



Risk Assessment for Licensing



What Are Risk Assessment Tools?

Risk Assessment Tools are licensing inspection instruments that identify licensing rules where violations pose a greater risk to children. This approach focuses on identifying and monitoring those rules that place children at greater risk of injury or death if violations or citations occur. This is done by assigning a weight to each rule to distinguish levels of regulatory compliance. Utilizing risk assessment tools assists in building consistent regulatory compliance and improving overall consumer protection across all relevant domains.

How Do Risk Assessment Tools Work?

The selection of these regulations may be informed by various methods, including an internal process that seeks agreement among licensing staff and other experts, a review of research and resources, the solicitation of feedback from providers and other stakeholders, work with an external consultant, or the use of a statistical methodology to determine which regulations are most critical to protecting children from injury or death.

Maintains a research-based risk-assessment focus, including both immediate and cumulative risks.

Inspections and technical assistance are focused on high-risk rules.

Agency's enforcement continuum is applied systematically to avert or abate priority risks.

Focusing on high-risk rules supports licensing agency to:

- Implement a differential monitoring policy,
- guide case management such as targeted technical assistance or witnessed visits,
- determine enforcement actions based on categories of violations, and
- assist families in better understanding the potential impact of regulatory noncompliance on their child's care.

About NARA

The National Association for Regulatory Administration (NARA) is an international professional membership association founded in 1976 dedicated to the protection of the health, safety and well-being of children and adults in day or residential human care facilities through strong licensing and other forms of regulation.

NARA
43 Town & Country Drive,
Suite 119, #121
Fredericksburg, VA 22405
1-888-674-7052
www.naralicensing.org

