection reports are not available on a website
- No, but state is planning to put inspection reports on a website

Comments

48. Are complaints against child care programs available on a website for parent and public access?

- Yes, all complaints
- Yes, but only substantiated complaints
- No, complaints are not included

Comments

49. Do reports published online include enforcement actions taken against a program?

- No
- Yes

50. Do reports published online include corrective action plans?

- Yes
- No

51. Are child care providers given the opportunity to review reports before they are posted online?

- No
- Yes

52. Please describe how long, on average, it takes to post a report online after an inspection.

- Immediately after inspection
- Within 1 day
- Within 1 week
- Within 1 month
- Longer than 1 month

53. Please describe any other licensing information about child care programs that is available online.

- Child care program demographics
- Accreditation
- Hours of operations
- Services provided
- Ages served
- Other (please specify):

54. Does the state provide the public any guidance on understanding or interpreting licensing inspection reports?

- No
- Yes, please describe:

Frequency of Licensing Renewal

55. After initial licensure, how often are LICENSES RENEWED for each program type?
 Applies to certification or registration, if appropriate.

Check ONE for each program type:

	Child Care Centers	Small FCC Homes	Large/Group FCC Homes
Once a year	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Once every 2 years	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Once every 3 years	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Nonexpiring license	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Other frequency*	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

*Please specify other frequency and make any comments in this box (please note any changes since 2017)

Licensing Requirements - Tribes

56. Select the statement that best describes licensing requirements and tribes.

- All tribes in the state have their own licensing requirements and inspection policies
- Some tribes in the state have their own licensing requirements and inspection policies and some tribes have an agreement with the state licensing agency
- All tribes in the state have an agreement with the state licensing agency
- There are no American Indian tribes in the state
- Other (please specify):

Licensing Requirements - Military

57. Are child care programs on military bases licensed?

- No, child care programs on military bases are exempt from licensing
- Yes, child care programs on military bases are licensed
- Other (please specify):

Head Start

58. Are Head Start centers required to get a license?

- No, Head Start centers are not required to get a license (none are licensed)
- Yes, ALL Head Start centers are required to get a license (none are exempt)
- Yes, SOME Head Start centers are required to get a license (please explain the policy or statute about licensing requirements for Head Start)

59. If ONLY SOME Head Start centers are required to get a license, which characteristics allow Head Start center to be exempt from licensing?

- Open only part-day hours
- Operated by a public school
- Operated by a private elementary school
- Other licensing exemptions that apply to Head Start centers (please describe)

Head Start - Licensure

60. Have there been any challenges for Head Start centers in meeting the licensing requirements?

- No
- Yes. Please describe the challenges and any efforts to address them.

61. Please provide information about the number of licensed Head Start centers and family child care homes in your state/territory as of December 31, 2023.

Number of licensed Head Start centers

Number of licensed Head Start family child care homes

62. If you cannot provide the number of licensed Head Start centers and family child care homes, please describe why the data is not available.

- Head Start is not a designation in the database
- Another agency collects these data
- Other (please describe)

63. Approximately what percentage of Head Start centers are licensed (whether voluntarily or because of a requirement) in your state/territory as of December 31, 2023?

- Less than 25%
- 25-49%
- 50-75%
- Greater than 75%
- Do not know

License-Exempt Programs

64. How many LICENSE-EXEMPT child care programs are being inspected as of December 31, 2023?

License-exempt homes

License-exempt centers

In-home providers (in child's home)

65. Are LICENSE-EXEMPT programs required to get a license if they receive payment from the child care subsidy program? Check all that apply.

- All exempt **centers** are required to get a license if receiving payment from the subsidy program
- All exempt **homes** are required to get a license if receiving payment from the subsidy program
- Child care **provided by relatives** is required to be licensed if receiving payment from the subsidy program
- Child care **provided in the child's home** is required to be licensed receiving payment from the subsidy program
- No** child care programs are required to be licensed in order to receive subsidy payment.
- Some centers and homes are required to get a license (please explain the policy)

66. If a license-exempt program wants to obtain a license, does the state have a process for voluntary licensure?

- No, state does not have a voluntary licensing process.
- Yes, any license-exempt program can apply for and obtain a license voluntarily.
- Yes, some license-exempt programs can apply for and obtain a license voluntarily. Please describe which types of exempt programs can apply.

License-Exempt Programs - Inspections

67. The Child Care and Development Fund requires states to conduct an annual inspection of child care programs that are legally exempt from licensing and receive payment from CCDF. Are inspections of license-exempt programs administered by the licensing agency?

- Yes
- No, please describe which agency is conducting these inspections:

68. Which staff are conducting the inspections of license-exempt programs? Please check all that apply:

- Existing licensing agency staff assigned to inspect **BOTH** licensed and license-exempt programs
- Existing licensing agency staff reassigned to inspect **ONLY** license-exempt programs
- New licensing agency staff assigned to inspect **BOTH** licensed and license-exempt programs
- New licensing agency staff hired to inspect **ONLY** license-exempt programs
- Other staff, contractors, or entities (please describe specifically who is conducting the inspections)

69. Which statement(s) best describe your states' staffing assignments of license-exempt programs receiving payment from the subsidy program? Please check all that apply:

- Staff are assigned to inspect **ONLY** license-exempt programs
- Staff are assigned to inspect **ONLY** license-exempt HOMES
- Staff are assigned to inspect **ONLY** license-exempt CENTERS
- Staff are assigned to inspect **BOTH** licensed and license-exempt programs
- Staff are assigned to inspect **BOTH** licensed and license-exempt HOMES
- Staff are assigned to inspect **BOTH** licensed and license-exempt CENTERS
- Other (please describe):

70. How has the addition of inspecting license-exempt programs receiving payment from the subsidy program impacted staff caseloads?

- Caseloads have increased.
- Caseloads have decreased.
- Caseloads have remained the same.

71. Please describe any other impact or changes made at the licensing agency to administer the inspections of license-exempt programs receiving payment from CCDF.

72. What is the average caseload for staff assigned to inspect license-exempt programs receiving payment from the subsidy program?

73. Please describe how new and existing staff have been trained to conduct inspections in license-exempt programs. How is the training different from the training for conducting licensing inspections?

74. Please describe how the approach for conducting inspections in license-exempt programs is different than inspections for licensed programs. Does the licensing agency offer more support and technical assistance to the license-exempt providers?

75. Which program requirements apply to license-exempt programs receiving payment from the subsidy program?

- All licensing requirements
- Some licensing requirements
- Separate requirements specifically for license-exempt programs receiving payment from the subsidy program
- None of the above

76. Are the requirements different for license-exempt programs?

- No
- Yes, please describe how the requirements are different for license-exempt programs.

77. In regards to the required health and safety training for license-exempt providers receiving subsidy funds, what are the consequences for programs out of compliance?

78. Please provide links to any documents that describe the requirements for license-exempt programs receiving payment from the subsidy program.

79. Please provide any documents that describe the requirements for license-exempt programs receiving payment from the subsidy program.

Only PDF, DOC, DOCX, PNG, JPG, JPEG, GIF files are supported.

No file chosen

Illegally Operating Providers

80. How does your agency address the issue of illegally operating providers (providers who are required to be licensed under state statute but are not)? (Check all that apply)

- Respond to complaints received by the public
- Monitor listings where providers advertise
- Seek to educate the public with a campaign about licensing
- Work with local law enforcement
- Other (please specify):

81. What actions can be taken when a provider is found to be operating illegally? (Check all that apply)

- Provider may be encouraged to become licensed when possible
- Law enforcement may be contacted in certain circumstances
- Injunction or cease and desist order may be issued
- Civil penalties or monetary fines may be assessed
- Misdemeanor charges may be filed
- Felony charges may be filed
- Other (please specify):

Background Checks

82. The state requires background checks* for which type of licensed child care programs?
(Check all that apply)

- None
- Child Care Centers
- Small FCC Homes
- Large/Group FCC Homes

****Definition:***

Background Check: A process of searching for a history of criminal charges against individuals working in child care programs.

Background Checks - Criminal History Record

83. Criminal history record* (CHR) checks are required for which type of licensed child care programs? (Check all that apply)

- None
- Child Care Centers
- Small FCC Homes
- Large/Group FCC Homes

***Definition:**

Criminal History Record (CHR): A compilation of an individual’s identification, arrest, conviction (law), incarceration, legal status, sex offender registration, warrant information, and other relevant criminal history. In the United States, these compilations are maintained and updated on the local, state, and Federal levels by various law enforcement agencies.

84. For each program type, please identify the type of criminal history record (CHR) required and by whom.

	Child Care Centers	Small FCC Homes	Large/Group FCC Homes
State CHR required	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Federal CHR required	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
CHR required for all staff in the program	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
CHR required only for staff having contact with children	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
CHR required for volunteers	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
CHR required for household members (for FCC homes)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
CHR required under certain conditions (e.g., those who have lived in state for a certain period of time)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Comments

Background Checks - Fingerprint Record

85. For each program type, please identify the type of fingerprint record (FR) check required and by whom.

	Child Care Centers	Small FCC Homes	Large/Group FCC Homes
FR is not required	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
State FR required	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Federal FBI FR required	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
FR required for all staff in the program	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
FR required only for staff having contact with children	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
FR required for volunteers	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
FR required for household members (for FCC homes)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
FR required under certain conditions (e.g., those who have lived in state for a certain period of time)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Electronic fingerprint imaging (LIVE SCAN) required	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Comments

Background Checks - Child Abuse and Neglect Registry Check

86. For each program type, please identify who is required to complete a child abuse and neglect registry check (CAN).

	Child Care Centers	Small FCC Homes	Large/Group FCC Homes
CAN is not required	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
CAN required for all staff in the program	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
CAN required only for staff having contact with children	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
CAN required for volunteers	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
CAN required for household members (for FCC homes)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
CAN required under certain conditions (e.g., those who have lived in state for a certain period of time)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Comments

Background Checks - Sex Offender Registry Check

87. For each program type, please identify who is required to complete a sex offender registry check (SOR).

	Child Care Centers	Small FCC Homes	Large/Group FCC Homes
SOR is not required	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
SOR required for all staff in the program	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
SOR required only for staff having contact with children	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
SOR required for volunteers	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
SOR required for household members (for FCC homes)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
SOR required under certain conditions (e.g., those who have lived in state for a certain period of time)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Comments

Background Checks - Criminal Status

88. Child program staff are required to sign a statement about criminal status in which type of licensed child care programs? (Check all that apply)

- None
- Child Care Centers
- Small FCC Homes
- Large/Group FCC Homes

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Background Checks - Frequency and Policy

89. How often are background checks conducted on staff in licensed child care centers and licensed family child care home providers? (Check all that apply)

	Child Care Centers	Small FCC Homes	Large/Group FCC Homes
Prior to licensure/working in a child care program	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Annually	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Every 2 years	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Every 3 years	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Every 4 years	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Every 5 years	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Less than every 5 years	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Other Frequency*	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

*Please specify other frequency and use this box for comments

90. What is the state's policy on background checks if child care program staff change jobs, move to another program, or have a break in employment?

Check if YES for each program type:

	Child Care Centers	Small FCC Homes	Large/Group FCC Homes
New background check is conducted	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Current background check is portable and moves with the individual	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Other policy*	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

*Please specify other policies and use this box for comments

91. Does the background check system in your state notify your agency when an individual's criminal record has changed?

- No
- Yes, please describe how this system works:

92. Does the state pay the costs for individuals to obtain background checks to work in licensed child care programs?

- Yes, the state pays for background check
- No, programs pay for background checks for their staff
- No, individuals pay for their own background checks
- Other (please specify):

Fire Inspections

93. Fire safety inspections* are required for which type of licensed child care programs?
(Check all that apply)

- None
- Child Care Centers
- Small FCC Homes
- Large/Group FCC Homes

***Definition:**

Fire Inspection: An inspection of child care programs conducted by the state fire marshal, or other fire safety entity, for compliance with the state’s fire safety codes and laws. This inspection is in addition to those conducted by the licensing agency.

94. What is the frequency of fire safety inspections? (Check all that apply)

Please check the row that best describes the state's policies on the frequency of inspection after a license is issued, as required by policy and/or statute.

	Child Care Centers	Small FCC Homes	Large/Group FCC Homes
Prior to licensure	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Once a year	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Once every 2 years	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Once every 3 years	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Once every 4 or more years	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Other frequency*	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

*Please specify other frequency and use this box for comments

Environmental Health Inspections

95. Environmental health inspections* are required for which type of licensed child care programs? (Check all that apply)

- None
- Child Care Centers
- Small FCC Homes
- Large/Group FCC Homes

***Definition:**

Environmental Health Inspection: An inspection of child care programs conducted by the health department, or other entity, for compliance with the state’s environmental health codes and laws. This inspection is in addition to those conducted by the licensing agency.

96. What is the frequency of environmental health inspections? (Check all that apply)

Please check the row that best describes the state's policies on the frequency of inspection after a license is issued, as required by policy and/or statute.

	Child Care Centers	Small FCC Homes	Large/Group FCC Homes
Prior to licensure	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Once a year	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Once every 2 years	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Once every 3 years	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Once every 4 or more years	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Other frequency*	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

*Please specify other frequency and use this box for comments

Building Code Inspections

97. Building code inspections* are required for which type of licensed child care programs?
(Check all that apply)

- None
- Child Care Centers
- Small FCC Homes
- Large/Group FCC Homes

***Definition:**

Building Code Inspection: An inspection of child care programs for compliance with the state’s building construction codes and laws that assure buildings are safe for occupants. This inspection is in addition to those conducted by the licensing agency.

98. What is the frequency of building code inspections? (Check all that apply)

Please check the row that best describes the state's policies on the frequency of inspection after a license is issued, as required by policy and/or statute.

	Child Care Centers	Small FCC Homes	Large/Group FCC Homes
Prior to licensure	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Once a year	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Once every 2 years	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Once every 3 years	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Once every 4 or more years	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Other frequency*	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

*Please specify other frequency and use this box for comments

Licensing Staff - Front Line Staff

99. What is the TOTAL NUMBER of child care licensing FRONT LINE STAFF (not including supervisors) conducting inspections in your state?

Please fill in the total number of staff assigned to conduct child care licensing inspections. Include only those staff assigned to inspect child care programs, not residential care programs. Please report the total number of staff for all program types. Contracted staff includes any staff that is not in an FTE position, which could be through a contract, temporary positions, etc.

*FTE = combining both full-time and part-time staff to equal the total number of front line staff, e.g., 10 full-time and 3 part-time working 20 hours per week equals 11.5 FTE.

Full-time front line staff	<input type="text"/>
Part-time front line staff	<input type="text"/>
Full-time equivalent* front line staff	<input type="text"/>
Contracted Staff	<input type="text"/>

100. What is the average statewide caseload front line staff assigned to inspect child care centers and family child care homes?

101. How are the child care licensing FRONT LINE STAFF (not supervisors) assigned to inspect licensed child care programs in your state? (Check all that apply)

- Front line staff are assigned to inspect **ONLY** child care centers.
- Front line staff are assigned to inspect **ONLY** FCC homes.
- Front line staff are assigned to inspect **BOTH** center and FCC homes.
- Front line staff are assigned to inspect child care programs and other human service programs for children.
- Front line staff are assigned to inspect child care programs and other human service programs for children and/or adults.
- Front line staff assignments vary by county or area of the state.
- Front line staff are specifically assigned to conduct complaint investigations.
- Other (please specify):

102. Have you increased or reduced the numbers of licensing staff in the recent year?

- Increase
- Decrease
- No Change

Front Line Staff Increase/Decrease

103. What is the cause for this increase or decrease?

- Legislation
- Budget
- Response to incident
- CDF funding requirement changes
- Changes in frequency of inspections
- Changes in the number of licensed child care programs requiring inspections
- Hiring freeze
- Increase in state funding
- Increase in federal funding
- Other (please specify):

Licensing Staff - Supervisors

104. What is the TOTAL NUMBER of child care licensing SUPERVISORS in your state?

Please fill in the total number of full-time, part-time, and full-time equivalent supervisors.

*FTE = combining both full-time and part-time supervisors to equal the total number of supervisors, e.g., 10 full-time and 3 part-time working 20 hours per week equals 11.5 FTE.

Full-time licensing supervisors

Part-time licensing supervisors

Full-time equivalent supervisors

105. Have you increased or reduced the numbers of supervising staff in the last year? Please describe.

- Increased
- Decreased
- No Change

Supervisors Increase/Decrease

106. What is the cause for this increase or decrease?

- Legislation
- Changes in the number of staff
- Budget
- Response to incident
- CDF funding requirement changes
- Hiring freeze
- Increase in state funding
- Increase in federal funding
- Other (please specify):

Licensing Staff Requirements

107. What functional titles are used for child care licensing front line staff position/s in your state?

- Licensors
- Inspectors
- Surveyors
- Consultants
- Assessors
- Evaluators
- Advisors
- Other (please specify):

108. What are the minimum entry-level educational qualifications for child care licensing front line staff?

- Master's degree or higher
- Bachelor's degree
- Associate's degree
- College-level course work
- High school diploma or GED
- Experience with children only
- No education or experience required
- Other (please specify qualifications):

109. For the qualifications identified above, must the degree or courses be in early childhood education, child development, or a field related to the care and education of children?

- No
- Yes, please describe the content areas or majors that are accepted to qualify for employment

110. Are child care licensing front line staff required to have experience working in a setting with children, prior to working as a licensor?

No

Yes

Comments

111. Are child care licensing front line staff required to complete training about the licensing system and provider requirements when starting employment?

No

Yes

Licensing Staff Requirements - Onboarding Training

112. Please describe how new employees are trained. (Check all that apply)

- Online Training
- Instructor-led Training
- One-on-one with another staff member
- Other (please specify):

113. What topics are covered in the onboarding training? (Check all that apply)

- Regulatory issues
- State's regulations
- State's licensing policies and procedures
- Health and safety issues
- Identifying child abuse and neglect
- Fire safety
- Early childhood education/child development
- Adult development
- Cultural competency/sensitivity
- Provider - licensor relationships/communication
- Business administration/management
- Supervision
- Disaster and emergency planning
- Other (please specify topics):

Licensing Staff Requirements - Ongoing Training

114. Are child care licensing front line staff and supervisors required to complete any additional training each year?

- Yes, both front line staff and supervisors
- Yes, but only front line staff
- Yes, but only supervisors
- No, neither is required to complete annually training

Licensing Staff Requirements - Annual Training

115. Identify the TOTAL NUMBER of PROFESSIONAL DEVELOPMENT HOURS that are completed each year for licensing staff.

Front Line Staff

Supervisors

116. Please describe how new employees are trained. (Check all that apply)

- Online Training
- Instructor-led Training
- One-on-one with another staff member
- Other (please specify):

117. What topics must be covered in the training required each year? (Check all that apply)

- Regulatory issues
- State's regulations
- State's licensing policies and procedures
- Health and safety issues
- Identifying child abuse and neglect
- Fire safety
- Early childhood education/child development
- Adult development
- Cultural competency/sensitivity
- Provider - licensor relationships/communication
- Business administration/management
- Supervision
- Disaster and emergency planning
- HIPAA
- Security
- First Aid
- CPR
- Ethics
- Other (please specify topics):

Licensing Staff Requirements - Sources

118. What sources of training are available in your state for child care licensing front line staff? (Check all that apply)

- State licensing agency
- Community-based organizations
- Local/state conferences
- National conferences
- Outside consultants
- Arrangement with college/university
- NARA on-line training
- NARA on-site training
- NARA Credential
- Other (please specify):

119. What sources of funding are used in your state to hire and support (including providing training) child care licensing staff? (Check all that apply)

- General state funds
- Child Care and Development Fund (CCDF)
- Temporary Assistance for Needy Families (TANF)
- Social Services Block Grant
- Private funds
- Licensing fees
- Race to the Top - Early Learning Challenge
- Other federal funds and/or funding sources (please specify):

Licensing Staff Requirements - Policies

120. Has your state incorporated "inter-rater reliability" training or evaluation for licensing staff?

- No
- Yes, please describe the training or evaluation:

121. Has your state developed interpretive guidelines for the licensing regulations?

- No
- Yes, please specify by whom and how are they used:

122. Does the licensing agency have policies for disaster and emergency response for licensing staff to follow?

- No
- Yes, please describe:

123. What methods does the licensing agency use to communicate with providers? (Check all that apply)

- Website
- Social media (e.g. Facebook or others)
- Email
- Electronic newsletters
- Printed materials
- Automated phone messages
- Individual phone calls
- Printed mailings
- Face-to-face meetings
- Marketing campaign
- Other (please specify):

124. What methods does the licensing agency use to make the public aware of the role of licensing? (Check all that apply)

- Website
- Social media (e.g. Facebook, or others)
- Press releases
- Printed materials
- Public meetings
- Public service announcements
- Other (please specify):

Technology and Data

125. Does the state have a computerized database to store licensing information about child care programs?

- Yes, the state has one database that stores all of the licensing information.
- Yes, the state has multiple databases to store the licensing information.
- No
- Developing

If developing, please explain where you are at in the process.

Technology and Data - Database

126. How is the licensing database used? (Check all that apply)

- To manage caseloads
- To evaluate workload needs
- For supervisory oversight
- To determine differential monitoring levels
- To assess potential enforcement actions (e.g. risk management)
- To analyze compliance data
- To guide revisions
- To identify TA-training needs
- To determine individual staff performance
- To generate email messages
- To provide mailing lists
- To evaluate the licensing program and measure the effectiveness of enforcement strategies or the impact of policy changes over time on outcomes such as improved compliance, fewer revocations or fewer child injuries
- To track serious injuries that occur in child care programs
- To track fatalities that occur in child care programs
- To comply with federal reporting requirements
- To analyze longitudinal trends
- To coordinate with other agencies or programs, such as Head Start, subsidy, CACFP, QRIS, Pre-K
- To analyze regional differences
- Other (please specify) and note if any of these analyses are available to share with other states:

127. Is the licensing database linked to other state databases? (Check all that apply)

- Does not link to other state databases
- Child care subsidy system
- Child protection services
- Human services, (e.g. TANF, SNAP)
- Child care resource and referral
- Professional development practitioner registry
- Consultant or training registry
- QRIS and/or other quality systems
- Child and Adult Care Food Programs
- Integrated early childhood data system
- Background check service or registry
- Other (please specify):

128. If your licensing database system tracks serious injuries and fatalities that occur in child care programs, does the system capture and categorize them by type and cause?

- No
- Yes, please describe how these data are used

129. Has your agency produced a publicly available report from your licensing data in the last two years?

- No
- Yes, please provide a link to where the report is available on the agency's website

Technology and Data - Application and Devices

130. Does your state have an online license application process or is one being developed? If so, please describe.

- No
- Developing or Yes, please describe

131. Is your state using, or considering using, portable, hand-held devices such as smart phones, laptops, and/or computer tablets with specific software for capturing information during licensing inspections?

Check if YES for each program type:

	Child Care Centers	Small FCC Homes	Large/Group FCC Homes
Portable devices used for inspections	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
State is considering the use of portable devices for inspections	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Please describe the types of devices and their uses (or plans for their future use):

132. Is the state using, or considering using, any other tools/devices/technology (such as laser measuring devices) for licensing inspections and monitoring?

- No
- Yes, please describe the devices and how they are/will be used

Licensing Staff - Workload Analysis

133. Has your state conducted a workload analysis within the past five years?

No

Yes (please specify the year)

134. Please summarize the process and results. If available, please provide a link to the report.

135. If available, please upload a copy of the report.

Choose File

Choose File

No file chosen

Risk Assessment

136. Within the past three years, has the state identified licensing program requirements that pose the greatest risk of harm to children if violated (i.e., conducted a risk assessment of program requirements)?

Yes

No

Risk Assessment - Policies

137. Which requirements were assessed for risk? (Check all that apply)

- All requirements were given a risk level/weight
- Only the highest risk requirements were identified
- Categories of requirements were identified as high-risk
- Other (please specify):

138. How is the risk assessment used? (Check all that apply)

- Categorizing violations
- Determining enforcement actions
- Monitoring the high-risk rules during abbreviated compliance inspections
- Violations of high-risk requirements determine the frequency of licensing inspections or additional follow-up visits
- Other (please specify):

139. How was the selection of risk levels and categorization of rules into those levels done? (Check all that apply)

- An internal process of seeking the opinion and consensus of licensing agency staff and other experts on rules that would cause the most risk of harm
- Development of a statistical methodology
- Review of research and resources such as Caring for our Children
- Work with an external consultant
- Feedback from stakeholders, such as child care providers or representatives from child care industry
- Other (please specify):

Licensing's Role in Quality Initiatives

140. What is state child care licensing's level of involvement in these initiatives to improve the quality of child care programs?

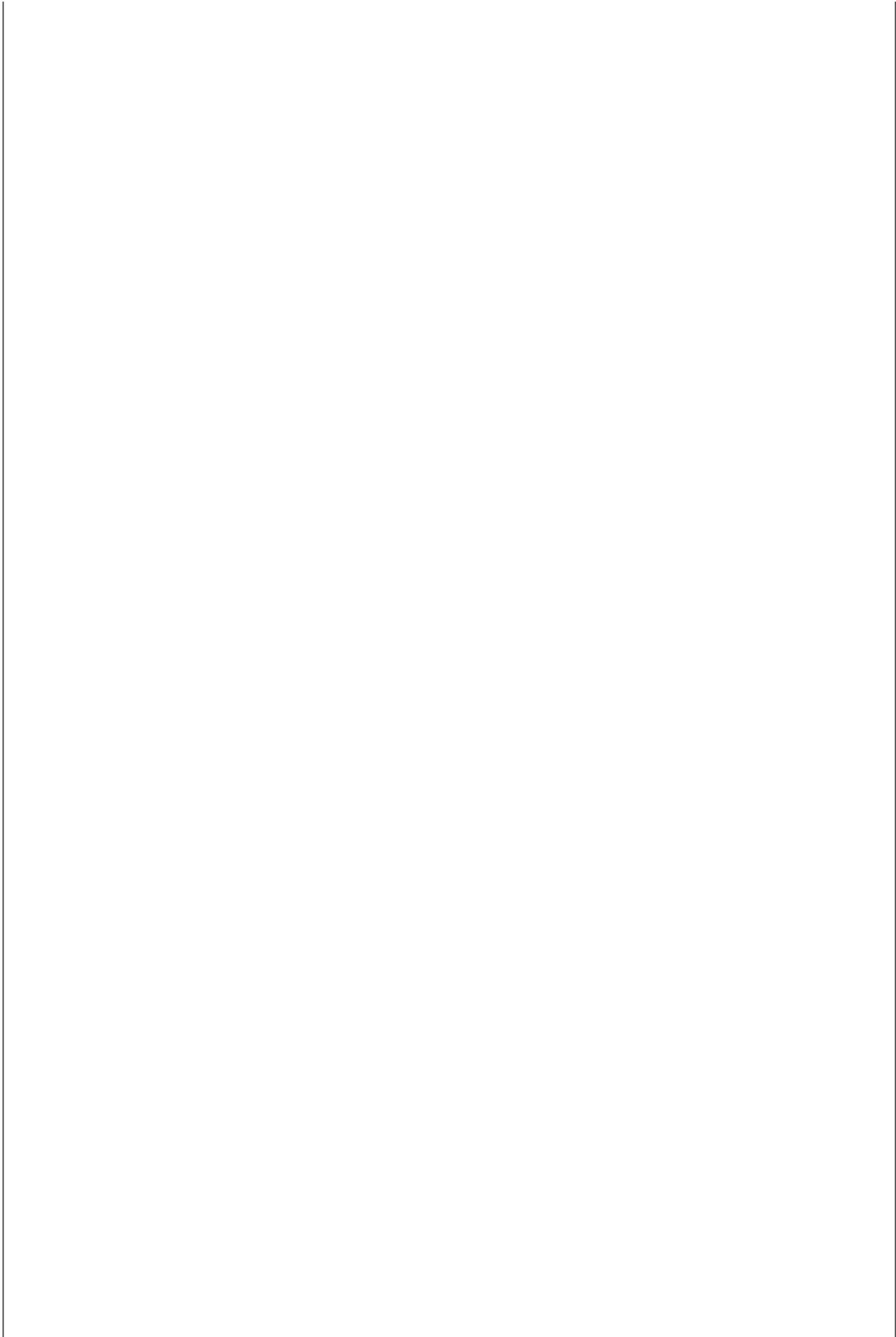
Check ONE role for each initiative:

	Licensing agency leads the initiative	Initiative in same agency as licensing	Licensing participates in planning	Licensing not involved	State does not have initiative
Tiered quality strategy (e.g., tiered reimbursement, quality rating and improvement system, and/or rated license)	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Accreditation facilitation project	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Professional development system for child care providers	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Assessing quality with an environment rating scale	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>

Comments

141. What resources does the state use when formulating rules and regulations for licensing child care programs? (Check all that apply)

- NARA research and practice publications
- NARA or other consulting /TA services
- NARA Child Care Licensing Study
- Caring for Our Children: Health and Safety Standards
- Caring for Our Children Basics
- Stepping Stones to Using Caring for our Children
- Head Start Performance Standards
- NAEYC program accreditation criteria
- NAFCC program accreditation standards
- Research reports and studies
- Other states' regulations
- Other resources (please specify):



Developing initiatives in Licensing

142. How has COVID impacted licensing in your state?

143. For the purposes of noting trends, we would like to present an open ended opportunity for states to share developments or topics underway or not covered by this Study. This includes rules revisions or other proposed changes to meet CCDF regulations or address emerging topics, such as trauma-informed care, equity initiatives, emergency preparedness, response, and recovery, among others.

Please use the text box below to describe licensing activities in your state related to these initiatives or others: