

Licensing Agency Contact Information

1. What is your state?

State:

2. Please enter respondent contact information.

Name:

Agency:

Address:

Address 2:

City/Town:

State:

ZIP:

Email Address:

Phone Number:

3. Additional agency information.

Toll Free Number

Web Site

Licensed Facilities

4. What was the TOTAL NUMBER of licensed child care facilities at the end of 2011?

Please report the number of licensed facilities from the most current data available at the end of 2011. Enter "0" if your state does not regulate a particular type of child care program (e.g., there is only one type of family child care [FCC] home).

Child Care Centers

Small FCC Homes

Large/Group FCC Homes

Other Licensed Child Care Facilities

5. What was the total LICENSED CAPACITY (number of slots) for each type of child care facility at the end of 2011?

Please report the licensed capacity from the most current data available at the end of 2011. Enter "0" if your state does not regulate a particular type of child care program (e.g., there is only one type of FCC home).

Child Care Centers	<input type="text"/>
Small FCC Homes	<input type="text"/>
Large/Group FCC Homes	<input type="text"/>
Other Licensed Child Care Facilities	<input type="text"/>

6. If your state collects and aggregates "actual enrollment" data for facilities (e.g. from resource and referral agencies or other sources) - please include the most current data available at the end of 2011 by program type:

Child Care Centers	<input type="text"/>
Small FCC Homes	<input type="text"/>
Large/Group FCC Homes	<input type="text"/>
Other Licensed Child Care Facilities	<input type="text"/>

7. Please describe the types of child care facilities included in "Other licensed facilities" you gave numbers for in Questions #4, #5, and #6 above.

8. Have the numbers of facilities increased or decreased in recent years? If so, to what would you attribute this change?

Licensing Staff

9. How are the child care licensing line staff assigned to inspect child care facilities in your state?

Check ALL that apply:

- Line staff are assigned to inspect ONLY child care centers.
- Line staff are assigned to inspect ONLY FCC homes.
- Line staff are assigned to inspect BOTH center and FCC homes.
- Line staff are assigned to inspect child care facilities and other human service programs for children.
- Line staff are assigned to inspect child care facilities and other human service programs for children and/or adults.
- Line staff assignments vary by county or area of the state.
- Line staff are specifically assigned to conduct complaint investigations.
- Other (please specify)

10. What is the total number of child care licensing LINE STAFF conducting inspections in your state?

Please fill in the total number of full-time, part-time, and full-time equivalent (FTE)* line staff for child care licensing inspections. Include only those staff assigned to inspect early and school-age care programs, e.g. child care centers and family child care homes, not residential care programs. Please report the total number of staff for all facility types.

***FTE = combining both full time and part-time staff to equal the total number of line staff, e.g., 10 full-time and 3 part-time equals 11.5 FTE.**

Full-time line staff	<input type="text"/>
Part-time line staff	<input type="text"/>
Full-time equivalent line staff	<input type="text"/>

11. Have you increased or reduced the numbers of licensing staff in 2011? Please describe:

12. What is the average statewide caseload for all facility types combined for line staff assigned to inspect early and school-age care programs, e.g., child care centers and family child care homes?

13. Has your state conducted a recent workload analysis? If so, when? Please summarize the process and results:

14. What is the total number of child care licensing SUPERVISORS in your state? Please fill in the total number of full-time, part-time, and full-time equivalent supervisors:

Full-time licensing supervisors

Part-time licensing supervisors

Full-time equivalent supervisors

15. Have you increased or reduced the numbers of supervising staff in 2011? Please describe:

16. Please describe how the state licensing agency is organized. Are all licensing functions centralized at the state level or are some functions done by county agencies? In addition, please highlight if the organizational structure for licensing has changed since the 2008 survey, for example, moved to another department or authority:

Types of Licensing Inspections

17. Are inspections to assess compliance conducted of child care programs PRIOR TO ISSUING A LICENSE? Are the inspections conducted announced or unannounced?

Check if YES for each facility type:

	Child Care Centers	Small FCC Homes	Large/Group FCC Homes
Inspections prior to issuing a license are conducted	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Inspections are announced	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Inspections are unannounced	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Comments (please delineate any changes in policies or processes since 2008)

18. Are inspections to assess compliance conducted of child care programs for LICENSE RENEWAL? Are inspections conducted announced or unannounced?

Check if YES for each facility type:

	Child Care Centers	Small FCC Homes	Large/Group FCC Homes
Licenses are renewed.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Inspections for license renewal are conducted.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Inspections are announced.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Inspections are unannounced.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Comments (please delineate any changes in policies or processes since 2008)

19. Are ROUTINE INSPECTIONS conducted on child care programs to review compliance with the regulations? Are inspections conducted announced or unannounced?

Check if YES for each facility type:

	Child Care Centers	Small FCC Homes	Large/Group FCC Homes
Routine inspections for compliance are conducted	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Inspections are announced	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Inspections are unannounced	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Comments (please note any changes since 2008)

Frequency of Licensing Inspections

20. Once a license is issued, how often are licensing inspections conducted for each facility type?

Please check the row that best describes the frequency of inspections, after a license is issued, as required by state policy and/or statute.

Check ONE for each facility type:

	Child Care Centers	Small FCC Homes	Large/Group FCC Homes
More than three times a year	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Three times a year	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Twice a year	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Once a year	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Once every two years	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Once every three years	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Less than once every three years	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Not inspected on a regular basis	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Other frequency	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Please specify other frequency and make any comments in this box (please note any changes since 2008)

Frequency of Licensing Renewal

NARA Child Care Licensing Programs and Policies Survey | Data Collection

21. After initial licensure, how often are licenses renewed? Applies to certification or registration, if appropriate.

Check ONE for each facility type:

	Child Care Centers	Small FCC Homes	Large/Group FCC Homes
Once a year	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Once every 2 years	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Once every 3 years	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Nonexpiring license	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Other frequency	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Please specify other frequency and make any comments in this box (please note any changes since 2008)

Inspections and Monitoring

22. How often does the state conduct a FULL COMPLIANCE REVIEW of child care facilities?

Check ONE for each facility type:

	Child Care Centers	Small FCC Homes	Large/Group FCC Homes
More than 3 times a year	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Three times a year	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Twice a year	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Once a year	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Once every 2 years	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Once every 3 years	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Less than once every 3 years	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Not conducted	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Other frequency	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Please specify other frequency and make any comments in this box (please note any changes since 2008)

23. Does the state ever use an abbreviated compliance form* during monitoring inspections of child care facilities?

***Definition: An abbreviated inspection utilizing a select set of rules to be reviewed. The use of abbreviated inspection forms was developed as a time saving technique and to focus regulatory efforts on facilities that required additional inspections or technical assistance. Abbreviated compliance forms or checklists usually include those standards considered as most critical to children's safety and well-being. It also may be used as an indicator checklist to determine when a subsequent full compliance review is required.**

- Yes
- No

24. If the answer to the question above is "yes", during which types of license inspections are abbreviated compliance forms or indicator checklists used?

- Initial licensing inspections
- Routine compliance inspections
- License renewal inspections

Other (please specify)

25. How did the state choose which standards to include in the abbreviated review?

- "Licensing indicator system" developed through a statistical methodology
- Selection of rules based on those considered most critical
- Selection of rules based on assigned weights

Other (please specify)

26. Does the state have policies on determining when to switch from an abbreviated compliance review to a full compliance review?

Check if YES for each facility type:

	Child Care Centers	Small FCC Homes	Large/Group FCC Homes
State has policies on when to switch type of review	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Please describe policies

27. Does the state have a system of weighted licensing requirements where rules are ranked for relative risk of harm?

- Yes
 No

If yes, please describe how licensing requirements are weighted:

28. Does the state have a system of differential monitoring* based on compliance records for each type of child care facility?

***Definition: A method for determining the frequency and/or depth of monitoring based on an assessment of a facility's level of compliance with regulations. This process may also be called "risk assessment monitoring" or "risk-based monitoring" and can be used to determine the number of inspections needed for a particular facility and the content of inspections.**

Check if YES for each facility type:

	Child Care Centers	Small FCC Homes	Large/Group FCC Homes
Differential monitoring used	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Please describe how and when differential monitoring is used

29. Do child care licensors provide technical assistance (TA), e.g., guidance, resources, training, and/or consultation to licensees?

Check if YES for each facility type:

	Child Care Centers	Small FCC Homes	Large/Group FCC Homes
Licensors provide TA to licensees to help them achieve compliance with the regulations	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Licensors provide TA/consultation to licensees to help them improve quality and exceed minimum licensing regulation regulations	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Comments

30. When do licensors provide technical assistance (TA) and/or consultation?

Check if YES for each facility type:

	Child Care Centers	Small FCC Homes	Large/Group FCC Homes
During application inspection visits	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
During routine inspection visits	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
During renewal inspection visits	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
On the telephone	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
As needed by licensee	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Other	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Please describe other times TA/consultation is provided

31. Do licensors ever refer licensees to other agencies, organizations, or people for TA and/or consultation?

Check if YES for each facility type:

	Child Care Centers	Small FCC Homes	Large/Group FCC Homes
Referrals made to other agencies, organizations, or people	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Please describe when referrals are used and which agencies, organizations, or people are used for the referral

32. Does the state have a computerized database to store information about child care facilities?

Check ONE:

- Yes
- No
- Developing

Comments

33. If yes, how is the database used? (please check all that apply)

- To manage caseloads
- To evaluate workload needs
- For supervisory oversight
- To determine differential monitoring levels
- To assess potential enforcement actions (e.g. risk management)
- To analyze compliance data
- To guide revisions
- To identify TA-training needs
- To determine individual staff performance
- To generate email messages
- To provide mailing lists

Other - please specify, and note if any of these analyses are available to share with other states:

34. Is the licensing database linked to other state databases? If yes, which ones? Please check all that apply:

- Child care subsidy system
- Child protection services
- Human services (e.g. TANF, SNAP)
- Child care resource and referral
- Professional development practitioner registry
- Consultant or training registry
- QRIS and/or other quality systems
- Child and Adult Care Food Programs

Other (please specify)

35. Does your state have an online license application process or is one being developed? If so, please describe:

36. Is your state using, or considering using, portable, hand-held devices such as "smart" phones, laptops, portable digital assistants, and/or computer tablets with specific software for capturing information during licensing inspections?

Check if YES for each facility type:

	Child Care Centers	Small FCC Homes	Large/Group FCC Homes
Portable devices used for inspections	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
State is considering the use of portable devices for inspections	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Please describe devices and their uses (or plans for their future use):

37. Is the state using, or considering using, any other tools/devices (such as laser measuring devices) for inspections and monitoring?

Check ONE:

- Yes
- No

If yes, please describe devices and how they are/will be used:

Complaint Investigations

38. Does the state licensing agency conduct investigations on licensing complaints?

Check if YES for each facility type:

	Child Care Centers	Small FCC Homes	Large/Group FCC Homes
Licensing agency conducts complaint investigations	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Comments

39. If the licensing agency conducts complaint investigations, what staff are used to conduct the investigations?

Check if YES for each facility type:

	Child Care Centers	Small FCC Homes	Large/Group FCC Homes
Same staff who conduct inspections for initial licensure and routine compliance	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Separate staff who only work on complaint investigations	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Staff from another agency	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Other	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Please describe other staff used, including staff not in the licensing agency

40. Does the state investigate licensing complaints filed anonymously?

Check if YES for each facility type:

	Child Care Centers	Small FCC Homes	Large/Group FCC Homes
State investigates anonymous complaints	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Comments

41. How many licensing complaints were filed in CALENDAR YEAR 2011? How many were substantiated?

Number of licensing complaints filed

Number of substantiated complaints

42. Please use this box for comments related to the question above about the number of complaints:

43. What was the nature of the licensing complaints that were filed in CALENDAR YEAR 2011? Please list the top 4 types of complaints in 2011, based on the areas of licensing violations.

#1	<input type="text"/>
#2	<input type="text"/>
#3	<input type="text"/>
#4	<input type="text"/>

44. Please use this box for comments on the top four complaint types in 2011 noted in the previous question:

45. Which state agencies investigate child abuse and neglect complaints against child care facilities?

Please check ALL that apply:

- Licensing agency
- Protective services
- Police/law enforcement agency
- Specialized unit for child abuse and neglect
- Other agency, please specify

46. Does the licensing agency investigate or make referrals when complaints are received on legally-exempt facilities? (choose all that apply)

- Yes, all complaints are investigated
- Yes, a referral is made on allegations of abuse or neglect
- Yes, but only if there are allegations of serious health and safety risks
- Yes, but only to determine or verify that the facility is legally exempt (not subject to licensing)
- No, the licensing agency does not investigate complaints filed against legally-exempt facilities

Other (please describe)

Enforcement Actions

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47. Please indicate which of the following corrective and adverse enforcement actions were used with child care facilities in CALENDAR YEAR 2011?

In responding to this question, please refer to the following definitions:

***Emergency/immediate closure - also known as emergency order, summary suspension, temporary closure**

****Conditional license - when used as an enforcement action, also known as restricted license, suspension of specific services, provisional license**

Please check ALL that apply for each facility type:

	Child Care Centers	Small FCC Homes	Large/Group FCC Homes
Revocation of license	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Denial of license	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Emergency/immediate closure of facility*	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Nonrenewal of license	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Probation	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Conditional license**	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Consent agreement	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Civil fine	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Criminal fine	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Imprisonment	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Other enforcement actions	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Please specify other enforcement actions used in 2011:

48. Please enter the number of enforcement actions for all types of licensed facilities that were taken in CALENDAR YEAR 2011?

Revocation of license	<input type="text"/>
Denial of license	<input type="text"/>
Emergency/Immediate closure of facility*	<input type="text"/>
Nonrenewal of license	<input type="text"/>
Probation	<input type="text"/>
Conditional license**	<input type="text"/>
Consent agreement	<input type="text"/>
Civil fine	<input type="text"/>
Criminal fine	<input type="text"/>
Imprisonment	<input type="text"/>
Other enforcement actions	<input type="text"/>

49. Please use this box for comments related to the previous question:

50. Please describe the legal representation available to the state licensing agency.

51. If a licensing enforcement action is taken against a facility, does that affect the provider's receipt of child care assistance/subsidy payment?

- Yes
 No

52. If yes, the receipt of subsidy payment is discontinued under these circumstances:

- An enforcement action is made effective
 The action is not appealed or is upheld
 Varies by type of enforcement action

Other (please specify)

53. How is the subsidy agency notified of licensing enforcement actions taken against a facility? (choose all that apply)

- An electronic notice is automatically generated
- Personal contact is made between the licensing and subsidy agency
- There is a link between the licensing and subsidy agency databases and enforcement actions are monitored

Other (please specify)

Sharing Licensing Information

54. Are licensing inspection reports available on the Internet for parent and public access?

Check ONE:

- Yes, the full inspection report is available
- Yes, a summary of the report is available
- No, inspection reports are not available on the Internet
- No, but state is planning to put inspection reports on the Internet

Comments

55. If licensing inspection reports are available on the Internet, are complaints filed against facilities included?

Check ONE:

- Yes, all complaints
- Yes, but only substantiated complaints
- No, complaints are not included

Comments

56. Please describe any other licensing information about child care facilities that is available on the Internet:

57. Does the state provide the public any guidance on understanding or interpreting licensing inspection reports? Is so please describe:

Licensing Fees

58. Does the state charge a fee for a license?

Check if YES for each facility type:

	Child Care Centers	Small FCC Homes	Large/Group FCC Homes
Fee charged for license	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Comments

59. If fees are charged, how is the fee assessed?

Check if YES for each facility type:

	Child Care Centers	Small FCC Homes	Large/Group FCC Homes
Flat fee amount for all facilities	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Fee is based on the maximum number of children allowed in the facility	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Other	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Please specify other ways fees are assessed

60. If a fee is charged for a license, what is the amount of the fee?

Please enter dollar amounts for each facility type:

Child Care Centers	<input type="text"/>
Small FCC Homes	<input type="text"/>
Large/Group FCC Homes	<input type="text"/>

61. Are the revenues collected from licensing fees used to support the licensing agency?

- Yes, revenues from fees are used to support the licensing agency
- No, revenues from fees are put into the state's general fund
- Other

Please specify other ways fees are used and use this box for comments

Licensing Staff Requirements

62. What titles are used for child care licensing line staff position/s in your state?

Please use functional titles and provide all (for example, licenser, licensing consultant, program evaluator):

63. What are the minimum entry-level educational qualifications for child care licensing line staff?

Check ONE:

- Master's degree or higher
- Bachelor's degree
- Associate's degree
- College-level course work
- High school diploma or GED
- Experience with children only
- No education or experience required
- Other qualifications

Please specify other qualifications and use this box for comments

64. For the qualifications identified above, must the degree or courses be in early childhood education, child development, or a field related to the care and education of children?

Check ONE:

- Yes
- No

If yes, please describe the content areas or majors that are accepted to qualify for employment

65. Are child care licensing line staff required to have experience working in a setting with children, prior to working as a licensor?

Check ONE:

- Yes
- No

Comments

66. Are child care licensing line staff required to complete additional training each year?

Check ONE:

- Yes
- No

If yes, please describe the amount of training that is required and use this box for comments

67. What topics must be covered in the training required each year?

Check ALL that apply:

- Regulatory issues
- State's regulations
- State's licensing policies and procedures
- Health and safety issues
- Identifying child abuse and neglect
- Fire safety
- Early childhood education/child development
- Adult development
- Provider - licensor relationships/communication
- Cultural competency/sensitivity
- Business administration/management
- Supervision
- Other topics

Please specify other topics and use this box for comments

68. What sources of training are available in your state for child care licensing line staff?

Check ALL that apply:

- State licensing agency
- Community-based organizations
- Local/state conferences
- National conferences
- Outside consultants
- Arrangement with college/university
- NARA on-line training
- NARA on-site training
- NARA credential
- Other sources

Please specify other sources of training and use this box for comments

69. What sources of funding are used in your state to hire and support (including providing training) child care licensing staff?

Check ALL that apply:

- General state funds
- Child Care and Development Fund (CCDF)
- Temporary Assistance for Needy Families (TANF)
- Social Services Block Grant
- Private funds
- Licensing fees
- Federal ARRA funds
- Other federal funds
- Other funding sources

Please specify other federal funds and other funding sources

70. Has your state incorporated "inter-rater reliability" training or evaluation for licensing staff?

- Yes
- No

If yes, please describe the training or evaluation:

71. Has your state developed interpretive guidelines?

- Yes
- No

If so, by whom and how are they used?

72. Does the licensing agency have policies/training for disaster and emergency planning for licensing staff?

- Yes
- No

If yes, please describe:

73. What methods does the licensing agency use to communicate with providers? (choose all that apply)

- Website
- Social media (e.g. Facebook, LinkedIn, or others)
- Email
- Electronic newsletters
- Printed materials
- Automated phone messages
- Individual phone calls
- Mass print mailings
- Face-to-face meetings

Other (please specify)

74. What methods does the licensing agency use to make the public aware of the role of licensing? (choose all that apply)

- Website
- Social media (e.g. Facebook, other)
- Press releases
- Printed materials
- Public meetings
- Public service announcements

Other (please specify)

Licensing's Role in Quality Initiatives

75. What is the state child care licensing agency's level of involvement in these initiatives to improve the quality of child care facilities?

Check ONE role for each initiative:

	Licensing agency leads the initiative	Initiative in same agency as licensing	Licensing participates in planning	Licensing not involved	State does not have initiative
Tiered quality strategy (e.g., tiered reimbursement, quality rating and improvement system, and/or rated license)	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Accreditation facilitation project	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Professional development system	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Assessing quality with an environment rating scale	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>

Other or comments

76. What resources does the state use when formulating rules and regulations for licensing child care facilities?

Check ALL that apply:

- Caring for Our Children: Health and Safety Standards
- Stepping Stones to Using Caring for our Children
- Head Start Performance Standards
- NAEYC program accreditation criteria
- NAFC program accreditation standards
- Previous NARA/NCCIC licensing studies
- NARA or other consulting or TA Services
- Research reports and studies
- Other states' regulations
- NARA research and practice publications
- Other resources

Please specify other resources and use this box for comments

Fire and Environmental Health Inspections

77. Are inspections for fire safety required for child care facilities?

Definition: A fire safety inspection is an inspection of child care facilities conducted by the state fire marshal, or other fire safety entity, for compliance with the state's fire safety codes and laws. This inspection is in addition to those conducted by the licensing agency.

Check if YES for each facility type:

	Child Care Centers	Small FCC Homes	Large/Group FCC Homes
Fire inspections required	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Comments

78. If yes, what is the frequency of fire safety inspections?

Please check the row that best describes the state's policies on the frequency of inspection after a license is issued, as required by policy and/or statute.

	Child Care Centers	Small FCC Homes	Large/Group FCC Homes
Once a year	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Once every 2 years	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Once every 3 years	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Less than once every 3 years	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Other frequency	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Please specify other frequency and use this box for comments

79. Are environmental health inspections required for child care facilities?

Definition: An environmental health inspection is an inspection of child care facilities conducted by the health department, or other entity, for compliance with the state's environmental health codes and laws. This inspection is in addition to those conducted by the licensing agency.

Check if YES for each facility type:

	Child Care Centers	Small FCC Homes	Large/Group FCC Homes
Health inspections required	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Comments	<div style="border: 1px solid gray; height: 40px; width: 100%;"></div>		

80. If yes, what is the frequency of environmental health inspections?

Please check the row that best describes the state's policies on the frequency of inspection after a license is issued, as required by policy and/or statute.

	Child Care Centers	Small FCC Homes	Large/Group FCC Homes
Once a year	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Once every 2 years	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Once every 3 years	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Less than once every 3 years	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Other frequency	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Please specify other frequency and use this box for comments

Background Checks

81. Does the state require background checks for child care center staff and family child care providers?

Definition: A background check is the process of searching for a history of criminal charges against individuals working in child care facilities.

Check if YES for each facility type:

	Child Care Centers	Small FCC Homes	Large/Group FCC Homes
Background checks required	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Comments

82. Are criminal history record checks required?

Definition: A criminal history record is a compilation of an individual's identification, arrest, conviction (law), incarceration, legal status, sex offender registration, warrant information, and other relevant criminal history. In the United States, these compilations are maintained and updated on the local, state, and Federal levels by various law enforcement agencies.

Check if type of check is required for each facility type. If yes, please answer remaining questions about this type of check.

	Child Care Centers	Small FCC Homes	Large/Group FCC Homes
CRIMINAL HISTORY RECORD (CHR) CHECKS ARE REQUIRED	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
State CHR required	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Federal CHR required	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
CHR required for all staff in facility	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
CHR required only for staff having contact with children	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
CHR required for volunteers	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
CHR required for household members (for FCC homes)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
CHR required under certain conditions (e.g., those who have lived in state for a certain period of time)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Comments

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83. Are fingerprint checks required?

Check if type of check is required for each facility type. If yes, please answer remaining questions about this type of check.

	Child Care Centers	Small FCC Homes	Large/Group FCC Homes
FINGERPRINT CHECK (FR) REQUIRED	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
State FR required	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Federal FR required	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
FR required for all staff in facility	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
FR required only for staff having contact with children	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
FR required for volunteers	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
FR required for household members (for FCC homes)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
FR required under certain conditions (e.g., those who have lived in state for a certain period of time)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Electronic fingerprint imaging (LIVE SCAN) required	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
FBI check required	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Comments

84. Are child abuse and neglect registry checks required?

Check if type of check is required for each facility type. If yes, please answer remaining questions about this type of check.

	Child Care Centers	Small FCC Homes	Large/Group FCC Homes
CHILD ABUSE AND NEGLECT REGISTRY CHECK (CAN) REQUIRED	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
CAN required for all staff in facility	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
CAN required only for staff having contact with children	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
CAN required for volunteers	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
CAN required for household members (for FCC homes)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
CAN required under certain conditions (e.g., those who have lived in state for a certain period of time)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Comments

85. Are sex offender registry checks required?

Check if type of check is required for each facility type. If yes, please answer remaining questions about this type of check.

	Child Care Centers	Small FCC Homes	Large/Group FCC Homes
SEX OFFENDER REGISTRY CHECK (SOR) REQUIRED	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
SOR required for all staff in facility	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
SOR required only for staff having contact with children	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
SOR required for volunteers	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
SOR required for household members (for FCC homes)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
SOR required under certain conditions (e.g., those who have lived in state for a certain period of time)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Comments

86. Are facility staff required to sign a statement about criminal status?

Check if YES for each facility type:

	Child Care Centers	Small FCC Homes	Large/Group FCC Homes
SIGNED STATEMENT ABOUT CRIMINAL STATUS	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Comments

87. How often are background checks conducted on staff in child care centers and family child care home providers?

Check if YES for each facility type:

	Child Care Centers	Small FCC Homes	Large/Group FCC Homes
Prior to licensure/working in a child care facility	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Annually	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Every 2 years	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Every 3 years	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Every 4 years	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Every 5 years	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Less than every 5 years	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Other frequency	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Please specify other frequency and use this box for comments

88. What is the state's policy on background checks if child care facility staff change jobs, move to another facility, or have a break in employment?

Check if YES for each facility type:

	Child Care Centers	Small FCC Homes	Large/Group FCC Homes
New background check is conducted	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Current background check is portable and moves with the individual	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Other policy	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Please specify other policies and use this box for comments

89. Does the background check system in your state notify your agency when an individual's criminal record has changed?

- Yes
- No

If yes, please describe how this system works and use this box for comments.

90. Does the state pay the costs for individuals to obtain background checks to work in child care facilities?

Check ONE:

- Yes, the state pays for background check
- No, facilities pay for background checks for their staff
- No, individuals pay for their own background checks
- Other

Please specify other and use this box for comments

Developing Initiatives in Licensing

For the purpose of noting trends and preparing for the next Child Care Licensing Study (2013), we would like to present an open ended opportunity for states to share developments or topics underway or not covered by the 2011 Study. This includes rules revisions, or other proposed changes to address emerging topics, such as obesity prevention, "back-to-sleep" initiatives, among others.

We would also like to collect information regarding licensing activities or plans that resulted from the Federal Race-to-the-Top Early Learning Challenge Competition and awards.

91. Please use the text below to describe licensing activities or trends in your state related to these initiatives or others: