



# NARA CODE OF ETHICS

For Human Care Regulators

Revised April 27, 2022

Approved by the NARA  
Board: May 05, 2022

Initially adopted by the National Council on April  
24, 1995

the National Association for Regulatory Administration

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CONSUMER PROTECTION THROUGH PREVENTION

The National Association for Regulatory Administration (NARA) recognizes that regulation involves the use of governmental authority. Regulators are required to use their authority with integrity. NARA has adopted this Code of Ethics to guide its members.

Persons who as part or all of their employment are engaged in regulating individuals or organizations that provide human care services to children, families or adults are covered by this code. This includes but is not limited to facilities providing childcare; foster care; and adult care.

### As a Regulator, I will:

- Act in a manner as to uphold and enhance personal and professional honor and integrity as a human care regulator.
- Accept and respect applicable provisions of law and regulations related to public disclosure, avoidance of conflict of interest or the appearance of conflict of interest, observance of due process requirements, management of public records and information, and management of confidential information.
- Enforce laws and regulations in accordance with agency policies, procedures and principles.
- Act in a way that supports diversity, equity and inclusion.
- Be able to explain the reason or rationale for each regulatory provision.
- Encourage those that I regulate to achieve the highest possible performance in their service areas.
- Provide those that I regulate with information and assistance to improve their understanding and abilities to serve individuals in care and comply with applicable regulatory requirements.
- Actively participate in the development and improvement of the laws and regulations that I apply.
- Actively assist service consumers, their families and the general public to understand the purpose and function of the human care regulatory process.
- Serve with respect, concern, courtesy, and responsiveness to gain trust and confidence that reflects positively on my profession as a human care regulator.
- Seek out and participate in professional growth and educational programs (including but not limited to: regulatory administration principles, understanding the needs of persons in care, and dynamics of the balanced use of authority).
- Accept as a personal duty the responsibility to keep up to date on emerging issues and to conduct myself with professional competence, fairness, impartiality, efficiency, and effectiveness.
- Disclose any personal or financial interest I have or have had that might appear to influence my actions as a human care regulator.
- Avoid the fact or appearance of using my position to endorse a particular

product, licensee or service provider, or a group of such licensees or providers.

- Report any reasonable suspicion or evidence that I or any other human care regulator may have abused the authority of a regulatory position to the appropriate supervisory agency for proper inquiry.

**As a Regulator, I will *not*:**

- Exceed the authority delegated to me by laws, regulations or my employers.
- Depart from processes established by my regulatory agency to assure fair and objective decision-making.
- Apply regulations inconsistently due to arbitrariness, capriciousness, favoritism, nepotism, or personal bias.
- Engage in regulation of someone with whom I have or have recently had a significant financial or personal relationship, or interest.
- Use my position for personal gain from those I regulate.
- Accept gifts, services, benefits, or favors from those I regulate.
- Engage in partisan political activity or endorse organizations or religious affiliations while in the role of a human care regulator.

Printed Name: \_\_\_\_\_

Date: \_\_\_\_\_

Agency Name: \_\_\_\_\_

State/Province/Territory: \_\_\_\_\_

Signature: \_\_\_\_\_